

Higher-Spin extended Gravity from IKKT Matrix Model

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- IKKT Matrix Model
- Quantized Embedded Branes
- Low Energy Physics, YM and pre-gravity
- One-loop effective action
- (Extended) Einstein Gravity
- Covariant Cosmological Quantum Spacetime
- One-loop dynamics and Stabilization
- Conclusions and Outlook

- 1996, Matrix Regularization of type IIB superstring theory in Schild gauge (Ishibashi-Kawai-Kitazawa-Tsuchiya '97)

$$S = \frac{1}{g^2} \text{tr} \left([X^a, X^b] [X^c, X^d] \eta_{ac} \eta_{bd} + \bar{\Psi} \Gamma^a [X^b, \Psi] \eta_{ab} \right) \quad (1)$$

$a = 0, 1, \dots, 9$, X^a $N \times N = \text{End}(\mathcal{H})$ hermitian ($\mathcal{H} = \mathbb{C}^N$).

- X^a as quantized embedding coordinates of a brane.
- Classical Equations of motion

$$\square_X X^a = -\frac{1}{4} [\bar{\Psi}, \Gamma^a \Psi], \quad \Gamma_a [T^a, \Psi] = 0, \quad \square_X = [X^a, [X^b, \cdot]] \eta_{ab} \quad (2)$$

- Maximal SUSY

- Describes quantum dynamics of non-commutative spaces, matrix integral

$$Z = \int dX d\Psi e^{iS} \quad (3)$$

- Manifest $SO(1, 9)$ invariance.
- Gauge $U(N)$

$$X^a \mapsto UX^a U^{-1} \quad (4)$$

- Exhibits holographic properties
- We are interested in low energy modes on the brane. No target space $\mathbb{R}^{1,9}$ physics, no holography.

- *Almost* commutative configurations: quasi-coherent states $|x\rangle \in \mathcal{H}$
- Define embedding coordinates in target space

$$x^a = \langle x | X^a | x \rangle \in \mathbb{R}^{1,9} \quad (5)$$

defining symplectic brane \mathcal{M} .

- Associate classical functions to matrices via quantization map

$$\mathcal{Q} : \mathcal{C}(\mathcal{M}) \rightarrow \text{End}(\mathcal{H}) \quad (6)$$

$$\phi(x) \mapsto \Phi = \int \Omega \phi(x) |x\rangle\langle x| \quad (7)$$

- Commutator \sim Poisson Bracket

$$-i[X^a, X^b] = \Theta^{ab} \sim \{x^a, x^b\} = \theta^{ab} \quad (8)$$

symplectic form.

- Look for such quantized embedded spaces solving IKKT equations of motion.

- Low energy excitations (subsector of $End(\mathcal{H}) \supset Loc(\mathcal{H}) \sim C_{IR}(\mathcal{M})$) are confined on the brane
- Find non-trivial backgrounds $\bar{T}^a \in End(\mathcal{H})$, and study the physics of fluctuations

$$T^a = \bar{T}^a + \mathcal{A}^a \quad (9)$$

- $U(N)$: $SU(N)$ gauge sector and noncommutative $U(1)$, *geometry*.

- Tree-level action for low energy $SU(N)$ fluctuations

$$S = -\frac{1}{g^2} \text{tr} \int \Omega \gamma^{ac} \gamma^{bd} F_{ab} F_{cd} + \dots \quad (10)$$

using $[X^a, \cdot] = i\theta^{ab} \partial_b$, F field strength of $A_\mu = \theta_{\mu\nu}^{-1} \mathcal{A}^\nu$, γ
"open string metric"

$$\gamma^{ab} = \theta^{ab} \theta^{cd} \eta_{bd} \quad (11)$$

- Geometric interpretation of matrix d.o.f. $T^a, \mathcal{F}^{ab} = [T^a, T^b]$?
- Defining

$$E^{a\mu} = \{T^a, x^\mu\} \quad (12)$$

Typical kinetic action $[T^a, \phi]^2$,

$$S \sim - \int \Omega E_a^\mu E^{a\nu} \partial_\mu \phi \partial_\nu \phi = - \int d^{2n}x \sqrt{G} G^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \quad (13)$$

$\Omega = \rho_M d^{2n}x$, G effective metric on the brane,

$$G^{\mu\nu} = \rho^{-2} \gamma^{\mu\nu}, \quad \gamma^{\mu\nu} = E_a^\mu E^{a\nu}, \quad \rho_M = \rho^{-2} \sqrt{G} \quad (14)$$

- **E frame** for γ . $T_{ab}^\mu = \{\mathcal{F}_{ab}, x^\mu\}$ torsion of γ in **Weitzenböck** connection.

- Gauge transformations of the background

$$\delta_\Lambda T = \{\Lambda, T\} \implies \delta_\Lambda E = \mathcal{L}_\xi E \quad (15)$$

with $\xi = \{\Lambda, \cdot\}$. They generate diffeos! Same for $G^{\mu\nu}$.

- Tree-level **not** Einstein: fluctuations $\delta E \sim \partial \mathcal{A}$

$$S \sim \text{tr}[T, T]^2 \sim \int (\partial \mathcal{A})^2 \sim \int (\delta E)^2 \quad (16)$$

Linearized Einstein governs derivatives of the frame

$$S_{EH} \sim \int (\partial \delta E)^2 \quad (17)$$

We find (extended) Einstein at one-loop.

- We compute

$$Z = \int dX d\Psi e^{iS} = e^{i(S_0 + \Gamma_{1-loop})} = e^{i\Gamma_{eff}} \quad (18)$$

$$\begin{aligned} \Gamma_{1-loop} &= \frac{i}{2} \text{tr} \left(\log(\square - i\varepsilon - \Sigma_{ab}^{(V)}[\mathcal{F}^{ab}, \cdot]) \right) - \frac{1}{2} \log(\square - i\varepsilon - \Sigma_{ab}^{(\Psi)}[\mathcal{F}^{ab}, \cdot]) - 2 \log(\square - i\varepsilon) \\ &= -\frac{i}{2} \int_0^\infty \frac{d\alpha}{\alpha} \text{tr} e^{-i\alpha \square} \left[e^{i\alpha \Sigma_{ab}^{(V)} \delta \mathcal{F}^{ab}} - \frac{1}{2} e^{i\alpha \Sigma_{ab}^{(\Psi)} \delta \mathcal{F}^{ab}} - 2 \right] \\ &= -\frac{i}{2} \int_0^\infty \frac{d\alpha}{\alpha} \text{tr} e^{-i\alpha \square} Q_{10} \end{aligned}$$

- $\delta \mathcal{F} = [\mathcal{F}, \cdot]$, α Schwinger parameter, Q_{10} character of $SO(1, 9)$.

- We want to study 4d physics, background $\mathcal{M}_4 \times \mathcal{K}$

$$T^a = (T^{\dot{\mu}}, T^I) \quad (19)$$

With Hilbert space $\mathcal{H}_{\mathcal{M}} \times \mathcal{H}_{\mathcal{K}}$, low energy $\mathcal{C}(M) \times \mathcal{C}(\mathcal{K})$.

- Fluctuations $\mathcal{A} \in \text{End}(\mathcal{H}_{\mathcal{M}}) \otimes \text{End}(\mathcal{H}_{\mathcal{K}})$
- Decompose 10d representations

$$(V) = (4) + (6), \quad (\Psi) = ((2_-) \oplus (4_-)) \oplus ((2_+) \oplus (4_+)) \quad (20)$$

- We expand the 4d characters to obtain

$$\begin{aligned} Q_{10} &= X_6 + \alpha^2 \delta \mathcal{F}^{\dot{\mu}\dot{\nu}} \delta \mathcal{F}_{\dot{\mu}\dot{\nu}} \left(-2 + \frac{1}{4} \sum_{\pm} \text{tr}_{(4_{\pm})} e^{i\alpha \Sigma_{IJ}^{\pm} \delta \mathcal{F}^{IJ}} \right) + O(\alpha^4 \mathcal{F}_{\dot{\mu}\dot{\nu}}^4) \\ &\approx X_6 + \alpha^2 \delta \mathcal{F}^{\dot{\mu}\dot{\nu}} \delta \mathcal{F}_{\dot{\mu}\dot{\nu}} G_6 \end{aligned}$$

- We also showed that generically α expansion is justified.

- Two $SO(6)$ characters: X_6 effective potential for \mathcal{K} , G_6 determines Newton constant.
- Evaluate spacetime modes trace $End(\mathcal{H}_{\mathcal{M}})$ with basis of **string modes** $|x\rangle \langle y|$. Short string modes $|x\rangle \langle x+k| \sim$ localized wave packets $\psi_{k,x}$.

$$\begin{aligned}
 & \text{tr}_{End(\mathcal{H}_{\mathcal{M}})} \delta\mathcal{F}^{\mu\nu} \delta\mathcal{F}_{\mu\nu} e^{i\alpha\Box_4} \\
 & \approx \int d^4x \sqrt{G} \int \frac{d^4k}{(2\pi)^4 \sqrt{G}} \mathcal{T}^{\mu\nu\alpha} \mathcal{T}_{\mu\nu}{}^\beta k_\alpha k_\beta e^{-i\alpha\rho^2 G^{\mu\nu} k_\mu k_\nu} \\
 & \approx \int d^4x \sqrt{G} \frac{1}{2(4\pi)^2} \frac{1}{\rho^4 \alpha^3} \gamma_{\alpha\beta} \mathcal{T}^{\mu\nu\alpha} \mathcal{T}_{\mu\nu}{}^\beta \quad (21)
 \end{aligned}$$

$$\mathcal{R} = -\frac{1}{2} \mathcal{T}^\mu{}_{\nu\alpha} \mathcal{T}^\nu{}_{\mu\beta} G^{\alpha\beta} - \frac{1}{2} \tilde{T}_\mu \tilde{T}_\nu G^{\mu\nu} + 2\rho^{-2} G^{\mu\nu} \partial_\mu \rho \partial_\nu \rho - 2\nabla^\mu (\rho^{-1} \partial_\mu \rho)$$

- α integral and $\text{End}(\mathcal{H}_{\mathcal{K}})$ trace: expand

$$G_6[\alpha] \approx -\frac{1}{2}\alpha^2 \delta\mathcal{F}^{IJ} \delta\mathcal{F}_{IJ} \quad (22)$$

- Approximate trace with $\mathcal{K} \sim$ flat ball of radius $m_{\mathcal{K}}$, $\delta\mathcal{F}_{IJ} \approx \Delta_{\mathcal{K}}^2 \sim m_{\mathcal{K}}^2 d^{-\frac{2}{\dim \mathcal{K}}}$. Using \mathcal{K} string modes $|x\rangle \langle y|$,

$$\square_6 |x\rangle \langle y| \approx ((x-y)^2 + 2\Delta_{\mathcal{K}}^2) \quad (23)$$

with $\Delta_{\mathcal{K}}$ NC scale of \mathcal{K} .

- Find G_N as a function of $\rho, d_{\mathcal{K}}, m_{\mathcal{K}}$.

- Apply above to a specific interesting background: $\mathcal{M}_J^{3,1}$, fuzzy hyperboloid. (Sperling, Steinacker 2018)
 - Quantized Coadjoint orbit of $SO(4,1)$
 - In terms of $SO(4,2)$ generators M^{AB} , $A, B = 0, 1, \dots, 5$. Built from irreps labelled by spin J . Here $J \gg 1$.
 - Background $T^{\dot{\mu}} = \frac{1}{R} M^{\dot{\mu}4}$, coordinate matrices $X^\mu = \ell_p M^{\mu 5}$.
 - Semi-classical geometry (coherent states) for $J > 0 \sim 6d$ symplectic space,
$$H^4 \tilde{\times} S_J^2 \tag{24}$$
 - S_J^2 harmonics define a *truncated* tower of higher spin modes over spacetime.
 - Semi-classical geometry looks FLRW-like. $\rho^2 \approx \sinh^3 \tau$.

- ℓ_p fundamental length scale of coordinates.
- $R \approx \frac{\ell_p J}{2}$, radius of hyperboloid.
- IR curvature scale $L_H = R \cosh \tau$ (τ cosmological conformal time)
- NC scale $L_{NC} = \sqrt{J} \ell_p \sqrt{\cosh \tau}$.
- $\Delta_J \sim$ average of higher spin masses: see caveats.
- KK scale $m_{\mathcal{K}}$
- \mathcal{K} NC scale $\Delta_{\mathcal{K}} \approx d_{\mathcal{K}}^{-\frac{1}{\dim \mathcal{K}}} m_{\mathcal{K}}$.

- One-loop effective action (YM sector in (Steinacker, Tran 2024)), now also trace over higher spin harmonics: adds dependence on J and NC scale of S_J^2 , Δ_J to G_N : for $\dim \mathcal{K} = 4$ (we will see why), with suitable approximations

$$G_N \sim \frac{\rho^2}{J^2 d_{\mathcal{K}}^{\frac{2}{3}} \Delta_{\mathcal{K}}^2} \quad (25)$$

- Significance and dynamics of HS yet to be fully understood.
- We find a mechanism for stabilizing $m_{\mathcal{K}}$ as follows: the effective action contains the following contributions to potential for single brane \mathcal{K}

$$V = V_0 + V_{\mathcal{K}}^{1-loop} + V_{S_J^2} + V_{S^2-\mathcal{K}} + V_{grav} \quad (26)$$

$$\approx V_0 + V_{S^2-\mathcal{K}} + V_{S_J^2} \quad (27)$$

- With the approximations made above, we can estimate

$$S_0 = -\frac{1}{g^2} \text{tr} \mathcal{F}_{IJ} \mathcal{F}^{IJ} \approx -\frac{J}{\ell_p^4 g^2} \int d^4 x \frac{\sqrt{G}}{\rho^4} \text{tr}_{\mathcal{K}} \mathcal{F}_{IJ} \mathcal{F}^{IJ} \quad (28)$$

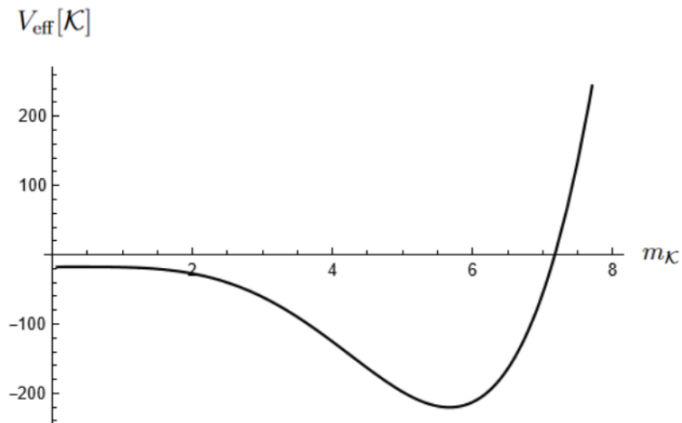
$$\approx -\frac{J}{\ell_p^4 g^2} \int d^4 x \frac{\sqrt{G}}{\rho^4} \Delta_{\mathcal{K}}^4 d_{\mathcal{K}} =: -\int d^4 x \sqrt{G} V_0 \quad (29)$$

$$V_0 > 0, \propto \Delta_{\mathcal{K}}^4.$$

- $V_{S_J^2}$ and $V_{S^2-\mathcal{K}}$ give a decreasing dependence on $\Delta_{\mathcal{K}}$ for $\dim \mathcal{K} = 2, 4$, thus a **minimum** for the effective potential altogether. $\dim \mathcal{K} = 2$ seems pathological, therefore focus on $\dim \mathcal{K} = 4$. Find minimum, $m_{\mathcal{K}}^*$ and $G_N(m^*)$

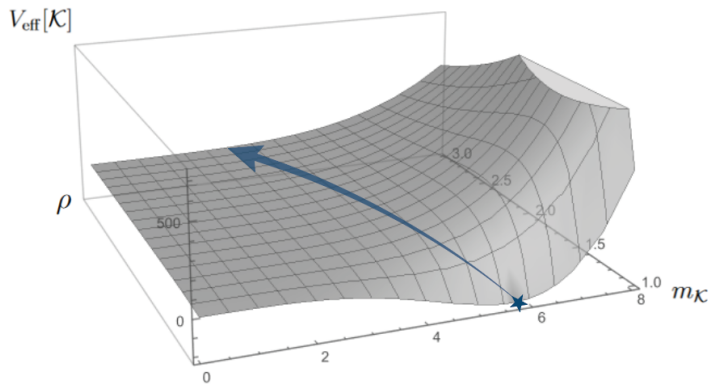
$$m_{\mathcal{K}}^*(\ell_p, J, g, \rho, \Delta_J) \quad (30)$$

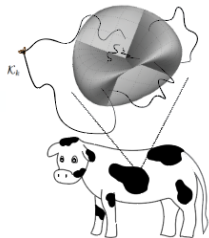
- For suitable parameter ranges*



I made it too simple, exhibited nice behaviors, but some things to fix.

- Background T^{μ} solves **massive** IKKT: we are finding deformations of this background that solve IKKT.
- That's good, because solution above is problematic (we expect general results to hold):
 - $\Delta_J^2 < 0$, problems with stability.
 - $m_{\mathcal{K}}^*$ depends strongly on time (and does not exist at very late times!)
 - Consistent ranges of validity for parameters?
- Most of these problems seem to be fixable with deformed backgrounds, with added complications to be studied.





$\mathcal{N} = 4 \text{ SYM}$



- IKKT as quantum theory of spacetime.
- Emergent (Extended) Einstein-Hilbert action from 1-loop with fuzzy extra dimensions.
- Cosmological Background: Mechanism for stabilization of the background at 1-loop.
- This background is problematic: work to do to solve problems.

Thank you for the attention