Rare decays and tests of lepton flavour universality in (b-)quark flavour physics at LHCb Monica Pepe Altarelli (CERN) On behalf of LHCb

Corfu Summer Institute 2021, August 30-September 7



• The SM, at the current level of experimental precision and at the energies reached so far, is the most successful and best tested theory of nature at a fundamental level.

• In the SM, the only interaction distinguishing the three flavours is the interaction of the matter fields with the Higgs boson (Yukawa interaction). The complex phases present in the Yukawa couplings are also the only source of Charge-Parity (CP) violation. C = charge conjugation (swapping particles & antiparticles) P = parity (spatial inversion, like reflection in a mirror)

• CP (Charge-Parity) violation is required to explain the matter-antimatter asymmetry of the Universe

Are there other sources of flavour (and CP) symmetry breaking, beside the SM Yukawa couplings?

Why flavour?

What determines the observed pattern of masses of quarks and leptons? Why are they arranged in generations? Why three?

• To be able to answer these questions is likely to shed light on physics beyond the SM...

• Flavour physics might provide the first indications of new physics at energy scales that are beyond the reach of direct searches

CP (Charge-Parity) violation is connected to the matter-antimatter asymmetry of the Universe

Why flavour II?



Where did the anti-matter go? What led to the disappearance of antimatter assuming an initial symmetric state (or that inflation washed out any possible prior asymmetry)? There are anti-protons in cosmic rays, consistent with secondaries due to the interactions of cosmic-ray protons in the Interstellar Medium We can produce and study anti-matter in accelerators But apparently no anti-matter around us This looks really strange, given that the properties of matter and antimatter are very similar. Where did it go? Why is the universe 100% matterantimatter asymmetric ?



• CP violation in the SM too small to explain it (by many orders of magnitude)

• Where might we find it?

Can the SM explain the Baryon Asymmetry of the Universe?

CP violation beyond the SM must exist!

- quark sector, as deviations from CKM predictions

- lepton sector, e.g. as CP violation in neutrino oscillations

- other new physics: almost all TEV-scale NP contains new sources of CP violation and precision measurements of flavour observables are generically sensitive to additions to the Standard Model



Rare b decays

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• A new particle, too heavy to be produced at the LHC, can give sizeable effects when exchanged in a loop



• Strategy: use well-predicted observables to look for deviations Indirect approach to New Physics searches, complementary to that of ATLAS/ CMS



Energy reach of various indirect precision tests of physics beyond the SM compared to direct searches

Observable

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Matt Reece, DOE Basic Research Needs Study on HEP Detector R&D

bises for the form CKN & OPV Spectroscopy

lons and fixed target

Semileptonic decays

The Efcored aborations 21000 authors from 109 institutes in 19 countries

EW and QCD

Exotica searches

Magnet

stations, new participants

JINST 3 (2008) S08005

Forward acceptance Efficient trigger for hadronic and

leptonic modes Acceptance down to low pt Precision tracking and vertexing (VErtex LOcator@8 mm from beam) • Excellent PID

> MUON CALO

TRACKING

MAGNET

Why does LHCb look so different? The *B* mesons formed by the colliding proton beams (and the particles they decay into) stay close to the line of the beam pipe, and this is reflected in the design of the detector

b lifetime long enough for experimental detection

 $\star \ \gamma = E/mc^2 \sim 20 \quad (E:b \text{ energy})$

• $D = 20 \cdot 3 \cdot 10^{10} \cdot 1.5 \cdot 10^{-12} \sim 1 \,\mathrm{cm}$

Look for displaced vertices and/or tracks with large impact parameters

$\tau \sim 1/(m^5 |V_{\rm cb}|^2)$

CMS

- 1&2)
 - CMS)

• pp beams displaced to reduce \mathscr{L} 2.0 10 ³³ cm⁻²s⁻¹ in Run 3 $-\mathscr{L} \sim 10^{34} \text{ cm}^{-2} \text{s}^{-1} (\text{ATLAS/CMS})$

 Huge heavy quark production cross-sections !! - $\sigma_b \sim 150 \mu b @ \sqrt{s} = 13 \text{ TeV} (~1\text{nb} in e^+e^- @Y(4s))$ ~10¹¹ b decays/fb in acceptance - σ_c is ~ 20 times larger! ~10¹² c decays/fb in acceptance

• LHCb designed to run at lower \mathscr{L} than ATLAS/ 10^{3}

- Tracking, Particle Identification sensitive to pileup - $\mathscr{L}_{int} = 9 \, \text{fb}^{-1}$ (LHCb), $\mathscr{L}_{int} = \sim 140 \, \text{fb}^{-1}$ (ATLAS/

- $\mathscr{L} \sim 4.0 \ 10^{32} \ \text{cm}^{-2}\text{s}^{-1}$ (LHCb) to be increased to

Running conditions II • For LHCb, more data is more important than higher energy

- Direct searches @ATLAS/CMS: more energy → new particles could appear above threshold
- Indirect searches: precision measurements \rightarrow gain from increased production rates

• However, digesting more data is a true challenge! • At 13 TeV and $\mathscr{L}=2x10^{33}/cm^2/sec$, ~100 kHz $b\bar{b}$ and ~1MHz $c\bar{c}$ pairs in detector acceptance • Most interesting b-hadron decays occur at 10-5 probability or lower • Big challenge \rightarrow requires powerful trigger

The LHCb schedule ~5 visible ~50 visible interaction LHCb Upgrade Run4 Run5 $\rightarrow \mathcal{L}_{int} \sim 50 \text{ fb}^{-1}$ LS4 $\mathcal{L} = 1-2 \text{ x } 10^{34}$ LS5 $\rightarrow \mathcal{L}_{int} \sim 300 \text{ fb}^{-1}$ 2028 2029 2030 2031 2032 2033 2034 2035 2036

	Run3				
$\mathcal{L} = 2 \times 10^{33}$			LS3 HL-LHC - ATLAS/CMS Phase 2 upgrades		
2022	2023	2024	2025	2026	2027
S				LH(Cb Up

grade I: incremental nents/prototype detectors

The upgraded detector Less than 10% of all channels will be kept! NEW RO electronics NEW DAQ & data centre Upstream Tracker Tracker scintillating fibres

SPD/PS

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HCA

M3

40 MHz Readout Software trigger only

Calorimetry and muons:

replace RO electronics & remove redundant components

M5

photodetectors

VELO pixels (5.1 mm from beam)

detector Less than 10% of all channels will be kept! NEW RO electronics NEW DAQ & data centre Upstream Tracker Tracker scintillating fibres

SPD/PS

40 MHz Readout Software trigger only

Calorimetry and muons:

HCAI

M3

replace RO electronics & remove redundant components

M5

22

photodetectors

VELO pixels (5.1 mm from beam)

Installation being completed under very tight timescale and hard pandemic restrictions

UT staves in transport box

 Two key components of upgrade selection deployed in Run 2: - Alignment & calibration in real time - Analysis with "Turbo stream" (reduced data format)

 The performance of a final analysis quality event reconstruction in real time crucial for processing large quantities of data

• In addition, the L0 hardware trigger will be removed : read the full event at 40 MHz and implement trigger in software

• Trigger-less readout allows ~2 x higher efficiency for hadronic decays at 5 x higher luminosity

Run 2 to Upgrade • Run 2 served as a demonstrator for the upgrade

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Event 146539692 Run 174933 Sat, 21 May 2016 05:45:41

pp collision point

B_s^0 17 mm

One of the milestones of flavour programme $B_{(s)} \rightarrow \mu^+ \mu^-$

Very suppressed in the SM

- Theoretically "clean" \rightarrow precisely predicted:

Sensitive to NP

- A large class of NP theories, such as SUSY, predict significantly higher values for the $B_{(s)}$ decay probability • Very clean experimental signature - Studied by all high-energy hadron collider experiments

Loop, CKM ($|V_{ts}|^2$ for B_s) and helicity ~ $\left(\frac{m_{\mu}}{M_{T}}\right)$

$\mathscr{B}(B_s^0 \to \mu^+ \mu^-)_{\text{SM}} = (3.66 \pm 0.14) \times 10^{-9}$ (~4%) $\mathscr{B}(B^0 \to \mu^+ \mu^-)_{\rm SM} = (1.03 \pm 0.05) \times 10^{-10}$

• LHCb, PRL 118 (2017) 191801 $B(B_{\rm s}^0 \to \mu^+ \mu^-) = (3.0 \pm 0.6^{+0.3}_{-0.2}) \times 10^{-9}$ 7.8 σ $B(B^0 \to \mu^+ \mu^-) < 3.4 \times 10^{-10} @95\% CL$

• CMS, JHEP 04 (2020) 188 $B(B_s^0 \to \mu^+ \mu^-) = (2.9 \pm 0.7 \text{ (exp)} \pm 0.2 \text{ (frag)}) \times 10^{-9} 5.6\sigma$ $B(B^0 \to \mu^+ \mu^-) < 3.6 \times 10^{-10} @95\% CL$

• **ATLAS**, JHEP 04 (2019) 098

 $B(B_{\rm s}^0 \to \mu^+ \mu^-) = (2.8^{+0.8}_{-0.7}) \times 10^{-9}$ $B(B^0 \to \mu^+ \mu^-) < 2.1 \times 10^{-10} @95\% CL$

- 4.6σ

- $\mathscr{B}(B_s^0 \to \mu^+ \mu^-) = (2.69^{+0.37}_{-0.35}) \times 10^{-9}$
- $\mathscr{B}(B^0 \to \mu^+ \mu^-) < 1.9 \times 10^{-10} @95\% CL$

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Full statistics

 $\mathscr{B}(B_s^0 \to \mu^+ \mu^-) = (3.09^{+0.46+0.15}_{-0.43-0.11}) \times 10^{-9} \quad 10 \,\sigma$ $\mathscr{B}(B^0 \to \mu^+ \mu^-) < 2.6 \times 10^{-10} @95\% CL$ • $B_s \rightarrow \mu^+ \mu^-$ found with significance >10 σ , but no evidence yet for $B^0 \rightarrow \mu^+ \mu^-$ (1.7 σ)

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Full statistics

arXiv:2108.09283 arXiv:2108.09284

Finding a needle in a haystack!

 $A_{\Delta\Gamma} \equiv \frac{\Gamma(B_s^H \to \mu^+ \mu^-) - \Gamma(B_s^L \to \mu^+ \mu^-)}{\Gamma(B_s^H \to \mu^+ \mu^-) + \Gamma(B_s^L \to \mu^+ \mu^-)} \qquad \overset{\mbox{\equation Sigma}}{\underbrace{\bigcirc}}$

• In SM $A_{\Delta\Gamma} = 1$, i.e. B_s system evolves with the lifetime of the heavy B_s mass eigenstate, but in NP scenarios $A_{\Lambda\Gamma}$ could be anywhere in range [-1,1]

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• In SM, smaller BF wrt $B(B_s \rightarrow \mu^+ \mu^-)$ due to tiny $(m_{\rho})^2$ factor

 LHCb measurement based on Run1 and partial Run 2 $(4 \, \text{fb}^{-1})$ relative to $B^+ \to K^+ J/\psi (\to e^+ e^-)$

 $\mathscr{B}(B_s \to e^+ e^-) < 9.4 \times 10^{-9} @ 90 \% CL$ $\mathscr{B}(B^0 \to e^+ e^-) < 2.5 \times 10^{-9} @ 90 \% CL$

PRL 124 (2020) 211802

- $\mathscr{B}(B_s \to e^+ e^-) = (8.60 \pm 0.36) \times 10^{-14}$
- $\mathscr{B}(B^0 \to e^+ e^-) = (2.41 \pm 0.13) \times 10^{-15}$

Beneke et al. JHEP 10 (2019) 232

- out of reach from the experimental point of view \rightarrow very little attention - limit by CDF (from 2009) $B_s \rightarrow e^+ e^- = \langle 2.8 \times 10^{-7} @ 90 \% \text{ CL} \rangle$ CDF: PRD102 (2009)201801

nt 27196644 า 116153 e, 22 May 2012 09:02:21

ECAL HCAL

- quarks
- sensitive to NP
- predictions.

 - virtual photon?
- Question: how clean?

• Same loop diagrams, different spectator

• Rates, angular distributions and asymmetries

• A lot of phenomenological work invested in defining observables with "clean" theoretical

- Observables form-factor free at leading order - Still susceptible to non-factorisable corrections - E.g. are we estimating correctly contributions from charm loops that produce a $\ell^+\ell^-$ pair via a

However inconclusive when adding ATLAS, CMS and Belle

from 'form-factor uncertainties'

• General good agreement with SM found in most observables • LHCb observed a tension in the "optimised variable" P_5' , not exactly intuitive, but constructed from ratios of angular observables to be robust

LHCb: JHEP 02 (2016) 104 Belle: PRL 118 (2017) 111801 ATLAS: JHEP 10 (2018) 047 CMS: PLB 781 (2018) 517541

• First measurement of full set of angular observables for this decay, based on full statistics • More difficult experimentally, smaller signal yield: observables determined using a "folding technique"

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Global 3σ tension with SM

Intriguing set of results in differential branching fractions for $b \rightarrow s\mu\mu$ transitions

• 3.6σ below SM in q^2 region between 1.1 and 6.0 GeV²

Intriguing set of results in differential branching fractions for $b \rightarrow s\mu\mu$ transitions

Tests of LEU in $b \rightarrow s \ell^+ \ell^-$ transitions

Lepton Flavour Universality

• The property that the three charged leptons (e, μ , τ) couple in a universal way to the SM gauge bosons

• In the SM the only flavour non-universal terms are the three lepton masses: $m_{\tau}, m_{\mu}, m_{e} \leftrightarrow 3477 / 207 / 1$

• If NP couples in a non-universal way to the three lepton families, then we can discover it by comparing classes of rare decays involving different lepton pairs (e.g. e/μ or μ/τ)

• Test LFU in $b \rightarrow s\ell^+\ell^-$ transitions, i.e. flavour-changing neutral currents with amplitudes involving loop diagrams

Lepton Flavour Universality II

• The SM quantum numbers of the three families could be an "accidental" lowenergy property: the different families may well have a very different behaviour at high energies, as signalled by their different mass

• If NP couples in a non-universal way to the three lepton families, then we can discover it by comparing classes of rare decays involving different lepton pairs $(e.g. e/\mu or \mu/\tau)$

• Test LFU in $b \rightarrow s\ell^+\ell^-$ transitions, i.e. flavour-changing neutral currents with amplitudes involving loop diagrams

 $g^e_{Z'}, g^\mu_{Z'}, g^\tau_{Z'}$

The family of *R* ratios • Comparing the rates of $B \to He^+e^-$ and $B \to H\mu^+\mu^-$ allows precise testing of lepton flavour universality $R_{\rm H} \left[q_{\rm min}^2, q_{\rm max}^2 \right] = \frac{\int_{q_{\rm min}^2}^{q_{\rm max}^2} \mathrm{d}q^2 \frac{\mathrm{d}\Gamma(B \to H\mu^+\mu^-)}{\mathrm{d}q^2}}{\int_{q_{\rm min}^2}^{q_{\rm max}^2} \mathrm{d}q^2 \frac{\mathrm{d}\Gamma(B \to He^+e^-)}{\mathrm{d}q^2}} , \quad q^2 = m^2(\ell\ell)$ $B: B^+, B^0, B_{\rm s}^0, \Lambda_{\rm b}^0$ *H*: K^+, K^{*0}, pK, ϕ ... • These ratios are clean probes of NP :

- Sensitive to possible new interactions that couple in a non-universal way to electrons and muons

corrections at ~% level

- Small theoretical uncertainties because hadronic uncertainties cancel : $R_{\rm H} = 1$ in SM, neglecting lepton masses, with QED

Doesn't capture all Some misattributed

• Lepton identification is anything but universal!

degrading momentum and mass resolution

Attempt to recover the emitted photons

Lower efficiency of electron trigger

Very challenging measurements

Electrons emit a large amount of bremsstrahlung, JHEP 08 (2017) 055

muons vs electrons

arXiv:2103.11769

Partially reconstructed background, mainly from $B^{(0,+)} \rightarrow K^+ \pi^{(-,0)} e^+ e^$ where a pion is lost

6000 $m(K^+e^+e^-)$ [MeV/ c^2]

Measure as a double ratio

- double ratio
- Analyses performed blind

• To mitigate muon and electron reconstruction differences, measurement performed as a double ratio with "resonant" control modes $B^0 \rightarrow J/\psi H$, which are not expected to be affected by NP:

 \rightarrow Relevant experimental quantities: yields & (trigger, reconstruction and selection) efficiencies for the four decay modes

• Similarities between the experimental efficiencies of the non resonant and resonant modes ensure a substantial reduction of systematic uncertainties in the

known to be compatible with unity within 0.4%

previous analysis

Important crosschecks $r_{J/\psi} = \frac{\mathcal{B}(B^+ \to J/\psi(\to \mu^+ \mu^-)K^+)}{\mathcal{B}(B^+ \to J/\psi(\to e^+ e^-)K^+)} = \frac{\mathcal{N}(\mu^+ \mu^-)}{\varepsilon(\mu^+ \mu^-)} / \frac{\mathcal{N}(e^+ e^-)}{\varepsilon(e^+ e^-)} = 0.981 \pm 0.020$

- very stringent cross-check, which requires control of the relative selection efficiencies for the resonant electron and muon modes

 $R_{\psi(2S)} = \frac{\mathcal{B}(B^+ \to \psi(2S)(\to \mu^+ \mu^-)K^+)}{\mathcal{B}(B^+ \to J/\psi(\to \mu^+ \mu^-)K^+)} / \frac{\mathcal{B}(B^+ \to \psi(2S)(\to e^+ e^-)K^+)}{\mathcal{B}(B^+ \to J/\psi(\to e^+ e^-)K^+)} = 0.997 \pm 0.011$

• $R_K(1.1 < q^2 < 6.0 \,\text{GeV}^2) = 0.846^{+0.042}_{-0.039} \,(\text{stat})^{+0.013}_{-0.012} \,(\text{syst})$

Another puzzling result in tree-level $b \rightarrow c$ transitions

 $R(D^*)_{SM} = 0.258 \pm 0.005$

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- sensitive to any NP model coupling preferentially to third generation leptons • Predicted theoretically at ~1%: $R(D)_{SM} = 0.299 \pm 0.003$

Studied by Belle, BaBar and LHCb

 μ^+/τ^+

HFLAV average, 2019

*

• All experiments see an excess wrt SM predictions • ~ 3.1σ tension (2.5σ on $R(D^*)$) Intriguing as it occurs in a tree-level SM process

R(D)

R(D)• All experiments see an excess wrt SM predictions 3σ tension (2.5 σ on $R(D^*)$) Intriguing as it occurs in a tree-level SM process

• Neutral decays (@95% CL)

 $\mathcal{B}(B^0_s
ightarrow e^{\pm} \mu^{\mp}) < 6.3 imes 10^{-9}$ ${\cal B}(B^0 o e^\pm \mu^\mp) < 1.3 imes 10^{-9}$ $\mathcal{B}(B^0_s o au^\pm \mu^\mp) < 4.2 imes 10^{-5}$ $\mathcal{B}(B^0 o au^\pm \mu^\mp) < 1.4 imes 10^{-5}$

PRL123 (2019) 241802 Charged decays JHEP 06 (2020) 129

Aword on LEV

Many models proposed to explain these tensions naturally allow for LFV processes with rates that are experimentally accessible

- $\mathscr{B}(B^+ \to K^+ \mu^- e^+) < 9.5 \times 10^{-9} @95\% \text{ CL}$
- $\mathscr{B}(B^+ \to K^+ \mu^+ e^-) < 8.8 \times 10^{-9} @95\% \text{ CL}$

 $\mathscr{B}(B^+ \to K^+ \mu^- \tau^+) < 4.5 \times 10^{-5} @95\% \text{CL}$ 59

JHEP 1803(2018) 078 PRL 123(2019) 211801

Take home message

- of new particles.
- theoretical ideas.
- upgrade and Belle II

• Precise measurements of flavour observables provide a powerful way to probe for NP effects beyond the SM, complementing direct searches for NP. This is particularly relevant in the absence of direct collider production

 Many world record results. For some topics we have moved from exploration to precision measurements

 Most of these results show good compatibility with the SM, but hints of LFU violation are still persisting! This has generated a lot of interesting

• Need more data to test these hints: full analysis of Run 2, but also results from ATLAS and CMS (ATLAS, CMS, LHCb flavour anomaly workshop on 20 October) while waiting for the high-precision results from the LHCb

 $\mathcal{B}(B_{\rm s}^0 \to \tau^+ \tau^-) = (7.73 \pm 0.49) \times 10^{-7}$ $\mathcal{B}(B^0 \to \tau^+ \tau^-) = (2.22 \pm 0.19) \times 10^{-8}$

- Searched by LHCb through the decay $\tau^- \to \pi^- \pi^+ \pi^- \nu_{\tau}$
- optimised for $B_{\rm S}$
- then fit MVA
- Limits set (Run1 data): PRL 118 (2017) 251802 $\mathcal{B}(B_{\rm s} \to \tau^+ \tau^-) < 6.8 \times 10^{-3}$ at 95% C.L. $\mathcal{B}(B_{\rm d} \to \tau^+ \tau^-) < 2.1 \times 10^{-3}$ at 95% C.L.

 $B_{\rm s,d} \rightarrow \tau^+ \tau^-$

• In the SM, larger BF due to larger τ mass $(m_{\tau}^2/M_{\rm B}^2)$

• Experimentally challenging due to undetected neutrinos in final state 10⁴

• $B_{s,d}$ unresolvable in mass \rightarrow analysis

• Exploit intermediate $\rho(770)^{\circ}$ resonance to define signal/control regions of $m_{\pi^{-}\pi^{+}}$,

Bobeth et al.

