

# INFLATION : GENERATING THE COSMOLOGICAL PERTURBATIONS THROUGH SCALAR FIELDS

lecture 1 :

- Short introduction to Inflation
- Generation of GSW. perturb<sup>2</sup>  
(1<sup>st</sup> part)

lecture 2 :

- Generation of GSW. perturb<sup>2</sup>  
(2<sup>nd</sup> part)

ANTONIO. RIOTTO @ CERN. CH

(hep-ph/0210162)

Some basics of the Big-Bang model:

Einstein eqs:  $R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G_N T_{\mu\nu}$

$R_{\mu\nu}$  Riemann tensor  
 $T_{\mu\nu}$  Energy momentum tensor  
 $G_N \equiv \frac{1}{M_P^2}$

Homogeneity and isotropy  $\Rightarrow T_{\mu\nu} = \text{Diag}(\rho, \mathbb{P}, \mathbb{P}, \mathbb{P})$   
energy density  $\downarrow$   
Pressure  $\downarrow$

$$ds^2 = dt^2 - a^2(t) d\vec{u}^2 ; d\vec{u}^2 = \frac{dr^2}{1-kr^2} + r^2(d\theta^2 + \sin^2\theta d\varphi^2)$$

Scale factor  $\downarrow$

$$\begin{cases} H^2 \equiv \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G_N}{3} \rho - \frac{\kappa}{a^2} \\ \frac{\ddot{a}}{a} = -\frac{4\pi G_N}{3} (\rho + 3\mathbb{P}) \end{cases}$$

Useful equations  $\dot{H} = -4\pi G_N (\rho + \mathbb{P})$   
 $\dot{\rho} = -3H(\rho + \mathbb{P})$

Some defs:

- $\Omega = \rho / \rho_c$

$$\rho_c = 3H^2 / 8\pi G_N ; \rho_c (\text{today}) \approx 10^4 \text{ eV cm}^{-3}$$

$$H^2 = \frac{8\pi G_N}{3} \rho - \frac{\kappa}{a^2} \Rightarrow \Omega - 1 = \frac{\kappa}{a^2 H^2}$$

- $R_{\text{curv}} = \frac{H^{-1}}{|\Omega - 1|^{1/2}}$  sets the scale at which curvature becomes relevant

$$R_{\text{curv}} (t = t_0) \gg H_0^{-1} \sim \text{present horizon}$$

- Particle horizon:  $R_H(t) = a(t) \int_0^t \frac{dt'}{a(t')}$

the physical distance photons travel up to time  $t$

$$a(t) \sim t^m \Rightarrow R_H(t) \sim \frac{m H^{-1}}{(1-m)} \sim H^{-1}$$

Take a scale  $\lambda = \frac{2\pi a}{\kappa}$

$\frac{\kappa}{aH} \ll 1$  scale  $\lambda$  outside the horizon

$\frac{\kappa}{aH} \gg 1$  scale  $\lambda$  inside the horizon

## SHORTCOMINGS OF THE STANDARD BIG BANG THEORY

### 1) The flatness problem:

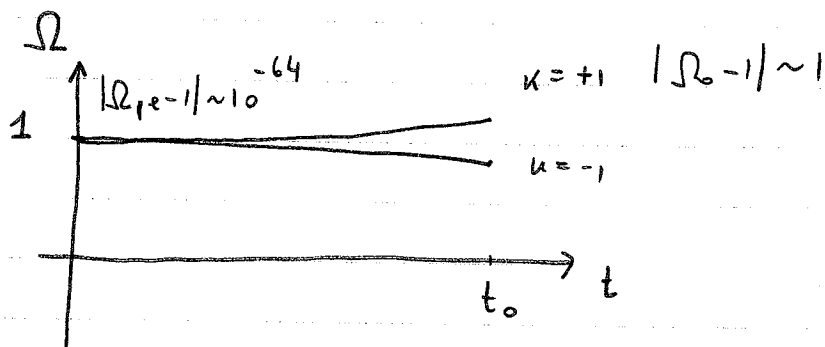
during RD epoch  $\Omega - 1 \sim \frac{\kappa}{a^2 a^4} \propto a^2$

because  $H^2 \sim \rho \sim a^{-4} \sim T^4$

Neglect the MD epoch:

$$\frac{|\Omega - 1|_{T \approx T_{pl}}}{|\Omega - 1|_{T = T_0}} \approx \left( \frac{a_{pl}}{a_0} \right)^2 \approx \left( \frac{T_0}{T_{pl}} \right)^2$$

$$T_0 \sim 10^{-5} \text{ eV}, \quad T_{pl} \sim 10^{19} \text{ GeV} \Rightarrow \mathcal{O}(10^{-64}) !!$$



FINE-TUNING PROBLEM, FATAL?

2) The entropy problem:

→ It is related to the flatness problem

Assume expansion is adiabatic  $\Rightarrow S \sim a^3 T^3 \sim \text{const.}$

$$\Rightarrow S(t=t_{pe}) = S_0 \sim (H_0^{-1} T_0)^3 \sim 10^{90}$$

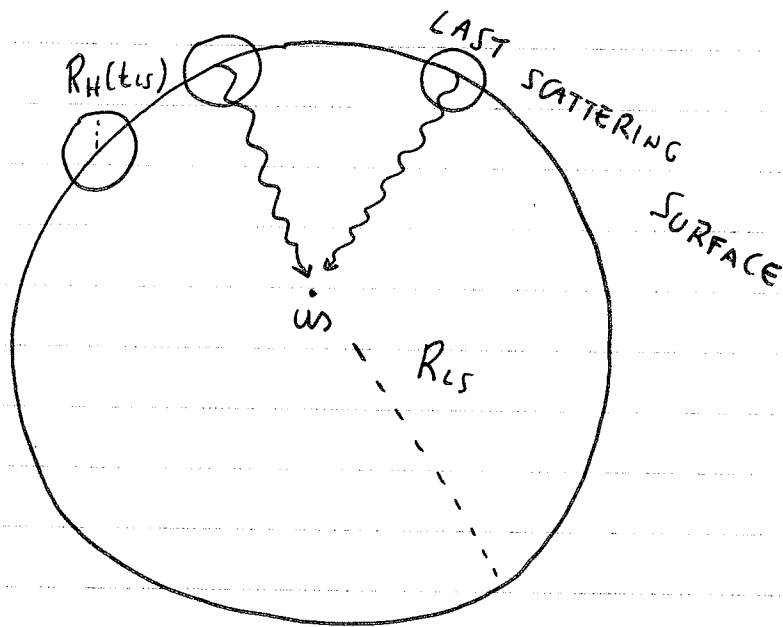
$H_0 \sim 10^{-33} \text{ eV}, T_0 \sim 10^{-4} \text{ eV}$

$$\Omega_{-1} = \frac{\kappa}{a^4 H^4} \sim \frac{\kappa M_{\text{Pl}}^2}{a^4 T^4} = \frac{\kappa M_{\text{Pl}}^2}{(a^2 T^2)^2}$$
$$= \frac{\kappa M_{\text{Pl}}^2}{S^{2/3} T^2} = \frac{\kappa M_{\text{Pl}}^2}{S_0^{2/3} T^2}$$

$$\Omega_{-1}(t=t_{pe}) \sim \kappa S_0^{-2/3} \sim 10^{-60}$$

⇒ Suggestion: Solve the entropy / flatness problem releasing adiabaticity assumption

3) Horizon problem:



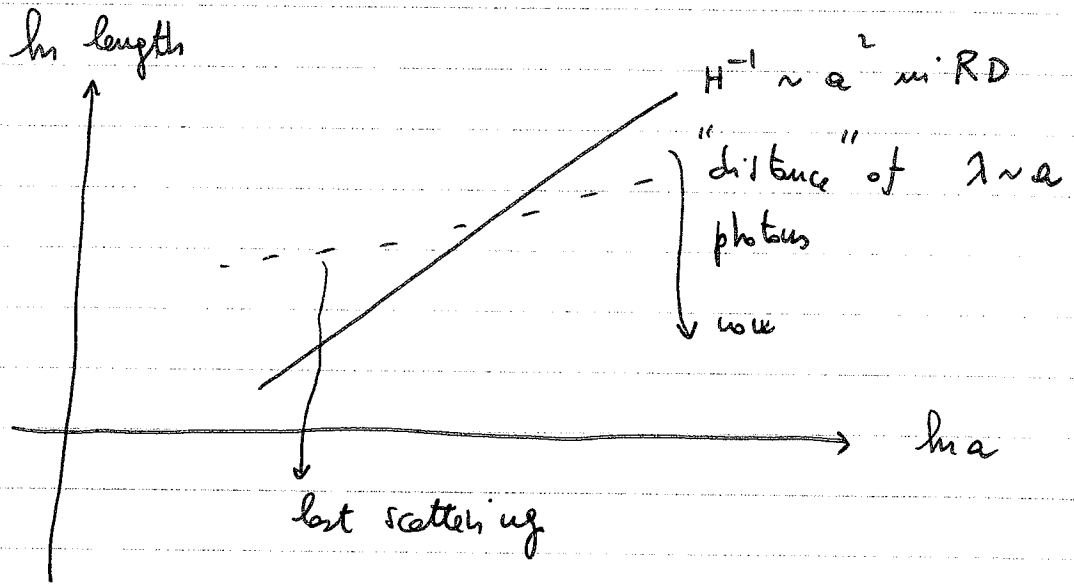
$$R_{LS} = R_H(t_0) \left( \frac{a_{LS}}{a_0} \right) \sim R_H(t_0) \left( \frac{T_0}{T_{LS}} \right) \sim H_0^{-1} \left( \frac{10^{-4} \text{ eV}}{0.3 \text{ eV}} \right)$$

$$R_H(t_{LS}) = H^{-1}(t=t_{LS}) = R_H(t_0) \left( \frac{T_{LS}}{T_0} \right)^{-3/2}$$

$$\left( \frac{R_{LS}}{R_H(t_{LS})} \right)^3 \sim \left( \frac{T_{LS}}{T_0} \right)^{3/2} \sim 10^6$$

The last scattering surface contains  $\sim 10^6$  horizon volumes!

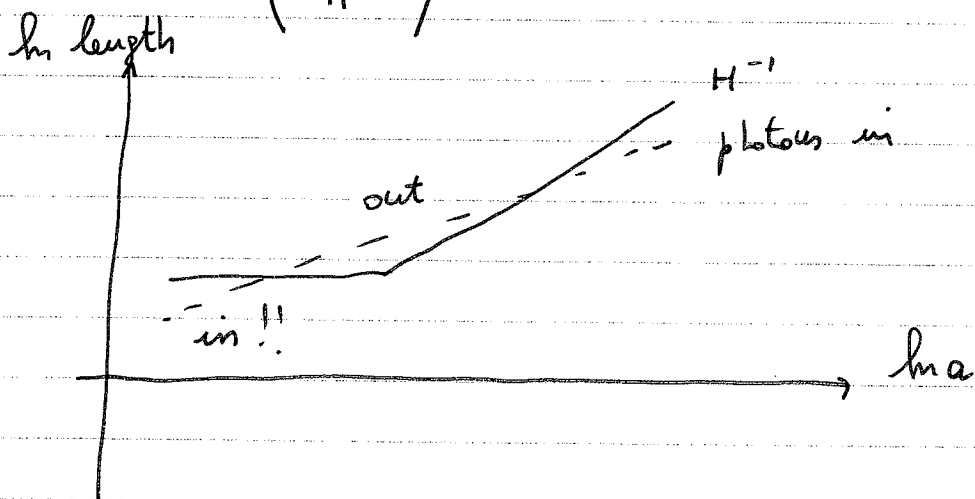
Photon temperature uniform over many horizon regions!



Suggestion to solve the horizon problem:

CHANGE SLOPE OF  $H^{-1}$  IN SUCH A WAY THAT THE LINES  $H^{-1}$  &  $\lambda$  MEET AGAIN!

MATH:  $\left(\frac{\lambda}{H^{-1}}\right) > 0 \Rightarrow \ddot{a} > 0$



INFLATION  $\Leftrightarrow \ddot{a} > 0$

How TO GET INFLATION ?

$$\ddot{a} > 0 \Rightarrow \frac{\ddot{a}}{a} = -\frac{4}{3} \pi G_N (P + 3P) < 0$$

$$(P + 3P) < 0 \quad \text{RD, MD} \quad \text{No!}$$
$$\frac{P}{\rho} = \frac{1}{3}, 0$$

Forget about this problem and assume that inflation is taking place and take the extreme case:

$$P = -\rho \quad (\text{VACUUM})$$

$$\Rightarrow H = \text{const} = H_*, \quad a = a_* e^{H_*(t-t_*)}$$

exponential grow

Let's solve all problems one by one



Horizon problem:

Need to impose that the largest scale we observed today  $\sim H_0^{-1}$  is reduced to a scale smaller than  $H_*^{-1}$  during inflation

$$H_0^{-1} \left( \frac{a_f}{a_0} \right) \left( \frac{a_i}{a_f} \right) \sim H_0^{-1} \left( \frac{T_0}{T_f} \right) e^{-N} \lesssim H_*^{-1}$$

$a_i = a$  at the beginning of inflation  
 $a_f =$  " " " end " "

$$N = H_* (t_f - t_i)$$

$$\Rightarrow N \gtrsim 70 + \ln \left( \frac{T_f}{H_*} \right)$$

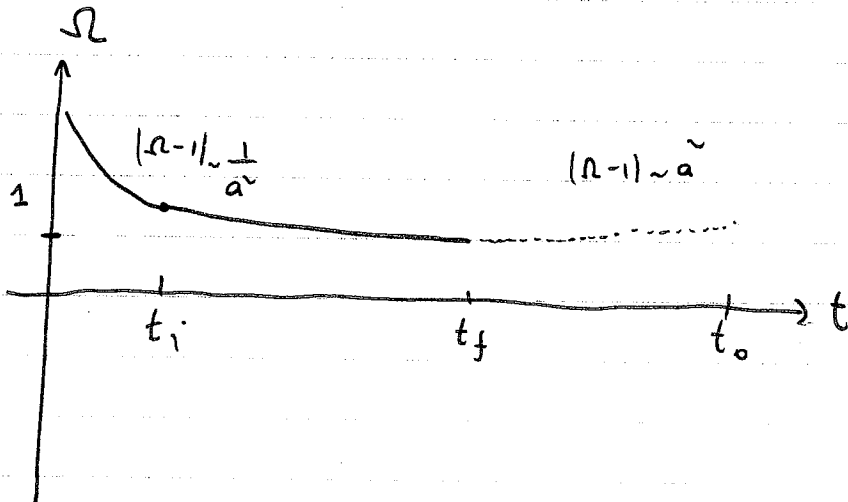
We have "exponentially" decrease the problem

Flatness problem:

$$\text{During inflation } |\Omega - 1| \sim \frac{\kappa}{a^2 H_*^2} \sim \frac{1}{a^2}$$

Remember that  $|\Omega - 1|$  at the beginning of the RD epoch was  $\mathcal{O}(10^{-60})$

$$\text{Have to impose } \frac{|\Omega - 1|_{t_f}}{|\Omega - 1|_{t_i}} = \left(\frac{a_f}{a_i}\right)^{-2} = e^{-2N} \lesssim 10^{-60}$$
$$N \gtrsim 70$$



- Prediction of INFLATION:  $\Omega_0 = 1$  if  $N \gg 70$

Entropy problem:

Remember: have to get rid of adiabaticity

Inflation does it: at the end of inflation

the energy in the vacuum is radiated in

the form of radiation through a transition

$$S \sim a^3 \Rightarrow S_i \sim (a_i T_i)^3 \sim 1$$

$$S_f \sim (a_f T_f)^3 \sim 10^{90} \text{ (IMPOSED!)}$$

$$\Rightarrow \frac{a_f}{a_i} \gtrsim e^N \sim 10^{30} \left( \frac{T_i}{T_f} \right) \Rightarrow N \gtrsim 70$$

All problems solved by  $N \gtrsim 70$

HOW TO GET INFLATION:

Take a scalar field  $\phi$ :

$$S = \int d^4x \sqrt{-g} \mathcal{L} = \int d^4x \sqrt{-g} \left[ \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right]$$

$$\partial^\mu \frac{\delta(\sqrt{-g} \mathcal{L})}{\delta \partial_\mu \phi} - \frac{\delta(\sqrt{-g} \mathcal{L})}{\delta \phi} = 0 \quad \phi = \phi(t)$$

$$\Rightarrow \ddot{\phi} + 3H \dot{\phi} - \frac{\nabla^2 \phi}{a^2} + V'(\phi) = 0$$

$$T_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} \mathcal{L}$$

$$P_\phi = \frac{1}{2} \dot{\phi}^2 + \frac{(\nabla \phi)^2}{2a^2} + V(\phi)$$

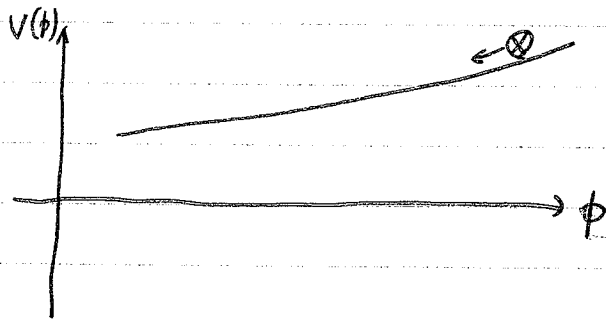
$$\mathcal{P}_\phi = \frac{1}{2} \dot{\phi}^2 - \frac{(\nabla \phi)^2}{6a^2} - V(\phi)$$

$$\frac{\mathcal{P}_\phi}{P_\phi} \approx \frac{\frac{1}{2} \dot{\phi}^2 - V}{\frac{1}{2} \dot{\phi}^2 + V}$$

$$\text{INFLATION} \Rightarrow \mathcal{P}_\phi < -\frac{1}{3} P_\phi \Rightarrow V(\phi) \gg \dot{\phi}^2$$

VACUUM ENERGY DOMINATES OVER KINETIC ENERGY

Very flat potential



How flat?

$$\ddot{\phi} + 3H\dot{\phi} + V' = 0, \text{ remove } \ddot{\phi}$$

$$\Rightarrow \dot{\phi} = -\frac{V'}{3H}; \quad \dot{\phi}^2 \ll V(\phi) \Rightarrow \left(\frac{V'}{V}\right)^2 \ll \frac{1}{M_{pl}^2}$$

$$|\ddot{\phi}| \ll |3H\dot{\phi}| \Rightarrow V'' \ll H^2$$

Slow roll parameters:

$$\left\{ \begin{array}{l} \epsilon = -\frac{\dot{H}}{H^2} = \frac{4\pi G_N \dot{\phi}^2}{H^2} \approx \frac{1}{16\pi G_N} \left(\frac{V'}{V}\right)^2 \\ \eta = \frac{1}{8\pi G_N} \left(\frac{V''}{V}\right) = \frac{1}{3} \frac{V''}{H^2} \\ \delta = -\frac{\ddot{\phi}}{H\dot{\phi}} = \eta - \epsilon \end{array} \right.$$

$$\frac{\ddot{a}}{a} = \dot{H} + H^2 = (1 - \epsilon) H^2 \gg 0 \Leftrightarrow \epsilon < 1$$

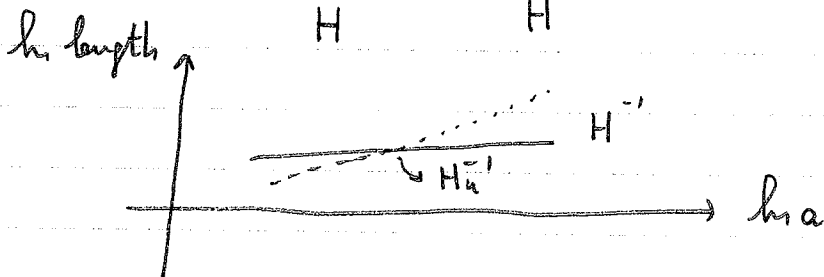
Notice : 1) the slow-roll parameters are not constant in time, but run little:

$$\begin{aligned} \dot{\epsilon} &\sim \left( \frac{\dot{\phi}\ddot{\phi}}{H^2} - \frac{\dot{\phi}^2 \dot{H}}{H^3} \right) \frac{1}{\Pi_\phi} \\ &= \frac{\dot{\phi}}{H^2} \frac{1}{\Pi_\phi} \frac{\ddot{\phi} H}{\dot{\phi} H} + \frac{\dot{\phi}^2}{H^2 \Pi_\phi} \frac{\dot{H}}{H} \\ &\sim H(\delta\epsilon - \epsilon^2) \end{aligned}$$

2)  $H$  is not exactly constant  
( $\Pi_\phi$  is not exactly equal to  $-\rho_\phi$ )

Define  $H_u$  the value at which a given scale  $\lambda = \frac{a}{u}$  leaves the horizon :  $u = a H_u$

$$\begin{aligned} \frac{d \ln H_u}{d \ln u} &= \left( \frac{d \ln H_u}{dt} \right) \left( \frac{dt}{d \ln a} \right) \left( \frac{d \ln a}{d \ln u} \right) \\ &= 2 \frac{\dot{H}}{H} \times \frac{1}{H} \times 1 = 2 \frac{\dot{H}}{H^2} = -2\epsilon \end{aligned}$$



Total number of e-folds:

$$N = \int_{t_i}^{t_f} dt H(t)$$

$$= \int_{\phi_i}^{\phi_f} \frac{dt}{d\phi} H(\phi) d\phi$$

$$= \int_{\phi_i}^{\phi_f} \frac{H}{\dot{\phi}} d\phi = -3 \int_{\phi_i}^{\phi_f} \frac{d\phi}{V'}$$

$$\approx 8\pi G \int_{\phi_f}^{\phi_i} \frac{V(\phi)}{V'(\phi)} d\phi$$

$\Delta N = \#$  of e-folds to go till the end of inflation

$$\Delta N = 8\pi G \int_{\phi_f}^{\phi_{\Delta N}} \frac{V(\phi)}{V'(\phi)} d\phi$$

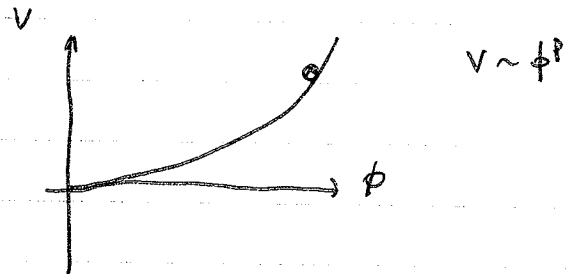
Take  $V(\phi) = \frac{m^2}{2} \phi^2 \Rightarrow N \sim 8\pi G \int_{\phi_f}^{\phi_i} \phi d\phi = 4\pi G \phi_i^2$

$$V(\phi_i) \sim M_p^4 \Rightarrow \phi_i \sim M_p/m \Rightarrow N \sim \left(\frac{M_p}{m}\right)^2 \gg 1$$

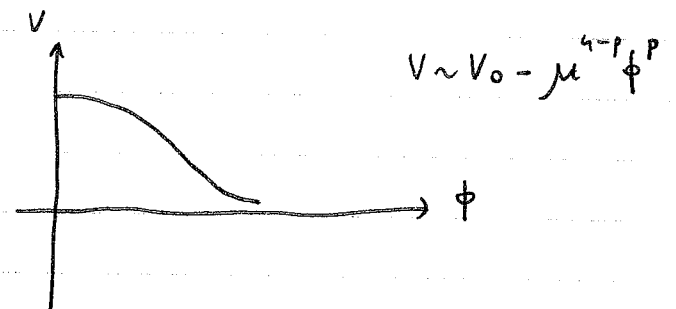
( $m \sim 10^{13}$  GeV, see later)

Rough classification:

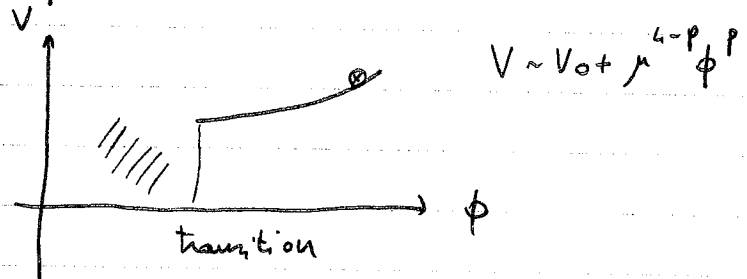
dry-field models



Swell-field models



Hybrid models

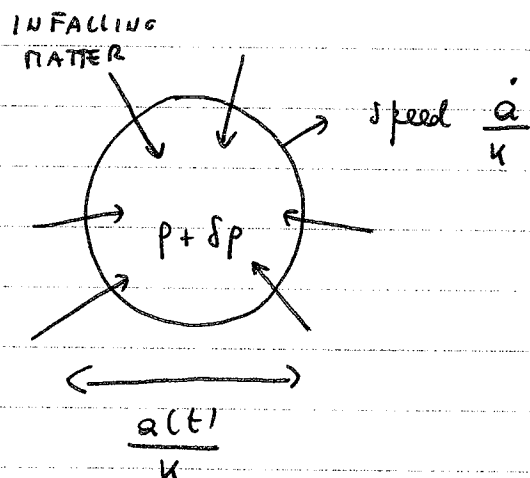




## THE ORIGIN OF STRUCTURE & INFLATION

Q: How did the first galaxies form?

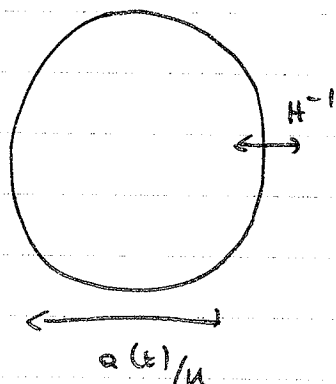
A: By gravitational collapse of slightly overdense regions



Q: When does it start?

A: Horizon entry:  $\frac{a(t)}{a} = H^{-1}(t)$

$$\dot{a}(t)/a = 1$$



INFLATION PROVIDES THE SEEDS OF THIS  
INSTABILITY

Study a scalar field in De-Sitter  
and start with the simplest case: massless;

$$\chi = \chi(\vec{x}, t)$$

$$\delta\chi(\vec{x}, t) = \int \frac{d^3k}{(2\pi)^{3/2}} e^{i\vec{k}\cdot\vec{x}} \delta\chi_k(t) a_n + \text{h.c.}$$

$$\delta\ddot{\chi} + 3H\delta\dot{\chi} - \frac{\nabla^2\delta\chi}{a^2} = 0$$

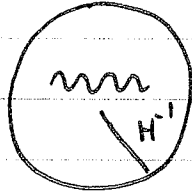
$$\Rightarrow \delta\ddot{\chi}_k + 3H\delta\dot{\chi}_k + \frac{k^2}{a^2}\delta\chi_k = 0$$

When written in conformal time:

$$dz = \frac{dt}{a} \Rightarrow a(t) \sim e^{Ht} \rightarrow a(z) = -\frac{1}{Hz} \quad (z < 0)$$

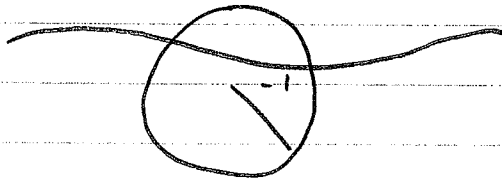
$\frac{k}{aH} = (-kz)$  defines if a scale is  
larger or smaller than horizon

- $\lambda \ll H^{-1}$ ,  $u \gg aH$



$$\delta \ddot{\chi}_u + \frac{u^2}{a^2} \delta \chi_u = 0 \quad \Rightarrow \text{oscillations}$$

- $\lambda \gg H^{-1}$ ,  $u \ll aH$



$$\delta \ddot{\chi}_u + 3H \delta \dot{\chi}_u = 0$$

$$\delta \chi_u = \text{const.}$$

NOT A SURPRISE :  $H^{-1} = a(t) \int_t^\infty \frac{dt'}{a(t')}$  = event horizon

(NO QUANTUM HAIN Dehitter) : evolution is frozen  
for  $\lambda > H^{-1}$

Define :

$$\delta\sigma_u = \delta\chi_u a$$

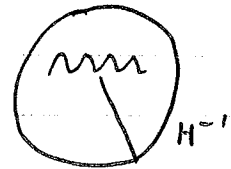
$$dz = \frac{dt}{a}$$

$$\delta\sigma_u'' + \left( u^2 - \frac{a''}{a} \right) \delta\sigma_u = 0$$

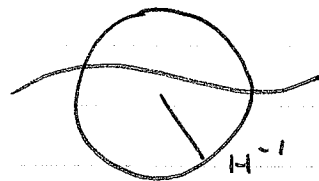
•  $u^2 \gg \frac{a''}{a} = \frac{2}{z^2} \Rightarrow |uz| \gg 1 \quad (\lambda \gg H^{-1})$

$$\delta\sigma_u'' + u^2 \delta\sigma_u = 0$$

$$\delta\sigma_u = \frac{e^{-iuz}}{\sqrt{2u}}$$



•  $u^2 \ll \frac{a''}{a} = \frac{2}{z^2} \Rightarrow |uz| \ll 1 \quad (\lambda < H^{-1})$



$$\delta\sigma_u = B(u) a$$

Fix  $B(u)$  imposing that the two solutions match at  $u = aH$

$$|B(u)|_a = \frac{1}{\sqrt{2u}} \Rightarrow B(u) = \frac{1}{a\sqrt{2u}} = \frac{H}{\sqrt{2u^3}}$$

$$\delta\chi_u = \frac{H}{\sqrt{2u^3}} \quad \text{on super-horizon scales}$$

Indeed the equation:

$$\delta\sigma_u'' + \left( u^2 - \frac{a''}{a} \right) \delta\sigma_u = 0$$

has the exact solution

$$\delta\sigma_u = \frac{A e^{-inz}}{\sqrt{2u}} \left( 1 - \frac{i}{uz} \right) + \frac{B e^{inz}}{\sqrt{2u}} \left( 1 + \frac{i}{uz} \right)$$

$B=0$ ,  $A=1$  when imposing that for

$-uz \gg 1$  get plane waves with positive energy (MINKOWSKY VACUUM)

Notice: if  $\frac{m^2}{2} \chi^2$  term is present:

$$\left( \delta\sigma_u'' + \left( u^2 - \frac{a''}{a} + \frac{m^2}{H^2 c^2} \right) \delta\sigma_u = 0 \right)$$

$$\delta\chi_u \approx \frac{H}{\sqrt{2u^3}} \left( \frac{u}{aH} \right)^{\frac{3}{2} - \nu} ; \quad \nu^2 = \frac{9}{4} - \frac{m^2}{H^2}$$

on super horizon scales

Def:

$$\langle 0 | (\delta\chi(\vec{u}, t))^2 | 0 \rangle$$

$$= \int \frac{d^3 u}{(2\pi)^3} |\delta\chi_u|^2$$

$$= \int \frac{d^3 u}{u} P_{\delta\chi}(u) ; P_{\delta\chi}(u) = \frac{u^3}{2\pi^2} |\delta\chi_u|^2$$

power spectrum

For a massless field in de Sitter on superhorizon scales:

$$P_{\delta\chi} = \left( \frac{H}{2\pi} \right)^2$$

We may define a spectral index  $n$

$$P_{\delta\chi}(u) = A^2 \left( \frac{u}{aH} \right)^{n-1}$$

$\Rightarrow$   $\left\{ \begin{array}{l} \text{Massless fields in the de Sitter background} \\ \text{have } n = 1 \end{array} \right.$

Take a massless scalar field in quasi

de Sitter :  $\epsilon \ll 1$ , but not too

$$a(z) = -\frac{1}{Hz(1-\epsilon)}$$

$$\frac{a''}{a} \approx \frac{1}{z^2} (2 + 3\epsilon)$$

$$\delta\sigma_u'' + \left( u^2 - \frac{a''}{a} \right) \delta\sigma_u = 0$$

$$\Rightarrow (\lambda \gg H^{-1}) \quad \delta\chi_u = \frac{\delta\sigma_u}{a} = \frac{H}{\sqrt{243}} (-uz)^{-\epsilon}$$

$$P_{\delta\chi} = \left( \frac{H}{2\pi} \right)^2 \left( \frac{u}{aH} \right)^{-2\epsilon}$$

• Trick to obtain the same result: account for

the change of  $H$  :  $H \rightarrow H_k$

$$P_{\delta\chi} = \left( \frac{H_k}{2\pi} \right)^2 \Rightarrow n-1 = \frac{d \ln P_{\delta\chi}}{d \ln k} = -2\epsilon$$

Perturbations are GAUSSIAN! (linear)

We imagine an ensemble of Universes,  
ours is typical (each perturbation is  
a random field) - Gaussian means that

$$\langle \delta x_u \rangle = 0$$

$$\langle \delta x_{\vec{u}}^* \delta x_{\vec{u}'} \rangle = \delta^{(3)}(\vec{u} - \vec{u}') \\ \times \frac{2\pi}{u^3} P_{\delta x}(u)$$

is the only object needed

Remember: gravity is non-linear  $\Rightarrow$  Non-Gaussianity!

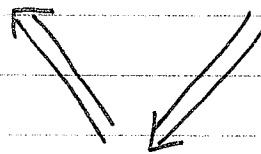


## INCLUDE GRAVITY

We forgot about gravity (wrong)

Suppose we have a scalar field  $\phi = \phi(\vec{x}, t)$   
driving INFLATION  $\equiv$  INFLATION

$$\delta\phi(\vec{x}, t) \implies \delta T_{\mu\nu}^{\phi}$$



$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu}$$

$$\delta G_{\mu\nu}, \delta g_{\mu\nu}$$

Heuristic explanation of why the inflaton is perturbed:

$$\phi(\vec{x}, t) = \phi_0(t) + \delta\phi(\vec{x}, t)$$

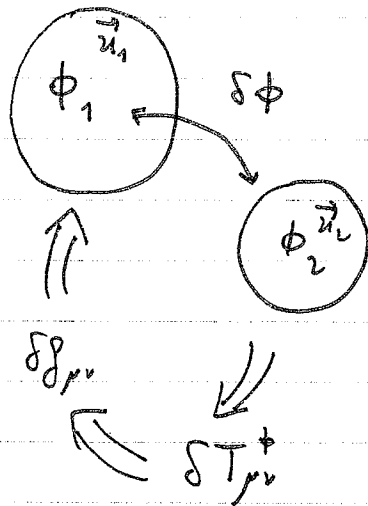
$$\ddot{\phi} + 3H\dot{\phi} - \underbrace{\nabla^2}_{a^2} \delta\phi + V'' \delta\phi = 0$$

$$\ddot{\phi}_0 + 3H\dot{\phi}_0 + V'(\phi_0) = 0 \Rightarrow \ddot{\phi}_0 + 3H\dot{\phi}_0 + V''\phi_0 \approx 0 \quad (H \approx 0)$$

$$\Rightarrow \delta\phi = -\phi_0 \zeta(\vec{x})$$

$$\phi(\vec{x}, t) = \phi_0(t - \delta t(\vec{x}))$$

The inflaton does not acquire the same value at different points



Metric fluctuations:

$$g_{\mu\nu}(\vec{u}, t) = \underset{\text{FRW}}{g_{\mu\nu}^0} + \delta g_{\mu\nu}(\vec{u}, t)$$

Metric perturbations may be decomposed according to the way they transform under rotations on const. time hypersurfaces:

- scalar
- vector
- tensor

$g_{\mu\nu}$  is symmetric  $\Rightarrow$  10 elements

6 = 10 - 4 are physical (can use a transformation  $x^\mu \rightarrow x^\mu + \delta x^\mu$ ,  $\mu = 0, \dots, 3$ )

• Helmholtz's theorem :  $u_i = \partial_i v + \nabla_i$  (vorticity)

$$\nabla \cdot \vec{v} = 0, \quad \nabla_{[i,j]} = 0$$

$\Downarrow$

2 d.o.f. for vectors

•  $h_{ij}$  has 6 entries but  $\partial^i h_{ij} = 0$  transverse  
 $h^i_i = 0$  Traceless

$\Downarrow$

6 - 4 = 2 for tensors

$\Downarrow$

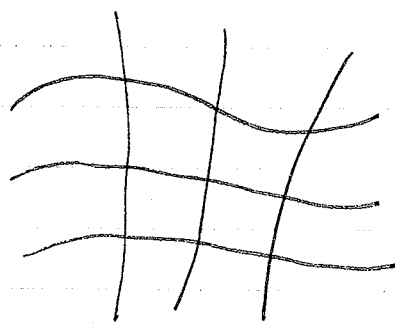
6 - 2 - 2 = 2 d.o.f. for  
scalars

Consider from now on only scalars:

$$ds^2 = a^2 \left[ (1 + 2A) dt^2 - (1 - 2\phi) d\vec{u}^2 \right]$$

GR is a gauge theory where gauge transformations are generic coordinate transformations from a local reference to another

To define the perturbations we need to specify a SLICING & a THREADING of spacetime, corresponding to some coordinate system



slices: fixed  $t$

threads: fixed  $\vec{u}$

GAUGE CHOICE  $\iff$  SLICING & THREADING

We are interested only in shifting

Take a scalar :

$$\left\{ \begin{array}{l} u^0 \rightarrow u^0 + \delta u^0 = \tilde{u}^0 \\ \delta f(u) = f(u) - f_0(u) \end{array} \right. \quad \begin{array}{l} \tilde{f}(\tilde{u}) = f(u) \\ \tilde{f}_0(\tilde{u}) = f_0(\tilde{u}_0) \end{array}$$

$$\begin{aligned} \tilde{\delta f}(\tilde{u}) &= \tilde{f}(\tilde{u}) - \tilde{f}_0(\tilde{u}) \\ &= f(u) - f_0(\tilde{u}) \\ &= f(u) - f_0(u) \delta u^0 - f_0(u) \\ &= \delta f - \dot{f}_0 \delta u^0 \end{aligned}$$

$$\begin{aligned} ds^2 = \tilde{ds}^2 &\Rightarrow \tilde{a}^2(\tilde{u}^0) (1 + 2\tilde{A}) (d\tilde{u}^0)^2 \\ &= a^2(u^0) (1 + 2A) (du^0)^2 \end{aligned}$$

$$\tilde{a}^2(\tilde{u}^0) = a^2(u^0) + 2aa' \delta u^0, \quad d\tilde{u}^0 = du^0 + (\delta u^0)' du^0$$

$$\Rightarrow \tilde{A} = A - (\delta u^0)' - \frac{a'}{a} \delta u^0$$

Analogously one can show  $\tilde{\psi} = \psi + \frac{a'}{a} \delta u^0$

Instead of choosing the slicing we  
can work with gauge invariant quantities:

$$\mathcal{J} = \Psi + H \frac{\delta p}{\dot{\rho}}$$

$$\uparrow \quad {}^{(3)}R = \frac{4}{a^2} \nabla^2 \Psi$$

a) curvature perturbation on slices of  
uniform energy density

$$\mathcal{J} = \Psi \Big|_{\delta p = 0}$$

b)  $\delta p$  perturbation on flat ( $\Psi = 0$ ) slices

$$\mathcal{J} = \frac{H \delta p}{\dot{\rho}} \Big|_{\Psi = 0} = \frac{-\delta p}{3(\rho + p)} \Big|_{\Psi = 0}$$

The curvature perturbation is constant on superhorizon scales if the adiabatic condition on the pressure holds:

$$\delta \nabla_{\mu} T^{\mu\nu} = 0 \Rightarrow \delta \dot{p} = -3H(\delta p + \delta P) - 3\dot{\psi}(\bar{P} + \bar{p})$$

$$\delta P = \delta P_{\text{nonad}} + \frac{\dot{P}}{\dot{p}} \delta p$$

Go to the uniform energy density slice

$$\Rightarrow \delta p = 0 \quad \& \quad \psi = \dot{\psi}$$

$$\Rightarrow \dot{\psi} = - \frac{H}{\bar{P} + \bar{p}} \delta P_{\text{nonadiabatic}}$$

$$\text{If } P = P(p) \quad \delta P_{\text{nonad}} = 0$$

$$\dot{\psi} = 0$$

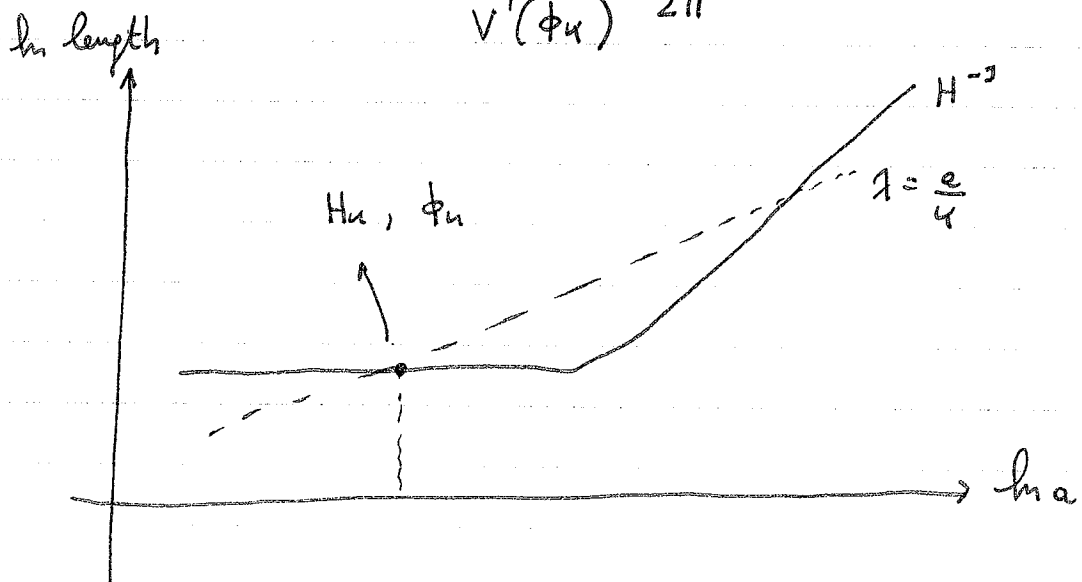
Follows from energy conservation not parity!

The curvature perturbation generated by the inflaton field:

$$\begin{aligned}
 \text{On flat slices} \quad \zeta &= - \frac{\delta P}{3(P+\rho)} \\
 &= - \frac{V' \delta\phi}{3 \dot{\phi}^2} \\
 &= \frac{-3V' \delta\phi}{(V')^2} \\
 &\quad \frac{1}{H^2}
 \end{aligned}$$



$$\begin{aligned}
 \zeta_{\kappa} &= -3 \frac{H_{\kappa}^2}{V'(\phi_{\kappa})} \delta\phi_{\kappa} = H_{\kappa} \frac{\delta\phi_{\kappa}}{\dot{\phi}_{\kappa}} \\
 &= -3 \frac{H_{\kappa}^3}{V'(\phi_{\kappa})} \frac{1}{2\pi}
 \end{aligned}$$





Inflation provides a curvature perturbation on very large scales from initial quantum fluctuations

$$P_{\zeta} = \frac{1}{2\pi^2 \epsilon} \left( \frac{H}{2\pi} \right)^2 \left( \frac{\kappa}{aH} \right)^{n_{\zeta}-1}$$

$$\zeta_u \sim \frac{H_u}{\dot{\phi}_u} \delta\phi_u \sim \frac{1}{2\pi} \left( \frac{H_u^2}{\dot{\phi}_u} \right)$$

$$\begin{aligned} \frac{d \ln \dot{\phi}_u}{d \ln u} &= \left( \frac{d \ln \dot{\phi}_u}{d t} \right) \left( \frac{d t}{d \ln u} \right) \left( \frac{d \ln u}{d \ln u} \right) = \frac{\ddot{\phi}_u}{\dot{\phi}_u} \times \frac{1}{H} \times 1 \\ &= -\delta = \epsilon - \gamma \end{aligned}$$

$$\begin{aligned} \Rightarrow n_{\zeta}-1 &= \frac{d \ln P_{\zeta}}{d \ln k} = \frac{d \ln H_u^4}{d \ln k} - \frac{d \ln \dot{\phi}_u^2}{d \ln k} \\ &= -4\epsilon + 2(-\epsilon + \gamma) \\ &= 2\gamma - 6\epsilon \end{aligned}$$

The spectrum is tilted (slightly)

Example:  $V(\phi) = \frac{m^2}{2} \phi^2$

$$3H\dot{\phi} = -V' = -m^2\phi$$

$$\dot{\phi} \sim \frac{H \delta\phi}{\phi} = \frac{H}{2\pi} \left( -\frac{1}{m^2\phi} \right) H$$

$$\Delta N = \int_{t_{\text{end}}}^{t_f} dt' H(t')$$

$$= \int_{\phi_f}^{\phi_{\Delta N}} d\phi \quad 8\pi G \frac{V}{V'}$$

$$\cong \frac{1}{2} \frac{\phi_{\Delta N}^2}{\pi^2}$$

$$\dot{\phi}_{\Delta N} = \frac{m^2 \phi_{\Delta N}^2}{6\pi^2} \frac{1}{2\pi} \left( -\frac{1}{m^2 \phi_{\Delta N}} \right) H$$

$$= \frac{-1}{12\pi\pi_p^2} \frac{m \phi_{\Delta N}^2}{\sqrt{6} \pi_p}$$

$$= -\frac{1}{12\pi\sqrt{6}} \left( \frac{m}{\pi_p} \right) \times 2 \sim 5 \times 10^{-5}$$

$$\Rightarrow m \sim 10^{13} \text{ GeV}$$

After inflation has ended and all vacuum energy has been released into radiation  $\rightarrow$  RD  $\rightarrow$  MD

$$\int_m = -\frac{1}{3} \frac{\delta P_m}{P_m} = \int_{\text{quadrupole}} = H \frac{\delta \phi}{\dot{\phi}}$$

$$\int_R \sim \frac{\delta P_R}{P_R} \sim \frac{\delta T}{T} \sim 10^{-5}$$

### GRAVITATIONAL WAVES:

$$S = \frac{M_p^2}{2} \int d^4x \sqrt{-g} \frac{1}{2} \partial_\sigma h^{\lambda\gamma} \partial^\sigma h_{\lambda\gamma}$$

$$\mathcal{V}_h = a \frac{M_p}{\sqrt{2}} h_k \Rightarrow \left( v_h'' + \left( k^2 - \frac{a''}{a} \right) v_h = 0 \right)$$

$$P_h = \frac{k^3}{2\pi^2} \sum_{\lambda=\pm} |h_{\lambda}|^2 = \frac{8}{M_p^2} \left( \frac{H}{2\pi} \right)^2 \left( \frac{k}{aH} \right)^{M_T}$$

$M_T = -2\epsilon$

Since  $P_S = \left( \frac{H}{2\pi M_p} \right)^2 \frac{1}{2\epsilon}$

$\Rightarrow$  in slow-roll inflation:  $\frac{T}{S} \sim \epsilon \sim M_T$

Proof that the curvature perturbation is conserved at any order of perturbation theory on super-horizon scales for adiabatic fluids:

On scales larger than the horizon  $\frac{u}{c} \ll H$  we can neglect all gradients and the Universe should look a collection of separate almost homogeneous universes

We choose a threading of spatial coordinates comoving with the fluid

$$u^M = \frac{dx^M}{dt}, \quad v^i = \frac{u^i}{u^0} = \frac{dx^i}{dt} = 0$$

$$\begin{aligned} \text{rate of expansion } \theta &= \nabla_\nu u^\nu = \frac{1}{\mathcal{V}} \partial_0 (\mathcal{V} e^{3\alpha}) \\ &= \frac{3\dot{\alpha}}{\mathcal{V}} \end{aligned}$$

$$\text{where } g_{00} = \mathcal{V}^2, \quad g_{ij} = e^{2\alpha} \tilde{g}_{ij}, \quad \det \tilde{g}_{ij} = 1$$

The energy conservation equation  $u_\nu \nabla_\nu T^{\mu\nu} = 0$

$$\Rightarrow \frac{d}{dz} \rho + (\rho + p) \theta = 0 \quad \text{where } \frac{dt}{dz} = u^0 = \frac{1}{\mathcal{V}}$$

$$\Rightarrow \dot{\rho} + 3(\rho + p) \dot{\alpha} = 0$$

Define  $a(t) e^{-\psi} = e^{\alpha}$

$$\Rightarrow \theta = \frac{1}{a} \left( \frac{\dot{a}}{a} - \dot{\psi} \right) = \frac{3\dot{\alpha}}{a}$$

$$\dot{\rho} + 3(\rho + p)\dot{\alpha} = 0$$

$$\Rightarrow \frac{\dot{a}}{a} - \dot{\psi} = 3\dot{\alpha} = -\frac{\dot{\rho}}{\rho + p}$$

$$N(t_2, t_1, u^i) \equiv \frac{1}{3} \int_{z_1}^{z_2} \theta dz = \frac{1}{3} \int_{t_1}^{t_2} \theta a dt$$

is the # of e-folds of expansion along an integral curve of the 4-velocity ( $u^i$  comoving with fluid)

$$N(t_2, t_1, u^i) = -\frac{1}{3} \int_{t_1}^{t_2} dt \frac{\dot{\rho}}{\rho + p} \Big|_{u^i}$$

$$\Rightarrow \psi(t_2, u^i) - \psi(t_1, u^i) = -N(t_2, t_1, u^i) + \ln \frac{a(t_2)}{a(t_1)}$$

(\*)

The change in  $\psi$  from one slice to another equals the difference the actual # of e-folds and the background one

In a flat slice  $N(t_2, t_1, u^i) = \ln \frac{a(t_2)}{a(t_1)}$

Consider now two different slices A & B  
which coincide at  $t = t_1$

$$\Rightarrow -N_A(t_2, t_1, u^i) + N_B(t_2, t_1, u^i) \\ = \Psi_A(t_2, u^i) - \Psi_B(t_2, u^i)$$

Now, choose the slice A such that  
it is flat at  $t = t_1$  and ends on a  
uniform energy slice at  $t = t_2$  and B to  
be flat both at  $t_1$  &  $t_2$

$$\Rightarrow -\Psi_A(t_2, u^i) = N_A(t_2, t_1, u^i) - N_0(t_2, t_1, u^i) \\ \text{(since B is flat)}$$

From (\*)

$$-\Psi(t_2, u^i) + \Psi(t_1, u^i) = -\ln \frac{\alpha(t_2)}{\alpha(t_1)} - \frac{1}{3} \int_{\rho(t_1, u^i)}^{\rho(t_2, u^i)} \frac{d\rho}{\rho + P}$$

if  $\mathcal{I} = \mathcal{I}(\rho)$

$$\Rightarrow \mathcal{I} = -\Psi + \frac{1}{3} \int_{\rho(t_1, u^i)}^{\rho(t_2, u^i)} \frac{d\rho}{\rho + P} \text{ is constant}$$

$\Rightarrow \mathcal{I}$  can be computed using the  $\Delta N$ -formula:

it is the difference in  $N$  between the  
uniform-density slicing and the flat slice from  $t_1$  to  $t_2$

$$\Rightarrow \mathcal{J} = \delta N$$

$$\delta N = \delta N(\phi(\vec{u}, t))$$

$$N = \int H dt$$

$$\mathcal{J} = \frac{\partial N}{\partial \phi} \delta \phi$$

$$= \frac{\partial N}{\partial t} \frac{1}{\dot{\phi}} \delta \phi$$

$$= H \frac{\delta \phi}{\dot{\phi}}$$

One can go higher in order

$$\mathcal{J} = \frac{\partial N}{\partial \phi} \delta \phi + \frac{1}{2} \frac{\partial^2 N}{\partial \phi^2} (\delta \phi)^2 + \dots$$

$$= H \frac{\delta \phi}{\dot{\phi}} + \frac{1}{2} \frac{\partial}{\partial \phi} \left( \frac{\partial N}{\partial t} \frac{1}{\dot{\phi}} \right) (\delta \phi)^2$$

$$= H \frac{\delta \phi}{\dot{\phi}} + \frac{1}{2} \frac{\partial}{\partial \phi} \left( \frac{H}{\dot{\phi}} \right) (\delta \phi)^2$$

$$= H \frac{\delta \phi}{\dot{\phi}} + \frac{1}{2} \frac{\partial}{\partial t} \left( \frac{H}{\dot{\phi}} \right) \frac{(\delta \phi)^2}{\dot{\phi}}$$

$$\frac{\partial}{\partial t} \left( \frac{H}{\dot{\phi}} \right) = \dot{H} / \dot{\phi} - \frac{H}{\dot{\phi}^2} \ddot{\phi} = -\epsilon \frac{H^2}{\dot{\phi}} - \frac{H}{\dot{\phi}^2} \ddot{\phi}$$

$$\Rightarrow \mathcal{J} = H \frac{\delta \phi}{\dot{\phi}} + \frac{1}{2} \left( -\epsilon + \delta \right) \left( \frac{H \delta \phi}{\dot{\phi}} \right)^2 =$$

$$= H \frac{\delta\phi}{\dot{\phi}} + \frac{1}{2} (1 - 2\epsilon) \left( \frac{H\delta\phi}{\dot{\phi}} \right)^2$$

$$\Rightarrow \mathcal{J} = \mathcal{J}_L + \mathcal{O}(\epsilon, \eta) (\mathcal{J}_L)^2$$

Non-Gaussianity (at the end of inflation)

$\hookrightarrow$  TINY

---

One example which makes use of the fact that  $\mathcal{J}$  is not conserved at superhorizon scales when  $\mathcal{P} \neq \mathcal{P}(P)$

Consider a scalar field  $\sigma$  with VEV  $\bar{\sigma}$  almost massless ( $m^2 \ll H^2$ ) during inflation:

We know that  $\delta\sigma_u = \left( \frac{H_u}{2\pi} \right)$

During inflation  $\bar{\sigma}$  remains frozen and starts oscillates when  $m \gtrsim H$  (after inflation)

$$\ddot{\bar{\sigma}} + 3H\dot{\bar{\sigma}} + m^2\bar{\sigma} = 0$$

$$\frac{1}{2} \frac{d}{dt} \dot{\bar{\sigma}}^2 + 3H\dot{\bar{\sigma}}\bar{\sigma} + m^2\bar{\sigma}\bar{\sigma} = 0$$

If  $m \gg H$  the field oscillates many times in one Hubble time  $\Rightarrow$



$$\langle \dot{\sigma}^2 \rangle = \langle \bar{\sigma}^2 \rangle m^2 ; \langle \dots \rangle \text{ over many oscillations}$$

$$\langle P_\sigma \rangle = m^2 \langle \bar{\sigma}^2 \rangle$$

$$\langle \dot{P}_\sigma \rangle = \left\langle \frac{1}{2} \dot{\sigma}^2 + \frac{m^2}{2} \bar{\sigma}^2 \right\rangle$$

$$\langle \dot{P}_\sigma \rangle = \left\langle \frac{1}{2} 2 \dot{\sigma} \ddot{\sigma} + m^2 \bar{\sigma} \dot{\sigma} \right\rangle$$

$$= -3H \langle \dot{\sigma} \bar{\sigma} \rangle = -3H \langle \dot{\sigma}^2 \rangle$$

$$= -3H \langle P_\sigma \rangle$$

$$\langle P_\sigma \rangle \propto a^{-3} \Rightarrow \bar{\sigma} \sim a^{-3/2}$$

$$\delta\sigma_n + 3H\delta\sigma_n + m^2\delta\sigma_n = 0 \Rightarrow \text{solving of } \delta\sigma \sim a^{-3/2}$$

$$\Rightarrow \text{for } m \geq H \quad \frac{\delta\sigma}{\sigma} \text{ remains constant}$$

$$\Rightarrow \frac{\delta P_\sigma}{P_\sigma} \sim \frac{m^2 \bar{\sigma} \delta\sigma}{m^2 \bar{\sigma}^2} \sim \frac{\delta\sigma}{\bar{\sigma}} \text{ remains constant}$$

Suppose there are no inflaton fluctuations during inflation - After inflation decay:

$$\begin{aligned} \zeta &= \psi + H \frac{\delta P}{\dot{P}} = \psi + H \sum_i \frac{\delta P_i}{\dot{P}} & i = \text{fields} \\ &= \sum_i \frac{\dot{P}_i}{\dot{P}} \zeta_i, & \zeta_i = \psi + H \frac{\delta P}{\dot{P}_i} \end{aligned}$$

During RD :

$$\mathcal{J} = \frac{\dot{P}_R}{\dot{P}} \mathcal{J}_R + \frac{\dot{P}_\sigma}{\dot{P}} \mathcal{J}_\sigma, \text{ but } \mathcal{J}_R = 0$$

(  $\mathcal{J}_R = \mathcal{J}_\sigma = 0$  because of energy conservation )  
 $\mathcal{J} \neq 0 : (\dot{P}_\sigma / \dot{P}) \neq 0$

The field  $\sigma$  decays when  $\frac{\dot{P}_\sigma}{\dot{P}} = r$

and all the perturb<sup>2</sup> in  $\sigma$  are transferred to radiation

$$\begin{aligned} \mathcal{J} \text{ after decay} &\equiv r \mathcal{J}_\sigma = r \mathcal{J}_\sigma^{\text{primordial}} \\ &= r \frac{\delta P_\sigma}{P_\sigma} = r \left( \frac{\delta \sigma}{\bar{\sigma}} \right) \text{ at inflation} \end{aligned}$$

This is the CURVATURE

$$\mathcal{J} = r \frac{\delta P_\sigma}{P_\sigma} = r \frac{(\bar{\sigma} \delta \sigma + (\delta \sigma)^2)}{\bar{\sigma}^2}$$

$$= r \frac{\delta \sigma}{\bar{\sigma}} + r \left( \frac{\delta \sigma}{\bar{\sigma}} \right)^2$$

$$= \mathcal{J}_L + \frac{1}{r} (\mathcal{J}_L)^2$$

If  $r \ll 1$  large Non-Gaussian components