

# TESTING THE FAR UV WITH LOW-E ACTION EXPERIMENTS\*

\*: together with P. Agrawal (OXF), M. Nee (Harvard)

based on: 2206.07053 + 2409 .YYYYY

CORFU 2024



UNIVERSITY OF  
OXFORD

MARIO REIG

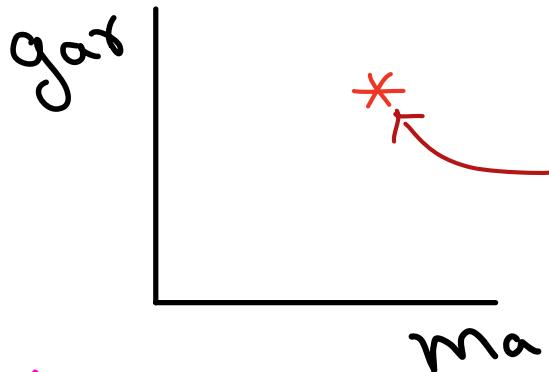
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# WHY TOPOLOGICAL COUPLINGS?

Or... what can we learn with  $(g_a, m_a)$  ?



Imagine we find  
this tomorrow!

This TALK!  
mmmm

Dark matter? Strong CP?  
mmmm? mmrr?

A) Is the SM unified in the UV?

B) Can we test / distinguish different  
String theories at low-E?

# Axion REVIEW

- \* Axion: periodic (compact) scalar with discrete shift-symmetry.  
AKA axion-like particle (ALP)  
NOT NECESSARILY COUPLED TO QCD

$$a \rightarrow a + 2\pi f_a$$

- \* Interactions shaped by shift-symmetry:

$$\frac{\partial_\mu a}{f_a} \bar{f} \gamma^\mu \gamma^5 f ; \quad \frac{a}{f_a} F \tilde{F} ; \quad V(a) = -\lambda^4 \cos(a/f_a)$$

- \* Field theory language: pNGB of (anomalous) symmetries

↪  $U(1)_{\text{PQ}}$  for QCD axion

$$[SU(3)_c]^2 \times U(1)_{\text{PQ}} = A_{\text{aCO}}$$

# WHY AXIONS?

- # Appear in BSM models & String Theory (i.e. Axiverse)
- # solve strong CP problem: QCD axion
- # Dark matter candidates
- # Dark energy, or even inflation (?)

Ex: QCD AXION

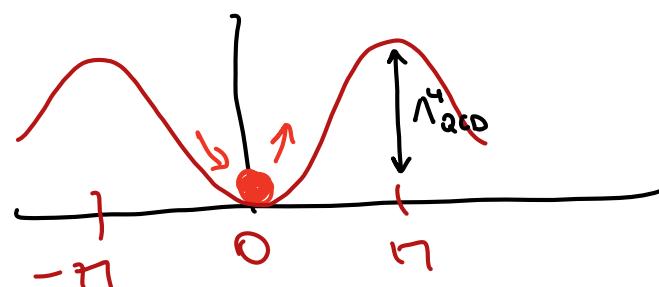
$$\partial_{\alpha} G \tilde{G} \rightarrow \frac{a}{F_a} G \tilde{G}$$

solves strong CP:  $\frac{\langle a \rangle}{F_a} = 0$

$$V(a) = \Delta_{\text{QCD}}^4 (1 - \cos(\frac{a}{F_a})) \Rightarrow m_a \sim \frac{\Delta_{\text{QCD}}^2}{F_a}$$

RELIC ABUNDANCE

$$\Omega_a h^2 \simeq 0.1 \left( \frac{F_a}{10^{12} \text{ GeV}} \right)^{7/6} \theta_i^2$$



# WHY AXIONS - MOTIVATION

- \* Appear in many BSM constructions
- \* solve strong CP problem: QCD axion
- \* Dark matter candidates
- \* Dark energy, or even inflation (?)
- \* Topological, quantized couplings to gauge bosons

$$\mathcal{L}_a = \frac{(\partial a)^2}{2} + \sqrt{A} \frac{a}{Fa} \frac{\alpha_{EM}}{8\pi} F \tilde{F} \quad \begin{matrix} \rightsquigarrow \\ \text{e.g. field strength} \\ \text{of EM.} \end{matrix}$$

→ QUANTISATION:

Anomaly  
coefficient

$\underline{\underline{A \in \mathbb{Z}}}$ , an integer!

# TOPOLOGICAL COUPLINGS TO GAUGE BOSONS

- \* Anomaly coeff. whaffected by renormalisation [see anomaly matching]

$$\lambda_{\text{UV}} = \lambda_{\text{IR}}$$

directly probing the  
far UV!

- \* "A" unaffected by RGE but "f<sub>a</sub>" depending on scale  $\equiv \alpha_{\text{em}}^{\text{"running" but } e^-}$  charge being "quantised"

IDEA:  
mmmm

The axion-photon coupling is the BEST  
motivated channel to learn about UV physics  
otherwise INACCESSIBLE!

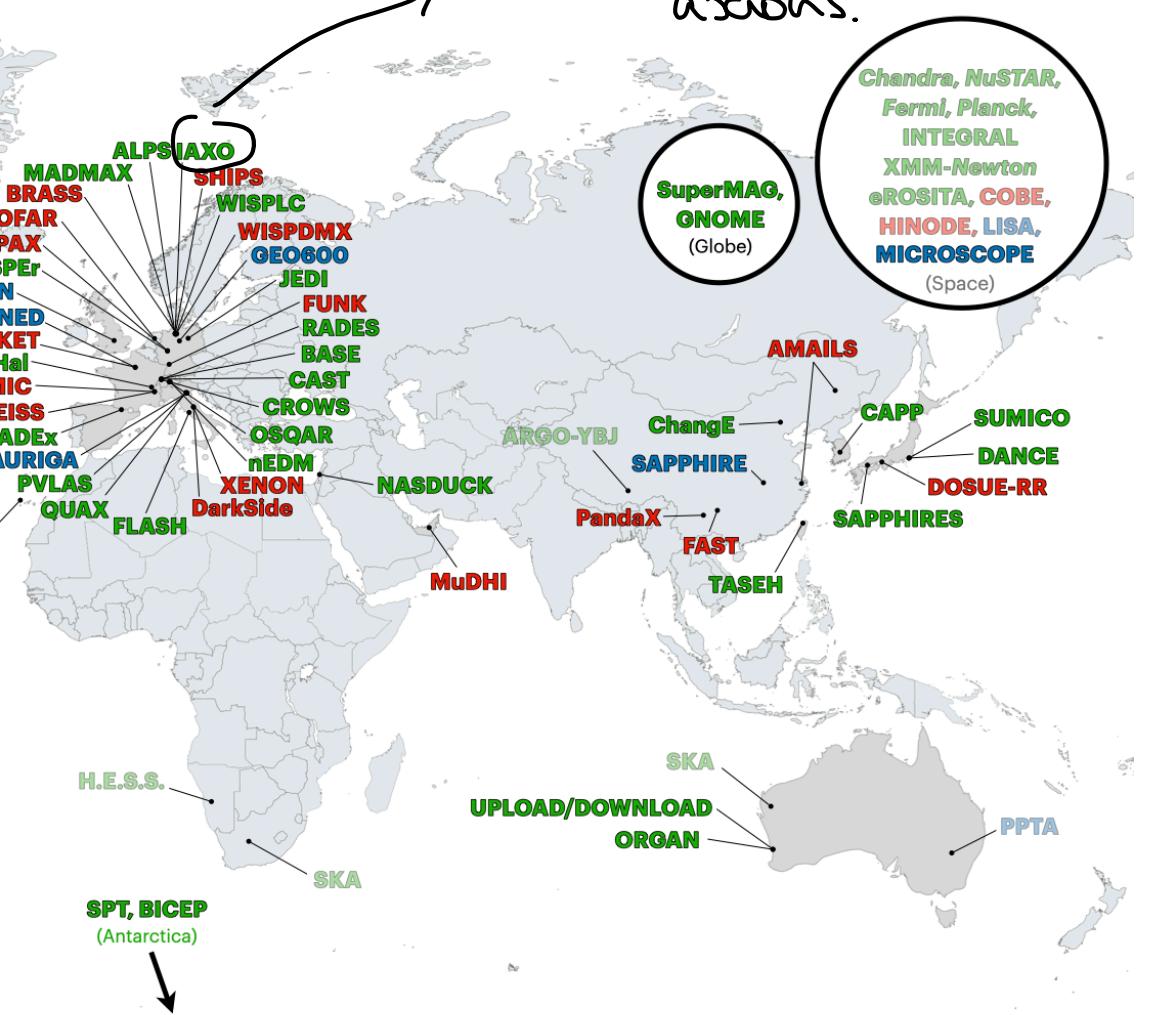
# THE AXION-EXP LANDSCAPE

Haloscope: resonant cavity looking for axion DM



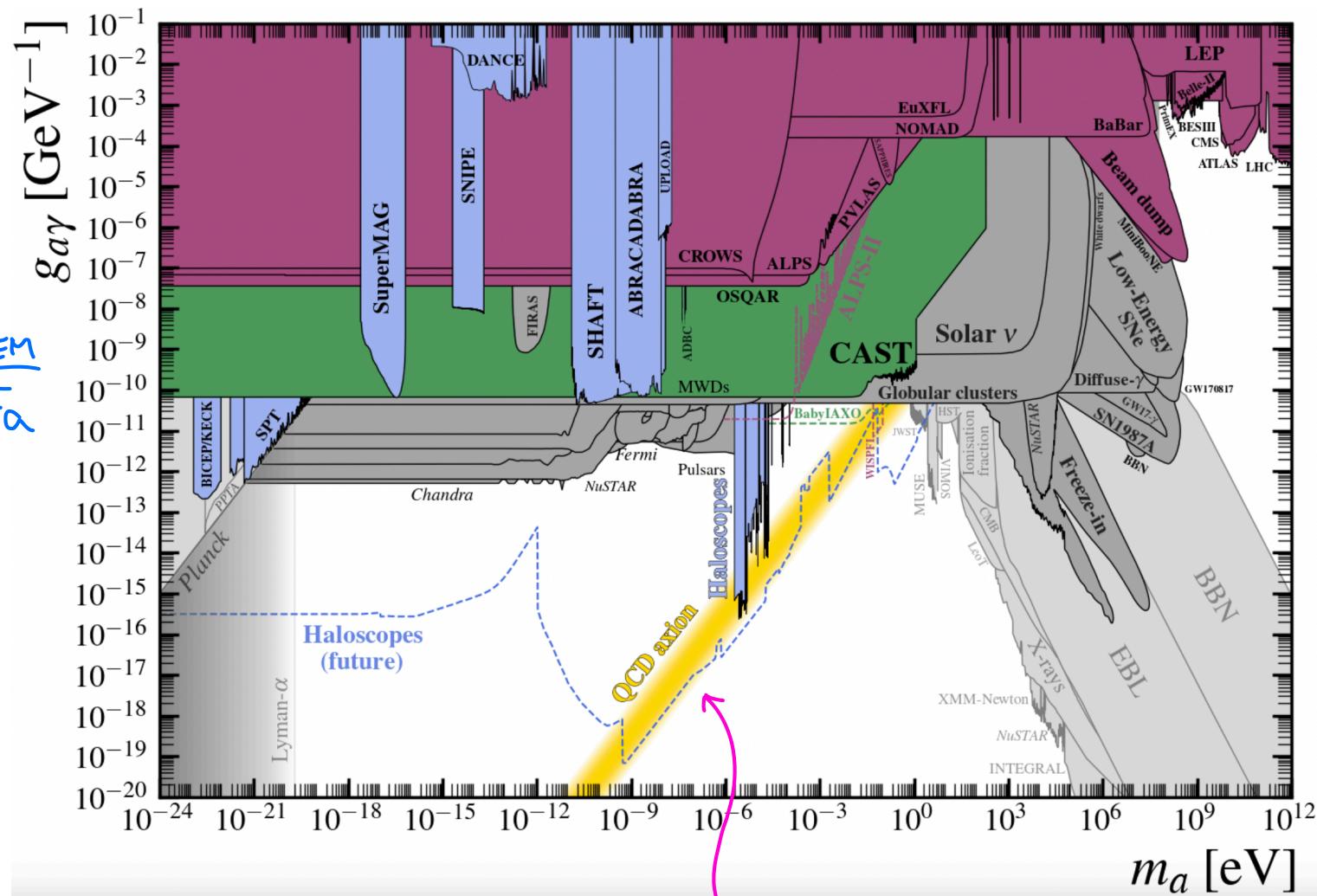
**Axion**  
**Dark photon**  
**Scalar/vector**

Helioscope: Searches for solar axions.



# THE AXION-PHOTON LANDSCAPE

many ongoing & planned searches: lab., astro., cosmo.



Ciaran O'Hare, Axionlimits

# LET ME BE OPTIMISTIC!

gar



Let's assume we  
discover an axiom  
i.e. a point  
 $(\text{gar}, \text{ma})$

GOAL OF THE TALK:

↳ What can we learn?

Ma

# APPLICATION 1

## Is the SM unified in the UV ?

MANY TALKS : G.Senjanovic, H.P.Nilles, M.Mondragon, H.B.Nielsen  
K.Kowalska, R.Ouyang, F.Buccella, ...

UNIFIED  
THEORY

$$G_{\text{GUT}} \xrightarrow{\text{SSB}} \underbrace{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)}_{\text{electroweak interaction}}$$

Examples

$\text{SU}(5)$ ,

$\text{SO}(10)$ ,

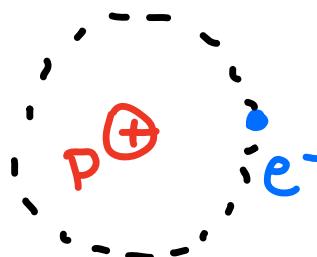
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“All SM generators come from non-abelian simple gauge group”

# HINTS FOR UNIFICATION

\* GUTs explain charge quantisation (integers of  $q_e^-$ )

$$\frac{|\alpha_p + Qe^-|}{q_e^-} < 10^{-21}$$



Why is the H atom neutral?

\* Anomaly freedom:

$$\text{e.g. } \text{Tr } Y^3 = 2\left(\frac{1}{2}\right)^3 + 6\left(\frac{1}{6}\right)^3 + 3\left(-\frac{2}{3}\right)^3 + 3\left(\frac{1}{3}\right)^3 + 1^3 = 0$$

\* Unification of couplings;  $\sin^2 \theta_W$  &  $\frac{m_b}{m_\tau}$

$$\sin^2 \theta_W = \frac{g'^2}{g^2 + g'^2} = \frac{3}{8}$$

,  $\frac{m_b}{m_\tau} \approx 3$  at low  $E$

$g' = \sqrt{\frac{3}{5}} g_1 \rightarrow$

# Axions AS PROBES OF UNIFICATION

Simple  
UV gauge  
group  
 $SU(5), SO(10) \dots \rightarrow$

$$G_{\text{GUT}} \xrightarrow{\text{SSB}} SU(3) \times SU(2) \times U(1)$$

Axions in GUTs studied since 80s  
(Wise, Georgi, Glashow, '81; Nilles, Raby; '82)

- \* Topological, quantised couplings to gauge bosons:

$$\mathcal{L}_a = \frac{(\partial_\mu a)^2}{2} + A \frac{a}{f_a} \frac{\alpha_{\text{GUT}}}{8\pi} G \tilde{G}_{\text{GUT}}$$

- \* Anomaly matching:  $\mathcal{A}_{\text{UV}} = \mathcal{A}_{\text{IR}}$
- \* Gauge invariance of  $G_{\text{GUT}}$

Strong constraints

for axion couplings!

↳ Based on topology: independent of SSB and physics @ intermediate scales

# Axions AS PROBES OF UNIFICATION

[ See: 2206.07053 ]

TOPOLOGY

+

GAUGE INVARIANCE

$$\mathcal{L}^{\text{IR}} = \frac{a}{f_a} [\alpha_{\text{em}} \tilde{E} \tilde{F}_{\text{em}} + \alpha_s \tilde{N} \tilde{G}_{\text{QCD}}]$$

anomaly  
coeff.

$\tilde{G}_{\text{QCD}}$

unavoidable QCD potential

$$V(a) \approx -\Lambda_{\text{QCD}}^4 \cos(a/f_a)$$

Single axion coupled to photons:

QCD action (indep. of  $f_a$ )

$g_a$

not compatible  
with GUTs!



QCD BAND



Compatible with  
GUTs but requires  
model building!

$$\frac{g_a}{M_a} \sim \frac{\alpha_{\text{EM}}}{m_{\text{fn}} f_a}$$

$M_a$

RESULT:

$$\frac{g_a^{\text{ALP}}}{M_a} < \frac{g_a^{\text{QCD}}}{M_a^{\text{QCD}}} = \frac{\alpha_{\text{em}}}{m_{\text{fn}} f_a}$$

- \* axion mixing
- \* "charged axions"  
(pion-like fields)
- \* Dark photon models
- \* Extra dim. GUTs..

## APPLICATION 2

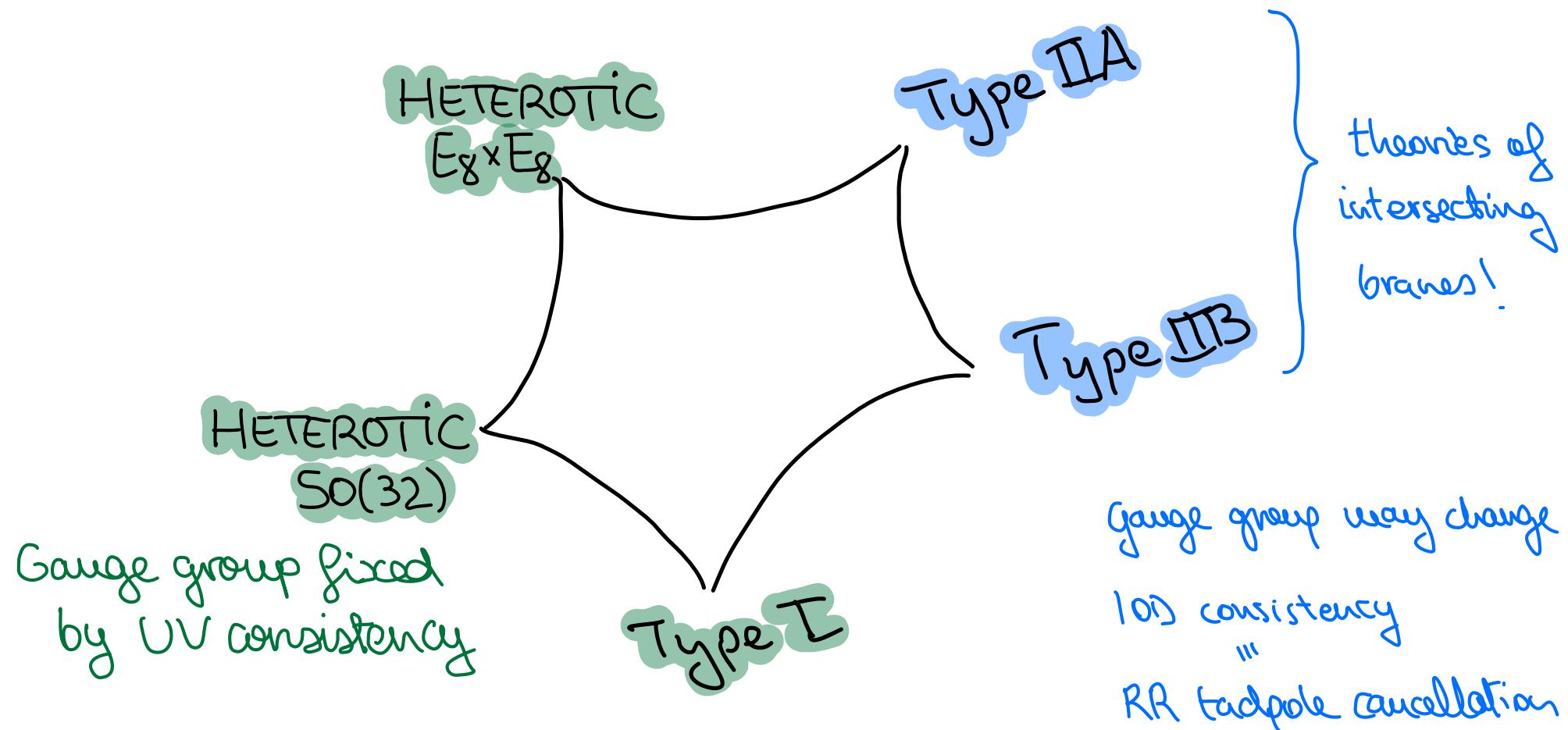
WHAT CAN AXIONS SAY ABOUT  
STRING THEORY ?

(or at least  
some of them)

Work in progress w/ P. Agrawal & M. Nee

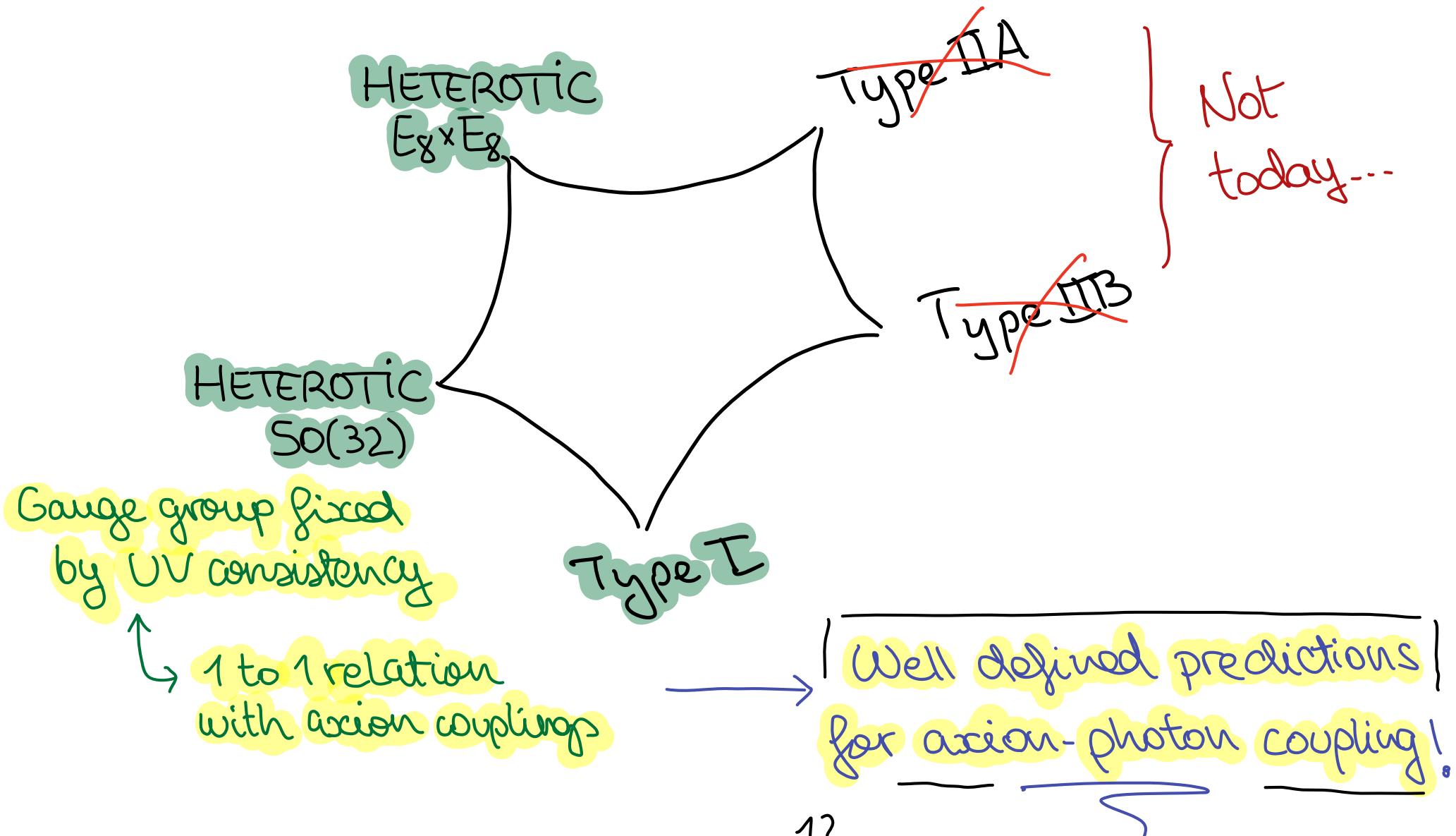
# DIFFERENT STRING THEORIES

↳ Best understood framework unifying : GR + particle physics



# DIFFERENT STRING THEORIES

↳ I will restrict myself to Heterotic strings...



# STRINGY AXIONS: KNOWN RESULTS...

p-form "gauge potential"

- \* Multiple sources of axions in ST:  $B_2$ ,  $C_p$ , ...  $\sim$  gauge fields

"Axions from p-form fields wrapping p-cycles":  $\Theta_p = \int_{W_p} C_p$

- \* Appear in large number:  $\propto$  axions  $\sim$  "complexity" of compact space

STRING AXIVERSE! [Arvanitaki et al., '09]

**CAVEAT!** [Conlon, 0602233]

- \* Exponentially good PQ (moduli stabilisation might spoil this)

↳ Nicely explained by higher-form symmetries!

- \*  $F_a$  tends to be large! Observability? Overabundance?

See however: { [Im et al. 1906.11851;  
Choi et al. 1104.3274;  
Buchbinder et al. 1412.8696]  
and many others... ]

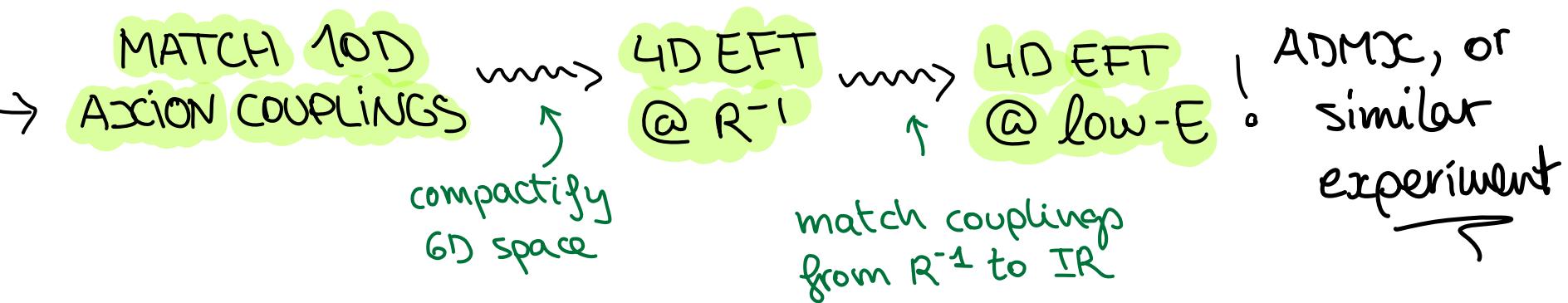
[Gendler et al, 2309.13145]

"axiverse statistics"

# WHAT'S NEW HERE?

[Huge amount of papers since Witten; Choi and Kim; et al in 80s]

- i) UV consistency fixes UV gauge group in some ST
- ii) Axion couplings are topological in ST: fixed at 10D level!



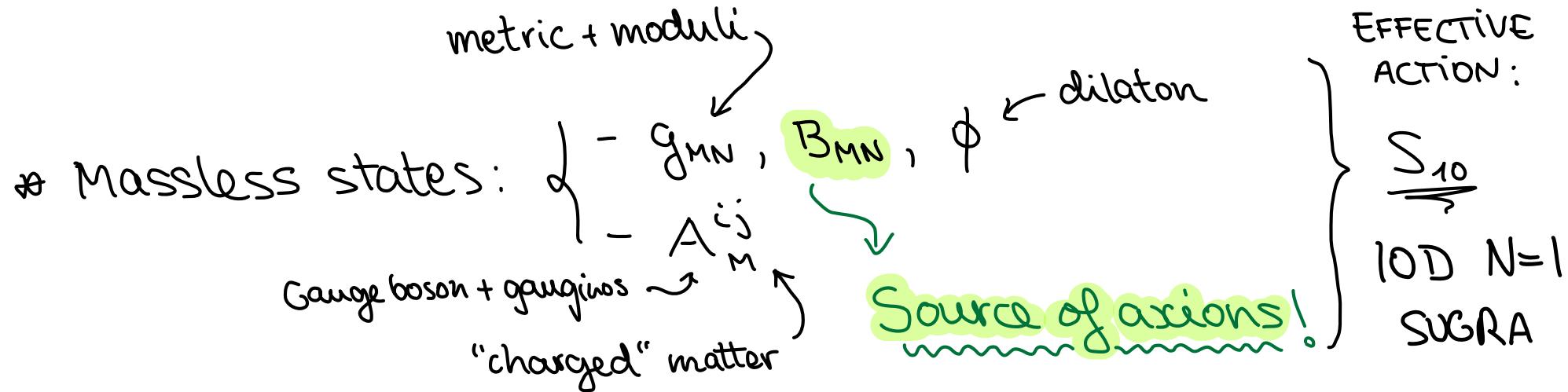
↳ well defined axion predictions independent of details associated to: compactification & obtaining SM spectrum

In some ST:  $\frac{g_A}{M_A} < \frac{\alpha_{em}}{2\pi} \frac{1}{f_\pi M_\pi}$  holds!

# HETEROtic STRINGS

\* CY and toroidal  
orbifold compactif.

- \* Theory of closed (super)strings in 10D\*



\* Green-Schwarz anomaly cancellation  $\rightarrow E_8 \times E_8$  or  $SO(32)$

Crucial for axions!

Focus on Axion COUPLING IN 4d!

↳ e.g.  $B \wedge \text{tr} F^2 \wedge \text{tr} F^2$

[See Svrcek, Witten  
for a review]

$$\left\{ \begin{array}{c} \{ \dots \} \\ X_6 \end{array} \right\} \rightarrow$$

a  $\tilde{G}G$

# HETEROtic STRINGS

"Problem"?

UV simplicity vs IR complexity

$E_8 \times E_8$  in 10d  
~~~~~

↳ compactifying on Calabi-Yau or toroidal orbifold  
(compact spaces with different  
topological properties)

+

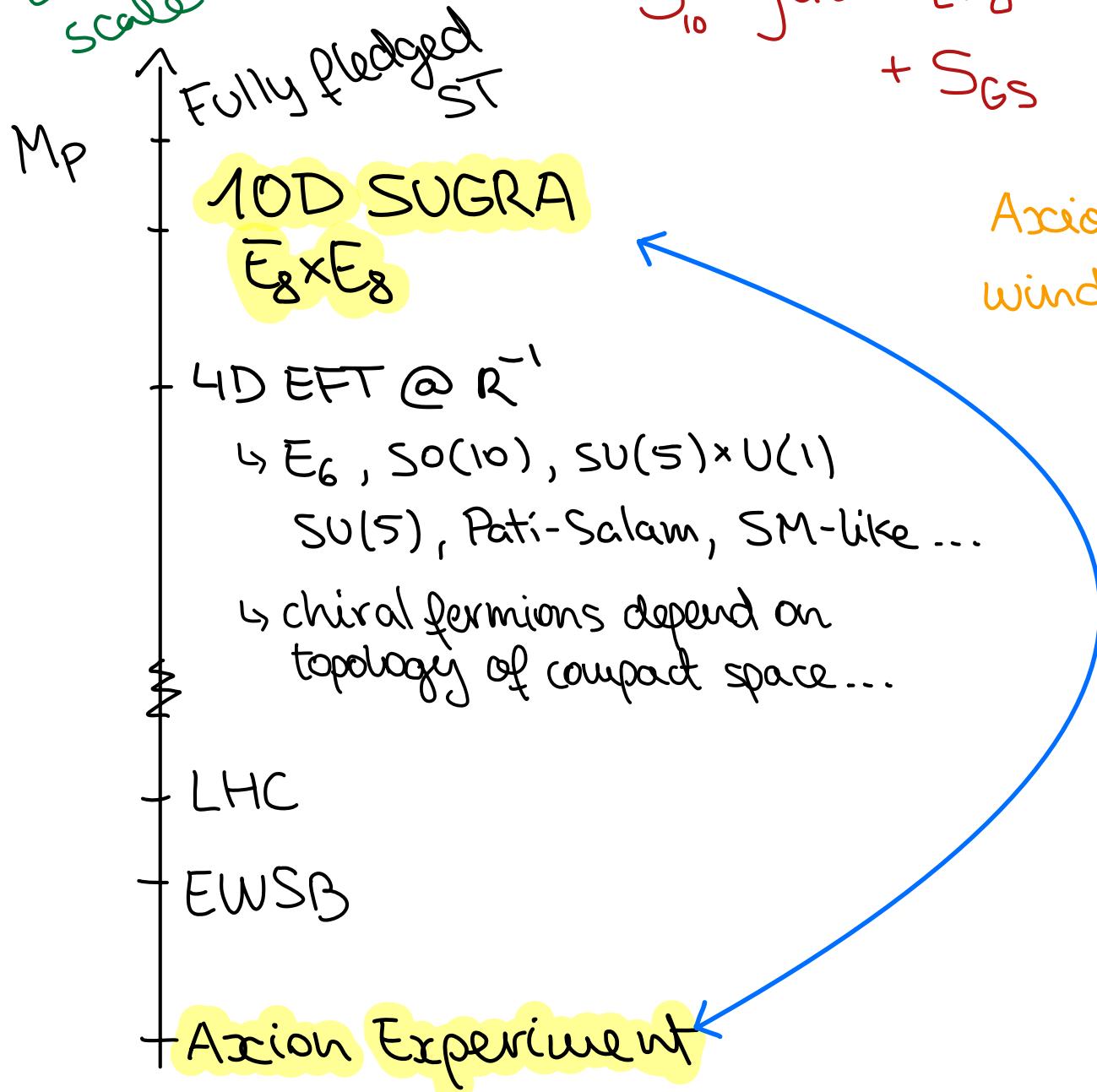
(discrete) Wilson lines

⇒ MANY 4D EFT become available!

Examples:  $SU(5)$ ,  $SO(10)$ ,  $E_6$ , triification, etc...

# CONNECTING FAR UV TO IR

Energy scale



$$S_{10} = \int d^10x e^{-\phi} [\sqrt{-g} R + (d\phi)^2 - |H|^2 - \text{tr}|F|^2] + S_{GS}$$

Axions offer pristine window into UV physics!

Independent of intermediate scale physics!

Results will only depend on SM embedding into  $E_8 \times E_8$

STRINGY ACTIONS:

HOW DO THEY  
LOOK LIKE? ?

# Axions in HETEROtic STRINGS

[see Svrcek, Witten for a review]

↪  $B_2$ : 2-index antisym. tensor

↪  $B_6 \equiv 10d$  dual of  $B_2$

$$B_2 \rightarrow B_2 + d\lambda_1$$

\* Model-independent axion (a):  
(MI)

$$a = \int_{X_6} B_6$$

6-form integrated  
over 6d space →  
0-form in 4d  
EFT

↑  $X_6 \equiv 6d$  compact space

\* Model-dependent axions ( $b_i$ ): zero modes of  $B_2$

wrapping 2-cycles ( $W_i$ )

(MD)

$$b_i = \int_{W_i} B_2$$

↪ along  
"internal  
dimensions"

\* Field theoretic axions:

$$\phi = \bar{\phi} e^{i c(x)}$$

complex phase

↪ Relevant in scenarios with anomalous U(1). Do not add new

is ingredients wrt MI, MD.

# 4d Axion Couplings

After dim. reduction ...

- \* MI axion couplings:  $\mathcal{L}_{\text{MI}}^{\text{4d}} = a/f_a (\text{tr}_1 F^2 + \text{tr}_2 F^2)$  universally coupled to gauge bosons  
(a)

$$\text{tr}_1 F^2 = \sum_i \text{tr} F_i^2 \leftarrow \text{unbroken 4d gauge groups from 1st E}_8$$

- \* MD axion couplings:  $\mathcal{L}_{\text{MD}}^{\text{4d}} = \sum k_i^{(1)} b_i \text{tr}_1 F^2 + \sum k_i^{(2)} b_i \text{tr}_2 F^2$   
(b:)  
↑ depend on compact space ↑  
CALCULABLE!  
[see Choi, Kim in '80s]

**CRUCIAL POINT:** Only 2 linear comb.  $\theta_1, \theta_2$ !

MOST GENERAL  
AXION  
COUPLINGS

$$\mathcal{L}_{\text{4D}} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

# EMBEDDING THE SM IN $E_8 \times E_8$

@  $R^{-1}$ :

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

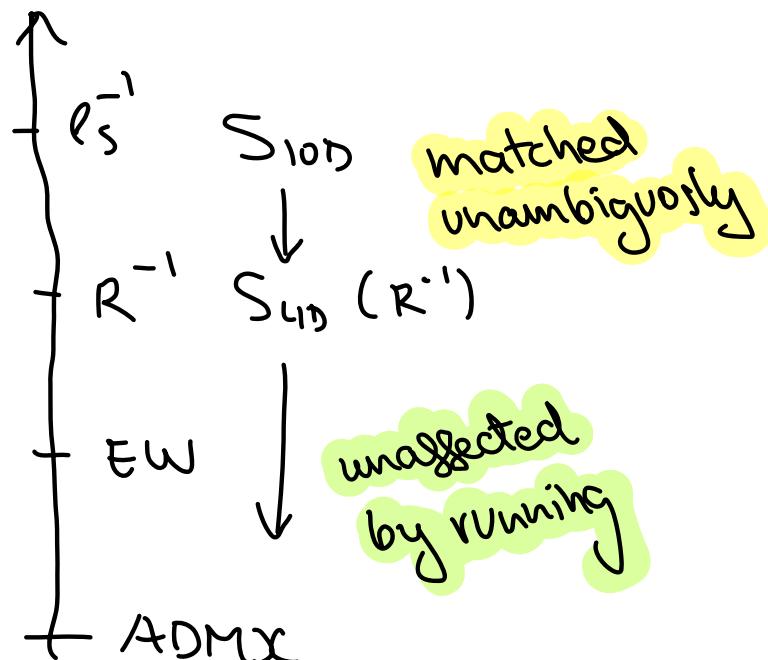
axion couplings at low-E only depend on how we embed the SM!

\*  $\theta_1, \theta_2$ : different linear combinations of  $a \otimes b_i$ ?

E.g.

$$\theta_1 = a + \sum k_i^{(1)} b_i$$

Energy



OPTIONS

- i)  $E_8 \supset G \supset SM$ ; second  $E_8$  "untouched"
- ii) SM non-trivially embedded in  $E_8 \times E_8$

# EMBEDDING THE SM IN $E_8 \times E_8$

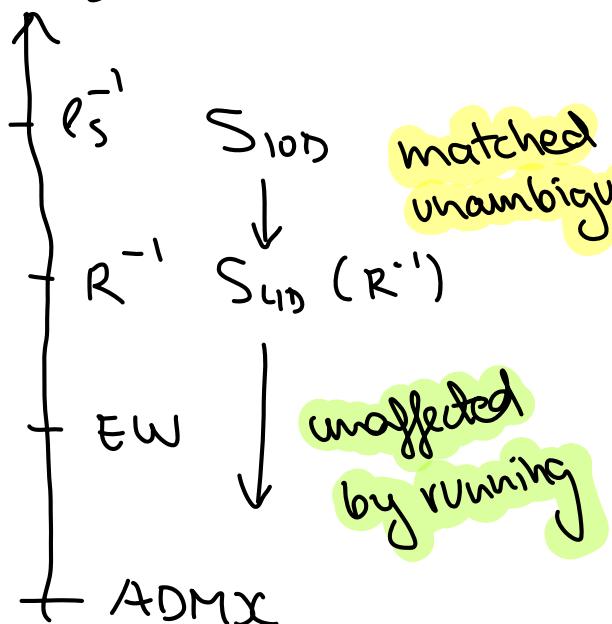
$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

The same result  
holds for  $SO(32)$

Hidden sector

$$\text{tr}_1 F^2 = \{ \text{QCD} + \text{EW} + \text{Hypercharge} \}$$

Energy



"VISIBLE AXION"

$$\boxed{\theta_1 = a + \sum_i k_i^{(1)} b_i}$$

Model  
independent  
axion

value of  $k_i^{(1)}$  depends  
on compact space

model  
dependent  
axions

# SM EMBEDDING IN A SINGLE $E_8$

- ≈ 4D EFT → @  $R^{-1}$  scale:
  - SM from first  $E_8$
$$\mathcal{L} = \frac{\Theta_1}{8\pi} (\alpha_1 \tilde{B}\tilde{B} + \alpha_2 \tilde{W}\tilde{W} + \alpha_3 \tilde{G}\tilde{G}) + \frac{\Theta_2}{8\pi} \tilde{H}\tilde{H} + \underbrace{\sum_{\text{world-sheet}} V(b_i)}$$

$$\Theta_1 = a + \sum_i k_i^{(1)} b_i$$

axions other than those in  $\Theta_1$ , only couple through mixing!
- ≈ 4D EFT → below EWSB scale:
  - source of axion mixing!
$$\mathcal{L} = \frac{\Theta_1}{8\pi} \left[ \alpha_{ew} \left( \frac{E}{N} - 1.92 \right) \tilde{F}\tilde{F} + \tilde{G}\tilde{G} \right] + V_{\text{eff}}(b_i)$$

Only QCD axion to leading order!

↳ Additional axions satisfy:

$$\frac{g_a}{m_a} < \frac{\alpha_{ew}}{M_n f_n}$$

# SM EMBEDDING IN A SINGLE $E_8$

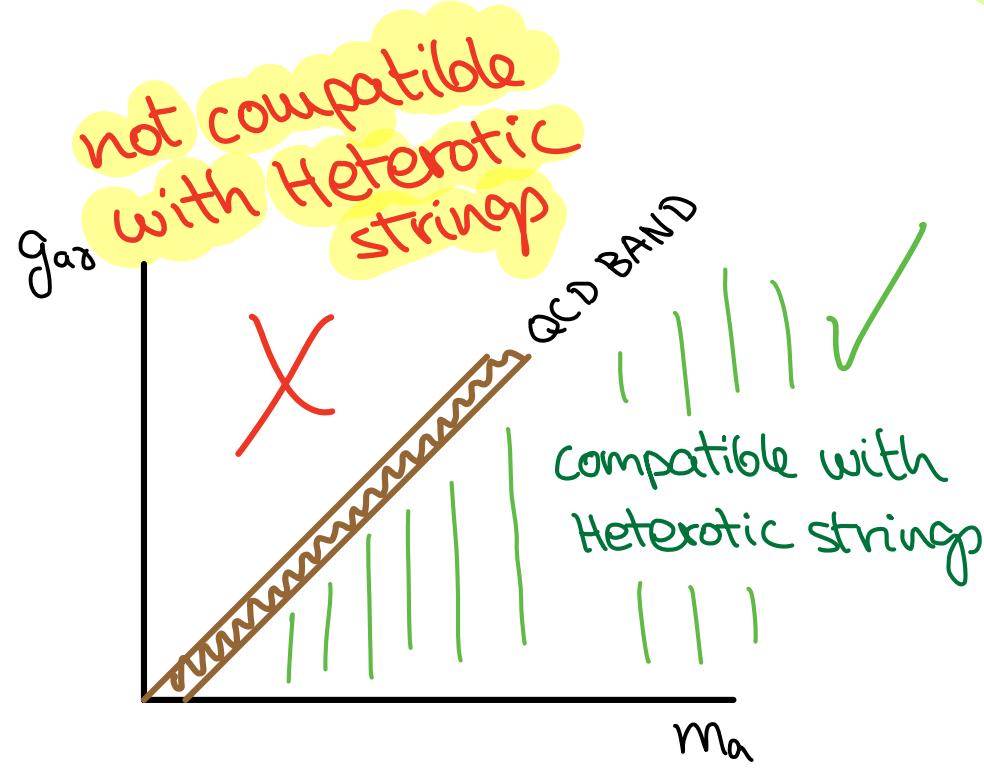
\* Find an axion with:  $g_{a\gamma}/m_a > \alpha_{em}/m_\pi f_\pi$

For example:

↳ Cosmic birefringence

↳ Ultralight axions  
coupled to photons

$$m_a \sim 10^{-20} \text{ eV}, g_a \sim 10^{16} \text{ GeV}$$



Rule out Heterotic Strings ?!

INDEPENDENT OF  
THE DECAY CONSTANT!

(Embedding into a single  $E_8$ )

# NON-STANDARD SM EMBEDDING

See orbifold papers:

- \* Font et al. '90
- \* Ibáñez et al. '87

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

(part of) EM ↘

$\theta_1$  (red blob) ↘ ~QCD axion

$\theta_2$  (blue blob) ↘ ~ALP

\* Take:  $E_8 \times E_8$

$$[\underbrace{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)^n}_{\text{color}}] \times [\underbrace{\text{U}(1)^m \times G_h}_{\text{instantons}}]$$

$$\text{SU}(3)_c \times \text{SU}(2)_L \times \text{U}(1)_Y \times G_h^*$$

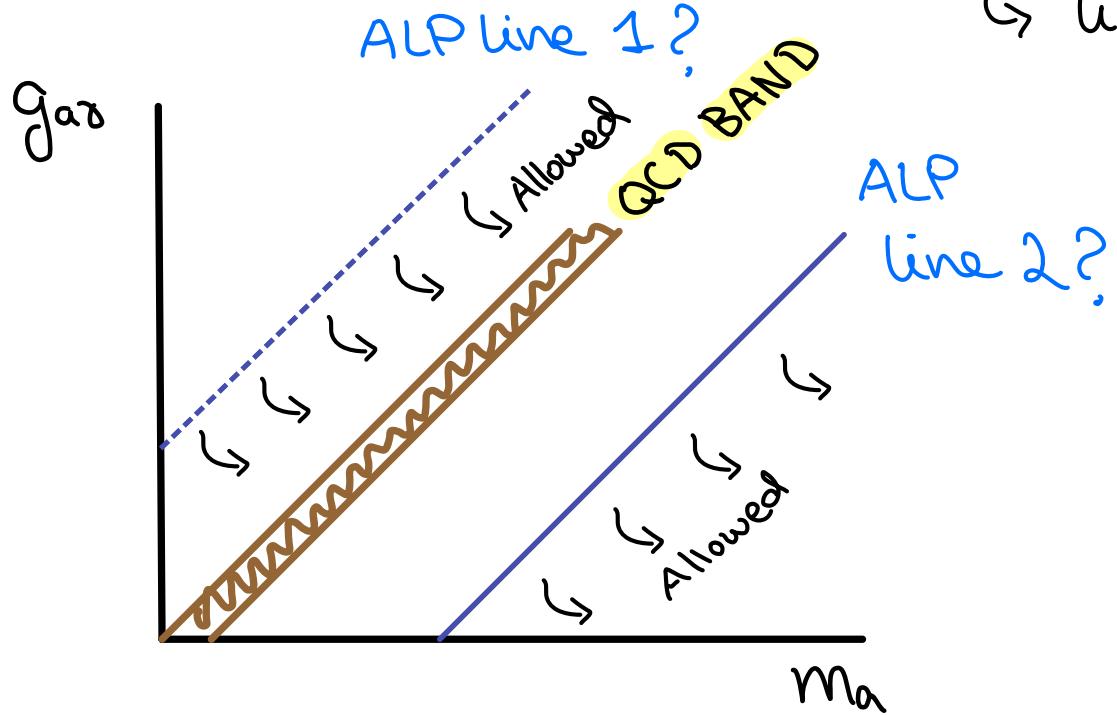
Is there any "realistic" model with non-standard embedding?!

keep  $G_h^*$  instantons under control! subdominant wrt QCD.

$$\left. \begin{aligned} V(\theta_1) &\simeq -\Lambda_{\text{QCD}}^4 \cos \theta_1 \\ V(\theta_2) &\simeq -\Lambda_{\text{ALP}}^4 \cos \theta_2 \end{aligned} \right\} \rightarrow$$

$\Lambda_{\text{ALP}}$  vs  $\Lambda_{\text{QCD}}$ ?

# WHAT'S THE "COST" OF THE ALP?



↳ "line" means:  $\frac{g_{\text{ALP}}}{m_{\text{ALP}}} \sim \frac{\alpha_{\text{EM}}}{\Lambda_{\text{ALP}}^2}$

\* ALP line 1 or 2?

$\Lambda_{\text{QCD}}$  vs  $\Lambda_{\text{ALP}}$

↳ Model dependent question!

\* Irreducible axion potential

$$V(\theta_{\text{ALP}}) \sim R^{-4} e^{-2\pi/\Lambda_{\text{GUT}}} \cos(\theta_{\text{ALP}})$$

## MODEL INDEPENDENT IMPLICATIONS

i) Weak mixing angle is modified;  $\sin^2 \theta_W < 1/3$ !

Standard GUT

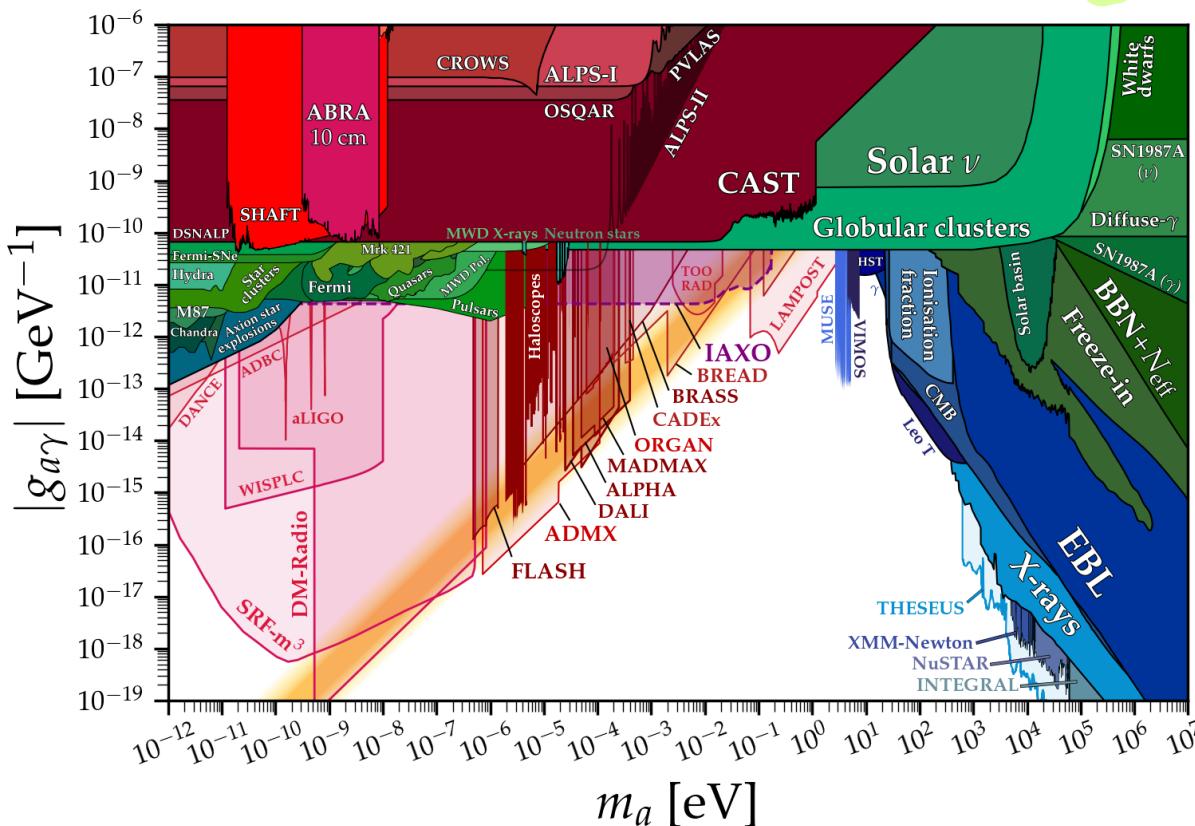
ii) Fractional charges? Possibly chiral!

$$\sin^2 \theta_W = 3/8$$

# UV LESSONS FROM IR EXP

Work in progress...

- 1) On top of Strong CP, Dark Matter, etc axions offer unpolluted UV information: GUTs, Heterotic, ... others? string?
- 2) Many experiments searching for axion-photon in near future, specially:  $g_{a\gamma}/m_a > \Delta m/m_{\eta f\eta}$



- 3) We CANNOT confirm GUTs or Heterotic strings  
**BUT**  
axion searches offer NON-TRIVIAL TESTS of these theories

Back-up

# Axions AS PROBES OF UNIFICATION

- \* Starting point:

$$G_{\text{GUT}} \times \prod_i U(1)_{PQ_i}$$

simple gauge group  
 e.g. SU(5), SO(10)...

Set of commuting, global unbroken symmetries

↳ Analogy: with SM

$U(1)_B$  and  $U(1)_L$   
 weak interaction  $SU(2)$

$\left. \begin{array}{l} U(1)_{B-L} \text{ anomaly-free} \\ U(1)_{B+L} \text{ ANOMALOUS!} \\ \text{applications for baryogenesis etc.} \end{array} \right\}$

- \* After symmetry redefinition:

Important !!

$$[G_{\text{GUT}}]^2 \times U(1)_{PQ} = A \neq 0$$

$$[G_{\text{GUT}}]^2 \times \tilde{U}(1)_i = 0$$

$$G_{\text{GUT}} \times U(1)_{PQ} \times \prod_i^{\text{non anom.}} \tilde{U}(1)_i$$

**ONLY ONE AXION COUPLED THROUGH THE ANOMALY!**

exact or decoupled

Goldstone bosons

$$A = 0$$

# 4D GUT: ONE AxIONS COUPLED TO GAUGE BOSONS

$$\prod_i U(1)_{\text{PQ},i} \rightarrow U(1)_{\text{PQ}} \times \prod_i \tilde{U}(1)_i$$

field redef.

non-Abelian  
only this linear combination gives an axion coupled to gauge bosons.

$$\begin{cases} A_{\text{PQ}} \neq 0 \\ A_i = 0 \end{cases}$$

} and due to quantisation:

$$A^{\text{UV}} = A^{\text{IR}}$$

↳ CURRENTS:

$$\left\{ \begin{array}{l} U(1)_{\text{PQ}}: \partial^\mu J_\mu^{\text{PQ}} = A_{\text{PQ}} \frac{\alpha_{\text{GUT}}}{8\pi} G \sim G_{\text{GUT}} \\ \tilde{U}(1)_i: \partial^\mu J_\mu^{\tilde{U}(1)_i} = 0 \end{array} \right.$$

Above PQ & GUT  
SSB scales  
↳ This action couples to both photons and gluons!!

↳ decoupled Goldstones!  
(from gauge bosons)

# SINGLE AXION – DEPENDENCE ON PQ SCALE?

PQ current  
above  
 $F_a, M_{\text{GUT}}$

$$\partial^\mu J_\mu^{\text{PQ}} = A_{\text{PQ}} \frac{\alpha_{\text{GUT}}}{8\pi} \tilde{G}\tilde{G}_{\text{GUT}}$$

→ What if  $F_a < M_{\text{GUT}}!$ ?

A)  $\underline{F_a > M_{\text{GUT}}}$ : effects of anomaly captured by dim-5 op.

$$A_{\text{PQ}} \frac{a}{F_a} \frac{\alpha_{\text{GUT}}}{8\pi} \tilde{G}\tilde{G}_{\text{GUT}}$$

axion couples to both photons and gluons!

$K_3, K_2, K_1$  levels of embedding of  $\text{SU}(3), \text{SU}(2), \text{U}(1)$  in GUT

B)  $\underline{F_a < M_{\text{GUT}}}$ :

$$\partial^\mu J_\mu^{\text{PQ}} = A_{\text{PQ}} \left\{ K_3 \frac{\alpha_3}{8\pi} \tilde{G}\tilde{G}_{\text{QCD}} + K_2 \frac{\alpha_2}{8\pi} W\tilde{W} + K_1 \frac{\alpha_1}{8\pi} B\tilde{B} \right\}$$

↓ After PQ breaking...

$$A_{\text{PQ}} \frac{a}{F_a} \left\{ K_3 \frac{\alpha_3}{8\pi} \tilde{G}\tilde{G}_{\text{QCD}} + K_2 \frac{\alpha_2}{8\pi} W\tilde{W} + K_1 \frac{\alpha_1}{8\pi} B\tilde{B} \right\}$$

Again, axion couples to both photons & gluons!

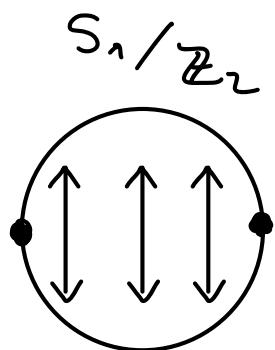
# Actions from Higher-D Gauge Fields

↪ Baby version:  $\text{QCD} \times \text{U}(1)_B$  in 5D

"1-form gauge field"  $\hookrightarrow B_M = (B_\mu, B_5)$

↪ Compactify:  $S_1 / \mathbb{Z}_2 : \left\{ \begin{array}{l} \text{- gluons: } A_\mu^{a(0)} \\ \text{- pseudo-scalar: } B_5^{(0)} \end{array} \right.$

REMARKS:



i) Chern-Simons couplings

$$\int K_{cs} \in {}^M{}^{NPQR} B_M G_N G_Q G_R \rightarrow \boxed{B_5^{(0)} G \tilde{G} \text{ in 4D}}$$

"cost of moving particle around":  $e^{-\int M d\tau}$

ii) Particle worldline  
Non-local potential

$$e^{i\theta} = e^{i \int B_5 dx_5} \quad \downarrow \quad \Rightarrow V(B_5) = R^{-4} e^{-S} \cos(B_5^{(0)} R)$$

$$S = 2\pi M R$$

~ UV instanton in 4d

# Axions in HETEROtic STRINGS

[see Svrcek, Witten for  
a review]

SHIFT SYMMETRY BREAKING EFFECTS :

[see also Choi 9706171]

- \* Instantons in  $E_8 \times E_8$ : additional confining interactions in 4d
- \* Worldsheet instantons: euclidean closed string worldsheet wrapping 2-cycles
- \* NS5-branes: non-pert. states  $(5+1)d$ , coupled magnetically to  $B_2$   
Can be deformed into UV YM instantons

↳ Only  $e^{-S}$  effects, GOOD QUALITY AXIONS!

# MATCHING AXION COUPLINGS

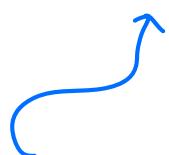
\* Take 10d SUGRA action:

$$S_{10d} \supset \int_{X_6} B_6 \wedge [tr_1 F^2 + tr_2 \bar{F}^2] + \int_{X_6} B_2 \wedge X_8^{(YM)}$$

Green-Schwarz mech.



MI axion couplings



MD axion couplings

↳ Contains:

$$tr_i F^2 tr_j \bar{F}^2, \dots$$

e.g.  $K_i = \int B_2 \wedge tr_i F^2 \rightarrow \text{quantised}$

\* Consistency of the 10d SUGRA gives couplings of  $B_2$  and  $B_6$  to gauge bosons.

$S_{10d} \longleftrightarrow$  Axion couplings @  $R^{-1}$  in 4d EFT

# MATCHING ACTION COUPLINGS

\* MI action couplings:  $\mathcal{L}_{MI}^{4d} = a/f_a (\text{tr}_1 F^2 + \text{tr}_2 F^2)$  universally coupled to gauge bosons  
 (a)

$$\text{tr}_1 F^2 = \sum_i \text{tr} F_i^2 \leftarrow \text{unbroken 4d gauge groups from 1st E}_8$$

\* MD action couplings:  $\mathcal{L}_{MD}^{4d} = \sum k_i^{(1)} b_i \text{tr}_1 F^2 + \sum k_i^{(2)} b_i \text{tr}_2 F^2$   
 (b<sub>i</sub>)  
 b<sub>i</sub> couples to "entire"  $\text{tr}_i F^2$ !  
 depend on compact space  
 CALCULABLE!

MOST GENERAL ACTION COUPLINGS

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

# NON-STANDARD SM EMBEDDING

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

(QCD)  $\rightarrow$  (part of) EM,

$\theta_1$  is highlighted with a red circle and labeled  $\sim \text{QCD axion}$ .

$\theta_2$  is highlighted with a blue circle and labeled  $\sim \text{ALP}$ .

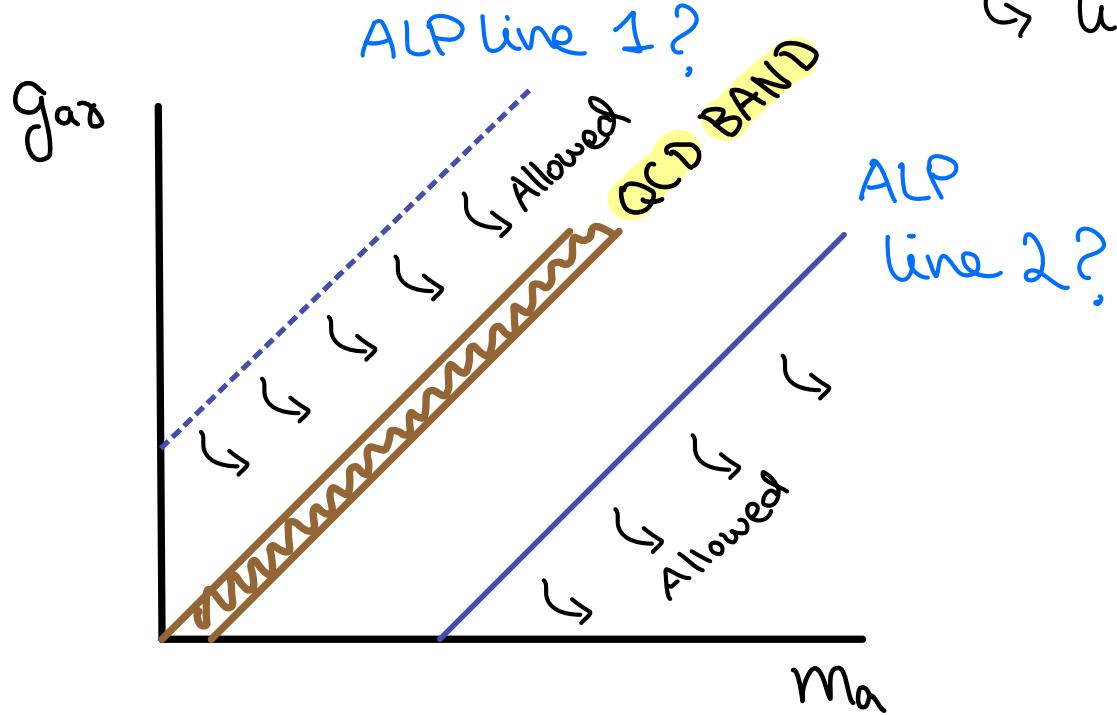
\* Take:  $E_8 \times E_8$

$[SU(3) \times SU(2) \times U(1)^n] \times [U(1)^m \times G_h]$  May arise in orbifold compactifications

$SU(3)_c \times SU(2)_L \times U(1)_Y \times G_h^*$  keep  $G_h^*$  instantons under control! subdominant wrt QCD.

$$\left. \begin{aligned} V(\theta_1) &\simeq -\Lambda_{\text{QCD}}^4 \cos \theta_1 \\ V(\theta_2) &\simeq -\Lambda_{\text{ALP}}^4 \cos \theta_2 \end{aligned} \right\} \rightarrow \Lambda_{\text{ALP}} \text{ vs } \Lambda_{\text{QCD}} ?$$

# WHAT'S THE "COST" OF THE ALP?



↳ "line" means:  $\frac{g_{\text{ALP}}}{m_{\text{ALP}}} \sim \frac{\alpha_{\text{EM}}}{\Lambda_{\text{ALP}}^2}$

\* ALP line 1 or 2?

$\Lambda_{\text{QCD}}$  vs  $\Lambda_{\text{ALP}}$

↳ Model dependent question!

\* Irreducible axion potential

$$V(\theta_{\text{ALP}}) \sim R^{-4} e^{-2\pi/\lambda_{\text{GUT}}} \cos(\theta_{\text{ALP}})$$

## MODEL INDEPENDENT IMPLICATIONS

i) Weak mixing angle is modified;  $\sin^2 \theta_W < 1/3$ !

Standard GUT

ii) Fractional charges? Possibly chiral!

$$\sin^2 \theta_W = 3/8$$

# FIELD THEORETIC AXIONS?

\* Can we get axions from the phase of complex scalars?

$$\phi = \bar{\Phi} e^{ic} ; \quad c \rightarrow c + q_c \theta$$

YES! BUT only in theories where the lightness

is guaranteed by a gauge symmetry:  $U(1)_A$

See:  
Anomalous  
 $U(1)$  scenario

$$\phi \sim e^{-s} e^{ia} \phi^N$$

phase from  $\phi$  mixes with  
"a" and inherits axion coupling

↳ Couplings of "c" are the  
same as MI axion, "a"!

$$\frac{c}{\text{left}} \tilde{F} F$$

\* QUESTION: Can we form cosmic strings?

Do they have "decompactified" core?

## COMPUTING $K_{1,2}^{(i)}$

\*  $K_{1,2}^{(i)}$  are "anomaly coeff." for model dependent axions.

$$\int \beta \wedge \chi_8 = \sum_i k_1^i \int_{M_4} b_i \text{tr}_1 F^2 + \sum_i k_1^i \int_{M_4} b_i \text{tr}_2 F^2$$

$$k_1^{(i)} = \int_{\Sigma_6} \beta_i \wedge (-\text{tr} R^2 + 2\text{tr}_1 F^2 - \text{tr}_2 F^2)$$

Field strength subject to topological constrain:

$$dH = -\text{tr}_1 F^2 - \text{tr}_2 F^2 + \text{tr} R^2 \rightarrow [\text{tr} R^2] = [\text{tr}_1 F]^2 + [\text{tr}_2 F]^2$$

Same cohomology class

# TWISTED STATE CONTRIBUTIONS

- \* Compactification on non-simply connected manifold

$$K = K_0 / G$$

- ↳ Fractionally charged states appear!  $\rightarrow$  Do they modify?  $g_{\text{ax}}/m_\psi \ll \alpha_{\text{em}}/\text{m}_\text{Pl}$
- ↳  $\psi$ , do they induce  $g_{\text{ax}}$ ?

- \* EFT

$$\mathcal{L} = -\mu \bar{\psi} e^{i \gamma_5 a} \psi - m_\psi \bar{\psi} \psi \rightarrow g_{\text{ax}} \sim \frac{\alpha_{\text{em}}}{f_a} z$$

$$z := \mu/m_\psi$$

- ↳ Calabi-Yau compactification:  $z = e^{-S}$ ;  $S \sim 2\pi/\alpha$

$$\hookrightarrow \text{Orbifold: } z = \frac{m_\psi}{m_\psi} e^{-S/2}$$

## P-FORM FIELDS

- \* Antisym. tensor field ( $\sim$  generalised gauge potential)

$$C_{p+1} \rightarrow C_{p+1} + d\Lambda_p$$

p-form gauge parameter

- \* Field strength:  $F_{p+2} = dC_{p+1}$

$$\# p\text{-branes} = \text{electric objects} \longleftrightarrow S_{\text{elec}} = Q \int_{W_{p+1}} C_{p+1}$$

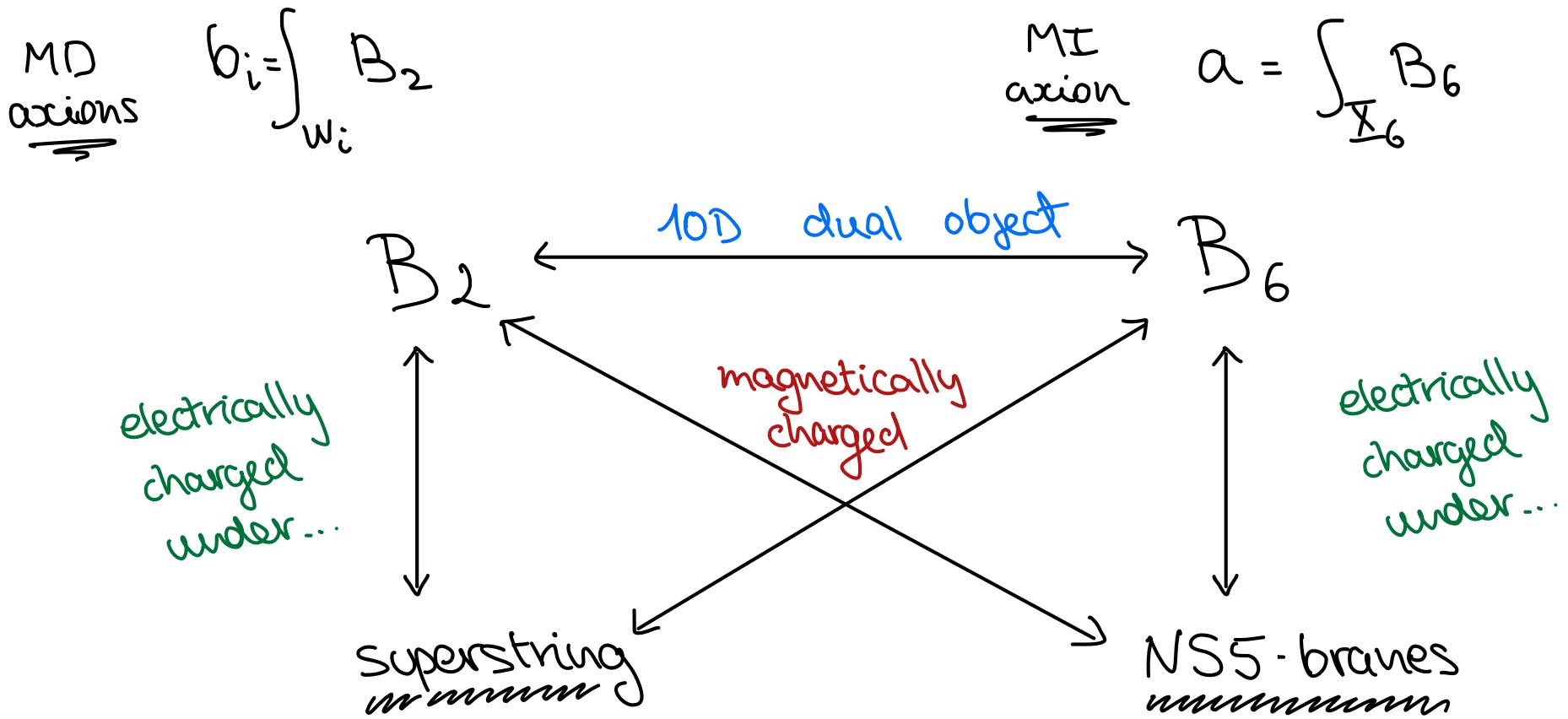
- \* Duals:

$$\hookrightarrow F_{p+2} = F_{d-p-2} \leftrightarrow F_{d-p-2} = dC_{d-p-3}$$

$\hookrightarrow$   $(d-p-4)$ -branes electrically charged under  $C_{d-p-3}$   
and magnetically charged under  $C_{p+1}$ .

# Axions in HETEROtic STRINGS

If time  
allows...  
↓

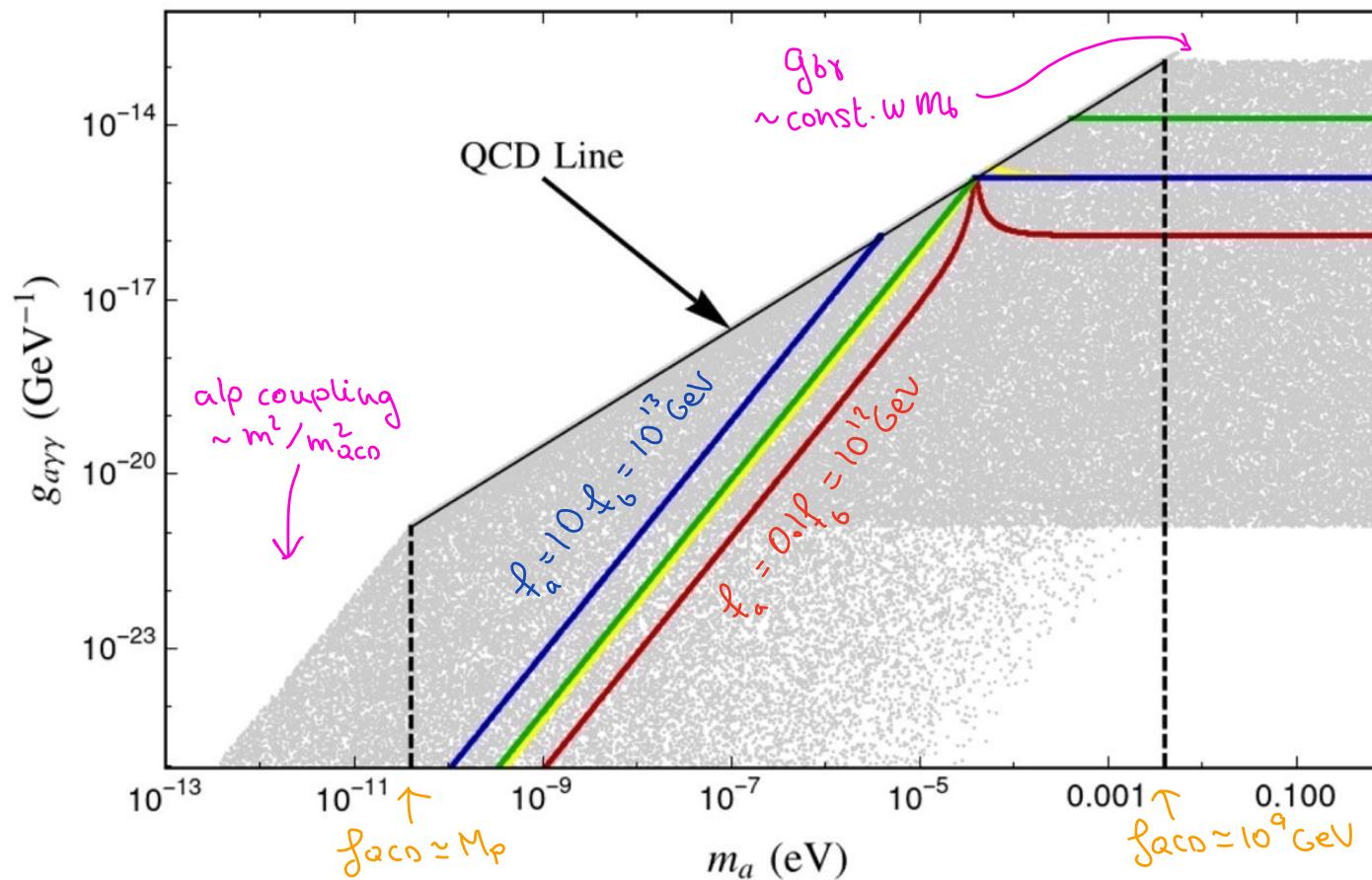


↳ 4D point of view, superstring = axion string!

# ALP-photon coupling via mixing

$$\mathcal{L} = \left( \frac{a}{f_a} + \frac{b}{f_b} \right) G\tilde{G} + \frac{1}{2} m_b^2 b^2$$

Generate sets of "points"  
 $(a, g_{a\gamma}) + (b, g_{b\gamma})$



Ranges:

- $m_b = [10^{-11}, 1] \text{ eV}$
- $f_a, f_b = [10^9, 10^{18}] \text{ GeV}$

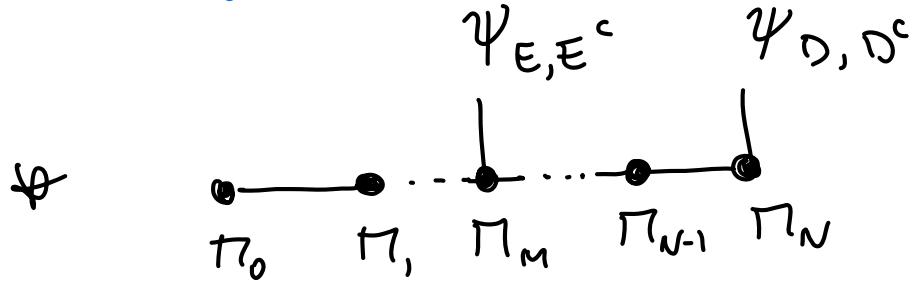
ADDITIONAL  
ALPs:

$\frac{g_{a\gamma}}{M_{a\text{co}}}$  is always smaller than QCD axion  $\frac{g_{a\gamma}}{M_{a\text{co}}}$   
 [Does not depend on number of axions]

# Clockwork axions

[1611.09855]

- Each site = scalar field
- links = nearest neighbor interact.



- \* Coupling to photons gets exp. enhancement

$$g_{\text{ax}} \approx \frac{\alpha_{\text{em}}}{F_a} (E/N - 1.92)$$

$$\text{with } E/N = q^{N-M}$$

↳ Crucially relies on having "incomplete" multiplets @ each site.

↳ GUT-like constructions are expected to get

$$\text{back to } \frac{E}{N} = \frac{k_1 + k_2}{k_3}$$



$N$  mirror sectors ? See } 1802.10093  
2102.00012

$$Z_N : \text{SM}_k \rightarrow \text{SM}_{k+1} \quad a \rightarrow a + \frac{2\pi k}{N} f_a \quad \downarrow N \text{ copies of SM}$$

$$m^2 \sim M_{\text{deco}}^2 \times \frac{1}{2^N}$$

E.g. to get  $m \sim 10^{-22} \text{ eV}$ ;  $f_a \sim 10^{17} \text{ GeV}$  } Need:  $N \sim 100$   
copies of SM

# FLIPPED GUTS

## \* QUANTUM NUMBERS

$$SU(5) \times U(1)_{\bar{X}}$$

$5_{-3}, 10_1, 1_5$

$\underbrace{\quad}_{\text{SM family} + \nu_R}$

## \* WEAK MIXING ANGLE

$$\sin^2 \theta_W = \frac{3/8}{1 + \frac{5}{3} \left( \frac{\alpha_5}{\alpha_X} - 1 \right)}$$

↳ Axion coupled to  $U(1)_{\bar{X}}$  without  $SU(5)$   $\rightarrow \nexists$  common origin

i)  $\nexists$  reason for SM charges

e.g. fermion with electric charge  $+\frac{1}{2}$ ?

ii)  $\nexists$  prediction of  $|\sin^2 \theta_W|$

$\left. \begin{array}{c} \downarrow \\ \alpha_5 \neq \alpha_{\bar{X}} \end{array} \right\} \leftarrow$

$\uparrow$   
price to pay...  
"

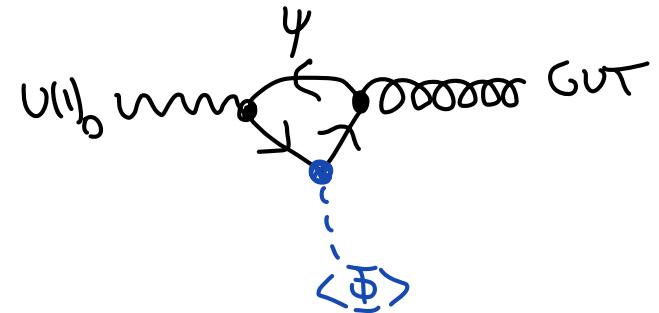
# KINETICALLY MIXED PHOTONS ?

- \*  $G_{\text{GUT}} \times U(1)_{\text{Dark}}$  with 2 axions:  
 LCD axion  $\xrightarrow{\text{dark photon}^5}$   $\alpha_{\text{GUT}} \frac{a}{f_a} \tilde{G}\tilde{G}_{\text{GUT}} + \alpha_D \frac{b}{f_b} \tilde{F}\tilde{F}_D$   
 axion coupled to dark sector

- \* Gauge invariance forbids tree-level kin. mixing

↳ higher dim.:  $\frac{1}{M_p} F_D \bar{\Phi} G_{\text{GUT}}$

$$\epsilon \sim \frac{\alpha_{\text{GUT}} \alpha_D}{16\pi^2} \frac{M_{\text{GUT}}}{M_p}$$



- \* After GUT SSB:

$$\frac{\epsilon^2}{8\pi} \alpha_D \frac{b}{f_b} \tilde{F}\tilde{F}$$

expected to give a large suppression!  
 $\epsilon^2 \lesssim 10^{-8}$