## **Neutrino Physics**

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Outline

Neutrino Physics I: Basics and phenomenologyNeutrino Physics II: Neutrino mass models

## References

#### Links

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Introduction

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- Motivation and properties of v's
- v masses in QFT and in the SM

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  - (Close) future: measurement of  $sign(\Delta m_{31}^2)$  and  $\delta$

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### Introduction

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Very rich physics: from their invention by Pauli in 1930's to the last results on  $\theta_{13}$  this year many exciting discoveries:

- Fermi theory
- Majorana theory
- μ decay
- ν<sub>e,µ,τ</sub> discoveries
- neutrino oscillations
- Solar v problem
- MSW
- Atmospheric v problem
- SN1987A
- Invisible Z-boson decay width
- Oscillations in solar v's confirmed
- Oscilations in atmospheric v's confirmed

but

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but

Still many questions to be answered:

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Neutrino Physics I

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### There are experiments!

Planned experiments can answer many of them in a near future

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### Implications in cosmology

- Contribution to the mass of the universe (Ω<sub>v</sub>)
- Effects in the cosmic microwave backgroud radiation (CMB)
- Effects in the large scale sctructure formation (LSS)
- Effects primordial nucleosyntesis (BBN)
- Possible explanation of the baryonic assymetry of the univers (BAU) with the leptogenesis mechanism

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- Neutrino production in the Sun
- Red giant stars cooling
- Big effects in supernova explosions

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#### Technological implications

- Communications in dense matter (underwater)
- Neutrino-graphies: earth core (search of oil, minerals ···)

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## Intrinsic properties of neutrinos

#### Before oscillation experiments

- Three types of neutrinos  $v_e, v_\mu, v_\tau$
- Lepton numbers  $L_e$ ,  $L_\mu$ ,  $L_\tau$  conserved separately
  - v<sub>e</sub> produces e's and no μ's
  - No  $\mu 
    ightarrow e \gamma, \ au 
    ightarrow e \gamma, \ au 
    ightarrow e \gamma, \ \mu 
    ightarrow 3 e$
- Total lepton number  $L = L_e + L_\mu + L_\tau$  conserved (no  $0\nu\beta\beta$ )
- v masses much smaller than charged lepton masses

$$m_{v_e} < 2\,{
m eV}\,, \quad m_{v_{\mu}} < 170\,{
m KeV}\,, \quad m_{v_{\tau}} < 18\,{
m MeV}\,, \quad \sum_a m_a \lesssim 14\,{
m eV}\,$$

- v's helicity -1/2 and  $\bar{v}$ 's helicity +1/2
- Magnetic moments very small:  $\mu_v < 10^{-10} \mu_B$ ,  $\mu_v < 10^{-12} \mu_B$ )

#### After oscillation experiments

- Neutrinos must be massive ( $m_v \sim 1 \, \mathrm{eV}$ )
- They mix (with large mixings)
- LFV processes must exist (still not observed)

Neutrino Physics I

# Fermions in QFT

Dirac fermions reducible representation

$$\Psi_L = P_L \Psi = \begin{pmatrix} \xi \\ 0 \end{pmatrix}, \quad \Psi_R = P_R \Psi = \begin{pmatrix} 0 \\ \eta \end{pmatrix}$$

 $\xi 
ightarrow \exp(-i\theta \vec{n} \cdot \vec{\sigma} \cdot \vec{\beta} \cdot \vec{\sigma}) \xi$ ,  $\eta 
ightarrow \exp(-i\theta \vec{n} \cdot \vec{\sigma} + \vec{\beta} \cdot \vec{\sigma}) \eta$ 

In QFT the fundamental fields are two component spinors  $\psi_L$  and  $\psi_R$  and not the complete Dirac field  $\psi$ !

 $\begin{aligned} \mathscr{L} &= i\overline{\psi_L}\overline{\partial}\,\psi_L + i\overline{\psi_R}\overline{\partial}\,\psi_R - m(\overline{\psi_L}\psi_R + \overline{\psi_R}\psi_L) = \\ &= i\xi^{\dagger}\overline{\sigma}^{\mu}\partial_{\mu}\xi + i\eta^{\dagger}\sigma^{\mu}\partial_{\mu}\eta - m(\eta^{\dagger}\xi + \xi^{\dagger}\eta) \\ &= i\xi_1^{\dagger}\overline{\sigma}^{\mu}\partial_{\mu}\xi_1 + i\xi_2^{\dagger}\overline{\sigma}^{\mu}\partial_{\mu}\xi_2 - m(\xi_2^{\top}i\sigma_2\xi_1 - \xi_1^{\dagger}i\sigma_2\xi_2^{*}) \\ &\text{with } \xi_1 \equiv \xi, \ \xi_2 = i\sigma_2\eta^{*} \ (\xi_2 \text{ transforms like } \xi_1) \end{aligned}$ 

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## Fermions vs scalars

$$\mathcal{L} = i\xi_{1}^{\dagger}\bar{\sigma}^{\mu}\partial_{\mu}\xi_{1} + i\xi_{2}^{\dagger}\bar{\sigma}^{\mu}\partial_{\mu}\xi_{2} -\frac{i}{2}\left(m_{1}\xi_{1}^{T}\sigma_{2}\xi_{1} + m_{2}\xi_{2}^{T}\sigma_{2}\xi_{2} + 2m_{21}\xi_{2}^{T}\sigma_{2}\xi_{1} + \text{h.c.}\right)$$

Kinetic terms invariant under  $\xi_{1,2} \rightarrow e^{i\alpha_{1,2}}\xi_{1,2}$ .  $m_{1,2}$  break it If  $m_{1,2} = 0$ ,  $\alpha_2 = -\alpha_1$  conserved  $\rightarrow$  Dirac fields

$$\mathscr{L} = i \overline{\psi} \partial \hspace{-0.15cm} \psi - m \overline{\psi} \psi, \quad \psi = \psi_L + \psi_R$$

Invariant under  $\psi 
ightarrow e^{ilpha}\psi$ 

$$\mathscr{L} = \frac{1}{2}\partial\phi_1 \cdot \partial\phi_1 + \frac{1}{2}\partial\phi_2 \cdot \partial\phi_2 - \frac{1}{2}\left(m_1^2\phi_1^2 + m_2^2\phi_2^2 + 2m_{21}^2\phi_1\phi_2\right)$$

If  $m_{21} = 0$  and  $m_1 = m_2 \equiv m$ . Invariant under rotations of  $(\phi_1, \phi_2)$ 

$$\mathscr{L}=\partial_\mu\phi^*\partial^\mu\phi-m^2\phi^*\phi\,,\quad\phi=rac{1}{\sqrt{2}}(\phi_1+i\phi_2)$$

Invariant under  $\phi \rightarrow e^{i\alpha}\phi$ 

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Neutrino Physics I

# Weyl and Majorana Fields

 $\xi_2$  no necessary if there are no conserved charges: fermion fields can be massive with only two components (Majorana)

$$\mathscr{L}_{\mathrm{M}} = i\xi^{\dagger}\bar{\sigma}^{\mu}\partial_{\mu}\xi - \frac{i}{2}\left(m\xi^{T}\sigma_{2}\xi + \mathrm{h.c.}\right)$$

 $i\bar{\sigma}^{\mu}\partial_{\mu}\xi - i\overline{m\sigma_{2}\xi^{*}} = 0$ 

If m = 0 (in momentum representation)  $(E + \vec{p} \cdot \vec{\sigma})\xi(\vec{p}) = 0$ ,  $E = \pm |\vec{p}|$ 

$$rac{ec{
ho}\cdotec{\sigma}}{ec{
ho}ec{
ho}} \xi(ec{
ho}) = egin{cases} -\xi(ec{
ho}) & E>0 \ +\xi(ec{
ho}) & E<0 \end{cases}$$

### Weyl field:

• Limit m = 0 of the Majorana field

• Particle helicity -1/2, antiparticle helicity +1/2.

• A U(1) charge conserved (invariance  $\xi 
ightarrow e^{ilpha} \xi$ )

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Quantization

$$\xi(x) = \sum_{\sigma=\pm} \int \frac{d^{3}\vec{p}}{(2\pi)^{3}2E_{p}} \left( a_{\sigma}(\vec{p})u_{\sigma}(\vec{p})e^{-ip\cdot x} + a_{\sigma}^{\dagger}(\vec{p})v_{\sigma}(\vec{p})e^{ip\cdot x} \right)$$

Two helicities but particle and antiparticle are the same In the limit  $m \rightarrow 0$   $u_+(\vec{p}) = v_-(\vec{p}) = 0$ 

$$\xi(x) = \int rac{d^3ec{p}}{(2\pi)^3 2 E_{
ho}} \left( a_-(ec{p}) u_-(ec{p}) e^{-i p \cdot x} + a^{\dagger}_+(ec{p}) v_+(ec{p}) e^{i p \cdot x} 
ight)$$

Particle has helicity -1/2 and antiparticle helicity +1/2In four components define  $\psi_L^c = (\psi_L)^c = C \overline{\psi_L}^T$  (is right-handed)

$$\mathscr{L}_{M} = i\overline{\psi_{L}}\overline{\partial}\psi_{L} - m\frac{1}{2}(\overline{\psi_{L}^{c}}\psi_{L} + \overline{\psi_{L}}\psi_{L}^{c}) = i\frac{1}{2}\overline{\psi_{M}}\overline{\partial}\psi_{M} - \frac{1}{2}m\overline{\psi_{M}}\psi_{M}$$

with  $\psi_{\rm M} = \psi_L + \psi_L^c$  that satisfies  $(i\partial - m) \psi_M = 0$ 

$$\psi_{\mathrm{M}}(x) = \sum_{s} \int \frac{d^{3}\vec{p}}{(2\pi)^{3}2E_{p}} \left( a(\vec{p},s)u(\vec{p},s)e^{-ip\cdot x} + a^{\dagger}(\vec{p},s)v(\vec{p},s)e^{ip\cdot x} \right)$$

Two helicities but particle and antiparticle equal

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Neutrino Physics I

## Generalization to several fields

$$\overline{\psi_i^c}\psi_j = \overline{\psi_j^c}\psi_i \qquad \rightarrow$$

$$\overline{\psi_i^c}\gamma^\mu\psi_j=-\overline{\psi_j^c}\gamma^\mu\psi_i$$
 –

$$\overline{\psi_i^c}\gamma^{\mu}\gamma_5\psi_j=\overline{\psi_j^c}\gamma^{\mu}\gamma_5\psi_i \quad -$$

$$\overline{\psi^c_i}\sigma^{\mu
u}\psi_j=-\overline{\psi^c_j}\sigma^{\mu
u}\psi_i$$
 –

Symmetric mass matrices Antisymmetric vector current Symmetric axial current

$$\mathscr{L} = i\overline{\Psi_L}\partial \Psi_L - \frac{1}{2}\left(\overline{\Psi_L^c}M\Psi_L + \text{h.c.}\right)$$
  
vith  $\Psi_L = \text{column}(\psi_{1L}, \psi_{1L}, \cdots \psi_{NL})$  and  $M$  symmetric

$$M = V^T M_{\text{diag}} V, \quad \Psi_M = V \Psi_L + V^* \Psi_L^c$$

$$\mathscr{L} = rac{i}{2} \overline{\Psi_{\mathrm{M}}} \partial \Psi_{\mathrm{M}} - rac{1}{2} \overline{\Psi_{\mathrm{M}}} M_{\mathrm{diag}} \Psi_{\mathrm{M}}$$

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## Masses of neutrinos in the SM

Simpler solution: add  $v_R$  like in the quark sector

$$\mathscr{L}_{YL} = -\bar{L}_L Y_e \Phi e_R - \bar{L}_L Y_v \tilde{\Phi} v_R + \mathrm{h.c.}$$

But

Why m<sub>v</sub> are so small?

• Why omit terms of the form  $\overline{v_R^c}v_R$  in the Lagrangian? Solution to the two questions: they are not omitted!

$$\mathscr{L}_{YL} \to \mathscr{L}_{YL} = -\bar{L}_L Y_e \Phi e_R - \bar{L}_L Y_v \tilde{\Phi} v_R - \frac{1}{2} \overline{v_R^c} M v_R + \text{h.c.}$$

$$\mathscr{L}_{vM} = -\frac{1}{2} \left( \overline{v}_L, \overline{v_R^c} \right) \left( \begin{array}{cc} 0 & M_D \\ M_D^T & M \end{array} \right) \left( \begin{array}{cc} v_L^c \\ v_R \end{array} \right) + \mathrm{h.c.}$$

if  $M \gg M_D$  ("see-saw" mechanism): • 3 Heavy Majorana neutrinos ~  $v_R$  with masses ~ M• 3 Light Majorana neutrinos ~  $v_L$  with masses ~  $M_D^2/M$ 

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Neutrino Physics I

# **Dirac and Majorana neutrinos**

### **Dirac:** if M = 0, $(M_v = M_D)$

$$\mathscr{L}_{\text{Dirac}} = i \overline{\nu_L} \partial \!\!\!/ \nu_L + \overline{\nu_R} \partial \!\!\!/ \nu_R - (\overline{\nu_R} M_\nu \nu_L + \text{h.c.})$$

- 4 degrees of freedom
- Conserve total lepton number (NO  $0\nu\beta\beta$  decay)
- Less natural (why m<sub>v</sub> are so small)

# Dirac and Majorana neutrinos

## **Dirac:** if M = 0, $(M_v = M_D)$

$$\mathscr{L}_{\text{Dirac}} = i \overline{v_L} \partial v_L + \overline{v_R} \partial v_R - (\overline{v_R} M_v v_L + \text{h.c.})$$

- 4 degrees of freedom
- Conserve total lepton number (NO  $0\nu\beta\beta$  decay)
- Less natural (why  $m_v$  are so small)

Majorana: if  $M \gg M_D$ ,  $(M_v = -M_D M^{-1} M_D^T)$ 

$$\mathscr{L}_{\text{Majorana}} = i \overline{v_L} \vec{\partial} v_L - \frac{1}{2} \left( \overline{v_L^c} M_v v_L + \text{h.c.} \right)$$

### 2 degrees of freedom

- Do not conserve total lepton number ( $0\nu\beta\beta$  decay)
- More natural and more CP violating phases

## Neutrinos at low energies: Dirac

$$\mathcal{L}_{\text{Dirac}} = i\overline{\nu_L} \partial \!\!\!/ \nu_L + \overline{\nu_R} \partial \!\!\!/ \nu_R - (\overline{\nu_R} M_v \nu_L + \text{h.c.}) + - \frac{G_F}{\sqrt{2}} J^{\mu} J^{\dagger}_{\mu} - \frac{G_F}{\sqrt{2}} J^{\mu}_Z J_{Z\mu} + \mathcal{L}_{\text{MM}} + \mathcal{L}_{\text{NSI}} + \cdots$$

$$J^{\mu} = 2\bar{v}_L\gamma^{\mu}e_L + \cdots, \qquad J^{\mu}_Z = \bar{v}_L\gamma^{\mu}v_L + \cdots$$

### diagonalization

$$\begin{aligned} v_{\alpha L} &= V_{\alpha i} v_{iL}, \quad v_{\alpha R} = U_{\alpha i} v_{iR}, \quad U^{\dagger} M_{v} V = M_{\text{diag}}, \quad v_{i} = v_{iL} + v_{iR} \\ J^{\mu} &= 2 \bar{v} \gamma^{\mu} V^{\dagger} P_{L} e + \cdots, \qquad J^{\mu}_{Z} = \bar{v}_{L} \gamma^{\mu} v_{L} + \cdots \\ V &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} e^{i\delta} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{aligned}$$

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## Neutrinos at low energies: Majorana

$$\mathscr{L}_{\text{Dirac}} = i \overline{\nu_L} \partial \!\!\!/ \nu_L - \frac{1}{2} \left( \overline{\nu_L^c} M_\nu \nu_L + \text{h.c.} \right) + \\ - \frac{G_F}{\sqrt{2}} J^\mu J^\dagger_\mu - \frac{G_F}{\sqrt{2}} J^\mu_Z J_{Z\mu} + \mathscr{L}_{\text{MM}} + \mathscr{L}_{\text{NSI}} + \mathscr{L}_{0\nu\beta\beta} + \cdots$$

 $J^{\mu}=2ar{v}_L\gamma^{\mu}e_L+\cdots, \qquad J^{\mu}_Z=ar{v}_L\gamma^{\mu}v_L+\cdots$ diagonalization

$$\mathbf{v}_{\alpha L} = \mathbf{V}_{\alpha i} \mathbf{v}_{iL}, \quad \mathbf{V}^{\mathsf{T}} \mathbf{M}_{\mathbf{v}} \mathbf{V} = \mathbf{M}_{\mathrm{diag}}, \quad \mathbf{v}_{i} = \mathbf{v}_{iL} + \mathbf{v}_{iL}^{c}$$

$$egin{aligned} J^{\mu} &= 2ar{v}\,oldsymbol{V}^{\dagger}oldsymbol{P}_Loldsymbol{e}_L+\cdots, & J^{\mu}_Z &= -rac{1}{2}ar{v}\,\gamma^{\mu}\,\gamma_5 v+\cdots \ V_{ ext{Majorana}} &= V_{ ext{Dirac}} egin{pmatrix} 1 & 0 & 0 \ 0 & e^{jlpha} & 0 \ 0 & 0 & e^{ieta} \end{pmatrix} \end{aligned}$$

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Neutrino Physics I

## 1 Introduction

### 2 Neutrino oscillations

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- Solar neutrinos and KamLAND
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- Results on  $\theta_{13}$  and global fits
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### Absolute Mass Scale

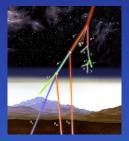
- Other Relevant Information
- 5 Summary and outlook

## Solar and atmospheric neutrino problems



### The Solar neutrino problem

- The Sun produces v<sub>e</sub>'s, whose flux can be calculated using solar models
- The flux of v<sub>e</sub> measured in the earth in all experiments reduced by a factor 0.3–0.5
- Explained by oscillations  $v_e \rightarrow v_{\mu,\tau}$



### The atmospheric neutrino problem

- π's produced in the atmosphere should give a flux of v<sub>µ</sub>'s twice that of v<sub>e</sub>'s
- The observed flux of v<sub>µ</sub>'s is largely reduced
- Explained in terms of oscillations  $v_{\mu} \rightarrow v_{\tau}$

#### Neutrino oscillacions in vacuum

Define  $|v_e\rangle$  the state that produces  $e^-$  and  $|v_{\mu}\rangle$  the one that produces  $\mu^-$ . (Flavour eigenstates no energy eigenstates).

 $|v_e
angle = \cos \theta |v_1
angle + \sin \theta |v_2
angle$ 

 $\begin{aligned} \left| v_{\mu} \right\rangle &= -\sin\theta \left| v_{1} \right\rangle + \cos\theta \left| v_{2} \right\rangle \\ \text{Where } \cos\theta &= \left\langle v_{1} \right| v_{e} \right\rangle = \left\langle v_{2} \right| v_{\mu} \right\rangle \text{ and } \sin\theta &= \left\langle v_{2} \right| v_{e} \right\rangle = -\left\langle v_{1} \right| v_{\mu} \right\rangle \\ \left| v_{e}, t \right\rangle &= e^{-iE_{1}t} \cos\theta \left| v_{1} \right\rangle + e^{-iE_{2}t} \sin\theta \left| v_{2} \right\rangle \\ \left| v_{\mu}, t \right\rangle &= -e^{-iE_{1}t} \sin\theta \left| v_{1} \right\rangle + e^{-iE_{2}t} \cos\theta \left| v_{2} \right\rangle \end{aligned}$ 

then

$$P(v_e \to v_\mu; t) = \left| \langle v_\mu | v_e, t \rangle \right|^2 = \sin^2(2\theta) \sin^2\left(\frac{(E_2 - E_1)t}{2}\right)$$

Definite momentum ultrarelativistic neutrinos ( $p \gg m_i$ ),  $E_i = \sqrt{m_i^2 + p^2} \approx p + m_i^2/2p$ ,  $L \approx t$  and  $p \approx E$ 

$$P(v_{ heta} 
ightarrow v_{\mu}) = \sin^2(2 heta)\sin^2\left(2\pirac{L}{\lambda}
ight)$$

with  $\lambda$  oscillation length

$$\lambda = \frac{2\pi (E/\text{GeV})}{1.27(\Delta m^2/\text{eV}^2)} \text{Km}, \quad \Delta m^2 = m_2^2 - m_1^2$$

#### Not valid for

•  $L \gg \lambda \frac{E}{\sigma}$  (decoherence,  $\sigma$  wave packet width)

•  $L \gg \lambda$  (Too fast oscillations: average)

$$\langle P(v_e \rightarrow v_\mu; t) \rangle = \frac{1}{2} \sin^2(2\theta)$$

Independent of L, E and  $\Delta m^2$ 

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Neutrino Physics I

## Three neutrino oscillations

$$P(\mathbf{v}_{\alpha} \rightarrow \mathbf{v}_{\beta}; t) = \left| \langle \mathbf{v}_{\beta} | \mathbf{v}_{\alpha}, t \rangle \right|^{2} = \left| \sum_{i} e^{-iE_{i}t} \langle \mathbf{v}_{\beta} | \mathbf{v}_{i} \rangle \langle \mathbf{v}_{i} | \mathbf{v}_{\alpha} \rangle$$
  
if  $\langle \mathbf{v}_{\beta} | \mathbf{v}_{i} \rangle = V_{\beta i}$  and  $E_{i} \approx E + m_{i}^{2}/(2E)$   
$$P(\mathbf{v}_{\alpha} \rightarrow \mathbf{v}_{\beta}; t) = \sum_{ij} e^{-i\Delta m_{ij}^{2}t/2E} V_{\beta i} V_{\alpha i}^{*} V_{\alpha j} V_{\beta j}^{*} =$$
  
$$= \delta_{\alpha\beta} - 4\sum_{i>j} \operatorname{Re}\{V_{\beta i} V_{\alpha i}^{*} V_{\alpha j} V_{\beta j}^{*}\} \sin^{2}\left(\frac{\Delta m_{ij}^{2}L}{4E}\right) +$$
  
$$+2\sum_{i>j} \operatorname{Im}\{V_{\beta i} V_{\alpha i}^{*} V_{\alpha j} V_{\beta j}^{*}\} \sin\left(\frac{\Delta m_{ij}^{2}L}{2E}\right)$$

Effective hamiltonian  $H = M_v^{\dagger} M_v / (2E) = V M_{diag}^2 V^{\dagger} / (2E)$ Phases of Majorana irrelevant (oscillations conserve LN)

2

#### Neutrino oscillations in matter, MSW

$$\mathscr{L}_{\rm CC} = -\sqrt{2}G_{\rm F}(\bar{e}\gamma_{\mu}P_{L}v_{e})(\bar{v}_{e}\gamma^{\mu}P_{L}e) \rightarrow -\sqrt{2}G_{\rm F}n_{e}(\bar{v}_{e}\gamma^{0}P_{L}v_{e})$$

$$H = C_{\mathrm{univ}} I + V egin{pmatrix} 0 & 0 & 0 \ 0 & rac{\Delta m_{21}^2}{2E} & 0 \ 0 & 0 & rac{\Delta m_{31}^2}{2E} \end{pmatrix} V^\dagger + egin{pmatrix} ilde{V} & 0 & 0 \ 0 & 0 & 0 \ 0 & 0 & 0 \end{pmatrix}$$

 $\tilde{V} = \pm b = \pm \sqrt{2}G_F n_e$  with + for v's and - for  $\bar{v}$ 's For two generations

$$H = \begin{pmatrix} \sin^2 \theta + \frac{2E\tilde{V}}{\Delta m^2} & \sin \theta \cos \theta \\ \sin \theta \cos \theta & \cos^2 \theta \end{pmatrix} \frac{\Delta m^2}{2E} + \text{universal}$$

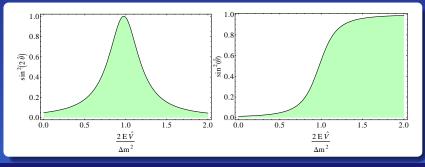
$$\sin 2\tilde{\theta} = \sin 2\theta \frac{\Delta m^2}{\Delta \tilde{m}^2}, \quad \Delta \tilde{m}^2 = \Delta m^2 \sqrt{1 + \left(\frac{2E\tilde{V}}{\Delta m^2}\right)^2 - 2\cos 2\theta \frac{2E\tilde{V}}{\Delta m^2}}$$

#### The resonance

$$\Delta m^2 \cos 2\theta = \pm 2E\sqrt{2}G_F n_e \rightarrow \begin{cases} \Delta \tilde{m}^2 = \Delta m^2 \sin 2\theta \\ \sin^2 2\tilde{\theta} = 1 \end{cases}$$

 $\Delta m^2 \cos 2\theta > 0$  for *v*'s and  $\Delta m^2 \cos 2\theta < 0$  for  $\bar{v}$ 's Ordering of *H* eigenvalues such that  $\Delta \tilde{m}^2 > 0$  implies

> $2E\tilde{V}/\Delta m^2 \ll 1$ ,  $\Delta \tilde{m}^2 \approx \Delta m^2$ ,  $\tilde{\theta} \approx \theta$  and  $|\tilde{v}_2\rangle \approx |v_2\rangle$  $2E\tilde{V}/\Delta m^2 \gg 1 \Delta \tilde{m}^2 \gg \Delta m^2$ ,  $\tilde{\theta} = \frac{\pi}{2}$  and  $|\tilde{v}_2\rangle \approx |v_e\rangle$



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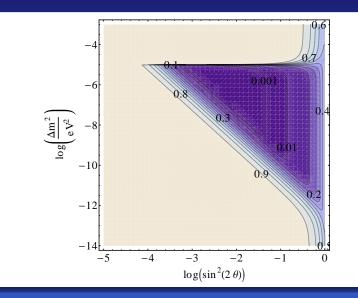
#### Adiabatic approximation in the Sun

If  $n_e(x)$  changes slowly we can use the adiabatic theorem: "If in t = 0 the system is in one of the instantaneous eigenstates of H(t = 0),  $H(t) |n, t\rangle = E_n(t) |n, t\rangle$  it will remain in the state  $|n, t\rangle$  for t > 0"

$$\begin{split} |v_e\rangle &\stackrel{n_e \gg}{\approx} |\tilde{v}_2\rangle \xrightarrow{\text{Adiabat}} |\tilde{v}_2, t\rangle \xrightarrow{\text{Adiabat}} |v_2\rangle = \sin\theta \begin{vmatrix} n_e \ll \\ |v_e\rangle + \cos\theta \begin{vmatrix} v_\mu \rangle \\ P(v_e \to v_e) = \sin^2\theta = \frac{1}{2} - \frac{1}{2}\cos2\theta \\ f \ \theta \ll 1 \text{ all the } v_e \text{ are transformed in } v_\mu! \text{ (MSW)} \\ \text{General case} \end{split}$$

$$P(v_e \rightarrow v_e) = \frac{1}{2} + (\frac{1}{2} - P_{LZ})\cos 2\hat{\theta}_0 \cos 2\theta$$

$$P_{\rm LZ} pprox e^{-\gamma}, \qquad \gamma \equiv rac{\pi \Delta m^2}{4E |(n'_e/n_e)_{
m res}|} rac{\sin^2 2 heta}{\cos 2 heta}$$



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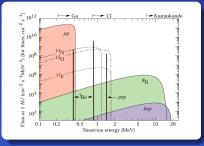
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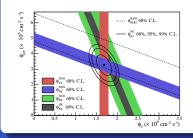
#### Corfu Summer School, September 10, 2012

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## Solar neutrino experiments

Experiment	Reaction	Threshold
Homestake	$v_e{}^{37}{ m Cl} ightarrow e{}^{37}{ m Ar}$	<i>E</i> > 0.814 MeV
SAGE, Gallex/GNO	$v_e{}^{71}{ m Ga}  ightarrow e{}^{71}{ m Ge}$	<i>E</i> > 0.233 MeV
Super-Kamiokande	$v_{{m e},x}{m e}  o v_{{m e},x}{m e}$	<i>E</i> > 5.5 MeV
SNO	ES: $v_{e,x}e \rightarrow v_{e,x}e$ CC: $v_eD \rightarrow ppe$ NC: $v_xD \rightarrow v_xpn$	<i>E</i> > 5.5 MeV



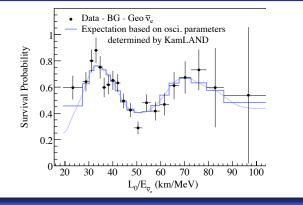


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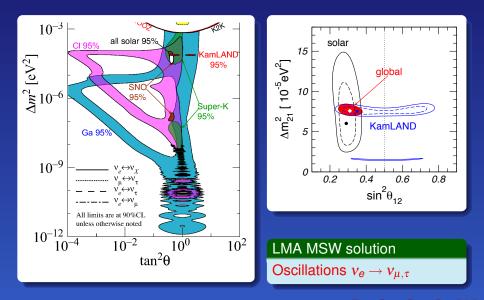
#### Tests with reactor neutrinos: KamLAND

Terrestrial anti-neutrinos from nuclear reactors in Japan with  $E \sim 1$  MeV and average  $L \sim 180$  Km  $(\Delta m_{21}^2 L/(4E) \sim 1)$  $\overline{v}_e \rho \rightarrow e^+ n$ ,  $E_{v_e} = E_{e^+} + m_n - m_p$ Measurement of  $P(\overline{v}_e \rightarrow \overline{v}_e)$  as a function of the energy!



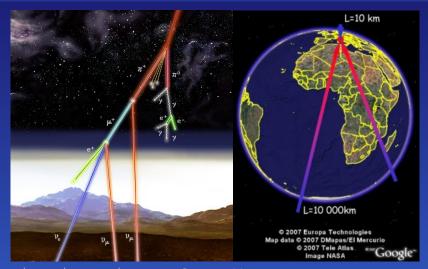
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#### **Global results**



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#### Atmospheric neutrino: SuperKamiokande



 $\pi^+ \rightarrow \mu^+ v_\mu \rightarrow e^+ v_e \overline{v}_\mu v_\mu$ . Same with  $\pi^-$ . If v's are not distinguished from  $\overline{v}'s$ :  $2v_\mu$  for each  $v_e$ 

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 $L \sim 10$  Km to  $10^4$  Km and  $E \sim 0.1$  GeV To 10 GeV. Ideal to have oscillations with  $\Delta m^2 \sim 10^{-3} \text{ eV}^2$ . SuperKamiokande  $v_{e_i} + N \rightarrow e_i + N'$  detects  $e_i$  by Cherenkov:

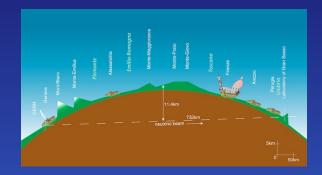
- It does not see the charge
- It allows to obtain the direction of v<sub>ei</sub> its energy and the flavour

#### Results:

- v<sub>e</sub> flux not changed and no dependence in L
- Oscillations  $v_{\mu} \rightarrow v_{x}$
- $x \sim \tau$  (no much space for steriles or v decays)

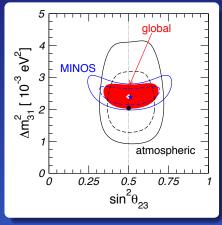
#### Test in accelerators

## SuperK results confirmed by neutrinos produced in accelerators: MINOS, K2K,Opera



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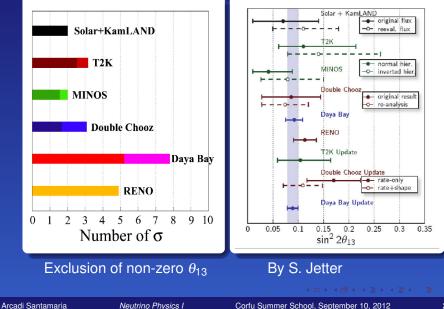
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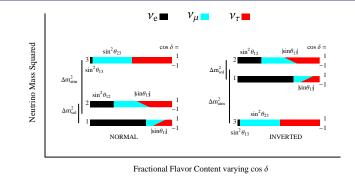
Two solutions:  $\Delta m_{31}^2 > 0$ Normal hierarchy (NH)  $\Delta m_{31}^2 < 0$ Inverted hierarchy (IH) Oscillations  $v_{\mu} \rightarrow v_{\tau}$ 

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## Results on $\theta_{13}$



#### The two mass orderings

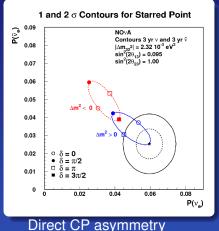


$$\Delta m_{21}^2 = 7.5 \times 10^{-5} \,\mathrm{eV}^2 \quad (2.4\% \qquad \sin^2 \theta_{12} = 0.3 \,(4\%)$$
  
$$\Delta m_{31}^2 = \begin{cases} 2.45 \times 10^{-3} \,\mathrm{eV}^2 \\ -2.43 \times 10^{-3} \,\mathrm{eV}^2 \end{cases} \quad (2.8\%) \qquad \sin^2 \theta_{23} = 0.42 \,(11\%) \\ \sin^2 \theta_{13} = 0.023 \,(10\%) \end{cases}$$
  
$$\delta \text{ still not well determined from the fits}$$

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## (Close) future: measurement of $\mathrm{sign}(\Delta m^2_{31})$ and $\delta$



Nova ( $v_{\mu} \rightarrow v_{e}$  And  $\bar{v}_{\mu} \rightarrow \bar{v}_{e}$ ) begins in 2013: sign(Δm<sub>31</sub>) (MSW effects) •  $\delta$  (  $v_e$  vs  $\bar{v}_e$ ) Strong dependece on θ<sub>23</sub> Also: v-Factories (NF), Super Beams (SB), Beta Beams (BB)

 $\begin{array}{l} \mathcal{A}_{\alpha\beta}^{\rm CP} \equiv (\mathcal{P}(\nu_{\alpha} \rightarrow \nu_{\beta}) - \mathcal{P}(\bar{\nu}_{\alpha} \rightarrow \bar{\nu}_{\beta})) / (\mathcal{P}(\nu_{\alpha} \rightarrow \nu_{\beta}) + \mathcal{P}(\bar{\nu}_{\alpha} \rightarrow \bar{\nu}_{\beta})) \\ \text{difficult: depends on } J = s_{12}c_{12}s_{23}c_{23}s_{13}c_{13}^2 \sin \delta \text{ and the two} \\ \text{mass differences } \Delta m_{21}^2 \text{ and } \Delta m_{32}^2 \end{array}$ 

#### Introduction

#### 2 Neutrino oscillations

- Absolute Mass Scale
   Cosmological Bounds
   Beta and double beta decays
- Other Relevant Information
- Summary and outlook

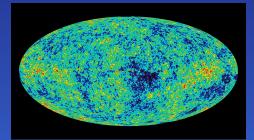
#### **Cosmic Neutrino Background**

v's decouple at  $T_f \sim 1 \text{ MeV}$  and present v density is

$$n_{
m v} = rac{3\zeta(3)g_{
m v}}{4\pi^2}T_{
m v}^3 pprox 112\,{
m cm}^{-3}\,, \qquad kT_{
m v} \sim 10^{-4}\,{
m eV}$$

if  $m_v \neq 0$ , v's contribute to the mass density of the universe

$$\Omega_{v_i} = \frac{n_{v_i} m_{v_i}}{\rho_c} \to \Omega_v h^2 = \frac{\sum_i m_{v_i}}{94 \, \mathrm{eV}}, \xrightarrow{h \sim 0.7, \, \Omega \lesssim 0.3} \sum_i m_{v_i} \lesssim 14 \, \mathrm{eV}$$



Refined using CMB and LSS (depends on hypothesis)

$$\sum_{i} m_{v_i} < 0.2 - 2 \, \mathrm{eV}$$

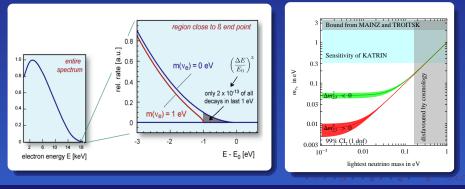
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#### Beta decay

 $\beta$  decay of tritium:  ${}^{3}\text{H} \rightarrow {}^{3}\text{He} + e^{-} + \overline{v}_{e}$ Very little available energy (order few keV) very sensitive to  $m_{v}$ 

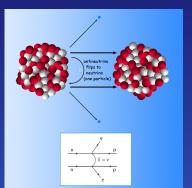
$$\begin{aligned} \frac{dN}{dE} &= \sum |U_{ei}|^2 \Gamma(m_{v_i}^2, E) = \langle \Gamma(m_v^2, E) \rangle \approx \Gamma(\langle m_v^2 \rangle, E) \\ m_{v_e}^2 &\equiv \langle m_v^2 \rangle = |U_{ei}|^2 m_{v_i}^2 = (M_v^{\dagger} M_v)_{ee} = c_{13}^2 (m_1^2 c_{12}^2 + m_2^2 s_{12}^2) + m_3^2 s_{13}^2 \end{aligned}$$



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## Neutrinoless $2\beta$ decay



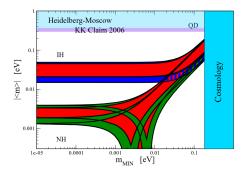
 $2 \nu \beta \beta$  observed with  $T_{2 \nu \beta \beta} \sim 10^{20}$  year

 $0\nu\beta\beta$  requires Majorana  $\nu$  masses (does not conserve LN) Suppressed by  $m_{\nu}$  but enhanced by phase space

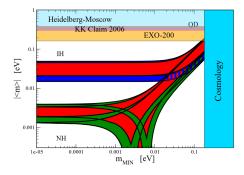
 $\mathscr{A}_{0\nu\beta\beta} \propto G_F^2 \frac{m_{\beta\beta}}{q^2}, \quad q \sim 100 \,\mathrm{MeV}$ 

$$m_{\beta\beta} = \left| \sum V_{ei}^2 m_i \right| = \left| \left( V M_{\text{diag}} V^T \right)_{ee} \right| = \left| \left( M_v^{\dagger} \right)_{ee} \right| = \\ = \left| c_{13}^2 (m_1 c_{12}^2 + m_2 s_{12}^2 e^{2i\alpha}) + m_3 s_{13}^2 e^{2i(\beta - \delta)} \right|$$

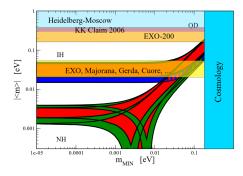
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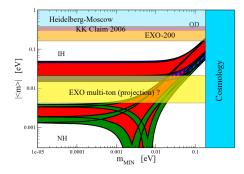
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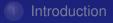
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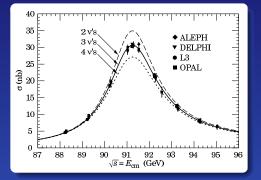
Neutrino Physics I



- Neutrino oscillations
- Absolute Mass Scale
- Other Relevant Information
  - Limit on  $N_{v}$
  - Sterile v's, NSI and magnetic moments
  - Supernova neutrinos
  - BAU from Leptogenesis



## Limit on $N_V$



 $N_v = 2.982 \pm 0.008$ 

• Light (
$$m_v \lesssim 45 \,\mathrm{GeV}$$
)

Any other light particle coupling to the Z will contribute

- A fourth generation with  $m_{v_4} < 45 \,\text{GeV}$ :  $\Delta N_v = 1$  (excluded)
- Triplet majorons (Y = 1):  $\Delta N_v = 2$  (excluded)
- Doublet majorons, light sneutrinos:  $\Delta N_v = 1/2$  (excluded)

Light esterile (singlet) neutrinos are allowed

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Neutrino Physics I

## Sterile v's, NSI and magnetic moments

#### Sterile neutrinos

LSND and MiniBoone see evidence of transitions  $\overline{v}_{\mu} \rightarrow \overline{v}_{e}$  with  $\Delta m^{2}_{\text{LSND}} > \Delta m^{2}_{\text{ATM}}$  (Also hints from reactor, Gallium anomalies and from cosmology ( $N_{s} = 1, 2$ ))

- Experimental situation not completely clear
- Difficult to adjust everything
- Necessary, at least, a fourth neutrino (sterile given  $\Gamma_Z$ )

#### Non-standar interactions (NSI)

 $\mathscr{L}_{NSI} = -\varepsilon_{\alpha\beta}^{tC} 2\sqrt{2}G_F 2\left(\bar{v}_{\alpha}\gamma^{\mu}P_L v_{\beta}\right)\left(\bar{f}\gamma^{\mu}P_{L,R}\bar{f}\right)$ , affect v cross sections and oscillations. Not very strong limits, typically  $\varepsilon_{\alpha_{\beta}} < 0.01 - 10$  depending on the flavours.

#### Neutrino magnetic moments

Change  $ve \rightarrow ve$  cross section  $(\mu_v \lesssim 10^{-10} \mu_B)$  and contribute to the energy loss of stars becasue plasmon decay  $\gamma_P \rightarrow vv$ . From red giant stars

$$\mu_{v} < 3 \times 10^{-12} \mu_{E}$$

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 $2m_v < \omega_P \simeq 10 \,\mathrm{KeV}$ 

#### Supernova neutrinos

Energy released in a SN explosion  $\sim 3 \times 10^{53}$  erg mainly neutrinos (99%)  $E_v \sim$ few MeV.  $\Delta t \sim 10 \text{ s.}$  The 3 types of neutrinos are emitted. SN1987A observed:  $24\bar{v}$  in a 13s interval.



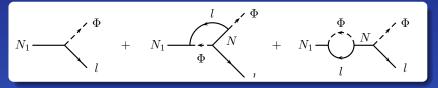
• Limit on the masses:  $m_v < 16 \text{ eV}$ 

- Restrictions on the neutrino velocities
- Restrictions on non-standard cooling mechanisms
  - Oscillation to steriles  $\sin^2 2\theta_s \lesssim 10^{-8}$
  - Magnetic moments of neutrinos

#### **BAU** from leptogenesis

We exist!:  $\eta_B \equiv (n_{\text{baryons}} - n_{\text{antibaryons}})/n_{\gamma} \sim 6 \times 10^{-10}$ . Need Sakharov: a)  $\Delta B \neq 0$ , b) out of equilibrium c)  $\Delta C \neq 0$  and  $\Delta(CP) \neq 0$ Possible in the SM but not enough. In seesaw  $L \rightarrow B$ 

$$\varepsilon_{1} = \frac{\Gamma(N_{1} \to \Phi\ell) - \Gamma(N_{1} \to \Phi\bar{\ell})}{\Gamma(N_{1} \to \Phi\ell) + \Gamma(N_{1} \to \Phi\bar{\ell})}$$



$$|\varepsilon_1| = \left| -\frac{3}{16\pi} \sum_i \frac{\operatorname{Im}\{(\tilde{\lambda}_v^+ \tilde{\lambda}_v)_{i1}^2\}}{(\tilde{\lambda}^+ \tilde{\lambda})_{11}} \frac{M_1}{M_i} \right| \le \frac{8}{16\pi} \frac{M_1}{v^2} |\Delta m_{\operatorname{atm}}^2|^{1/2}$$

Sphalerons conserve B - L but violate B with  $\Delta L = \Delta B$ 

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Neutrino Physics I

#### Introduction

- 2 Neutrino oscillations
- Absolute Mass Scale
- Other Relevant Information
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#### Summary of parameters

$\Delta m^2_{31} \sim \pm 2.4 \times 10^{-3}  {\rm eV^2}$	$ heta_{23}\sim45^\circ$	Atmos,K2K,MINOS
$\Delta m_{21}^2 \sim 7.6  imes 10^{-5}  {\rm eV}^2$	$ heta_{12}\sim 35^\circ$	Solar, KamLAND
	$ heta_{13}\sim9^\circ$	T2K,MINOS,Double Chooz
	013 ~ 9	Daya Bay,RENO
$N_v$ (active and light)	3	LEP
$m_{etaeta} = \sum_i  V_{ei}^2 m_{v_i} $	$\lesssim 0.4  eV$	HM,IGEX,EXO,
$m_{v_e} = \sum_i  V_{ei} ^2 m_{v_i}^2$	< 2.2 eV	Mainz, Troitsk
$\sum_i m_{v_i}$	$\lesssim 1  \mathrm{eV}$	Cosmology
sign( $\Delta m_{31}^2$ )	?	Nova,NF,BB,SB,
CΡ, δ	?	Nova,NF,BB,SB,
Dirac or Majorana? $(\alpha,\beta)$	?	HM?,0 $\nu\beta\beta$
N <sub>s</sub> (light sterile)	1,2 ?	LSND,MiniBooNE,Cosmology
$\mu_{ u}/\mu_B$	$< 10^{-10}, 10^{-12}$	$\sigma_v$ , red giants
NSI	$arepsilon \lesssim$ 0.01–10	Sun,Atm,LSND,NF,
$LFV\;(\mu\to \boldsymbol{e}\gamma,\cdots)$	$< 2.4 \times 10^{-12}$	MEG,COMET/Mu2e,

#### Unknowns

- *m*<sub>lightest</sub> not known (it could be zero)
- Mass ordering ( sign(Δm<sup>2</sup><sub>31</sub>) ) now known
- Is there CP violation  $(\delta)$ ?
- Is LN conserved in 2β decays? Is it due to Majorana v masses?
- Is the LFV in the charged sector  $(\mu \rightarrow e\gamma, \tau \rightarrow \mu\gamma, \mu e \text{ conversion}, \cdots)$
- Are there sterile v's, NSI or magnetic moments?
- Why v masses are so small?
- Why the structure of masses and mixings is so different from the quark sector?

# Still we do not have a universally accepted model of v masses

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Experiment	Dominant Dependence	Important Dependence
Solar Experiments Reactor LBL (KamLAND)		$\Delta m^2_{21}$ , $ heta_{13}$ $ heta_{12}$ , $ heta_{13}$
Reactor MBL (Daya-Bay, Reno, D	-Chooz) $\rightarrow \theta_{13}$	$\Delta m_{\rm atm}^2$
Atmospheric Experiments Accelerator LBL $\nu_{\mu}$ Disapp (Mino Accelerator LBL $\nu_{e}$ App (Minos,T		$\begin{array}{l} \Delta m^2_{\rm atm}, \theta_{13} \ , \delta_{\rm cp} \\ \theta_{23} \\ \theta_{13} \ , \theta_{23} \end{array}$

## Summary of LFV

Process	Present UL	Future UL
$\mu { ightarrow} { m e} \gamma$	2.4×10 <sup>-12</sup>	O(10 <sup>-14</sup> ), MEG upgrade
$\mu \rightarrow eee$	1.0×10 <sup>-12</sup>	0(10 <sup>-16</sup> ), МиЗе
$\mu{+}\mathrm{Ti}{\rightarrow}\mathrm{e}{+}\mathrm{Ti}$	4.3×10 <sup>-12</sup>	0(10 <sup>-17</sup> ), COMET/Mu2e
$\tau { ightarrow} e \gamma$	3.3×10 <sup>-8</sup>	O(10 <sup>-9</sup> ), Future B-factories
$ au{ ightarrow}{ m eee}$	2.7×10 <sup>-8</sup>	O(10 <sup>-10</sup> ), Future B-factories
τ→εμμ	2.7×10 <sup>-8</sup>	O(10 <sup>-10</sup> ), Future B-factories
τ→μγ	4.4×10 <sup>-8</sup>	O(10 <sup>.9</sup> ), Future B-factories
τ→μμμ	2.1×10 <sup>-8</sup>	O(10 <sup>-10</sup> ), Future B-factories
$ au{ ightarrow}\mu ee$	1.8×10 <sup>-8</sup>	O(10 <sup>-10</sup> ), Future B-factories
Κ→πμε	1.3×10 <sup>-11</sup>	
К→еµ	4.7×10 <sup>-12</sup>	

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