Introduction to AdS/CFT

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- Lecture 1: Motivation and Background (confinement, large N, holographic bound, basic CFT, anti de-Sitter space)
- Lecture 2: Main statement of AdS/CFT, derivation, how to do computations, generalizations

Introduction

The AdS/CFT correspondence is a duality (an exact equivalence) between two seemingly different theories

1. A four dimensional **quantum field theory** (a gauge theory-like QCD)

- 2. Gravity (string theory) in a higher dimensional spacetime
 - Discovered in 1997 by J. Maldacena and elaborated by Gubser, Klebanov, Polyakov, Witten,...

Use Gravity to learn about QFT

- QCD ⇒ strong coupling phenomena (confinement, chiral symmetry breaking etc.)
- Fluid dynamics, condensed matter systems

Use QFT to learn about Gravity

- Black Holes (singularities, entropy, Hawking radiation....)
- Cosmology (Big Bang, inflation, c.c. problem, ...)

(so far the only) Non-perturbative definition of string theory/quantum gravity

■ SPACE AND TIME ARE EMERGENT CONCEPTS !!!

■ Is our world a hologram?



1. Confinement in gauge theories, large ${\cal N}$ expansion

2. Black Holes and Holography

- QCD: SU(3) gauge theory + fermions
- In the UV: quarks + gluons
- Coupling constant runs with energy scale (asymptotic freedom)
- Theory becomes strongly coupled at low energies
- Strong coupling \Rightarrow "color confinement" : asymptotic states are SU(3) singlets
- In the IR we see mesons, baryons, glueballs etc.
- Confinement difficult to understand analytically:

NO EXPANSION PARAMETER

Flux-tubes and string theory



chromoelectric field independent of $r \Rightarrow$ energy in field linear with r \Rightarrow confinement

• Fluxtube behaves like a string of constant tension

 \bullet Mesons can be understood as excitations of the fluxtube \sim open strings (Regge trajectories)

ullet Glueballs \sim closed strings

The large N expansion

- QCD has no obvious expansion parameter
- What if we replace $SU(3) \rightarrow SU(N)$?
- \bullet 't Hooft: theory simplifies in the large N limit
- In order to have good behavior we need to scale

$$N \to \infty$$

$$g_{YM} \to 0$$

keeping

$$\lambda \equiv g_{YM}^2 N$$

fixed. The parameter λ is called the "'t Hooft coupling"

• Consider U(N) gauge theory. The gauge field has the form

$$A_{\mu} = A^{I}_{\mu}T^{I}$$

where T^{I} are the generators of the Lie algebra in the adjoint representation

• The adjoint representation can be understood as $\overline{\mathbf{N}} \otimes \mathbf{N}$. Hence we can trade the index $I \to (i, \overline{j})$

• The gluon propagator can then be represented as



and vertices of the gauge theory are

Double-line diagrams at large ${\cal N}$

• Feynman diagrams can be rewritten as double-line diagrams where the arrows have to be connected consistently



- \bullet Different diagrams contribute with different power of N in the large N limit.
- \bullet The double-line notation makes the counting of factors of N easier.

Double-line diagrams as "discretized" surfaces

| Gauge index loop | \Leftrightarrow | Face of surface |
|--------------------|-------------------|-------------------|
| Propagator | \Leftrightarrow | Edge of surface |
| Interaction Vertex | \Leftrightarrow | Vertex of surface |
| | | |

The lagrangian has the form

$$\mathcal{L} = -\frac{1}{4g_{YM}^2} \operatorname{Tr}\left(F_{\mu\nu}F^{\mu\nu}\right)$$

where $1/g_{YM}^2 = \frac{N}{\lambda}$.

• Every propagator carries a factor of $\frac{\lambda}{N}$. Every vertex carries a factor of $\frac{N}{\lambda}$. The summation over each closed line gives a factor of N

 \bullet If we have a diagram with V vertices, E propagators and F loops we find that it scales like

$$N^{V-E+F}\lambda^{E-V}$$

the quantity $V - E + F = \chi$ is the Euler character of a surface corresponding to the diagram

• For closed, oriented surfaces $\chi = 2 - 2g$ where g is the genus

Counting powers of \boldsymbol{N}

• Power of N depends only on **topology** of the diagram. If g is the genus then the N-dependence is

 N^{2-2g}

EXAMPLES genus 0:



- Only planar (genus zero) diagrams contribute
- There is a systematic 1/N expansion
- Gauge singlets (mesons, glueballs etc.) become stable and free
- \bullet Large N limit is a "classical limit"
- \bullet While theory simplifies, still non-trivial dynamics \Rightarrow we still have confinement

Large N expansion and string theory

 \bullet The genus expansion of large N gauge theories \sim genus expansion of string theory, if we identify

$$\frac{1}{N} \sim g_s$$

 \bullet This suggests that a large N gauge theory is dual to a string theory

• At large λ the "holes" in double line diagrams close \Rightarrow they become smooth surfaces (string worldsheet)

• String theory is inconsistent in four-dimensions, hence the dual string theory lives in higher dimensions

Black Hole entropy

• Schwarzchild black hole:

$$ds^{2} = -\left(1 - \frac{2GM}{r}\right)dt^{2} + \left(1 - \frac{2GM}{r}\right)^{-1}dr^{2} + r^{2}d\Omega_{2}^{2}$$

- Event Horizon at r = 2GM, singularity at r = 0.
- Black Hole dynamics + Hawking radiation \Rightarrow

BLACK HOLES HAVE ENTROPY

$$S = \frac{A}{4G}$$

This has far-reaching implications for the nature of space-time.

• Consider region of spacetime of size R. How many degrees of freedom do we need to describe it?

 $\bullet~\#$ of degrees of freedom \sim (maximal) entropy contained in region.

• In conventional local systems we entropy scales like volume

$$S \sim R^3$$

• Imagine adding matter to region. Entropy cannot decrease.

 \bullet If sufficient amount of matter \Rightarrow collapse and black hole formation

• Entropy of final black hole goes like the **area** of the region!

 $S \sim R^2$

in contrast our expectations for systems with local degrees of freedom

Quantum gravity is holographic

 Black hole entropy + 2nd law of thermodynamics ⇒ in theories of gravity # of degrees of freedom scales like the area, not volume

How is this possible?

• A natural mechanism to guarantee this would be to assume that somehow the degrees of freedom necessary to describe physics in a region M, live on the boundary of the region ∂M .

• These degrees of freedom on the boundary completely encode what happens in the interior.

• Gravity is holographic.

The two aforementioned ideas

- 1. That large N gauge theories can be described by string theories.
- 2. That quantum gravity is holographic.

have found a precise realization with the discovery of the ${\rm AdS}/{\rm CFT}$ correspondence

Large N gauge theory in d dimensions

 \Leftrightarrow

Quantum gravity (string theory) in $\ge d + 1$ dimensions

- Applications and fundamental physics
- Simplest case: gauge theory is conformal and gravity is in AdS

• $\mathcal{N} = 4$ Yang – Mills \Leftrightarrow IIB string theory on $AdS_5 \times S^5$

Conformal Field Theory

- Most QFTs have scales (masses, couplings, etc.) \Rightarrow non-trivial RG-flow
- Cutoff \Rightarrow quantum violation of scale invariance
- Dynamically generated scales (like Λ_{QCD})

HOWEVER

- \bullet There are QFTs which have no scale and where $\beta=0\Rightarrow$ exact scale invariance.
- New symmetry generator: dilatation operator D

$$[D, P_{\mu}] = -iP_{\mu}, \qquad [D, M_{\mu\nu}] = 0$$

Conformal invariance

• In most cases scale invariant QFTs are invariant under a larger symmetry group, the conformal group

 \bullet In addition to $P_{\mu}, M_{\mu\nu}$ and D it contains new symmetry generators

special conformal transformations
$$K_{\mu}$$

Poincare
$$x^{\mu} \to \Lambda^{\mu}_{\nu} x^{\nu} + a^{\mu}$$

scale $x^{\mu} \to \lambda x^{\mu}$ (1)
special conformal $x^{\mu} \to \frac{x^{\mu} - b^{\mu} x^2}{1 - 2b \cdot x + b^2 x^2}$

• In d spacetime dimensions the conformal group is isomorphic to SO(d,2).

No S-matrix in CFTs, correlation functions

 \bullet In theories with no mass gap \Rightarrow no well defined asymptotic states

- \Rightarrow No S-matrix. What are the physical observables?
- Correlation functions of "local operators" (gauge invariant ones)

$$\phi^k$$
, $\phi \partial_\mu \phi$, $F_{\mu\nu} F^{\mu\nu}$, $\operatorname{Tr} (F_{\mu\nu} F^{\mu\nu})$, ...

• In CFTs we would like to compute

$$\langle \mathcal{O}_1(x_1)...\mathcal{O}_n(x_n) \rangle$$

where \mathcal{O}_i are local operators like those mentioned above

• "Solving the CFT" \Leftrightarrow computing such correlation functions

- \bullet Usual QFT \Rightarrow classify states under Poincare group
- in CFT \Rightarrow classify local operators under conformal group

$$[D, \mathcal{O}(0)] = -i\Delta \mathcal{O}(0)$$

 Δ is the "conformal dimension of the operator".

From the algebra we have

$$[D, P_{\mu}] = -iP_{\mu}, \qquad [D, K_{\mu}] = iK_{\mu}$$

so P_{μ} raises the dimension of an operator while K_{μ} lowers it.

• Local operators annihilated by the K_{μ} 's are called **conformal primaries**. The are characterized by Δ and their spin.

• All other local operators can be derived from primaries by acting with $P_{\mu} \sim -i\partial_{\mu}$. They are called **descendants**.



• Conformal invariance fixes form of 2-point function of conformal primaries

$$\langle \mathcal{O}(x)\mathcal{O}(y)\rangle = \frac{1}{|x-y|^{2\Delta}}$$

• and also the 3-point function

$$\langle \mathcal{O}(x)\mathcal{O}(y)\mathcal{O}(z)\rangle = \frac{C}{|x-y|^{\Delta}|y-z|^{\Delta}|x-z|^{\Delta}}$$

• 4- and higher-point correlation functions are constrained but NOT fixed by conformal invariance

• 4d QFT with maximum amount of supersymmetry (16 supercharges). The field content is

| gauge field | A_{μ} | | |
|-------------|--------------|-----------|-----|
| fermions | $\lambda^i,$ | i = 1,, 4 | (2) |
| scalars | $\Phi^{I},$ | I = 1,, 6 | |

all in the adjoint of the gauge group G.

• The Lagrangian of the theory has the schematic form

$$\mathcal{L} = -\frac{1}{4g^2} \operatorname{Tr} \left(F_{\mu\nu} F^{\mu\nu} + (D_{\mu} \Phi^I)^2 + \overline{\lambda} \not D \lambda + [\Phi^I, \Phi^J]^2 + \ldots \right)$$

 \bullet For given gauge group $G \Rightarrow$ Unique 4d QFT with $\mathcal{N}=4$ SUSY

• The theory is conformal (β function is exactly zero).

• Theory is invariant under the superconformal group. Its bosonic subgroup is

 $SO(4,2) \times SO(6)$

The SO(6) = SU(4) is the R-symmetry of the theory.

• Exact SL(2, Z) duality. Define complexified coupling $\tau = \frac{\theta}{2\pi} + i \frac{4\pi}{a^2}$. Theory invariant under

$$\tau \to \frac{a\tau + b}{c\tau + d}, \quad (a, b, c, d) \in SL(2, Z)$$

Anti de-Sitter space

• AdS_{d+1} is the maximally symmetric spacetime in d+1 dimensions

• It has constant negative curvature and is a solution of Einstein equations with negative cosmological constant

• The isometry group of AdS_{d+1} is SO(d, 2) (notice that it coincides with the conformal group in d dimensions!)

• There are various coordinate systems which can be used, each with its own advantages

Geometry of AdS

• One useful coordinate system is the so-called "Poincare patch", where the coordinates are (z,t,\vec{x}) and the metric has the form

$$ds^{2} = \frac{-dt^{2} + d\vec{x}^{2} + dz^{2}}{z^{2}}$$



- \bullet We have Minkowski-space slices along t, \vec{x} which are warped along the direction z
- Only the Poincare invariance along d directions and scaling is manifestly visible (not the full isometry group SO(d, 2)).

 \bullet Another useful coordinate system is the "global patch", with coordinates t,ρ,Ω_{d-1} and the metric

$$ds^2 = -\cosh^2 \rho dt^2 + d\rho^2 + \sinh^2 \rho \, d\Omega_{d-1}^2$$



Now the $SO(d) \times \mathbf{R}$ isometry is manifest

 \bullet Attractive gravitational potential towards the "center" i.e. $\rho=0$

• Penrose diagram of AdS \Rightarrow "conformal boundary is $S^{d-1} \times R$

 \bullet Massless particles can reach the boundary $(\rho=\infty)$ in finite time, massive particles never reach the boundary



- (Super)string theory is consistent in 10 dimensions
- Consider the space $AdS_5 \times S^5$ (with F_5 flux)
- It is a consistent background for IIB string theory
- At low energies \Rightarrow IIB supergravity on AdS₅×S⁵
- Is equivalent to the 4d $\mathcal{N} = 4$ gauge theory



• For example, 3-brane solution

$$ds^{2} = \left(1 + \frac{R^{4}}{r^{4}}\right)^{-1/2} \left(-dt^{2} + d\vec{x}^{2}\right) + \left(1 + \frac{R^{4}}{r^{4}}\right)^{1/2} \left(dr^{2} + r^{2}d\Omega_{5}^{2}\right)$$

- Same R-charge, mass, SUSYs...
- Scattering computations

 \bullet Consider IIB string theory in 10d flat space and stack of N D3-branes



The decoupling argument







The holographic correspondence



• Quantum filed theory lives on the the "boundary" of AdS (z = 0).

RG-flow and the holographic dimension





• Particles in AdS \Leftrightarrow "glueballs, hadrons... in gauge theory

• Matching of spectrum, scattering

STRING THEORY GAUGE THEORY

SO(2,4) Isometry of AdS₅ \Leftrightarrow SO(2,4) Conformal group in 4d

 $SO(6) \approx SU(4)$ Isometry of S⁵ \Leftrightarrow SU(4) R Symmetry of $\mathcal{N} = 4$

32 supercharges \Leftrightarrow 32 = 16 + 16(Q's and S's)

$$\tau = C_0 + ie^{-\phi} \qquad \Leftrightarrow \qquad \tau = \frac{\theta}{2\pi} + i\frac{4\pi}{g_{YM}^2}$$

SL(2, Z) duality of IIB \Leftrightarrow SL(2, Z) duality of $\mathcal{N} = 4$

 \bullet Working in the 't Hooft limit $N \to \infty \,, \, \lambda = g_{YM}^2 N = {\rm fixed}$ we find

$$g_s \sim \frac{1}{N}$$

• Call R the radius of AdS₅. Then we have

$$\frac{R}{l_s} \sim \lambda^{1/4}$$
$$\frac{R}{l_p} \sim N^{1/4}$$





Field-Operator correspondence

• Fields in gravity \Leftrightarrow local operators in dual QFT $\phi(x, z) \quad \Leftrightarrow \quad \mathcal{O}(x)$ $A_{\mu}(x, z) \quad \Leftrightarrow \quad J_{\mu}(x)$ $g_{\mu\nu}(x, z) \quad \Leftrightarrow \quad T_{\mu\nu}(x)$

• Precise mapping of quantum numbers. For example, for scalars we have

$$\Delta = \frac{d}{2} + \sqrt{\frac{d^2}{4} + (mR)^2}$$

for AdS_{d+1}/CFT_d



Boundary value $\widetilde{\phi}(x)=lim_{z\to 0}\phi(x,z)\Leftrightarrow$ "source" for dual operator $\mathcal O$ in QFT

$$\langle e^{\int dx \widetilde{\phi}(x) \mathcal{O}(x)} \rangle_{QFT} = \mathcal{Z}_{string}(\phi \to \widetilde{\phi})$$

• In the gravity limit $(N, \lambda \gg 1)$ we have

$$\langle e^{\int dx \widetilde{\phi}(x) \mathcal{O}(x)} \rangle_{QFT} = e^{-S_{gravity}(\widetilde{\phi})}$$

Which means

- 1. Fix boundary values $\tilde{\phi}$
- 2. Find classical solution of EOMS with this boundary value
- 3. Evaluate classical action on this solution
- This gives us $S_{gravity}(\widetilde{\phi})$ and by the equation above, the generating functional of CFT correlators

QUANTUM correlators in strongly coupled QFT from (semi-) **CLASSICAL gravitational computations** ! • Consider scalar field obeying

$$(\Box - m^2)\phi = 0$$

Look for solutions of the form $\phi(x, z) = f(z)e^{ikx}$. • Two linearly independent solutions, for $z \to 0$

$$f(z) = A(k) \left(z^{d-\Delta} + \ldots \right) + B(k) \left(z^{\Delta} + \ldots \right)$$

where
$$\Delta = \frac{d}{2} + \sqrt{\frac{d^2}{4} + (mR)^2}$$
.
• CFT 2-point function (in momentum space)

$$G(k) = \frac{B(k)}{A(k)}$$

• Imposing regularity in the interior fixed this ratio.

Witten Diagram Expansion

• More general correlators can be computed by semi-classical gravity Feynman diagrams in AdS = "Witten diagrams"



 \bullet Loop expansion $\sim 1/N$ expansion



• Bulk-to-boundary propagator

$$K(x,z) = \left(\frac{z_0}{z_0^2 + (\vec{z} - \vec{x})^2}\right)^{\Delta}$$

• Bulk-to-bulk propagator

$$G(z,w) = s^{\Delta} {}_{2}F_{1}\left(\frac{\Delta}{2}, \frac{\Delta+1}{2}, \Delta-1; s^{2}\right)$$

• Full diagram is

$$\int \frac{d^{d+1}z}{z_0^{d+1}} \int \frac{d^{d+1}w}{w_0^{d+1}} K(x_1, z) K(x_2, z) G(z, w) K(x_3, w) K(x_4, w)$$

Matching of the spectrum

- Field-operator correspondence $\phi \Leftrightarrow \mathcal{O}$.
- \bullet Mass-dimension relation $mR\sim\Delta$
- Spectrum of IIB string theory on $AdS_5 \times S^5$ should match with spectrum of operators in $\mathcal{N} = 4$ SYM

At what value of the coupling????



• For $\lambda \gg 1$ we have IIB string theory on ${\rm AdS}_5 \times {\rm S}^5$ with $R/l_s \sim \lambda^{1/4}$

• We expect massive string states with $m_s R \sim \lambda^{1/4}$, so the corresponding operators have

$$\Delta_{stringy} \sim \lambda^{1/4}, \qquad \lambda \gg 1$$

• Massless modes of IIB string theory \Rightarrow IIB SUGRA \Rightarrow Kaluza-Klein tower of supergravitons on $S^5 \Rightarrow$, of mass $m_{KK} \sim \frac{k}{R} \Rightarrow$ tower of supermultiplets with

$$\Delta = k, \qquad k = 2, 3, \dots$$

• Fundamental fields of $\mathcal{N}=4$ SYM are $A_{\mu}, \lambda^{i}, \Phi^{I}$ in adjoing of SU(N)

- We want **local**, **gauge invariant** operators
- Traces of products of fields (single-trace operators) $Tr(\Phi^{I}\Phi^{J}\lambda_{k}...), Tr(F_{\mu\nu}F^{\mu\nu}\Phi^{I}\Phi^{J}\Phi^{K}...)...$

or

- Products of traces (multi-trace operators) $Tr(\Phi^{I}\Phi^{J})Tr(\Phi^{I}\Phi^{J}\Phi^{K})Tr(F_{\mu\nu}F^{\mu\nu}), \dots$
- Single- and multi-particle states in gravity

- Counting single-trace operators at weak coupling
- \bullet Toy model: consider 2 adjoint valued fields A,B of dimension $\Delta=1.$
- We have single trace operators of the form

 $\operatorname{Tr}(AAA), \operatorname{Tr}(ABBA), \ldots$

 \bullet At weak coupling conformal dimensions are additive. Ask: how many single trace operators are there of dimension Δ ?

```
Tr(AAABBBA....BBABA)
```

with Δ "letters".

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```

with Δ "letters". Number of such operators grows like 2^Δ

• Exponential growth in of states (Hagedorn growth) \Rightarrow Stringy spectrum!!

 \bullet When we increase λ the dimensions of single-trace operators become $\Delta(\lambda)$

Short vs Long multiplets

 $\bullet \ \mathcal{N} = 4$ algebra and unitarity imply "BPS bound"

 $\Delta \geq R$

operators with $\Delta = R$ are "short" or "BPS" operators and receive no quantum corrections. For them Δ independent of λ

operators with $\Delta > R$ are "long" and Δ varies with λ

• In the $\mathcal{N} = 4$ SYM the BPS operators are the chiral primaries

$$Tr(Z^k), \qquad k = 2, 3, 4...$$

with $\Delta = k$. Here $Z = \Phi^1 + i\Phi^2$.

Picture of the spectrum





• Particles in AdS \Leftrightarrow "glueballs, hadrons... in gauge theory

Black Holes and Quark Gluon Plasma



Black Holes and Quark Gluon Plasma



- Temperature of plasma ⇔ Hawking temperature of BH
- Energy of plasma \Leftrightarrow Mass of BH
- Entropy of plasma \Leftrightarrow Horizon Area

Black Holes and Quark Gluon Plasma



 \Rightarrow Equation of State for quark-gluon plasma at strong coupling!

$$S = \frac{\pi^2}{2} N^2 T^3 V$$

 \Rightarrow Understanding microscopic structure of black hole quantum states

• Estimate black hole entropy from weakly coupled gauge theory



• Correct entropy up to a factor of 3/4 !

• Numerical simulation of (lower dimensional examples of) gauge theory \Rightarrow exact agreement

. . .

. . .

- Thermal correlation functions
- Real-time processes, transport coefficients
- QGP formation

- Hawking radiation/Information paradox
- Infalling observer

Generalizations

- Higher/lower dimensions
- Non-conformal
- Less/Non supersymmetric
- Finite N?

. . .

• flat, de Sitter ??

