# Introduction to AdS/CFT

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- Lecture 1: Motivation and Background (confinement, large N, holographic bound, basic CFT, anti de-Sitter space)
- Lecture 2: Main statement of AdS/CFT, derivation, how to do computations, generalizations

# Introduction

The AdS/CFT correspondence is a duality (an exact equivalence) between two seemingly different theories

1. A four dimensional **quantum field theory** (a gauge theory-like QCD)

- 2. Gravity (string theory) in a higher dimensional spacetime
  - Discovered in 1997 by J. Maldacena and elaborated by Gubser, Klebanov, Polyakov, Witten,...

Use Gravity to learn about QFT

- QCD ⇒ strong coupling phenomena (confinement, chiral symmetry breaking etc.)
- Fluid dynamics, condensed matter systems

Use QFT to learn about Gravity

- Black Holes (singularities, entropy, Hawking radiation....)
- Cosmology (Big Bang, inflation, c.c. problem, ...)

# (so far the only) Non-perturbative definition of string theory/quantum gravity

#### ■ SPACE AND TIME ARE EMERGENT CONCEPTS !!!

■ Is our world a hologram?



# 1. Confinement in gauge theories, large ${\cal N}$ expansion

#### 2. Black Holes and Holography

- QCD: SU(3) gauge theory + fermions
- In the UV: quarks + gluons
- Coupling constant runs with energy scale (asymptotic freedom)
- Theory becomes strongly coupled at low energies
- Strong coupling  $\Rightarrow$  "color confinement" : asymptotic states are SU(3) singlets
- In the IR we see mesons, baryons, glueballs etc.
- Confinement difficult to understand analytically:

## **NO EXPANSION PARAMETER**

# Flux-tubes and string theory



chromoelectric field independent of  $r \Rightarrow$  energy in field linear with r  $\Rightarrow$  confinement

• Fluxtube behaves like a string of constant tension

 $\bullet$  Mesons can be understood as excitations of the fluxtube  $\sim$  open strings (Regge trajectories)

ullet Glueballs  $\sim$  closed strings

# The large N expansion

- QCD has no obvious expansion parameter
- What if we replace  $SU(3) \rightarrow SU(N)$ ?
- $\bullet$  't Hooft: theory simplifies in the large N limit
- In order to have good behavior we need to scale

$$N \to \infty$$

$$g_{YM} \to 0$$

keeping

$$\lambda \equiv g_{YM}^2 N$$

fixed. The parameter  $\lambda$  is called the "'t Hooft coupling"

• Consider U(N) gauge theory. The gauge field has the form

$$A_{\mu} = A^{I}_{\mu}T^{I}$$

where  $T^{I}$  are the generators of the Lie algebra in the adjoint representation

• The adjoint representation can be understood as  $\overline{\mathbf{N}} \otimes \mathbf{N}$ . Hence we can trade the index  $I \to (i, \overline{j})$ 

• The gluon propagator can then be represented as



and vertices of the gauge theory are

# Double-line diagrams at large ${\cal N}$

• Feynman diagrams can be rewritten as double-line diagrams where the arrows have to be connected consistently



- $\bullet$  Different diagrams contribute with different power of N in the large N limit.
- $\bullet$  The double-line notation makes the counting of factors of N easier.

# **Double-line diagrams as "discretized" surfaces**

Gauge index loop	$\Leftrightarrow$	Face of surface
Propagator	$\Leftrightarrow$	Edge of surface
Interaction Vertex	$\Leftrightarrow$	Vertex of surface

The lagrangian has the form

$$\mathcal{L} = -\frac{1}{4g_{YM}^2} \operatorname{Tr}\left(F_{\mu\nu}F^{\mu\nu}\right)$$

where  $1/g_{YM}^2 = \frac{N}{\lambda}$ .

• Every propagator carries a factor of  $\frac{\lambda}{N}$ . Every vertex carries a factor of  $\frac{N}{\lambda}$ . The summation over each closed line gives a factor of N

 $\bullet$  If we have a diagram with V vertices, E propagators and F loops we find that it scales like

$$N^{V-E+F}\lambda^{E-V}$$

the quantity  $V - E + F = \chi$  is the Euler character of a surface corresponding to the diagram

• For closed, oriented surfaces  $\chi = 2 - 2g$  where g is the genus

# Counting powers of $\boldsymbol{N}$

• Power of N depends only on **topology** of the diagram. If g is the genus then the N-dependence is

 $N^{2-2g}$ 

EXAMPLES genus 0:



- Only planar (genus zero) diagrams contribute
- There is a systematic 1/N expansion
- Gauge singlets (mesons, glueballs etc.) become stable and free
- $\bullet$  Large N limit is a "classical limit"
- $\bullet$  While theory simplifies, still non-trivial dynamics  $\Rightarrow$  we still have confinement

Large N expansion and string theory

 $\bullet$  The genus expansion of large N gauge theories  $\sim$  genus expansion of string theory, if we identify

$$\frac{1}{N} \sim g_s$$

 $\bullet$  This suggests that a large N gauge theory is dual to a string theory

• At large  $\lambda$  the "holes" in double line diagrams close  $\Rightarrow$  they become smooth surfaces (string worldsheet)

• String theory is inconsistent in four-dimensions, hence the dual string theory lives in higher dimensions

## **Black Hole entropy**

• Schwarzchild black hole:

$$ds^{2} = -\left(1 - \frac{2GM}{r}\right)dt^{2} + \left(1 - \frac{2GM}{r}\right)^{-1}dr^{2} + r^{2}d\Omega_{2}^{2}$$

- Event Horizon at r = 2GM, singularity at r = 0.
- Black Hole dynamics + Hawking radiation  $\Rightarrow$

#### **BLACK HOLES HAVE ENTROPY**

$$S = \frac{A}{4G}$$

This has far-reaching implications for the nature of space-time.

• Consider region of spacetime of size R. How many degrees of freedom do we need to describe it?

 $\bullet~\#$  of degrees of freedom  $\sim$  (maximal) entropy contained in region.

• In conventional local systems we entropy scales like volume

$$S \sim R^3$$

• Imagine adding matter to region. Entropy cannot decrease.

 $\bullet$  If sufficient amount of matter  $\Rightarrow$  collapse and black hole formation

• Entropy of final black hole goes like the **area** of the region!

 $S \sim R^2$ 

in contrast our expectations for systems with local degrees of freedom

# Quantum gravity is holographic

 Black hole entropy + 2nd law of thermodynamics ⇒ in theories of gravity # of degrees of freedom scales like the area, not volume

How is this possible?

• A natural mechanism to guarantee this would be to assume that somehow the degrees of freedom necessary to describe physics in a region M, live on the boundary of the region  $\partial M$ .

• These degrees of freedom on the boundary completely encode what happens in the interior.

• Gravity is holographic.

The two aforementioned ideas

- 1. That large N gauge theories can be described by string theories.
- 2. That quantum gravity is holographic.

have found a precise realization with the discovery of the  ${\rm AdS}/{\rm CFT}$  correspondence

Large N gauge theory in d dimensions

 $\Leftrightarrow$ 

Quantum gravity (string theory) in  $\ge d + 1$  dimensions

- Applications and fundamental physics
- Simplest case: gauge theory is conformal and gravity is in AdS

•  $\mathcal{N} = 4$  Yang – Mills  $\Leftrightarrow$  IIB string theory on  $AdS_5 \times S^5$ 

# **Conformal Field Theory**

- Most QFTs have scales (masses, couplings, etc.)  $\Rightarrow$  non-trivial RG-flow
- Cutoff  $\Rightarrow$  quantum violation of scale invariance
- Dynamically generated scales (like  $\Lambda_{QCD}$ )

#### HOWEVER

- $\bullet$  There are QFTs which have no scale and where  $\beta=0\Rightarrow$  exact scale invariance.
- New symmetry generator: dilatation operator D

$$[D, P_{\mu}] = -iP_{\mu}, \qquad [D, M_{\mu\nu}] = 0$$

## **Conformal invariance**

• In most cases scale invariant QFTs are invariant under a larger symmetry group, the conformal group

 $\bullet$  In addition to  $P_{\mu}, M_{\mu\nu}$  and D it contains new symmetry generators

special conformal transformations 
$$K_{\mu}$$

Poincare 
$$x^{\mu} \to \Lambda^{\mu}_{\nu} x^{\nu} + a^{\mu}$$
  
scale  $x^{\mu} \to \lambda x^{\mu}$  (1)  
special conformal  $x^{\mu} \to \frac{x^{\mu} - b^{\mu} x^2}{1 - 2b \cdot x + b^2 x^2}$ 

• In d spacetime dimensions the conformal group is isomorphic to SO(d,2).

# No S-matrix in CFTs, correlation functions

 $\bullet$  In theories with no mass gap  $\Rightarrow$  no well defined asymptotic states

- $\Rightarrow$  No S-matrix. What are the physical observables?
- Correlation functions of "local operators" (gauge invariant ones)

$$\phi^k$$
,  $\phi \partial_\mu \phi$ ,  $F_{\mu\nu} F^{\mu\nu}$ ,  $\operatorname{Tr} (F_{\mu\nu} F^{\mu\nu})$ , ...

• In CFTs we would like to compute

$$\langle \mathcal{O}_1(x_1)...\mathcal{O}_n(x_n) \rangle$$

where  $\mathcal{O}_i$  are local operators like those mentioned above

• "Solving the CFT"  $\Leftrightarrow$  computing such correlation functions

- $\bullet$  Usual QFT  $\Rightarrow$  classify states under Poincare group
- in CFT  $\Rightarrow$  classify local operators under conformal group

$$[D, \mathcal{O}(0)] = -i\Delta \mathcal{O}(0)$$

 $\Delta$  is the "conformal dimension of the operator".

From the algebra we have

$$[D, P_{\mu}] = -iP_{\mu}, \qquad [D, K_{\mu}] = iK_{\mu}$$

so  $P_{\mu}$  raises the dimension of an operator while  $K_{\mu}$  lowers it.

• Local operators annihilated by the  $K_{\mu}$ 's are called **conformal primaries**. The are characterized by  $\Delta$  and their spin.

• All other local operators can be derived from primaries by acting with  $P_{\mu} \sim -i\partial_{\mu}$ . They are called **descendants**.



• Conformal invariance fixes form of 2-point function of conformal primaries

$$\langle \mathcal{O}(x)\mathcal{O}(y)\rangle = \frac{1}{|x-y|^{2\Delta}}$$

• and also the 3-point function

$$\langle \mathcal{O}(x)\mathcal{O}(y)\mathcal{O}(z)\rangle = \frac{C}{|x-y|^{\Delta}|y-z|^{\Delta}|x-z|^{\Delta}}$$

• 4- and higher-point correlation functions are constrained but NOT fixed by conformal invariance

• 4d QFT with maximum amount of supersymmetry (16 supercharges). The field content is

gauge field	$A_{\mu}$		
fermions	$\lambda^i,$	i = 1,, 4	(2)
scalars	$\Phi^{I},$	I = 1,, 6	

all in the adjoint of the gauge group G.

• The Lagrangian of the theory has the schematic form

$$\mathcal{L} = -\frac{1}{4g^2} \operatorname{Tr} \left( F_{\mu\nu} F^{\mu\nu} + (D_{\mu} \Phi^I)^2 + \overline{\lambda} \not D \lambda + [\Phi^I, \Phi^J]^2 + \ldots \right)$$

 $\bullet$  For given gauge group  $G \Rightarrow$  Unique 4d QFT with  $\mathcal{N}=4$  SUSY

• The theory is conformal ( $\beta$  function is exactly zero).

• Theory is invariant under the superconformal group. Its bosonic subgroup is

 $SO(4,2) \times SO(6)$ 

The SO(6) = SU(4) is the R-symmetry of the theory.

• Exact SL(2, Z) duality. Define complexified coupling  $\tau = \frac{\theta}{2\pi} + i \frac{4\pi}{a^2}$ . Theory invariant under

$$\tau \to \frac{a\tau + b}{c\tau + d}, \quad (a, b, c, d) \in SL(2, Z)$$

# Anti de-Sitter space

•  $AdS_{d+1}$  is the maximally symmetric spacetime in d+1 dimensions

• It has constant negative curvature and is a solution of Einstein equations with negative cosmological constant

• The isometry group of  $AdS_{d+1}$  is SO(d, 2) (notice that it coincides with the conformal group in d dimensions!)

• There are various coordinate systems which can be used, each with its own advantages

# **Geometry of AdS**

• One useful coordinate system is the so-called "Poincare patch", where the coordinates are  $(z,t,\vec{x})$  and the metric has the form

$$ds^{2} = \frac{-dt^{2} + d\vec{x}^{2} + dz^{2}}{z^{2}}$$



- $\bullet$  We have Minkowski-space slices along  $t, \vec{x}$  which are warped along the direction z
- Only the Poincare invariance along d directions and scaling is manifestly visible (not the full isometry group SO(d, 2)).

 $\bullet$  Another useful coordinate system is the "global patch", with coordinates  $t,\rho,\Omega_{d-1}$  and the metric

$$ds^2 = -\cosh^2 \rho dt^2 + d\rho^2 + \sinh^2 \rho \, d\Omega_{d-1}^2$$



Now the  $SO(d) \times \mathbf{R}$  isometry is manifest

 $\bullet$  Attractive gravitational potential towards the "center" i.e.  $\rho=0$ 

• Penrose diagram of AdS  $\Rightarrow$  "conformal boundary is  $S^{d-1} \times R$ 

 $\bullet$  Massless particles can reach the boundary  $(\rho=\infty)$  in finite time, massive particles never reach the boundary



- (Super)string theory is consistent in 10 dimensions
- Consider the space  $AdS_5 \times S^5$  (with  $F_5$  flux)
- It is a consistent background for IIB string theory
- At low energies  $\Rightarrow$  IIB supergravity on AdS<sub>5</sub>×S<sup>5</sup>
- Is equivalent to the 4d  $\mathcal{N} = 4$  gauge theory



• For example, 3-brane solution

$$ds^{2} = \left(1 + \frac{R^{4}}{r^{4}}\right)^{-1/2} \left(-dt^{2} + d\vec{x}^{2}\right) + \left(1 + \frac{R^{4}}{r^{4}}\right)^{1/2} \left(dr^{2} + r^{2}d\Omega_{5}^{2}\right)$$

- Same R-charge, mass, SUSYs...
- Scattering computations

 $\bullet$  Consider IIB string theory in 10d flat space and stack of N D3-branes



# The decoupling argument







## The holographic correspondence



• Quantum filed theory lives on the the "boundary" of AdS (z = 0).

## **RG-flow and the holographic dimension**



![](_page_45_Figure_1.jpeg)

• Particles in AdS  $\Leftrightarrow$  "glueballs, hadrons... in gauge theory

• Matching of spectrum, scattering

# STRING THEORY GAUGE THEORY

SO(2,4) Isometry of AdS<sub>5</sub>  $\Leftrightarrow$  SO(2,4) Conformal group in 4d

 $SO(6) \approx SU(4)$  Isometry of S<sup>5</sup>  $\Leftrightarrow$  SU(4) R Symmetry of  $\mathcal{N} = 4$ 

32 supercharges  $\Leftrightarrow$  32 = 16 + 16(Q's and S's)

$$\tau = C_0 + ie^{-\phi} \qquad \Leftrightarrow \qquad \tau = \frac{\theta}{2\pi} + i\frac{4\pi}{g_{YM}^2}$$

SL(2, Z) duality of IIB  $\Leftrightarrow$  SL(2, Z) duality of  $\mathcal{N} = 4$ 

 $\bullet$  Working in the 't Hooft limit  $N \to \infty \,, \, \lambda = g_{YM}^2 N = {\rm fixed}$  we find

$$g_s \sim \frac{1}{N}$$

• Call R the radius of AdS<sub>5</sub>. Then we have

$$\frac{R}{l_s} \sim \lambda^{1/4}$$
$$\frac{R}{l_p} \sim N^{1/4}$$

![](_page_48_Picture_0.jpeg)

![](_page_48_Figure_1.jpeg)

#### **Field-Operator correspondence**

• Fields in gravity  $\Leftrightarrow$  local operators in dual QFT  $\phi(x, z) \quad \Leftrightarrow \quad \mathcal{O}(x)$   $A_{\mu}(x, z) \quad \Leftrightarrow \quad J_{\mu}(x)$  $g_{\mu\nu}(x, z) \quad \Leftrightarrow \quad T_{\mu\nu}(x)$ 

• Precise mapping of quantum numbers. For example, for scalars we have

$$\Delta = \frac{d}{2} + \sqrt{\frac{d^2}{4} + (mR)^2}$$

for  $AdS_{d+1}/CFT_d$ 

![](_page_50_Figure_1.jpeg)

Boundary value  $\widetilde{\phi}(x)=lim_{z\to 0}\phi(x,z)\Leftrightarrow$  "source" for dual operator  $\mathcal O$  in QFT

$$\langle e^{\int dx \widetilde{\phi}(x) \mathcal{O}(x)} \rangle_{QFT} = \mathcal{Z}_{string}(\phi \to \widetilde{\phi})$$

• In the gravity limit  $(N, \lambda \gg 1)$  we have

$$\langle e^{\int dx \widetilde{\phi}(x) \mathcal{O}(x)} \rangle_{QFT} = e^{-S_{gravity}(\widetilde{\phi})}$$

Which means

- 1. Fix boundary values  $\tilde{\phi}$
- 2. Find classical solution of EOMS with this boundary value
- 3. Evaluate classical action on this solution
- This gives us  $S_{gravity}(\widetilde{\phi})$  and by the equation above, the generating functional of CFT correlators

**QUANTUM correlators in strongly coupled QFT from** (semi-) **CLASSICAL gravitational computations** ! • Consider scalar field obeying

$$(\Box - m^2)\phi = 0$$

Look for solutions of the form  $\phi(x, z) = f(z)e^{ikx}$ . • Two linearly independent solutions, for  $z \to 0$ 

$$f(z) = A(k) \left( z^{d-\Delta} + \ldots \right) + B(k) \left( z^{\Delta} + \ldots \right)$$

where 
$$\Delta = \frac{d}{2} + \sqrt{\frac{d^2}{4} + (mR)^2}$$
.  
• CFT 2-point function (in momentum space)

$$G(k) = \frac{B(k)}{A(k)}$$

• Imposing regularity in the interior fixed this ratio.

# Witten Diagram Expansion

• More general correlators can be computed by semi-classical gravity Feynman diagrams in AdS = "Witten diagrams"

![](_page_53_Figure_2.jpeg)

 $\bullet$  Loop expansion  $\sim 1/N$  expansion

![](_page_54_Figure_1.jpeg)

• Bulk-to-boundary propagator

$$K(x,z) = \left(\frac{z_0}{z_0^2 + (\vec{z} - \vec{x})^2}\right)^{\Delta}$$

• Bulk-to-bulk propagator

$$G(z,w) = s^{\Delta} {}_{2}F_{1}\left(\frac{\Delta}{2}, \frac{\Delta+1}{2}, \Delta-1; s^{2}\right)$$

• Full diagram is

$$\int \frac{d^{d+1}z}{z_0^{d+1}} \int \frac{d^{d+1}w}{w_0^{d+1}} K(x_1, z) K(x_2, z) G(z, w) K(x_3, w) K(x_4, w)$$

## Matching of the spectrum

- Field-operator correspondence  $\phi \Leftrightarrow \mathcal{O}$ .
- $\bullet$  Mass-dimension relation  $mR\sim\Delta$
- Spectrum of IIB string theory on  $AdS_5 \times S^5$  should match with spectrum of operators in  $\mathcal{N} = 4$  SYM

At what value of the coupling????

![](_page_55_Figure_5.jpeg)

• For  $\lambda \gg 1$  we have IIB string theory on  ${\rm AdS}_5 \times {\rm S}^5$  with  $R/l_s \sim \lambda^{1/4}$ 

• We expect massive string states with  $m_s R \sim \lambda^{1/4}$ , so the corresponding operators have

$$\Delta_{stringy} \sim \lambda^{1/4}, \qquad \lambda \gg 1$$

• Massless modes of IIB string theory  $\Rightarrow$  IIB SUGRA  $\Rightarrow$ Kaluza-Klein tower of supergravitons on  $S^5 \Rightarrow$ , of mass  $m_{KK} \sim \frac{k}{R} \Rightarrow$  tower of supermultiplets with

$$\Delta = k, \qquad k = 2, 3, \dots$$

• Fundamental fields of  $\mathcal{N}=4$  SYM are  $A_{\mu}, \lambda^{i}, \Phi^{I}$  in adjoing of SU(N)

- We want **local**, **gauge invariant** operators
- Traces of products of fields (single-trace operators)  $Tr(\Phi^{I}\Phi^{J}\lambda_{k}...), Tr(F_{\mu\nu}F^{\mu\nu}\Phi^{I}\Phi^{J}\Phi^{K}...)...$

or

- Products of traces (multi-trace operators)  $Tr(\Phi^{I}\Phi^{J})Tr(\Phi^{I}\Phi^{J}\Phi^{K})Tr(F_{\mu\nu}F^{\mu\nu}), \dots$
- Single- and multi-particle states in gravity

- Counting single-trace operators at weak coupling
- $\bullet$  Toy model: consider 2 adjoint valued fields A,B of dimension  $\Delta=1.$
- We have single trace operators of the form

 $\operatorname{Tr}(AAA), \operatorname{Tr}(ABBA), \ldots$ 

 $\bullet$  At weak coupling conformal dimensions are additive. Ask: how many single trace operators are there of dimension  $\Delta$  ?

```
Tr(AAABBBA....BBABA)
```

with  $\Delta$  "letters".

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```
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```

with  $\Delta$  "letters". Number of such operators grows like  $2^\Delta$ 

• Exponential growth in of states (Hagedorn growth)  $\Rightarrow$  Stringy spectrum!!

 $\bullet$  When we increase  $\lambda$  the dimensions of single-trace operators become  $\Delta(\lambda)$ 

#### Short vs Long multiplets

 $\bullet \ \mathcal{N} = 4$  algebra and unitarity imply "BPS bound"

 $\Delta \geq R$ 

operators with  $\Delta = R$  are "short" or "BPS" operators and receive no quantum corrections. For them  $\Delta$  independent of  $\lambda$ 

operators with  $\Delta > R$  are "long" and  $\Delta$  varies with  $\lambda$ 

• In the  $\mathcal{N} = 4$  SYM the BPS operators are the chiral primaries

$$Tr(Z^k), \qquad k = 2, 3, 4...$$

with  $\Delta = k$ . Here  $Z = \Phi^1 + i\Phi^2$ .

# **Picture of the spectrum**

![](_page_61_Figure_1.jpeg)

![](_page_62_Figure_1.jpeg)

• Particles in AdS  $\Leftrightarrow$  "glueballs, hadrons... in gauge theory

## **Black Holes and Quark Gluon Plasma**

![](_page_63_Figure_1.jpeg)

#### **Black Holes and Quark Gluon Plasma**

![](_page_64_Figure_1.jpeg)

- Temperature of plasma ⇔ Hawking temperature of BH
- Energy of plasma  $\Leftrightarrow$  Mass of BH
- Entropy of plasma  $\Leftrightarrow$  Horizon Area

#### **Black Holes and Quark Gluon Plasma**

![](_page_65_Figure_1.jpeg)

 $\Rightarrow$  Equation of State for quark-gluon plasma at strong coupling!

$$S = \frac{\pi^2}{2} N^2 T^3 V$$

 $\Rightarrow$  Understanding microscopic structure of black hole quantum states

• Estimate black hole entropy from weakly coupled gauge theory

![](_page_66_Figure_2.jpeg)

• Correct entropy up to a factor of 3/4 !

• Numerical simulation of (lower dimensional examples of) gauge theory  $\Rightarrow$  exact agreement

. . .

. . .

- Thermal correlation functions
- Real-time processes, transport coefficients
- QGP formation

- Hawking radiation/Information paradox
- Infalling observer

# Generalizations

- Higher/lower dimensions
- Non-conformal
- Less/Non supersymmetric
- Finite N?

. . .

• flat, de Sitter ??

![](_page_69_Picture_0.jpeg)