

# Family symmetries and the origin of fermion masses and mixings

(based on my work with Graham)

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# Dedication



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Family symmetries and the origin of fermion masses and mixings

# Summary of data: quark mixing

## Wolfenstein parametrisation

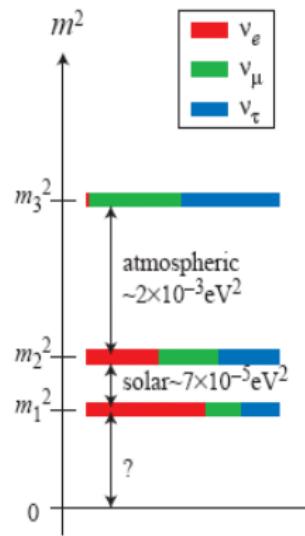
$$V_{CKM} \simeq \begin{pmatrix} 1 & \lambda & \lambda^3 \\ -\lambda & 1 & \lambda^2 \\ \lambda^3 & -\lambda^2 & 1 \end{pmatrix}$$

$\lambda \simeq 0.23$  (Sine of the Cabibbo angle)

# Summary of data: lepton mixing

## Tri-bi-maximal (TBM) mixing

$$V_{PMNS} \simeq \begin{pmatrix} -\sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ \sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \\ \sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \end{pmatrix}$$



# Motivation

## The data

Fermion masses (heavy top, hierarchies, neutrino masses)  
Fermion mixing (Cabibbo angle, near TBM,  $\theta_{13}$ )



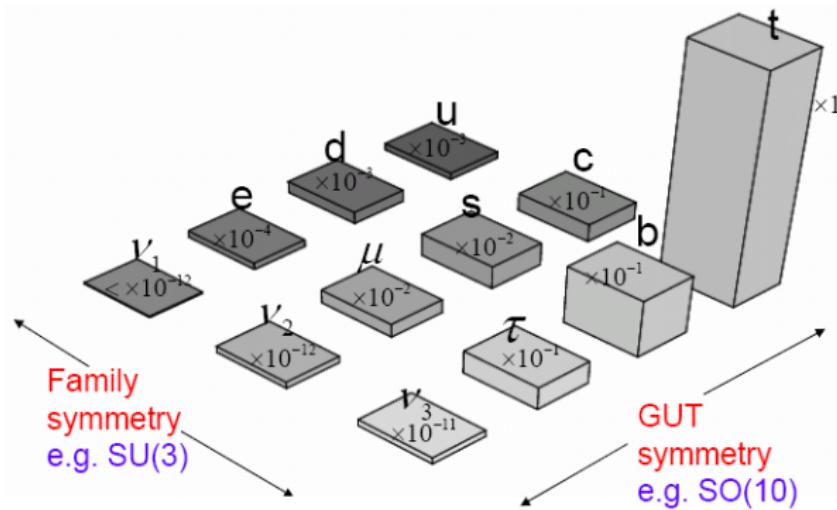
# Beyond the Standard Model with family symmetries

Without  $y_f H f_R$ ,  $\mathcal{L}_{\nu SM}$  has accidental symmetry  $U(3)^6$

FS: upgrade subgroup of  $U(3)^6$  to actual symmetry of  $\mathcal{L}$

- ① Generations charged differently under FS
- ② Yukawa couplings no longer invariant
- ③ FS must be broken somehow...

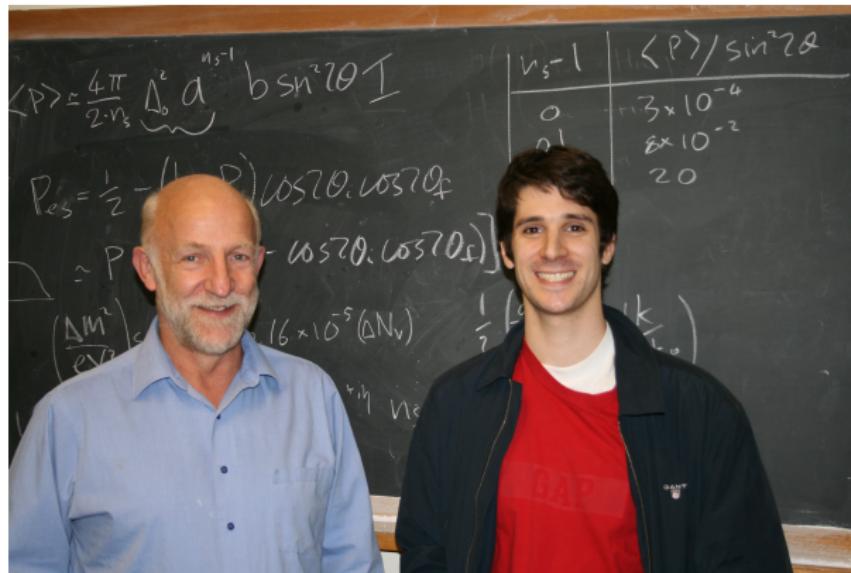
# $SO(10) \times SU(3)$ ?



# Graham Unification Theories

## The challenge

ALL fermion masses and mixing (unified framework)  
Improve upon existing models



# Unification with family symmetry

All fermions can have the same Dirac mass structure!

P. Ramond, R.G. Roberts, G. G. Ross

<https://arxiv.org/abs/hep-ph/9303320>

G. G. Ross, M. Serna

<https://arxiv.org/abs/0704.1248>

$$\frac{M^{Dirac}}{m_3} = \begin{pmatrix} 0 & \varepsilon^3 & -\varepsilon^3 \\ \varepsilon^3 & a\varepsilon^2 + \varepsilon^3 & -a\varepsilon^2 + \varepsilon^3 \\ -\varepsilon^3 & -a\varepsilon^2 + \varepsilon^3 & 1 \end{pmatrix} \quad \begin{aligned} \varepsilon_d &= 0.15, & a^d &= -2/3 \\ \varepsilon_l &= 0.15, & a^e &= -3 \\ \varepsilon_u &= 0.05, & a^u &= 4/3 \\ \varepsilon_v &= 0.05, & a^v &= 0 \end{aligned}$$

**Seesaw and Georgi-Jarlskog (GJ) factors**  
distinguish quarks and leptons

# Texture Zero for quarks

$$M_{11}^{LR} = 0 \quad (1)$$

Texture Zero for up and down quarks gives the Gatto-Sartori-Tonin (GST) relation:

$$\sin \theta_c = \left| \sqrt{\frac{m_d}{m_s}} - e^{i\delta} \sqrt{\frac{m_u}{m_c}} \right| \quad (2)$$

But how to get  $M_{11}^{LR} = 0$ ... And what about the leptons?

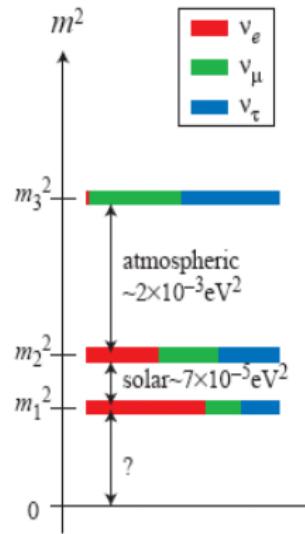
# Mass matrices from aligned VEVs

In this talk, directions:

$$\langle \bar{\phi}_{\text{atm}} \rangle \propto (0, 1, -1)$$

$$\langle \bar{\phi}_{\text{sol}} \rangle \propto (1, 1, 1)$$

FS invariants  $(\bar{\phi}_{\text{atm}}^i F_i), (\bar{\phi}_{\text{sol}}^i F_i)$



# Mass matrices example columns (R)

Term sol L / atm R

$$+y_{\odot}(\bar{\phi}_{\text{sol}}^i F_i)(\bar{\phi}_{\text{atm}}^j f_{Rj}) H$$

Respective mass matrix

$$+y_{\odot} \begin{pmatrix} 0 & \epsilon^3 & -\epsilon^3 \\ 0 & \epsilon^3 & -\epsilon^3 \\ 0 & \epsilon^3 & -\epsilon^3 \end{pmatrix}$$

# Mass matrices example rows (L)

Term atm L / sol R

$$+y_{\text{@}}(\bar{\phi}_{\text{atm}}^i F_i)(\bar{\phi}_{\text{sol}}^j f_{Rj}) H$$

Respective mass matrix

$$+y_{\text{@}} \begin{pmatrix} 0 & 0 & 0 \\ \epsilon^3 & \epsilon^3 & \epsilon^3 \\ -\epsilon^3 & -\epsilon^3 & -\epsilon^3 \end{pmatrix}$$

# $SO(10) \times SU(3)$ with (near) TBM

IdMV, G.G. Ross

<https://arxiv.org/abs/hep-ph/0507176>

Strategy to pass the mixing to low energy after seesaw:

$$\begin{pmatrix} (\bar{\phi}_{\text{atm}}\nu) & (\bar{\phi}_{\text{sol}}\nu) & (\bar{\phi}_{\text{atm}}N^c) & (\bar{\phi}_{\text{sol}}N^c) \\ (\bar{\phi}_{\text{atm}}\nu) & 0 & 0 & \kappa^\nu \\ (\bar{\phi}_{\text{sol}}\nu) & 0 & 0 & 0 \\ (\bar{\phi}_{\text{atm}}N^c) & 0 & \kappa^\nu & \kappa_1^M \\ (\bar{\phi}_{\text{sol}}N^c) & \kappa^\nu & 0 & 0 \end{pmatrix} \quad (3)$$

Gives effective LL Majorana mass terms

$$-\frac{(\kappa^\nu)^2}{\kappa_2^M}(\bar{\phi}_{\text{atm}}\nu)(\bar{\phi}_{\text{atm}}\nu) - \frac{(\kappa^\nu)^2}{\kappa_1^M}(\bar{\phi}_{\text{sol}}\nu)(\bar{\phi}_{\text{sol}}\nu) \quad (4)$$

# $SO(10) \times \Delta(27)$

Directions  $\langle \bar{\phi}_{\text{sol}} \rangle = (1, 1, 1)$  and  $\langle \bar{\phi}_{\text{atm}} \rangle = (0, 1, -1)$

Easy to align in  $\Delta(27)$  (discrete) family symmetry

IdMV, S. F. King, G. G. Ross

<https://arxiv.org/abs/hep-ph/0607045>

Effective Majorana mass terms

$$-\frac{(\kappa^\nu)^2}{\kappa_2^M} (\bar{\phi}_{\text{atm}} \nu)(\bar{\phi}_{\text{atm}} \nu) - \frac{(\kappa^\nu)^2}{\kappa_1^M} (\bar{\phi}_{\text{sol}} \nu)(\bar{\phi}_{\text{sol}} \nu) \quad (5)$$

**Democratic** contribution fills all entries

$$M_{11}^{LR} = 0; M_{11}^{RR} \neq 0; M_{11}^{LL} \neq 0 \quad (6)$$

TBM in neutrino sector, modified slightly by charged lepton matrix which is not diagonal in this basis:  $\theta_{13}$  too small!

# Universal Texture Zero

IdMV, G. G. Ross, J. Talbert

<https://arxiv.org/abs/1710.01741>

Preserve the  $M_{11}$  texture zero in the Majorana mass matrix and into the effective neutrino mass matrix after seesaw:

$$M_{11}^{LR} = M_{11}^{RR} = M_{11}^{LL} = 0 \quad (7)$$

Not TBM in neutrino sector.

Large  $\theta_{13}$  (correlated with other angles).

# $SO(10) \times \Delta(27)$ with UTZ, seesaw

$$\begin{pmatrix} (\bar{\phi}_{\text{sol}}\nu) & (\bar{\phi}_{\text{atm}}\nu) & (\bar{\phi}_{\text{sol}}N^c) & (\bar{\phi}_{\text{atm}}N^c) \\ (\bar{\phi}_{\text{sol}}\nu) & 0 & 0 & \kappa_2^\nu \\ (\bar{\phi}_{\text{atm}}\nu) & 0 & 0 & \kappa_1^\nu \\ (\bar{\phi}_{\text{sol}}N^c) & 0 & \kappa_2^\nu & 0 \\ (\bar{\phi}_{\text{atm}}N^c) & \kappa_2^\nu & \kappa_1^\nu & \kappa_2^M \\ \end{pmatrix} \quad (8)$$

Seesaw into effective Majorana mass terms

$$\begin{pmatrix} (\bar{\phi}_{\text{sol}}\nu) & (\bar{\phi}_{\text{atm}}\nu) \\ (\bar{\phi}_{\text{sol}}\nu) & 0 \\ (\bar{\phi}_{\text{atm}}\nu) & \frac{(\kappa_2^\nu)^2}{\kappa_2^M} \\ \end{pmatrix}. \quad (9)$$

# Results (summarised)

Universal Texture Zero: labour saving devices are good!

Nice family symmetry GUT model with UTZ.

Important postdictions:

The Cabibbo angle (GST),

Expected charged lepton masses (GJ),

Daya-Bay reactor angle ( $\theta_{13}$ ).

# Higgs mediators: terms

$$\begin{aligned} P_S &= M \bar{X}^i X_i + \bar{\phi}_3^i \bar{\phi}_3^i \bar{X}^i H / M_X^a \\ &= M \bar{X}^i \left( X_i + \bar{\phi}_3^i \bar{\phi}_3^i H / M M_X^a \right) \end{aligned}$$

with  $\langle \bar{\phi}_3 \rangle \propto (0, 0, 1)$

$$H_I = X_3 - H \frac{M M_X^a}{\langle \bar{\phi}_3 \rangle^2} \approx X_3$$

Then if

$$P_Y = \sum_i X_i \psi_i \psi_i^c$$

Renormalizable Yukawa for 3rd generation, as only  $X_3$  is light!

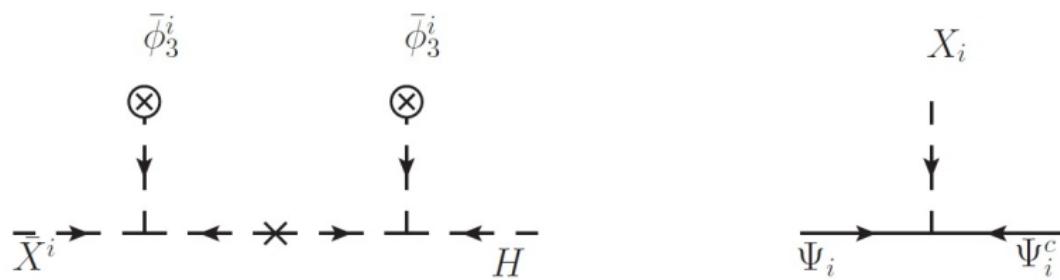
# Higgs mediators: matrix

Mass matrix of  $(H, \bar{X}^3, X_3)$ :

$$\begin{pmatrix} 0 & \langle\bar{\phi}_3\rangle^2/M_X^a & 0 \\ \langle\bar{\phi}_3\rangle^2/M_X^a & 0 & M \\ 0 & M & 0 \end{pmatrix}$$

State  $H_l = X_3 - H \frac{M M_X^a}{\langle\bar{\phi}_3\rangle^2}$  with mass 0

# Higgs mediators: diagrams



# Generalisation: the scalars

$$\begin{aligned} P_S = & M \bar{X} X + M \bar{Y} Y + \phi \bar{Z} Z \\ & + \bar{\phi}_3^i \bar{\phi}_3^i \bar{X}^i H / M_X^a \\ & + a \bar{\phi}_{23}^i \bar{\phi}_{23}^i \bar{Y}^{(a),i} H / M_X^b \\ & + b [\bar{\phi}_{23} \bar{\phi}_{23} \bar{Y}^{(b)}]_+ H / M_X^b \\ & + [\bar{\phi}_{23} \bar{\phi}_{123} \bar{Z}]_- H / M_X^c, \end{aligned}$$

$$\langle \bar{\phi}_{23} \rangle / M_X = (0, \epsilon_f, -\epsilon_f), \quad \langle \bar{\phi}_{123} \rangle / M_X \sim (\epsilon_f^2, \epsilon_f^2, \epsilon_f^2)$$

# Higgs light state

$$\begin{aligned} H_I \approx & X_3 + \left( \frac{\langle \bar{\phi}_{23} \rangle^2}{\langle \bar{\phi}_3 \rangle^2} \right) \frac{M_X^a}{M_X^b} \left( a(Y_2^{(a)} + Y_3^{(a)}) - 2bY_1^{(b)} \right) \\ & + \left( \frac{\langle \bar{\phi}_{23} \rangle \langle \bar{\phi}_{123} \rangle}{\langle \bar{\phi}_3 \rangle^2} \right) \frac{M_X^a}{M_X^c} (2Z_1 - Z_2 - Z_3) - H \frac{M M_X^a}{\langle \bar{\phi}_3 \rangle^2}, \end{aligned}$$

# Generalisation: Yukawa

$$P_Y = X_i \Psi_i \Psi_i^c + \left( a' Y_i^{(a)} \Psi_i \Psi_i^c + b' [Y^{(b)} \Psi \Psi^c]_+ \right) \Sigma / M_X + [Z \Psi \Psi^c]_-$$

# Fermion masses

$$\langle \bar{\phi}_{23} \rangle / M_d = (0, \epsilon_f, -\epsilon_f), \quad \langle \bar{\phi}_{123} \rangle / \langle \bar{\phi}_{23} \rangle \sim \epsilon_f$$

$$M_f \sim \begin{pmatrix} 0 & -\epsilon_f^3 & \epsilon_f^3 \\ \epsilon_f^3 & a^f \epsilon_f^2 & -2ba^f \epsilon_f^2 + 2\epsilon_f^3 \\ -\epsilon_f^3 & -2ba^f \epsilon_f^2 - 2\epsilon_f^3 & 1 \end{pmatrix}$$

# Fermion masses, $\Sigma$

$$a^f \propto <\Sigma>/M_X, \quad a^\nu \sim 0, \quad a^l \sim 3a^d \sim 3a^u/2$$

$$M_f \sim \begin{pmatrix} 0 & -\epsilon_f^3 & \epsilon_f^3 \\ \epsilon_f^3 & a^f \epsilon_f^2 & -2ba^f \epsilon_f^2 + 2\epsilon_f^3 \\ -\epsilon_f^3 & -2ba^f \epsilon_f^2 - 2\epsilon_f^3 & 1 \end{pmatrix}$$

# Results (summarised)

Higgs mediators: now we are cooking with gas!

Alternative to Froggatt-Nielsen style completion:  
Renormalizable (3rd generation) Yukawa couplings,  
Diminute fermionic sector.

# Conclusion

