## A Zip-Code for Quarks, Leptons and Higgs Bosons

## universitätbonn

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## Outline:

(1) Motivation and Introduction
(2) The $\mathbb{Z}_{6-\text { II }}$ Mini-Landscape
(3) The $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ Orbifold
(-) Conclusion

## Motivation and Outlook

- Heterotic Orbifolds are promising candidates for a stringy completion of the Standard Model.
- A vast amount of promising models has been identified within the $\mathbb{Z}_{6 \text {-II }}$ orbifold.
[Kobayashi et.al.'05, Buchmuller et.al.'06, Lebedev et. al.'08]
(1) Which are the properties of the models which make them so succesful?
(2) Are these results special for the $\mathbb{Z}_{6-\text { II }}$ or do they provide a general pattern?


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(2) Are these results special for the $\mathbb{Z}_{6-\text { II }}$ or do they provide a general pattern? $\rightarrow$ Construct the new $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ Orbifold.
- Can we confirm similar benchmarks in $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ ?


## The $\mathbb{Z}_{6}$-II Mini-Landscape

- Starting Point: The ten-dimensional $E_{8} \times E_{8}$ Heterotic String.
- Compactify the Extra Dimensions:

$$
\mathcal{M}_{9,1}=\mathcal{M}_{3,1} \times \mathbb{O}_{6}
$$

- Take $\mathbb{O}_{6}$ as the torus of $G_{2} \times S U(3) \times S U(2)^{2}$ after modding out the isometry $\theta=\mathrm{e}^{2 \pi \mathrm{i} v}, v=\left(\frac{1}{6}, \frac{1}{3},-\frac{1}{2}\right)$, and its powers.
[Kobayashi, Raby, Zhang'04]



## Gauge Symmetries

- Orbifolding breaks the $E_{8} \times E_{8}$ :
- Consistency with modular invariance requires the "orbifolding" to act also in gauge space
- Simplest Alternative: Sifts and Wilson Lines

$$
\theta \hookrightarrow V \text {, such that } 6 V \text { belongs to the gauge lattice }
$$



## An example

(1) Consider the shift

$$
V=\left(\frac{1}{3},-\frac{1}{2},-\frac{1}{2}, 0,0,0,0,0\right)\left(\frac{1}{2},-\frac{1}{6},-\frac{1}{2},-\frac{1}{2},-\frac{1}{2},-\frac{1}{2},-\frac{1}{2}, \frac{1}{2}\right),
$$

it leads to the breaking

$$
E_{8} \times E_{8} \xrightarrow{V}[S O(10) \times S U(2) \times S U(2) \times U(1)] \times[S O(14) \times U(1)]
$$

with the following spectrum

| $U$ | $T_{2}$ | $T_{3}$ | $T_{4}$ | $T_{5}$ |
| :---: | :---: | :---: | :---: | :---: |
| $(\mathbf{1 0}, \mathbf{2}, \mathbf{2}, \mathbf{1})_{0,0}$ | $3(\mathbf{1}, \mathbf{2}, \mathbf{2}, \mathbf{1})-\frac{28}{3},-\frac{2}{3}$ | $4+4(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{12,-2}$ | $3+3(\mathbf{1}, \mathbf{2}, \mathbf{2}, \mathbf{1}) \frac{28}{3}, \frac{2}{3}$ | $12(\overline{\mathbf{1 6}}, \mathbf{1}, \mathbf{1}, \mathbf{1}) \frac{14}{3}, \frac{1}{3}$ |
| $(\mathbf{1}, \mathbf{2}, \mathbf{2}, \mathbf{1})_{4,6}$ | $3+3(\mathbf{1 0}, \mathbf{1}, \mathbf{1}, \mathbf{1})-\frac{28}{3},-\frac{2}{3}$ | $4(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1 4})_{0,0}$ | $3(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1 4})-\frac{20}{3},-\frac{10}{3}$ | $12(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1}) \frac{20}{3}, \frac{10}{3}$ |
| $(\overline{\mathbf{1 6}}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{-2,-3}$ | $3+3(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1 4}) \frac{20}{3}, \frac{10}{3}$ | $4(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{-12,2}$ | $3(\mathbf{1 0}, \mathbf{1}, \mathbf{1}, \mathbf{1}) \frac{28}{3}, \frac{2}{3}$ | $24(\mathbf{1}, \mathbf{2}, \mathbf{1}, \mathbf{1}) \frac{8}{3},-\frac{8}{3}$ |
| $(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{6 4})_{6,-1}$ | $9(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1})-\frac{16}{3}, \frac{16}{3}$ |  | $9(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1}) \frac{16}{3},-\frac{16}{3}$ |  |
| $\left(\overline{\mathbf{1 6}, \mathbf{2}, \mathbf{1}, \mathbf{1})_{2,3}}\right.$ |  |  |  |  |
| $(\mathbf{1 0}, \mathbf{1}, \mathbf{1}, \mathbf{1})_{-4,-6}$ |  |  |  |  |
| $(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1 4})_{12,-2}$ |  |  |  |  |

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V=\left(\frac{1}{3},-\frac{1}{2},-\frac{1}{2}, 0,0,0,0,0\right)\left(\frac{1}{2},-\frac{1}{6},-\frac{1}{2},-\frac{1}{2},-\frac{1}{2},-\frac{1}{2},-\frac{1}{2}, \frac{1}{2}\right),
$$

it leads to the breaking

$$
E_{8} \times E_{8} \xrightarrow{V}[S O(10) \times S U(2) \times S U(2) \times U(1)] \times[S O(14) \times U(1)]
$$

(2) Turn on $W_{2}$ and $W_{3}$ to break the $S O(10)$ down to $G_{S M}$.


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Two families from the $T_{5}$ sector.

| $U$ | $T_{2}$ | $T_{3}$ | $T_{4}$ | $T_{5}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\begin{gathered} (\mathbf{1 0}, \mathbf{2}, \mathbf{2}, \mathbf{1})_{0,0} \\ (\mathbf{1}, \mathbf{2}, \mathbf{2}, \mathbf{1})_{4,6} \\ (\overline{\mathbf{1 6}}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{-2,-3} \\ (\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{6 4})_{6,-1} \\ (\overline{\mathbf{1 6}}, \mathbf{2}, \mathbf{1}, \mathbf{1})_{2,3} \\ (\mathbf{1 0}, \mathbf{1}, \mathbf{1}, \mathbf{1})_{-4,-6} \\ (\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1 4})_{12,-2} \\ \hline \end{gathered}$ | $\begin{gathered} 3(\mathbf{1}, \mathbf{2}, \mathbf{2}, \mathbf{1})-\frac{28}{3},-\frac{2}{3} \\ 3+3(\mathbf{1 0}, \mathbf{1}, \mathbf{1}, \mathbf{1})-\frac{28}{3},-\frac{2}{3} \\ 3+3(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1 4}) \frac{20}{3}, \frac{10}{3} \\ 9(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1})-\frac{16}{3}, \frac{16}{3} \end{gathered}$ | $\begin{gathered} 4+4(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{12,-2} \\ 4(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1 4})_{0,0} \\ 4(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{-12,2} \end{gathered}$ | $\begin{gathered} 3+3(\mathbf{1}, \mathbf{2}, \mathbf{2}, \mathbf{1}) \frac{28}{3}, \frac{2}{3} \\ 3(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1 4})-\frac{20}{3},-\frac{10}{3} \\ 3(\mathbf{1 0}, \mathbf{1}, \mathbf{1}, \mathbf{1}) \frac{28}{3}, \frac{2}{3} \\ 9(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1}) \frac{16}{3},-\frac{16}{3} \end{gathered}$ | $\begin{aligned} & 12(\overline{\mathbf{1 6}}, \mathbf{1}, \mathbf{1}, \mathbf{1}) \frac{14}{3}, \frac{1}{3} \\ & 12(\mathbf{1}, \mathbf{1}, \mathbf{2}, \mathbf{1}) \frac{20}{3}, \frac{10}{3} \\ & 24(\mathbf{1}, \mathbf{2}, \mathbf{1}, \mathbf{1}) \frac{8}{3},-\frac{8}{3} \end{aligned}$ |

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E_{8} \times E_{8} \xrightarrow{V}[S O(10) \times S U(2) \times S U(2) \times U(1)] \times[S O(14) \times U(1)]
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$\rightarrow$ A purely untwisted trilinear coupling.

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$\rightarrow$ A purely untwisted trilinear coupling.

- The coupling $(\mathbf{1 0}, \mathbf{2}, \mathbf{2}, \mathbf{1})_{0,0} \cdot(\overline{\mathbf{1 6}}, \mathbf{1}, 2,1)_{-2,-3} \cdot(\overline{\mathbf{1 6}}, 2,1,1)_{2,3}$ is allowed by all symmetries.
- Specific choice of WLs permits the splitting

$$
\begin{aligned}
(\mathbf{1 0}, \mathbf{2}, \mathbf{2}, \mathbf{1})_{0,0} & \rightarrow \overbrace{(1, \mathbf{2}, \mathbf{1}, \mathbf{1})_{1 / 2, \ldots}}^{H_{u}}+\overbrace{(1, \mathbf{2}, \mathbf{1}, \mathbf{1})_{-1 / 2, \ldots}}^{H_{d}} \\
(\overline{\mathbf{1 6}}, \mathbf{1}, \mathbf{2}, \mathbf{1})_{-2,-3} & \rightarrow \underbrace{(\overline{\mathbf{3}}, \mathbf{1}, \mathbf{1}, \mathbf{1})_{-2 / 3, \ldots}}_{\bar{U}}+(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1})_{0, \ldots}+(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1})_{0, \ldots} \\
(\overline{\mathbf{1 6}}, \mathbf{2}, \mathbf{1}, \mathbf{1})_{2,3} & \rightarrow \underbrace{(\mathbf{3}, \mathbf{2}, \mathbf{1}, \mathbf{1})_{1 / 6, \ldots}}_{Q}+(\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{1})_{0, \ldots}
\end{aligned}
$$

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$\rightarrow$ A purely untwisted trilinear coupling.

- Surviving pieces ensure a top-Yukawa

$$
(10,2,2,1) \cdot(\overline{16}, 1,2,1) \cdot(\overline{16}, 2,1,1) \xrightarrow{W_{2}, W_{3}} Q H_{u} \bar{U}
$$

- BONUS: $H_{u} \cdot H_{d} \subset(\mathbf{1 0}, \mathbf{2}, \mathbf{2}, \mathbf{1})^{2}$ is a neutral monomial under all selection rules, including $R$-symmetries!


## Lessons from the Mini-Landscape

## 1. The Higgs System

- Compactifications provide plenty of Higgs candidates
- Neutral Higgs bilinear from the untwisted sector occurs very often in the models
- Preference for gauge-Higgs unification.
- A miraculous solution to the $\mu$ problem:
$\rightarrow R$-symmetries are remnants of the Lorentz group in compact space
$\rightarrow \mu H_{u} H_{d} \not \subset \mathcal{W}$ thanks to the $R$-symmetries
$\rightarrow R$-symmetry breaking scale $\sim$ SUSY breaking scale


## Lessons from the Mini-Landscape

## 2. The top-quark

- Top quark mass of the order of the weak scale Stringy top-Yukawa at trilinear order
- Usually, this coupling exists if $\bar{U}_{3}, Q_{3}$ and $H_{u}$ are in the bulk
$\rightarrow$ Top family is a patchwork of fields sitting at different positions in the extra dimensions
$\rightarrow$ Gauge-Higgs-Top Unification


## Lessons from the Mini-Landscape

## 3. The Light Families

- Two complete families as multiplets of an underlying GUT (e.g. SO(10) or $\left.E_{6}\right)$
- No trilinear couplings $\rightarrow$ Masses are suppressed
- Light families are a doublet of a $D_{4}$ flavor symmetry.
$\rightarrow$ ameliorates the flavor problem


## Lessons from the Mini-Landscape

## 4. The SUSY Breaking Pattern

- Hidden sector gaugino condensation is favored
- Dilaton stabilized at a realistic GUT value $\Rightarrow m_{3 / 2}$ in the multi- TeV range.
$\rightarrow$ Mirage mediation

[Lebedev, Nilles, Ratz'06]
- Fields sitting at F.P. feel only $\mathcal{N}=1$ SUSY
$\rightarrow$ Scalar masses $\sim m_{3 / 2}$
- Bulk and F.T. fields feel remnants of $\mathcal{N}=4,2$
$\rightarrow$ Superpartner masses
suppresed by $\log \left(M_{\mathrm{Pl}} / m_{3 / 2}\right)$
$\rightarrow$ Natural SUSY


## The $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ orbifold

- Point group generators

$$
\begin{array}{lll}
\mathbb{Z}_{2}: & \omega & v_{2}=\left(\frac{1}{2},-\frac{1}{2}, 0\right) \\
\mathbb{Z}_{4}: & \theta & v_{4}=\left(0, \frac{1}{4},-\frac{1}{4}\right)
\end{array}
$$

- Compactification lattice

$$
\mathrm{SU}(2)^{2} \times \mathrm{SO}(4) \times \mathrm{SO}(4)
$$



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- Twisted sectors


## Twisted Sector $T_{(0,1)}\left(T_{(0,3)}\right): 4$ fixed tori (5-branes)



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## Twisted Sector $T_{(1,1)}\left(T_{(1,3)}\right)$ : 16 fixed points (3-branes)



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$$

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## Gauge Embedding

- Two shifts $V_{2}$ and $V_{4}$ required to embed the point group
- Equivalences up to lattice vectors and lattice automorphisms lead to a finite amount of physical theories
- Modular invariance constraints

$$
\operatorname{gcd}\left(N_{i}, N_{j}\right)\left(V_{i} \cdot V_{g}-v_{i} \cdot v_{j}\right)=0 \bmod 2, \quad i, j=1,2
$$

[Ploger et. al.'07]
have to be satisfied.

- 144 inequivalent embeddings for $\left(V_{2}, V_{4}\right)$. Two inequivalent models per embedding.
(3) 35 embeddings lead to the presence an $\mathrm{SO}(10)$ factor in the gauge group.
(2) 26 include $\mathrm{E}_{6}$.
- 25 include $\mathrm{SU}(5)$.


## Our Strategy

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- Compute the spectra at the GUT level.

| Model | Untwisted | $(0,1)$ | $(0,2)$ | $(0,3)$ |  | $(1,0)$ | $(1,1)$ | $(1,2)$ | $(1,3)$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| : | : | : |  | $\vdots$ | $\vdots$ | : | : | $\vdots \quad \vdots$ | $\vdots$ |
| 67 | 2(10), 2(16), |  |  |  | 16 | 10,16 |  |  |  |
|  | $2(\overline{\mathbf{1 6}})$ |  |  |  | 10 | 10,16 |  |  |  |
|  | : |  | $\vdots$ : | : | : |  |  | $\vdots \quad \vdots$ | : |
| Multiplicities |  | 4 | 46 | 4 | 8 | 4 | 16 | 84 | 16 |

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- Concentrate on $E_{6}$ and $S O(10)$ shifts.
- Compute the spectra at the GUT level.
- Search for Wilson line configurations which are likely to give three families $\Rightarrow$ The matter content at protected and split fixed points descends directly from the spectrum at the GUT level.
- Assume renormalizable top-Yukawa coupling does not involve unshielded states
$\Rightarrow$ Search for locations and models which make the coupling possible upon a certain choice of WLs.


## Wilson Lines and Gauge Topographies

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- How do the WLs affect the gauge topographies?

Consider for instance the $T_{(1,0)}$ sector: $\mathrm{e}_{2}$


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Consider for instance the $T_{(1,0)}$ sector:

| Config. | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $W_{1}$ |  | $\checkmark$ |  |  |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |
| $W_{2}$ |  |  | $\checkmark$ |  |  | $\checkmark$ |  |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |
| $W_{3}$ |  |  |  | $\checkmark$ |  |  | $\checkmark$ |  | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| $W_{4}$ |  |  |  |  | $\checkmark$ |  |  | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |



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- How do the WLs affect the gauge topographies? Consider for instance the $T_{(1,0)}$ sector:

| Config. | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $W_{1}$ |  | $\checkmark$ |  |  |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |
| $W_{2}$ |  |  | $\checkmark$ |  |  | $\checkmark$ |  |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |
| $W_{3}$ |  |  |  | $\checkmark$ |  |  | $\checkmark$ |  | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| $W_{4}$ |  |  |  |  | $\checkmark$ |  |  | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |



## Flavor Symmetries

## The Space Group Selection Rule SGSR

A coupling $\Phi_{1} \cdot \Phi_{2} \ldots . \Phi_{L}$ is allowed in the superpotential only if the product of conjugacy classes for the fields contains the identity element.

- The point group becomes a discrete symmetry of the $4 D$ QFT. $\Rightarrow$ Assume that the field $\Phi_{i}$ belongs to $T_{\left(n_{i}, m_{i}\right)}$, then the $L$-point couplings does not vanish, provided

$$
\sum_{i=1}^{L} n_{i}=0 \bmod 2, \quad \sum_{i=1}^{L} m_{i}=0 \bmod 4
$$

## Flavor Symmetries

## The Space Group Selection Rule SGSR

A coupling $\Phi_{1} \cdot \Phi_{2} \ldots . \Phi_{L}$ is allowed in the superpotential only if the product of conjugacy classes for the fields contains the identity element.
[Dixon et. al.'86, Erler et. al.'92]

- The location of the singularities in the extra dimensions introduces four additional $\mathbb{Z}_{2}$ symmetries.





## Flavor Symmetries

## Permutation symmetries

There are some fixed points/tori which have exactly the same matter and can not be distinguished by means of the CFT.

For $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ we obtain

$$
G_{\text {Flavor }}=\frac{\left(\frac{D_{4} \times D_{4}}{\mathbb{Z}_{2}}\right) \times\left(\frac{D_{4} \times \mathbb{Z}_{4}}{\mathbb{Z}_{2}}\right) \times\left(\frac{D_{4} \times \mathbb{Z}_{4}}{\mathbb{Z}_{2}}\right)}{\mathbb{Z}_{2} \times \mathbb{Z}_{4}}=\frac{D_{4}^{4} \times \mathbb{Z}_{4}}{\mathbb{Z}_{2}^{4}} .
$$

Wilson lines break the flavor group blockwise, they affect only the non-Abelian parts.
$\rightarrow$ Topography + Flavor symmetry structure allow for the two light families to complete GUT multiplets transforming as a flavor doublet.

## $R$-Symmetries

There is a discrete subgroup of $S O(6) \subset S O(9,1)$ which survives compactification and orbifolding.
$\rightarrow$ Potential candidates for $R$-symmetries in the low energy

- Lattice isometries acting only in each plane fulfill these conditions.
- For the specific case of $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$, non vanishing couplings in the superpotential must satisfy

$$
\sum_{\alpha=1}^{L} R_{\alpha}^{1}=1 \bmod 2, \quad \sum_{\alpha=1}^{L} R_{\alpha}^{2}=1 \bmod 4, \quad \sum_{\alpha=1}^{L} R_{\alpha}^{3}=1 \bmod 4
$$

VERY IMPORTANT: If Higgses have $R$-charges ( $1,0,0$ ), the Higgs bilinear is neutral under all selection rules!

## Trilinear Top-Yuakawa Coupling

- Selection rules leave a few possibilities for a trilinear coupling
- All except a purely untwisted coupling turn out to be disfavored because
(1) Models contain more than one heavy family
(3) There is no shift embedding with the desired features
( Rule out the possibility for the light families to be complete GUT multiplets
- Untwisted trilinear coupling is verified to exist in $75 \%$ of all $S O(10)$ models
$\rightarrow$ Left- and right-chiral top and up type Higgs live in the bulk
$\rightarrow$ Down-type Higgs likely to live also in the untwisted sector.


## Conclusions

- The $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ seems to confirm the $\mathbb{Z}_{6 \text {-II }}$ location picture.
- The presence of a $\mathbb{Z}_{2}$ plane favors the Higgses to arise as a vector-like pair from the bulk.
- The amount of twisted sectors gives more flexibility for the light families to be accommodated in the extra dimensions.


## Prospects

- Explicit construction of $\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ models is work in progress
- Algorithms developed for classifying embeddings and obtaining matter spectra can be extended to other $\mathbb{Z}_{N} \times \mathbb{Z}_{M}$ orbifolds


## $\varepsilon v \chi \alpha \rho \iota \sigma \tau \omega$

