

HELLENIC REPUBLIC National and Kapodistrian University of Athens



Signatures of No-scale Supergravity in NANOgrav and beyond

Based on S. Basilakos, D.V. Nanopoulos, T. Papanikolaou, E.N. Saridakis, C. Tzerefos (2307.08601)

Haris Tzerefos

National and Kapodistrian University of Athens <u>chtzeref@phys.uoa.gr</u>

Corfu, 07/09/2023



Theoretical introduction

- **Supergravity (SUGRA)** is a quantum field theory in which global supersymmetry has been promoted to a *local* symmetry. Therefore, its *gauging* describes *gravitation*.
- No-scale supergravity is a particular class of SUGRA which is characterized by the *absence of any external scales*, hence its name [1] every relevant energy scale is a function of M_{pl} only. Its significant perks include:
- ✓ It has been explicitly demonstrated that it naturally arises as the *low energy limit of superstring theory* [2]
- ✓ It cures the cosmological constant problem by naturally providing vanishing cosmological constant at the tree level [3]
- ✓ Through its framework it can produce *Starobinski-like inflation*, compatible with the Planck data [4]
- ✓ It can provide an efficient mechanism for *reheating*, the generation of *neutrino masses* and *leptogenesis* [5]

Theoretical introduction

• The most general (N=1) SUGRA is characterized by two functions: The Kahler potential K, which is a Hermitian function of the matter scalar field and quantifies its geometry, and a holomorphic function of the fields called superpotential W. V is the scalar potential :

$$\mathcal{S} = \int d^4x \sqrt{-g} \left(K_{i\bar{j}} \partial_\mu \Phi^i \partial^\mu \bar{\Phi}^{\bar{j}} - V \right) \quad \text{with} \quad V = e^K \left(\mathcal{D}_{\bar{i}} \bar{W} K^{\bar{i}j} \mathcal{D}_j W - 3|W|^2 \right) + \frac{\tilde{g}^2}{2} (K^i T^a \Phi_i)^2$$

and
$$K_{i\bar{j}}(\Phi, \bar{\Phi}) = \frac{\partial^2 K}{\partial \Phi^i \partial \bar{\Phi}^{\bar{j}}}$$
, $\mathcal{D}_i W \equiv \partial_i W + K_i W$ and $i = \{\phi, T\}$ which are chiral superfields.

• The simplest globally supersymmetric model is the Wess-Zumino one, which is characterized by one single chiral superfield φ and the following superpotential: $W = \frac{\hat{\mu}}{2}\varphi^2 - \frac{\lambda}{3}\varphi^3$, with a mass term $\hat{\mu}$ and a trilinear coupling term λ

No-scale Wess-Zumino (NSWZ) SUGRA

• In order to facilitate early universe inflationary scenarios, we shall embed this model in the context of $SU(2,1)/SU(2) \times U(1)$ no-scale supergravity by matching the T field to the modulus field and the φ field to the inflaton. The corresponding Kahler potential for this construction is

$$K = -3\ln\left(T + \bar{T} - \frac{\varphi\bar{\varphi}}{3}\right)$$

• Remarkably, by setting $T = \overline{T} = \frac{c}{2}$, $\operatorname{Im}\varphi = 0$ and making a transformation of φ in order to obtain a canonical kinetic term, one obtains Starobinski inflation for $\lambda/\mu = 1/3$ and $\hat{\mu} = \mu\sqrt{c/3}$ [6], [7] $V(\chi) = \frac{\mu^2}{4} \left(1 - e^{-\sqrt{\frac{2}{3}}\chi}\right)^2$ $(1 - e^{-\sqrt{\frac{2}{3}}\chi})^2$ $(1 - e^{-\sqrt{\frac{2}{3}}\chi)^2$ $(1 - e^{-\sqrt{\frac{2}{3}}\chi)^2$ $(1 - e^{-\sqrt{\frac{2}{3}}\chi})^2$ $(1 - e^{-\sqrt{\frac{2}{3}}\chi)^2$ $(1 - e^{-\sqrt$

$$\varphi = \sqrt{3c} \tanh\left(\frac{\chi}{\sqrt{3}}\right)$$

NSWZ SUGRA inflection point inflation

• A common mechanism to produce PBHs is via the use of inflationary potentials with inflection points aka points where $V''(\chi_{inflection}) = V'(\chi_{inflection}) \simeq 0$ which induce the so-called **ultra slow** roll inflation (USR)

• To realize such set-ups, one can introduce the following **non-perturbative deformations** to the Kahler potential [7]:

$$K = -3\ln\left[T + \bar{T} - \frac{\varphi\bar{\varphi}}{3} + a e^{-b(\varphi + \bar{\varphi})^2} (\varphi + \bar{\varphi})^4\right]$$
 with a and b real constants

• At the end, one obtains the following potential

$$V(\phi) = \frac{3e^{12b\phi^2}\phi^2(c\mu^2 - 2\sqrt{3c}\,\lambda\,\mu\,\phi + 3\lambda^2\,\phi^2)}{\left[-48a\phi^4 + e^{4b\phi^2}(-3c + \phi^2)\right]^2\,\left[e^{4b\phi^2} - 24\,a\,\phi^2(6 + 4b\,\phi^2(-9 + 8b\,\phi^2))\right]}$$

PBHs

Primordial Black Holes (PBHs) form in the early universe out of the **collapse of enhanced energy density perturbations** upon horizon reentry of the typical size of the collapsing overdensity region, $m_{\text{PBH}} = \gamma M_{\text{H}} \propto H^{-1}$ where $\gamma \sim O(1)$ (a nice review [8])



Perks of ultra-light PBHs

We will consider **ultra-light PBHs** for which $m_{PBH} < 10^9 g$ Some of their perks include:

✓ They can induce an early matter dominated era (eMD) since $\Omega_{\text{PBH}} = \rho_{\text{PBH}} / \rho_{\text{tot}} \propto a^{-3} / a^{-4} \propto a$ and evaporate before BBN. Their evaporation **drives the reheating process** (e.g. [9])

✓ This eMD era enhances the magnitude of the curvature perturbation and consequently gives rise to scalar induced gravitational waves (SIGWs) with very interesting phenomenology. For instance, one can constrain the underlying gravity theory (e.g. [10])

✓ Their Hawking evaporation can alleviate the Hubble tension by injecting to the primordial plasma dark radiation degrees of freedom which can increase N_{eff} (e.g. [11])

PBHs in no-scale SUGRA

Our modified potential gives rise to the following power spectrum given our choice of fiducial parameters:



eMD driven by PBHs

• Since $\Omega_{\text{PBH}} = \rho_{\text{PBH}} / \rho_{\text{tot}} \propto a^{-3} / a^{-4} \propto a$ an eMD era driven by them arises



Note: We treat mass function as monochromatic ——> eMD to IRD sudden

Essentials of the Scalar Induced GWs (SIGWs)

 Working in the Newtonian gauge, the 2nd order tensor perturbations are described as follows

$$\mathrm{d}s^2 = a^2(\eta) \left\{ -(1+2\Phi)\mathrm{d}\eta^2 + \left[(1-2\Phi)\delta_{ij} + \frac{h_{ij}}{2} \right] \mathrm{d}x^i \mathrm{d}x^j \right\}$$

• Their equation of motion in fourier space is $h_k^{s,\prime\prime} + 2\mathcal{H}h_k^{s,\prime} + k^2h_k^s = 4S_k^s$

• The source term is
$$S_k^s = \int \frac{\mathrm{d}^3 q}{(2\pi)^{3/2}} e_{ij}^s(k) q_i q_j \left[2\Phi_q \Phi_{k-q} + \frac{4}{3(1+w_{\mathrm{tot}})} (\mathcal{H}^{-1}\Phi_q' + \Phi_q) (\mathcal{H}^{-1}\Phi_{k-q}' + \Phi_{k-q}) \right]$$

• At the end, the spectral abundance of GWs can be given by

$$\Omega_{\rm GW}(\eta,k) \equiv \frac{1}{\bar{\rho}_{\rm tot}} \frac{\mathrm{d}\rho_{\rm GW}(\eta,k)}{\mathrm{d}\ln k} = \frac{1}{24} \left(\frac{k}{\mathcal{H}(\eta)}\right)^2 \overline{\mathcal{P}_h^{(s)}(\eta,k)} \quad \text{and} \quad \mathcal{P}_h^{(s)}(\eta,k) \equiv \frac{k^3 |h_k|^2}{2\pi^2} \propto \int \mathrm{d}v \int \mathrm{d}u I^2(u,v,x) \mathcal{P}_{\Phi}(kv) \mathcal{P}_{\Phi}(kv)$$

The kernel I(u, v, x) is complicated function containing the info for eMD \rightarrow IRD eras (see [13])

The relevant GW sources and their spectrum

A) Inflationary adiabatic perturbations —— GWs with two peaks

i) GWs are produced by the enhancement of $\mathcal{P}_{\mathcal{R}}(k)$ (peaked at 10^{19}Mpc^{-1}) at PBHs scales peaked at the **kHz range** and detectable by **electromagnetic GW detectors** [12]



Inflationary adiabatic perturbations

ii) GWs are induced by resonantly amplified large-scale inflationary curvature perturbations of order 10^4 due to the intervention of an early MD era driven by PBHs, during which the gravitational potential is constant and $\delta \sim \alpha$. This GW signal peaks at the nHz frequency range and is in strong agreement with NANOGrav/PTA GW data.



SIGWs from Poisson fluctuations of a gas of PBHs

• Random distribution of PBHs + same mass — they follow Poisson statistics :

$$\mathcal{P}_{\delta}(k) = rac{k^3}{2\pi^2} P_{\delta}(k) = rac{2}{3\pi} \left(rac{k}{k_{
m UV}}
ight)^3 \Theta(k_{
m UV}-k)$$

- Since ρ_{PBH} is inhomogeneous and ρ_{tot} is homogenous $\longrightarrow \delta_{PBH}$ is an isocurvature perturbation
- δ_{PBH} generated in the eRD era will be converted in an eMD era to a curvature perturbation ζ_{PBH} associated with the scalar potential [14]

$$\mathcal{P}_{\Phi}(k) = rac{2}{3\pi} \left(rac{k}{k_{
m UV}}
ight)^3 \left(5 + rac{4}{9}rac{k^2}{k_{
m d}^2}
ight)^{-2},$$

SIGWs from Poisson fluctuations of a gas of PBHs

Therefore, we get the following signal by the population of the PBHs themselves



The complete three-peaked signal



A simultaneous detection of all three peaks could constitute a clear indication in favour of no-scale SUGRA

Conclusions

- We worked within **NSWZ**, a framework which gives rise to **Starobinski inflation** compatible with the Planck data, namely $n_s = 0.96$ and r < 0.004.
- Through the deformed Kahler potential and our choice of fiducial parameters, we obtain ultra-light PBHs which give rise to an eMD and evaporate before BBN.
- We derived the GWs power spectrum produced by i) adiabatic inflationary curvature perturbations and ii) isocurvature perturbations due to fluctuations of the number density of PBHs. Both processes are amplified by the eMD driven by the PBHs.
- The produced GW signal has a characteristic three-peak form: At nHz, Hz and kHz, in strong agreement with the NANOgrav/PTA data and in principle detectable by other future detectors. The simultaneous detection of all three peaks can constitute a clear indication of no-scale SUGRA.



References

- A. B. Lahanas and Dimitri V. Nanopoulos. The Road to No Scale Supergravity. *Phys. Rept.*, 145:1, 1987.
- [2] Ignatios Antoniadis, John R. Ellis, E. Floratos, Dimitri V. Nanopoulos, and T. Tomaras. The Low-energy Effective Field Theory From Four-dimensional Superstrings. *Phys. Lett.* B, 191:96–102, 1987.
- [3] E. Cremmer, S. Ferrara, C. Kounnas, and Dimitri V. Nanopoulos. Naturally Vanishing Cosmological Constant in N=1 Supergravity. *Phys. Lett. B*, 133:61, 1983.
- [4] John Ellis, Dimitri V. Nanopoulos, and Keith A. Olive. Starobinsky-like Inflationary Models as Avatars of No-Scale Supergravity. JCAP, 10:009, 2013.
- [5] I. Antoniadis, D. V. Nanopoulos, and J. Rizos. Cosmology of the string derived flipped SU(5). JCAP, 03:017, 2021.
- [6] John Ellis, Dimitri V. Nanopoulos, and Keith A. Olive. No-Scale Supergravity Realization of the Starobinsky Model of Inflation. *Phys. Rev. Lett.*, 111:111301, 2013. [Erratum: Phys.Rev.Lett. 111, 129902 (2013)].
- [7] Dimitri V. Nanopoulos, Vassilis C. Spanos, and Ioanna D. Stamou. Primordial Black Holes from No-Scale Supergravity. *Phys. Rev. D*, 102(8):083536, 2020.
- [8] Bernard Carr, Kazunori Kohri, Yuuiti Sendouda, and Jun'ichi Yokoyama. Constraints on Primordial Black Holes. 2 2020.
- [9] Jérôme Martin, Theodoros Papanikolaou, and Vincent Vennin. Primordial black holes from the preheating instability in single-field inflation. JCAP, 01:024, 2020.
- [10] Theodoros Papanikolaou, Charalampos Tzerefos, Spyros Basilakos, and Emmanuel N. Saridakis. Scalar induced gravitational waves from primordial black hole Poisson fluctuations in Starobinsky inflation. 12 2021.
- [11] Savvas Nesseris, Domenico Sapone, and Spyros Sypsas. Evaporating primordial black holes as varying dark energy. *Phys. Dark Univ.*, 27:100413, 2020.
- [12] Valerie Domcke. Electromagnetic high-frequency gravitational wave detection. In 57th Rencontres de Moriond, 6 2023.
- [13] Keisuke Inomata, Kazunori Kohri, Tomohiro Nakama, and Takahiro Terada. Enhancement of gravitational waves induced by scalar perturbations due to a sudden transition from an early matter era to the radiation era. *Physical Review D*, 100(4), aug 2019.
- [14] Theodoros Papanikolaou, Vincent Vennin, and David Langlois. Gravitational waves from a universe filled with primordial black holes. JCAP, 03:053, 2021.

Appendix: The full picture (SU(5) flipped)

