

Asymptotic symmetries in asymptotically flat spacetimes

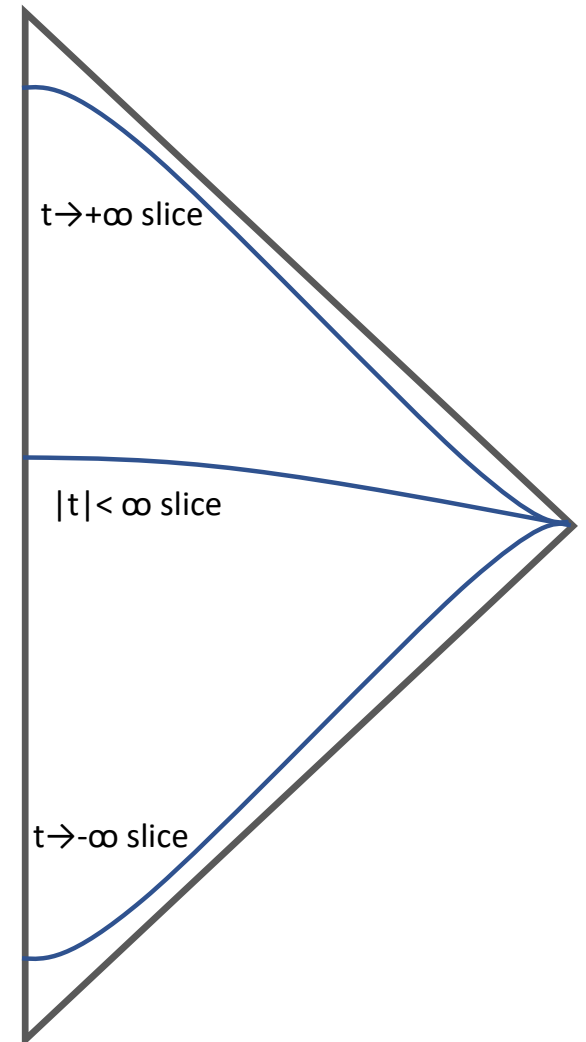
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Celestial Amplitudes and Flat Space
Holography Workshop 2021

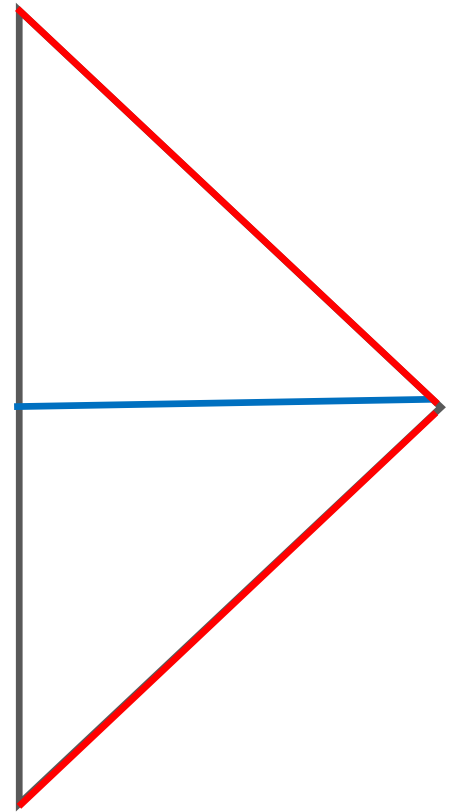
Field theories in (asymptotically) flat spacetimes are usually described by data at:

- $t = \text{const}$ slice (e.g. Hamiltonian formulation)
- $t \rightarrow \pm\infty$ slice (e.g. scattering)
- Asymptotic symmetries may look different on each description but they should be compatible (not obvious!)
- In this talk I will mostly focus on $t \rightarrow \pm\infty$ description.



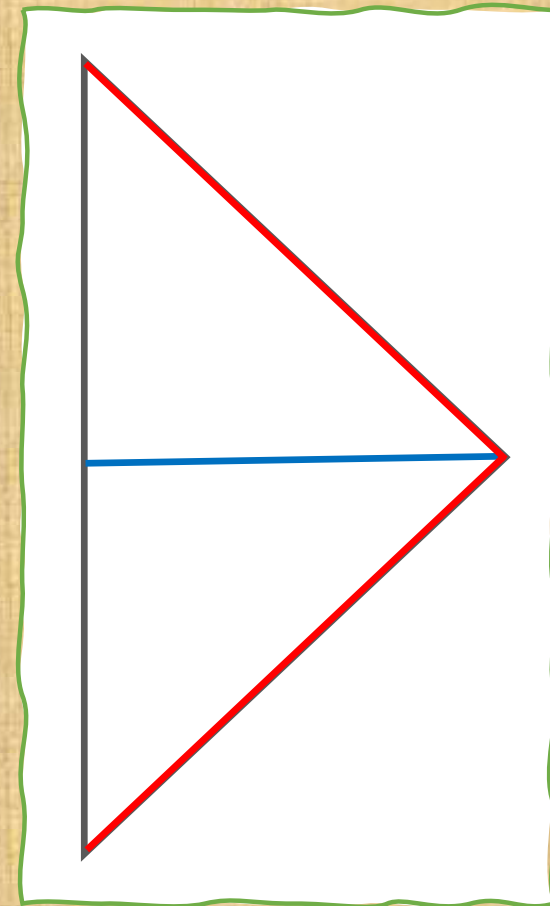
Asymptotically flat gravity in 4d

- The most elementary symmetries are (asymptotic) spacetime translations
 - Notion of energy-momentum
- Understood in the 60's:
 - finite t formulation (Arnowitt Deser Misner)
 - $t \rightarrow \pm\infty$ formulation (Bondi Metzner Sachs)
- Compatibility of the 3 descriptions:
[Ashtekar & Magnon-Ashtekar '79]
(conservation of energy-momentum)



BMS found extra symmetries: supertranslations

- Compatibility between $t \rightarrow \pm\infty$ descriptions?
[Strominger '13]
- Compatibility with ADM description?
[Henneaux & Troessaert '18]
- BMS do not exhaust all asymptotic symmetries!
 \rightarrow superrotations [Barnich & Troessaert '10]
- What is the full asymptotic symmetry group of asymptotically flat gravity?



What is the full asymptotic symmetry group of asymptotically flat 4d gravity?

We can take guidance from

- Soft theorems ✓
- Simpler theories (e.g. d=4 QED and d≠4 gravity)
- Analysis of field equations near spatial infinity
- CCFT
-

- Soft theorems:
 - Classical and quantum
 - Tree vs loop level
 - Leading, subleading, sub-subleading,....
- Best (and first) established connection with asym. symmetries:

$O(1/\omega)$ Weinberg's soft thm \leftrightarrow supermomentum conservation
[He, Lysov, Mitra, Strominger '14]

- Does not receive loop corrections
- Valid at classical and quantum level

Higher order soft theorems

- Tree level: $O(\omega^n)$ $n=0,1,\dots$

[Cachazo Strominger '14, Hamada Shiu '18, Li Lin Zhang '18]

- Loop level: $O(\omega^n \log^{n+1} \omega)$ $n=0,1,\dots$

[Laddha Sen '18, Bhatkar Sahoo '18 Saha Sahoo Sen '19 Sahoo '20 Sahoo Sen '21]

- Clear asymptotic symmetry interpretation only for $O(\omega^{n=0})$ (superrotations) [Kapec Lysov Pasterski Strominger '14]

Even then:

- Classical phase-space description is quite subtle
- Not known spatial infinity description

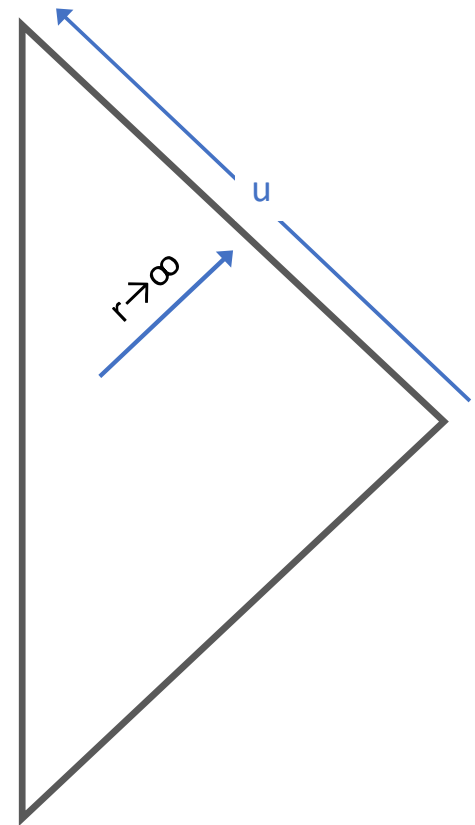
Plan for the remainder of the talk

1. Bondi expansion of spacetime metric and BMS
2. Superrotations and $O(\omega^0)$ tree-level soft thm
3. Symmetry interpretation for loop-level $O(\log \omega)$ soft thm?
4. Symmetry interpretation for tree-level $O(\omega^{n>0})$ soft thm?
5. Analogue problem in QED

Bondi expansion of the spacetime metric

$$ds^2 \stackrel{r \rightarrow \infty}{\cong} -du^2 - 2dudr + r^2 \left(q_{ab} + \frac{1}{r} C_{ab} \right) dx^a dx^b + \dots$$

- r radial coordinate
- $u \sim t - r$ retarded time
- $x = x^a$ coordinates on celestial sphere. E.g. $x = (z, \bar{z})$ or $x = (\theta, \varphi)$.
- q_{ab} : 2d metric at null infinity
- $C_{ab}(u, x)$: “free data” at null infinity = “shear” = transverse, trace-free part of metric perturbation: $h_{ij} \sim \frac{1}{r} D^a \hat{n}_i D^b \hat{n}_j C_{ab}$



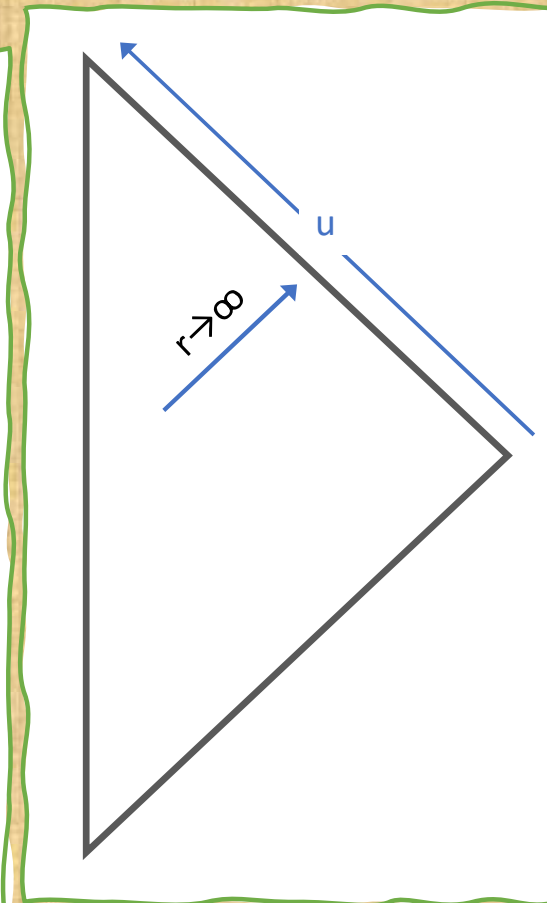
Bondi expansion of the spacetime metric

$$ds^2 \stackrel{r \rightarrow \infty}{\equiv} -du^2 - 2dudr + r^2 \left(q_{ab} + \frac{1}{r} C_{ab} \right) dx^a dx^b + \dots$$

- To complete description we must specify $u \rightarrow \pm\infty$ fall-offs
- Most basic requirement:

$$\partial_u C_{ab}(u, x) \stackrel{|u| \rightarrow \infty}{\rightarrow} 0 \iff \tilde{C}_{ab}(\omega, x) \stackrel{\omega \rightarrow 0}{\equiv} O(1/\omega)$$

- Decay rate related to subleading terms in soft expansion



Large diffeomorphisms

- Asymptotically Killing \leftrightarrow BMS

- (super)translations: $\xi_f = f(x)\partial_u + \dots$

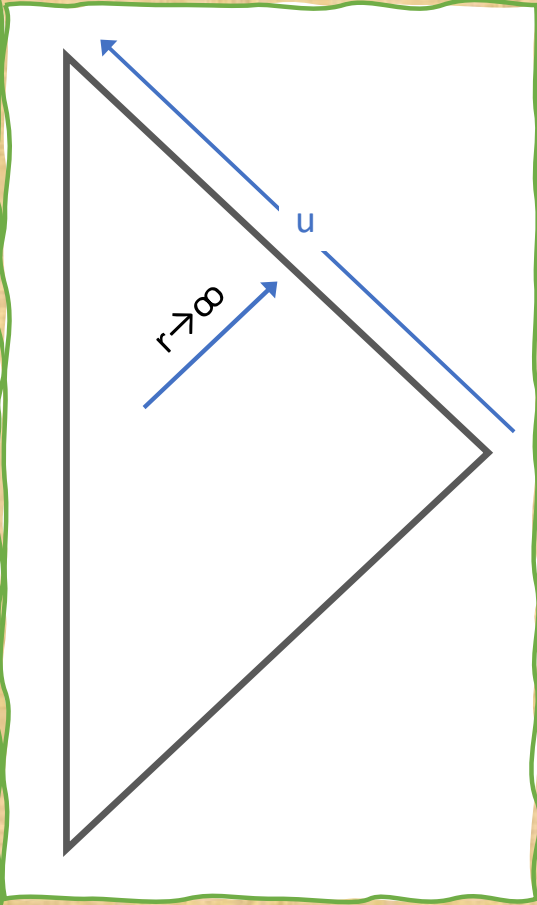
$$\begin{aligned} \delta_f q_{ab} &= 0 \\ \delta_f C_{ab} &= f\partial_u C_{ab} - 2D_a D_b f \end{aligned}$$

- Rotations/Boosts: $\xi_V = V^a(x)\partial_a + \frac{u}{2}D_c V^c \partial_u + \dots$, V^a : CKV of q_{ab}

$$\begin{aligned} \delta_V q_{ab} &= \mathcal{L}_V q_{ab} - D_c V^c q_{ab} = 0 \\ \delta_V C_{ab} &= \left(\mathcal{L}_V - \frac{1}{2}D_c V^c + \frac{u}{2}D_c V^c \partial_u \right) C_{ab} - u \overbrace{D_a D_b D \cdot V}^{=0} \end{aligned}$$

- Superrotations:

- Relax CKV at isolated points [Barnich Troessaert '10]
- Drop CKV condition altogether [MC Laddha '15]
- Can get one from the other by appropriate limit/smearing [Donnay Pasterski Puhm '20]



Superrotations

- Need to relax Bondi expansion by
 - $O(r^2)$ terms in angular metric and
 - $O(u)$ terms in shear

$$\begin{aligned}\delta_V q_{ab} &\neq 0 \\ \delta_V C_{ab} &= (\mathcal{L}_V + \dots) C_{ab} - u D_a D_b D \cdot V\end{aligned}$$

- Relaxed expansion implicit in [Geroch '76].
- $O(u)$ term encoded in a 2d tensor defined by

$$D^b T_{ab} = -\frac{1}{2} D_a \mathcal{R}[q]$$

- Usefully written in terms of a "Liouville field"
[Compere Long '16, Compere Fiorucci Ruzziconi '18]

$$\begin{aligned}\mathcal{R} &= 2(e^{-2\psi} - D^2\psi) \\ T_{ab} &= 2(D_a\psi D_b\psi + D_a D_b\psi)\end{aligned}$$

- Used to define a "renormalized" shear

$$\hat{C}_{ab} := C_{ab} - u T_{ab} \implies \delta_V \hat{C}_{ab} = (\mathcal{L}_V + \dots) \hat{C}_{ab}, \quad \partial_u \hat{C}_{ab} \xrightarrow{|u| \rightarrow \infty} 0$$

Large diffeo charges

- “Canonical” BMS charges can be obtained from symplectic structure on C_{ab} [Ashtekar Streubel '81]
- Superrotations require renormalized symplectic structure [Compere Fiorucci Ruzziconi '18] with non-local/non-covariant counterterms [Flanagan Prabhu Shehzad '19]
- So far this has only been treated with “tree-level” fall-offs

$$\partial_u C_{ab}(u, x) \xrightarrow{|u| \rightarrow \infty} O(1/u^{2+\delta}) \iff \tilde{C}_{ab}(\omega, x) \stackrel{\omega \rightarrow 0}{\equiv} O(1/\omega) + O(\omega^0)$$

- Resulting charge consistent with tree-level subleading soft theorem [Kapec Lysov Pasterski Strominger '14]
- Superrotation charge (and symplectic structure) can be improved to obtain charge algebra closure of superrotation/supertranslations without affecting soft theorem consistency [MC Peraza, MC Laddha] (see Alok's talk)

Beyond (tree-level) superrotations: Higher order in soft expansion

- $O(\omega^n)$ $n > 0$ tree-level soft thms could arise by further relaxation of Bondi fall-offs to allow for certain $O(r^n)$ vector fields [MC Laddha '16 Compere '19]
- Phase space/renormalized symplectic structure has not been worked out
 - No handle on vector field/charge algebra
(Recent progress from the CCFT perspective in the single-helicity sector [Guevara Himwich Pate Strominger '21])
- Similar structure in tree-level QED [MC Laddha '18, Peraza to appear]
and tree-level YM [MC Peraza to appear]

Beyond (tree-level) superrotations: Loop-corrected charges?

- “Loop-level” fall-offs (Laddha, Sahoo, Sen, ...)

$$\partial_u C_{ab}(u, x) \stackrel{|u| \rightarrow \infty}{\equiv} O(1/u^2) \iff \tilde{C}_{ab}(\omega, x) \stackrel{\omega \rightarrow 0}{\equiv} O(1/\omega) + O(\ln \omega)$$

- Tree-level superrotation charge ill-defined under these fall-offs

$$Q_V^{\text{soft}} \propto \int du u \partial_u C_{ab}$$

- Expectation: loop-level renormalization of symplectic structure/charge should be consistent with loop-level $O(\ln \omega)$ soft theorem [Sahoo Sen '18]
- Expectation based on analogue problem for subleading soft photons [MC Laddha '19] and existence of loop-corrected celestial stress tensor [He Kapec Raclariu Strominger '17]

Subleading photons

- Tree-level $O(\omega^0)$ subleading soft photon theorem can be written as a conservation of certain charges Q_Y parametrized by sphere vector fields [Lysov, Pasterski, Strominger '14]
- Charges can be interpreted as $O(r)$ large gauge charges [MC Laddha '16]

$$\Lambda(r, u, x) \stackrel{r \rightarrow \infty}{=} r\lambda(x) + \dots, \quad Y^a = D^a \lambda$$

- Similar to superrotations, these require an extension of standard $r \rightarrow \infty$ and $u \rightarrow \pm\infty$ fall-offs of gauge fields and consequent renormalization of symplectic structure [Peraza to appear]
- Loop-level $O(\ln \omega)$ soft photon theorem can be written in terms of a loop-corrected version of Q_Y charges [MC Laddha '19 Bhatkar '20]
- Symmetry/phase space interpretation still not understood

Soft factorization and loop corrections

- Consider the amplitudes

$$\mathcal{A}_{n+1}\left(\underbrace{\vec{p}_1, \dots, \vec{p}_n}_{\text{charged particles}}, \underbrace{(\omega \hat{q}, \epsilon)}_{\text{photon}}\right) \quad \text{and} \quad \mathcal{A}_n(\vec{p}_1, \dots, \vec{p}_n)$$

in the limit $\omega \rightarrow 0$

- Beyond tree-level \mathcal{A}_{n+1} and \mathcal{A}_n are IR divergent
- However ratio $\mathcal{A}_{n+1}/\mathcal{A}_n$ is IR finite at leading [Weinberg '65] and subleading [Sahoo, Sen '18] order

$$\frac{\mathcal{A}_{n+1}(\vec{p}_1, \dots, \vec{p}_n, (\omega \hat{q}, \epsilon))}{\mathcal{A}_n(\vec{p}_1, \dots, \vec{p}_n)} \stackrel{\omega \rightarrow 0}{=} \frac{1}{\omega} S^{(0)} + \ln \omega S^{(\ln)} + O(\omega^0)$$

- $S^{(0)} = O(e)$ does not receive loop corrections
- $S^{(\ln)} = O(e^3)$ appears at 1-loop and does not receive higher order corrections

Soft factors

- $S^{(0)} = \sum_{i \in \text{in, out}} e_i \frac{\epsilon \cdot p_i}{q \cdot p_i} \quad q^\mu = (1, \hat{q})$

- $S^{(\text{ln})} = S_I^{(\text{ln})} + S_{II}^{(\text{ln})}$ with

$$S_I^{(\text{ln})} = \sum_{i,j \in \text{in}} f(p_i, p_j) + \sum_{i,j \in \text{out}} f(p_i, p_j)$$

$$S_{II}^{(\text{ln})} = \sum_{i,j \in \text{in, out}} g(p_i, p_j)$$

- $S_I^{(\text{ln})}$ determines $\ln \omega$ in classical radiation

Soft factorization as a Ward identity

- From

$$\mathcal{A}_{n+1}(\omega\hat{q}) \stackrel{\omega \rightarrow 0}{\simeq} \frac{1}{\omega} S^{(0)} \mathcal{A}_n + \ln \omega S^{(\ln)} \mathcal{A}_n + O(\omega^0)$$

we can write two identities:

$$\lim_{\omega \rightarrow 0} \omega \mathcal{A}_{n+1}(\omega\hat{q}) = S^{(0)} \mathcal{A}_n$$

(leading factorization)

$$\lim_{\omega \rightarrow 0} \partial_\omega [\omega^2 \partial_\omega \mathcal{A}_{n+1}(\omega\hat{q})] = S^{(\ln)} \mathcal{A}_n$$

(subleading factorization)

- Leading factorization can be understood as a “Ward identity” [Strominger et al '14]:

$$\langle \text{out} | Q_+^{(0)} \mathcal{S} - \mathcal{S} Q_-^{(0)} | \text{in} \rangle = 0$$

- Does subleading factorization admit similar interpretation?

$$\langle \text{out} | Q_+^{(\ln)} \mathcal{S} - \mathcal{S} Q_-^{(\ln)} | \text{in} \rangle = 0 \quad ?$$

$Q_{\mathcal{I}^+}^{(\text{ln})}$ $X^A = (z, \bar{z})$

$$\bullet \mathcal{E}_z(u, \hat{x}) = \int_0^\infty \omega (a_+(\omega \hat{x}) e^{-i\omega u} + a_-^\dagger(\omega \hat{x}) e^{i\omega u}) d\omega$$

(z, \bar{z}) stereographic coordinates for \hat{x}

$$Q_{\mathcal{I}^+}^{(\text{ln})} = - \int Y^A(\hat{x}) \partial_u (u^2 \mathcal{E}_A(u, \hat{x})) d^2 \hat{x} du$$

 $\Sigma_A = \partial_u A_A$

$$= \lim_{\omega \rightarrow 0} \partial_\omega \omega^2 \partial_\omega \int d^2 \hat{q} \left[Y^z(\hat{x}) a_+(\omega \hat{x}) + Y^{\bar{z}}(\hat{x}) a_-^\dagger(\omega \hat{x}) \right] + h.c.$$

- This will produce LHS of

$$\lim_{\omega \rightarrow 0} \partial_\omega [\omega^2 \partial_\omega \mathcal{A}_{n+1}(\omega \hat{q})] = S^{(\text{ln})} \mathcal{A}_n$$

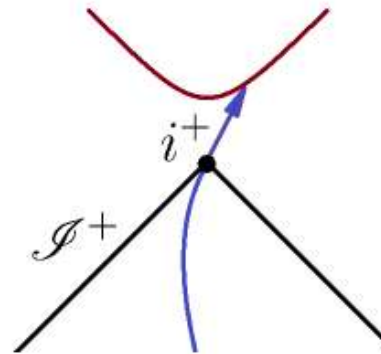
- RHS should come from $Q_{i^\pm}^{(\text{ln})}$

Fields at i^+

- To construct $Q_{i^+}^{(\ln)}$ we study fields at time-like infinity

$$x^\mu = \tau X^\mu, \quad \tau \rightarrow \infty \quad \text{with} \quad X^\mu X_\mu = -1$$

In the Penrose diagram this can be thought of as a 'blow-up' of i^+ into a unit hyperboloid as in [Ashtekar Hansen '78] for i^0



- Charged scalar field asymptotics:

$$\varphi(\tau, X) \stackrel{\tau \rightarrow \infty}{\sim} \tau^{-3/2} e^{ie \ln \tau} V(X) (b(X) e^{-i\tau m} + c^*(X) e^{i\tau m}) + \dots$$

- $V(X) = \lim_{\tau \rightarrow \infty} \tau X^\mu A_\mu(\tau X)$

- $b(X), c(X)$: Fourier modes of free field evaluated at $p^\mu = mX^\mu$

- Asymptotics of current $j_\mu = ie\varphi^* \partial_\mu \varphi + c.c.$

$$j_\tau(\tau, X) \stackrel{\tau \rightarrow \infty}{\approx} \frac{1}{\tau^3} \rho(X) + \dots, \quad j_\alpha(\tau, X) \stackrel{\tau \rightarrow \infty}{\approx} \frac{\ln \tau}{\tau^3} J_\alpha(X) + \dots$$

$$\rho = e[b^*b - c^*c],$$

$$J_\alpha = e^2[b^*b + c^*c] \partial_\alpha V$$

- 'Gauss Law' at i^+ :

$$\mathcal{D}^2 V(X) = \rho(X) \implies V = \frac{1}{\mathcal{D}^2} \rho + V_{\text{hom}}(X) \quad \text{with} \quad \mathcal{D}^2 V_{\text{hom}} = 0$$

- i^+ contribution to $Q^{(\ln)}$

$$Y^\alpha(X) = \int d^2 \hat{q} G_A^\alpha(X, \hat{q}) Y^A(\hat{q})$$

$$Q_{i^+}^{(\ln)} = \int d^3 X Y^\alpha(X) J_\alpha(X) \quad (*)$$

- Quantum charge given by $(*)$ with $J_\alpha \rightarrow \hat{J}_\alpha$,

$$\hat{J}_\alpha = e^3 : [b^\dagger b + c^\dagger c] \partial_\alpha \frac{1}{\mathcal{D}^2} [b^\dagger b - c^\dagger c] : + e^2 [b^\dagger b + c^\dagger c] \partial_\alpha \hat{V}_{\text{hom}}$$

- $Q_+^{(\text{ln})} = Q_{i+}^{(\text{ln})} + Q_{\mathcal{I}+}^{(\text{ln})}$

- $Q_-^{(\text{ln})}$ constructed similarly

- If $\widehat{V}_{\text{hom}} = 0$, evaluating

$$\langle \text{out} | Q_+^{(\text{ln})} \mathcal{S} - \mathcal{S} Q_-^{(\text{ln})} | \text{in} \rangle = 0$$

leads to

$$\lim_{\omega \rightarrow 0} \partial_\omega [\omega^2 \partial_\omega \mathcal{A}_{n+1}(\omega \hat{q})] = S_I^{(\text{ln})} \mathcal{A}_n$$

- We are missing $S_{II}^{(\text{ln})}$ piece

- V_{hom} comes from the free part of the photon field

$$V_{\text{hom}}(X) = \lim_{\tau \rightarrow \infty} \tau X^\mu A_\mu^{\text{free}}(\tau, X) \quad (\star)$$

where

$$A_\mu^{\text{free}}(x) = \sum_{h=\pm} \int d^3 \vec{p} \epsilon_\mu^h a_h(\vec{p}) e^{ip \cdot x} + h.c.$$

- Evaluating (\star) one finds

$$q^\mu = (1, \hat{q})$$

$$V_{\text{hom}}(X) = \sum_{h=\pm} \int d^2 \hat{q} \frac{X \cdot \epsilon^h}{X \cdot q} \left[i \lim_{\omega \rightarrow 0} \omega \left(\hat{a}_h(\omega \hat{q}) - \hat{a}_h^\dagger(\omega \hat{q}) \right) \right]$$

- Classically $V_{\text{hom}} = 0$ due to $u \rightarrow \infty$ fall-offs.

- In quantum theory $\langle \text{out} | \hat{V}_{\text{hom}} \mathcal{S} | \text{in} \rangle \neq 0$

- $e^2 [b^\dagger b + c^\dagger c] \partial_\alpha \hat{V}_{\text{hom}} \subset \hat{J}_\alpha$ produces missing $S_{II}^{(\text{In})} \implies$

$$\langle \text{out} | Q_+^{(\text{In})} \mathcal{S} - \mathcal{S} Q_-^{(\text{In})} | \text{in} \rangle = 0 \iff \lim_{\omega \rightarrow 0} \partial_\omega [\omega^2 \partial_\omega \mathcal{A}_{n+1}(\omega \hat{q})] = S^{(\text{In})} \mathcal{A}_n$$

Other relevant topics I did not get time/knowledge to review:

- Memory effects in GW from binary coalescence [Nichols, ...]
- Asym symm in asym flat gravity in $d=3$ [Barnich, Compere, Geiller, Gomberoff, Gonzalez, Oblak, Troessaert, ...] and $d>4$ [Hollands Ishibashi '03 Tanabe, S. Kinoshita, and T. Shiromizu '11 Kapec Lysov Pastersk Strominger '17 Aggarwal '18 Colferai Lionetti '20 Campoleoni Francia Heissenberg '21 Capone '21]
- Group theoretical aspects of (extended) BMS [Barnich Ruzziconi '21 Prinz Schmeding '21]
- Asym symm from hyperboloid description of spatial infinity [Ashtekar Hansen '78 Virmani '11 Compere Dehouck '11 Troessaert '17 Prabhu '19, ...]
- Asym symm in ADM formulation (Henneaux's talk)
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Thank you for listening!