

# Current status of $\varepsilon_K$ in lattice QCD

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# Contents I

- 1 Contents
- 2 LANL-SWME Collaboration
- 3 Testing the Standard Model
  - CP violation in Neutral Kaons
- 4  $\varepsilon_K$ 
  - Input Parameters
  - Results for  $\varepsilon_K$
- 5 Summary and Conclusion
- 6 Thanks to God
- 7 Backup Slides
  - CKM matrix elements
  - $M - \bar{M}$  Mixing
- 8  $R(D)$  and  $R(D^*)$

## Contents II

- Other Anomalies in SM

9  $|V_{cb}|$

- $|V_{cb}|$  on the lattice
- Why the OK action?
- The OK action
- Inconsistency Parameter
- $\bar{B} \rightarrow D^* \ell \bar{\nu}$  Form Factors
- $\bar{B} \rightarrow D^* \ell \bar{\nu}$  Form Factor:  $h_{A_1}(w=1)$
- $\bar{B} \rightarrow D \ell \bar{\nu}$  Form Factors:  $h_{\pm}(w)$

10 MILC HISQ Ensembles

11 CLN and BGL

- CLN
- BGL

# Contents III

12  $B_s$  meson mass

- Inconsistency Parameter

13  $\kappa$  Tuning

14 Reference

# LANL-SWME Collaboration

# LANL–SWME Collaboration I

- Seoul National University (SWME):  
[Prof. Weonjong Lee](#)  
6 graduate students ← [data analysis]
- Yonsei University (SWME):  
[Prof. Jon Bailey](#)
- University of Washington (SWME):  
[Prof. Stephen Sharpe](#)
- Columbia University (SWME)  
[Dr. Yong-Chull Jang](#) (Postdoc) ← [data analysis]
- Brookhaven National Laboratory (SWME):  
[Dr. Chulwoo Jung](#) (Staff Scientist)

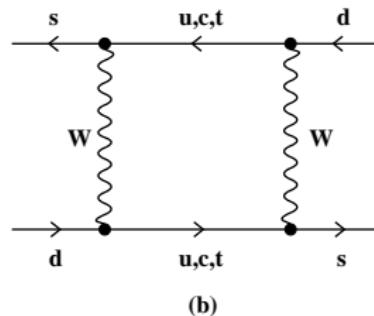
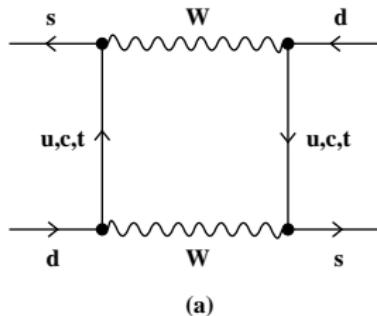
# LANL–SWME Collaboration II

- Los Alamos National Laboratory (LANL):  
Dr. Rajan Gupta (Lab Fellow)  
Dr. Tanmoy Bhattacharya (Staff Scientist)  
Dr. Boram Yoon (Staff Scientist)
- Jefferson Laboratory (SWME):  
Dr. Sungwoo Park (Postdoc) ← [data analysis]
- Forschungszentrum (=Research Center) Jülich, Germany (SWME):  
Dr. Jangho Kim (Postdoc) ← [NPR]
- Korea Institute for Advanced Study, KIAS (SWME):  
Dr. Jaehoon Leem (Postdoc) ← [C.I. Theory]

# CP Violation in Neutral Kaons

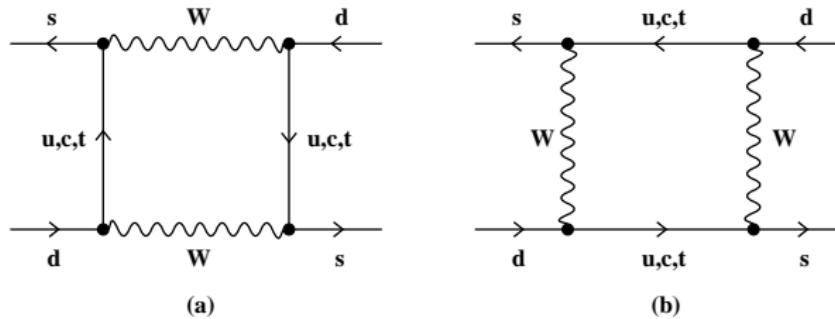
# Kaon Eigenstates and $\varepsilon$

- Flavor eigenstates,  $K^0 = (\bar{s}d)$  and  $\bar{K}^0 = (s\bar{d})$  mix via box diagrams.



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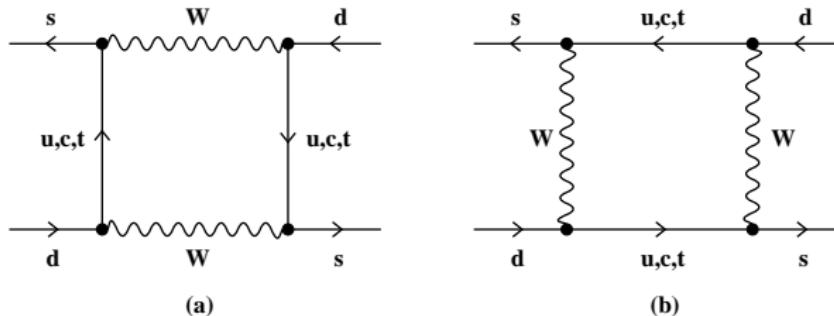


- CP eigenstates  $K_1$ (even) and  $K_2$ (odd).

$$K_1 = \frac{1}{\sqrt{2}}(K^0 - \bar{K}^0) \quad K_2 = \frac{1}{\sqrt{2}}(K^0 + \bar{K}^0)$$

## Kaon Eigenstates and $\varepsilon$

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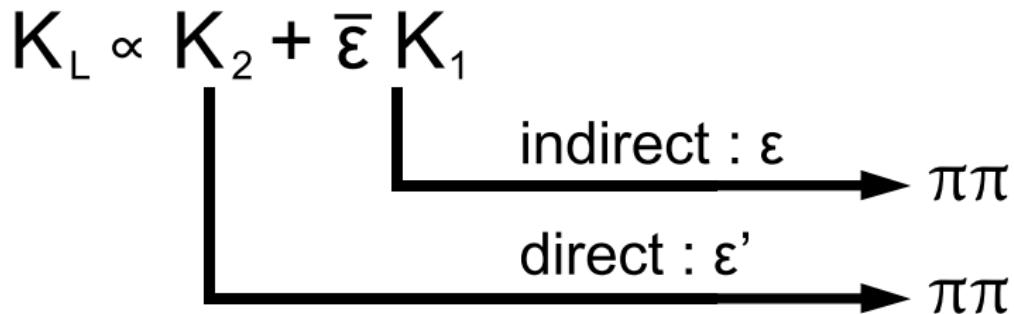
$$K_1 = \frac{1}{\sqrt{2}}(K^0 - \bar{K}^0) \quad K_2 = \frac{1}{\sqrt{2}}(K^0 + \bar{K}^0)$$

- Neutral Kaon eigenstates  $K_S$  and  $K_L$ .

$$K_S = \frac{1}{\sqrt{1 + |\bar{\varepsilon}|^2}}(K_1 + \bar{\varepsilon}K_2) \quad K_L = \frac{1}{\sqrt{1 + |\bar{\varepsilon}|^2}}(K_2 + \bar{\varepsilon}K_1)$$

# Indirect CP violation and direct CP violation

- $\Gamma_{K_L} \cong 500 \times \Gamma_{K_S} \rightarrow$  only for neutral Kaons.
- It is possible to produce a high quality beam of  $K_L$ .



- $|\epsilon_K| = |\epsilon| \cong 2.2 \times 10^{-3}$ .
- $|\epsilon'/\epsilon| \cong 1.7 \times 10^{-3}$ .

# $\varepsilon_K$ and $\hat{B}_K$ , $|V_{cb}|$ |

- Definition of  $\varepsilon_K$

$$\varepsilon_K \equiv \frac{A[K_L \rightarrow (\pi\pi)_{I=0}]}{A[K_S \rightarrow (\pi\pi)_{I=0}]}, \quad |\varepsilon_K| = 2.228(11) \times 10^{-3}$$

- Master formula for  $\varepsilon_K$  in the Standard Model.

$$\begin{aligned} \varepsilon_K = & \exp(i\theta) \sqrt{2} \sin(\theta) \left( C_\varepsilon X_{\text{SD}} \hat{B}_K + \frac{\xi_0}{\sqrt{2}} + \xi_{\text{LD}} \right) \\ & + \mathcal{O}(\omega\varepsilon') + \mathcal{O}(\xi_0\Gamma_2/\Gamma_1) \end{aligned}$$

$$\begin{aligned} X_{\text{SD}} = & \text{Im} \lambda_t \left[ \text{Re } \lambda_c \eta_{cc} S_0(x_c) - \text{Re } \lambda_t \eta_{tt} S_0(x_t) \right. \\ & \left. - (\text{Re } \lambda_c - \text{Re } \lambda_t) \eta_{ct} S_0(x_c, x_t) \right] \end{aligned}$$

$\varepsilon_K$  and  $\hat{B}_K$ ,  $|V_{cb}| \parallel$

$$\lambda_i = V_{is}^* V_{id}, \quad x_i = m_i^2 / M_W^2, \quad C_\varepsilon = \frac{G_F^2 F_K^2 m_K M_W^2}{6\sqrt{2} \pi^2 \Delta M_K}$$

$$\frac{\xi_0}{\sqrt{2}} = \frac{1}{\sqrt{2}} \frac{\text{Im} A_0}{\text{Re} A_0} \approx -5\% \quad \rightarrow \quad \text{Absorptive Long Distance Effect}$$

$\xi_{LD}$  = Dispersive Long Distance Effect  $\approx 2\%$   $\rightarrow$  explain it later.

- Inami-Lim functions:

$$S_0(x_i) = x_i \left[ \frac{1}{4} + \frac{9}{4(1-x_i)} - \frac{3}{2(1-x_i)^2} - \frac{3x_i^2 \ln x_i}{2(1-x_i)^3} \right],$$

$$S_0(x_i, x_j) = \left\{ \frac{x_i x_j}{x_i - x_j} \left[ \frac{1}{4} + \frac{3}{2(1-x_i)} - \frac{3}{4(1-x_i)^2} \right] \ln x_i \right.$$

$$\left. - (i \leftrightarrow j) \right\} - \frac{3x_i x_j}{4(1-x_i)(1-x_j)}$$

# $\varepsilon_K$ and $\hat{B}_K$ , $|V_{cb}|$ III

$t - t \rightarrow$	$S_0(x_t) \rightarrow$	+72.4%
$c - t \rightarrow$	$S_0(x_c, x_t) \rightarrow$	+45.4%
$c - c \rightarrow$	$S_0(x_c) \rightarrow$	-17.8%

- Dominant contribution ( $\approx 72\%$ ) comes with  $|V_{cb}|^4$ .

$$\lambda_i \equiv V_{is}^* V_{id}$$

$$\text{Im}\lambda_t \cdot \text{Re}\lambda_t = \bar{\eta}\lambda^2 |V_{cb}|^4 (1 - \bar{\rho})$$

$$\text{Re}\lambda_c = -\lambda \left(1 - \frac{\lambda^2}{2}\right) + \mathcal{O}(\lambda^5)$$

$$\text{Re}\lambda_t = -\left(1 - \frac{\lambda^2}{2}\right) A^2 \lambda^5 (1 - \bar{\rho}) + \mathcal{O}(\lambda^7)$$

$$\text{Im}\lambda_t = \eta A^2 \lambda^5 + \mathcal{O}(\lambda^7)$$

# $\varepsilon_K$ and $\hat{B}_K$ , $|V_{cb}|$ IV

$$\text{Im}\lambda_c = -\text{Im}\lambda_t$$

- Definition of  $\hat{B}_K$  in standard model.

$$B_K = \frac{\langle \bar{K}_0 | [\bar{s}\gamma_\mu(1-\gamma_5)d][\bar{s}\gamma_\mu(1-\gamma_5)d] | K_0 \rangle}{\frac{8}{3} \langle \bar{K}_0 | \bar{s}\gamma_\mu\gamma_5 d | 0 \rangle \langle 0 | \bar{s}\gamma_\mu\gamma_5 d | K_0 \rangle}$$

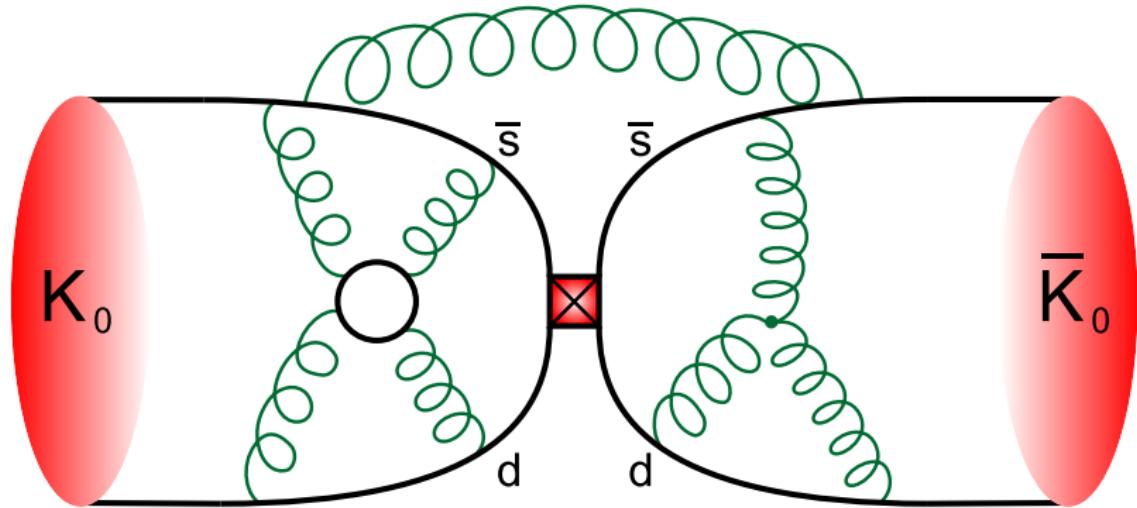
$$\hat{B}_K = C(\mu)B_K(\mu), \quad C(\mu) = \alpha_s(\mu)^{-\frac{\gamma_0}{2b_0}} [1 + \alpha_s(\mu)J_3]$$

- Experiment:

$$\varepsilon_K = (2.228 \pm 0.011) \times 10^{-3} \times e^{i\phi_\varepsilon}$$

$$\phi_\varepsilon = 43.52(5)^\circ$$

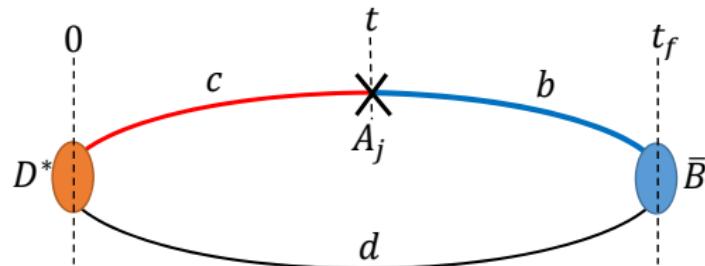
# $\hat{B}_K$ on the lattice



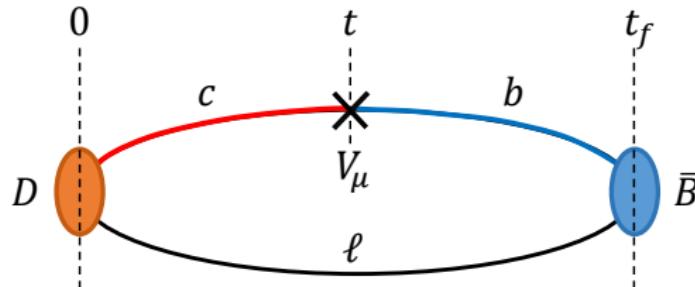
- This is one of the  $\infty$  number of the Feynman diagrams that we need to calculate using lattice QCD tools.

# $|V_{cb}|$ on the lattice

- $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decay form factors:

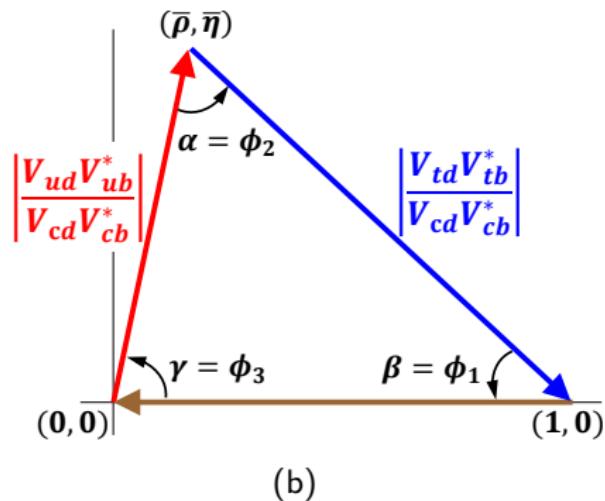
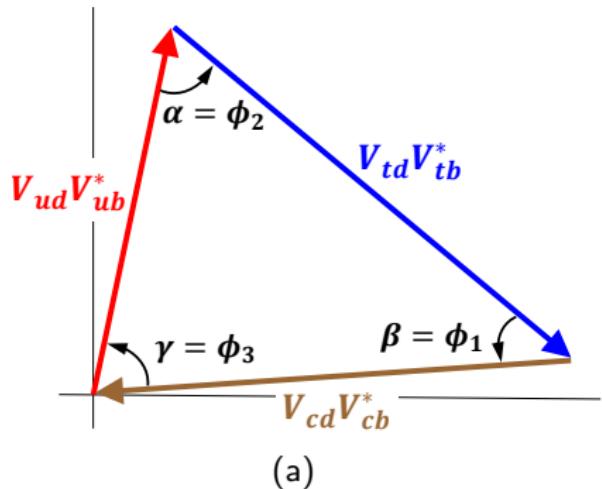


- $\bar{B} \rightarrow D \ell \bar{\nu}$  decay form factors:



$\varepsilon_K$  with lattice QCD inputs

Input Parameters  $\bar{\rho}$  and  $\bar{\eta}$  :  
Wolfenstein Parameters

Unitarity Triangle  $\rightarrow (\bar{\rho}, \bar{\eta})$ 

# Global UT Fit and Angle-Only-Fit (AOF)

## Global UT Fit

- Input:  $|V_{ub}|/|V_{cb}|$ ,  $\Delta m_d$ ,  $\Delta m_s/\Delta m_d$ ,  $\varepsilon_K$ , and  $\sin(2\beta)$ .
- Determine the UT apex  $(\bar{\rho}, \bar{\eta})$ .
- Take  $\lambda$  from

$$|V_{us}| = \lambda + \mathcal{O}(\lambda^7),$$

which comes from  $K_{l3}$  and  $K_{\mu 2}$ .

- Disadvantage: **unwanted correlation** between  $(\bar{\rho}, \bar{\eta})$  and  $\varepsilon_K$ .

## AOF

- Input:  $\sin(2\beta)$ ,  $\cos(2\beta)$ ,  $\sin(\gamma)$ ,  $\cos(\gamma)$ ,  $\sin(2\beta + \gamma)$ ,  $\cos(2\beta + \gamma)$ , and  $\sin(2\alpha)$ .
- Determine the UT apex  $(\bar{\rho}, \bar{\eta})$ .
- Take  $\lambda$  from  $|V_{us}| = \lambda + \mathcal{O}(\lambda^7)$ , which comes from  $K_{l3}$  and  $K_{\mu 2}$ .
- Use  $|V_{cb}|$  to determine  $A$ .

$$|V_{cb}| = A\lambda^2 + \mathcal{O}(\lambda^7)$$

- Advantage: **NO correlation** between  $(\bar{\rho}, \bar{\eta})$  and  $\varepsilon_K$ .

## Inputs of Angle-Only-Fit (AOF)

- $A_{CP}(J/\psi K_s) \rightarrow S_{\psi K_s} = \sin(2\beta)$  with assumption of  $S_{\psi K_s} \ggg C_{\psi K_s}$ .
- $(B \rightarrow DK) + (B \rightarrow [K\pi]_D K)$  + (Dalitz method) give  $\sin(\gamma)$  and  $\cos(\gamma)$ .
- $S(D^-\pi^+)$  and  $S(D^+\pi^-)$  give  $\sin(2\beta + \gamma)$  and  $\cos(2\beta + \gamma)$ .
- $(B^0 \rightarrow \pi^+\pi^-) + (B^0 \rightarrow \rho^+\rho^-) + (B^0 \rightarrow (\rho\pi)^0)$  give  $\sin(2\alpha)$ .
- Combining all of these gives  $\beta$ ,  $\gamma$ , and  $\alpha$ , which leads to the UT apex  $(\bar{\rho}, \bar{\eta})$ .

# Wolfenstein Parameters

## Input Parameters for Angle-Only-Fit (AOF)

- $\varepsilon_K$ ,  $\hat{B}_K$ , and  $|V_{cb}|$  are used as inputs to determine the UT angles in the global fit of UTfit and CKMfitter.
- Instead, we can use angle-only-fit result for the UT apex ( $\bar{\rho}$ ,  $\bar{\eta}$ ).
- Then, we can take  $\lambda$  independently from

$$|V_{us}| = \lambda + \mathcal{O}(\lambda^7),$$

which comes from  $K_{l3}$  and  $K_{\mu 2}$ .

- Use  $|V_{cb}|$  instead of  $A$ .

$$|V_{cb}| = A\lambda^2 + \mathcal{O}(\lambda^7)$$

$\lambda$	0.22500(24)	[1] CKMfitter 2021
	0.22500(100)	[2] UTfit 2018
	0.2249(5)	$ V_{us} $ FLAG 2021
$\bar{\rho}$	0.1566(85)	[1] CKMfitter 2021
	0.1604(90)	[2] UTfit 2022
	0.146(22)	[3] UTfit-17 (AOF)
$\bar{\eta}$	0.3475(118)	[1] CKMfitter 2021
	0.3448(94)	[2] UTfit 2022
	0.333(16)	[3] UTfit-17 (AOF)

Input Parameter:  $\hat{B}_K$

# Input Parameter: $\hat{B}_K$ (FLAG 2021)

$\hat{B}_K$  in lattice QCD with  $N_f = 2 + 1$ .

Collaboration	Ref.	$\hat{B}_K$
SWME 2015	[4]	0.735(5)(36)
RBC/UKQCD 2014	[5]	0.7499(24)(150)
Laiho 2011	[6]	0.7628(38)(205)
BMW 2011	[7]	0.7727(81)(84)
FLAG 2021	[8]	0.7625(97)

Input Parameter  $|V_{cb}|$

# Input Parameter: Exclusive $|V_{cb}|$ in units of $1.0 \times 10^{-3}$

(a) Exclusive  $|V_{cb}|$  ( $1.0 \times 10^{-3}$ )

channel	value	method	collaboration	Ref.
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	39.0(2)(6)(6)	CLN	BELLE 2021	[9]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.9(3)(7)(6)	BGL	BELLE 2021	[9]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.40(84)	CLN	BABAR 2019	[10]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.36(90)	BGL	BABAR 2019	[10]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.57(78)	BGL	FNAL/MILC 2021 <sup>1</sup>	[11]
ex-comb	39.48(68)	comb	FLAG 2021	[8]
ex-comb	39.25(56)	comb	HFLAV 2021	[12]
$\bar{B}_s \rightarrow D_s^* \ell \bar{\nu}$	41.4(6)(9)(12)	CLN	LHCb 2020	[13]
$\bar{B}_s \rightarrow D_s^* \ell \bar{\nu}$	42.3(8)(9)(12)	BGL	LHCb 2020	[13]

- Note that there is no difference between the CLN and BGL analyses within statistical and systematic uncertainty.

<sup>1</sup>They combined both BELLE and BABAR data in their analysis.

## Input Parameter: Inclusive $|V_{cb}|$ in units of $1.0 \times 10^{-3}$

$|V_{cb}|$  in units of  $1.0 \times 10^{-3}$ .

(a) Exclusive  $|V_{cb}|$

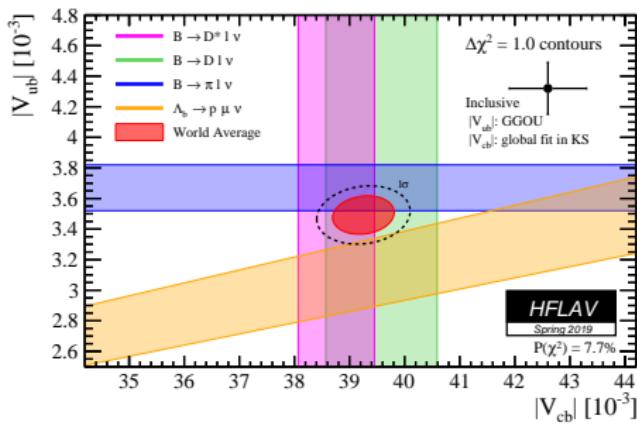
method	value	collaboration
CLN	39.0(2)(6)(6)	BELLE 2021
BGL	38.57(78)	FNAL/MILC 2021

(b) Inclusive  $|V_{cb}|$

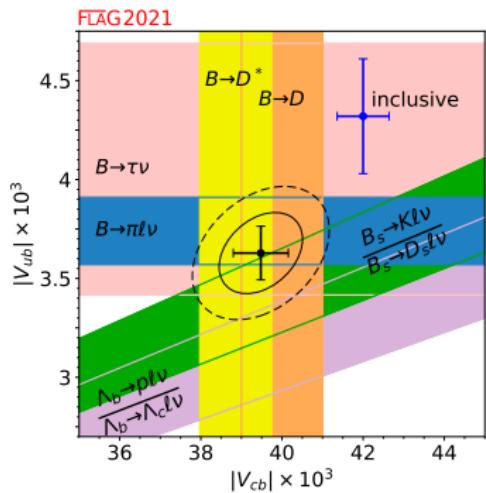
method	value	Ref.
kinetic scheme	42.16(51)	[14]
1S scheme	41.98(45)	[15]

- There is  $3\sigma \sim 4\sigma$  difference in  $|V_{cb}|$  between the exclusive and inclusive decay channels.
- This issue remains **unresolved** yet.

# Current Status of $|V_{cb}|$ in 2022



(a) HFLAV 2021



(b) FLAG 2021

# Input Parameter $\xi_0$

# Input Parameter: $\xi_0$

## Indirect Method

$$\xi_0 = \frac{\text{Im} A_0}{\text{Re} A_0}, \quad \xi_2 = \frac{\text{Im} A_2}{\text{Re} A_2}.$$

$\xi_0$	$-17.38(77) \times 10^{-5}$	RBC-UK-2021 [16]
$\xi_2$	$-5.64(70) \times 10^{-5}$	RBC-UK-2021 [16]

where  $\mathcal{A}(K_0 \rightarrow \pi\pi(I)) \equiv A_I e^{i\delta_I} = |A_I| e^{i\xi_I} e^{i\delta_I}$

- RBC-UKQCD calculated  $\text{Im} A_2$ :  $\text{Im} A_2 \rightarrow \xi_2 \rightarrow \varepsilon'_K / \varepsilon_K \rightarrow \xi_0$

$$\xi_0 = \xi_2 - \frac{\sqrt{2}|\varepsilon_K|}{\omega} \left( \frac{\varepsilon'_K}{\varepsilon_K} \right) \quad (1)$$

Other inputs  $\omega$ ,  $\varepsilon_K$  and  $\varepsilon'_K / \varepsilon_K$  are taken from the experimental values.

- Here, we choose an approximation of  $\cos(\phi_{\epsilon'} - \phi_\epsilon) \approx 1$ .
- $\phi_\epsilon = 43.52(5)$ ,  $\phi_{\epsilon'} = 42.3(1.5)$
- Isospin breaking effect: (at most 15% of  $\xi_0$ )  $\rightarrow$  (1% in  $\varepsilon_K$ )  $\rightarrow$  neglected!

# Input Parameter: $\xi_0$

## Direct Method

- RBC-UKQCD calculated  $\text{Im} A_0$ .  $\text{Im} A_0 \rightarrow \xi_0$ .

$$\xi_0 = \frac{\text{Im} A_0}{\text{Re} A_0} = -21.02(472) \times 10^{-5}$$

Other input  $\text{Re} A_0$  is taken from the experimental value.

- Here, we use the **indirect method** to determine  $\xi_0$ .

# Input Parameter: $\xi_0$

## Summary

### Input Parameters: $\xi_0$

Method	Value	Ref.
Indirect	$-17.38(77) \times 10^{-5}$	RBC-UK-2021 [16]
Direct	$-21.02(472) \times 10^{-5}$	RBC-UK-2021 [16]

- Here, we use the results for  $\xi_0$  obtained using the [indirect method](#).

# Input Parameter $\xi_{LD}$

## Input Parameter: $\xi_{\text{LD}}$

$$\xi_{\text{LD}} = \frac{m'_{\text{LD}}}{\sqrt{2} \Delta M_K}$$

$$m'_{\text{LD}} = -\text{Im} \left[ \mathcal{P} \sum_C \frac{\langle \bar{K}^0 | H_w | C \rangle \langle C | H_w | K^0 \rangle}{m_{K^0} - E_C} \right]$$

- RBC-UKQCD rough estimate [PRD 88, 014508] gives

$$\xi_{\text{LD}} = (0 \pm 1.6)\% \quad \text{of } |\varepsilon_K|$$

- BGI estimate [PLB 68, 309, 2010] gives

$$\xi_{\text{LD}} = -0.4(3) \times \frac{\xi_0}{\sqrt{2}}$$

- Precision measurement of lattice QCD is not available yet.

Input Parameters  $m_c$  and  $m_t$ ,  
charm and top quark masses

## Input Parameter: charm quark mass $m_c(m_c)$

$m_c(m_c)$  in lattice QCD.

Collaboration	$N_f$	$m_c(m_c)$	Ref.
FLAG 2021	$2 + 1$	1.275(5)	[8]
FLAG 2021	$2 + 1 + 1$	1.278(13)	[8]

- The results for  $m_c(m_c)$  with  $N_f = 2 + 1 + 1$  are inconsistent with each other.
- Hence, we use the results for  $m_c(m_c)$  with  $N_f = 2 + 1$ .

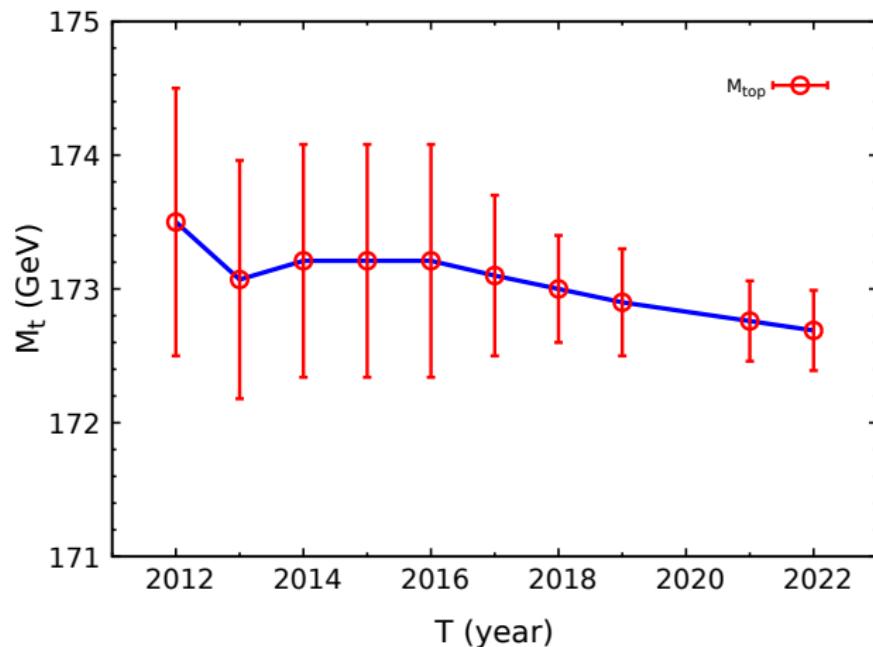
## Input Parameter: top quark mass $m_t(m_t)$

$m_t(m_t)$  in the  $\overline{\text{MS}}$  scheme in units of GeV.

Collaboration	$M_t$	$m_t(m_t)$	Ref.
PDG 2016	$173.5 \pm 1.1$	$163.65 \pm 1.05 \pm 0.17$	[17]
PDG 2018	$173.0 \pm 0.4$	$163.17 \pm 0.38 \pm 0.17$	[18]
PDG 2019	$172.9 \pm 0.4$	$163.08 \pm 0.38 \pm 0.17$	[18]
PDG 2021	$172.76 \pm 0.30$	$162.96 \pm 0.28 \pm 0.17$	[19]
PDG 2022	$172.69 \pm 0.30$	$162.90 \pm 0.28 \pm 0.17$	[20]

- $M_t$  is the pole mass of top quarks.
- CMS and ATLAS have done a great job in reducing the error.
- Here, we use the results for  $m_t(m_t)$  obtained from PDG 2022.

## Input Parameter: top quark pole mass $M_t$



- CMS and ATLAS have done a great job in reducing the error !!!

Input Parameter  $m_W$ ,  
 $W$ -boson mass

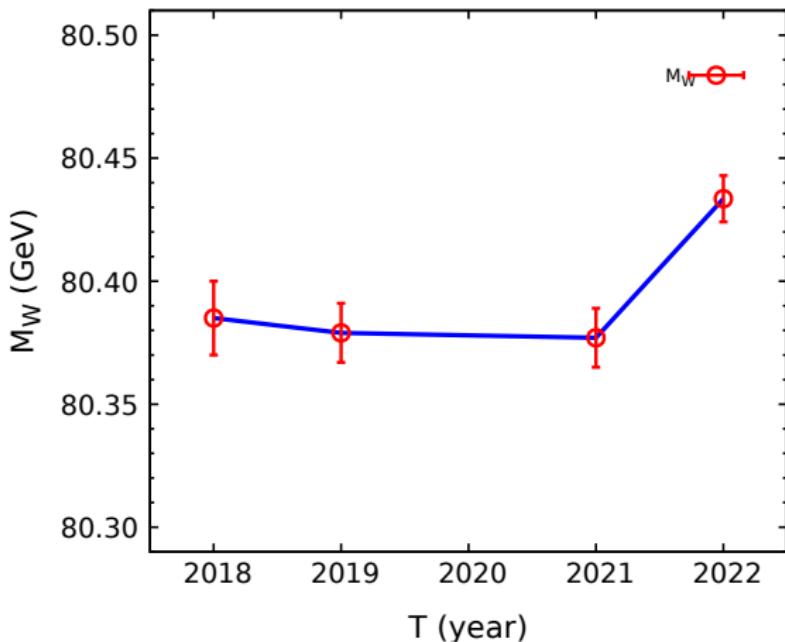
## Input Parameter: $W$ -boson mass $m_W$

$W$  boson mass  $m_W$  in units of GeV.

Collaboration	$m_W$	Ref.
PDG 2022	$80.377 \pm 0.012$	[20]
CDF 2022	$80.4335 \pm 0.0094$	[21]

- We find some tension ( $\sim 3.7\sigma$ ) between PDG and CDF.
- Here, we use results of CDF 2022.

## Input Parameter: $m_W$ history



- We find that  $\varepsilon_K$  decreases as  $m_W$  gets heavier.
- This means that  $\Delta\varepsilon_K$  increases with heavier  $m_W$ .

# Other Input Parameters

# Other Input Parameters

Other input parameters.

Input Par.	Value	Ref.
$G_F$	$1.1663787(6) \times 10^{-5} \text{ GeV}^{-2}$	PDG 22 [20]
$\theta$	$43.52(5)^\circ$	PDG 22 [20]
$m_{K^0}$	$497.611(13) \text{ MeV}$	PDG 22 [20]
$\Delta M_K$	$3.484(6) \times 10^{-12} \text{ MeV}$	PDG 22 [20]
$F_K$	$155.7(3) \text{ MeV}$	FLAG 21 [8]

Higher order QCD corrections:  $\eta_{ij}$ .

Input	Value	Ref.
$\eta_{cc}$	$1.72(27)$	[22]
$\eta_{tt}$	$0.5765(65)$	[23]
$\eta_{ct}$	$0.496(47)$	[24]

## Comment on $\eta_{cc}$

- Poor convergence in  $\eta_{cc}$ :

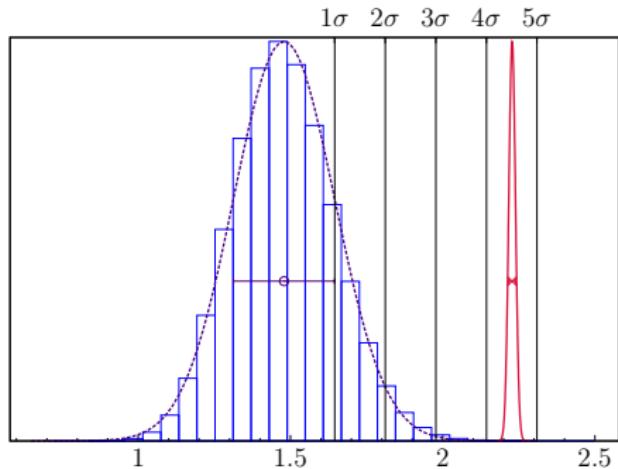
$$\begin{aligned}\eta_{cc} &= 1_{(\text{LO})} + 0.37_{(\text{NLO})} + 0.36_{(\text{NNLO})} + (\text{NNNLO}) \\ &= 1.72 \pm 0.27\end{aligned}$$

- We do not know the size of NNNLO but know that the sign is very likely to be positive.
- Then, it will decrease  $|\varepsilon_K|_{\text{Latt}}^{\text{SM}}$  further, and increase the gap  $\Delta\varepsilon_K$  more.
- Ultimately, it would be nice to calculate  $\eta_{cc}$  using tools in lattice QCD.
- Other strategy to get around the problem is proposed in Ref. [25].

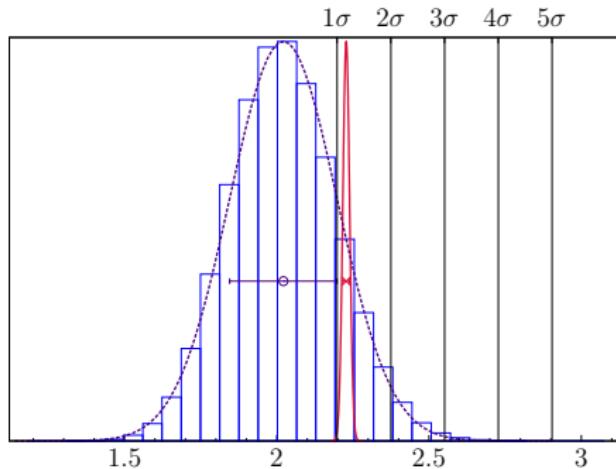
# Results for $\varepsilon_K$

# $\varepsilon_K$ from Exclusive $|V_{cb}|$ (FNAL-MILC 2021)

RBC-UKQCD estimate for  $\xi_{LD}$



Exclusive  $|V_{cb}|$  (FNAL-21)



Inclusive  $|V_{cb}|$  (1S)

- With exclusive  $|V_{cb}|$  (FNAL-MILC 2021), it has  $4.5\sigma$  tension.

$$|\varepsilon_K|^{\text{Exp}} = (2.228 \pm 0.011) \times 10^{-3}$$

$$|\varepsilon_K|_{\text{excl}}^{\text{SM}} = (1.479 \pm 0.166) \times 10^{-3}$$

## Current Status of $\varepsilon_K$

- FLAG 2021 + PDG 2022: (in units of  $1.0 \times 10^{-3}$ , AOF)

$$|\varepsilon_K|_{\text{excl}}^{\text{SM}} = 1.479 \pm 0.166 \quad \text{for Exclusive } |V_{cb}| \text{ (Lattice QCD + BGL)}$$

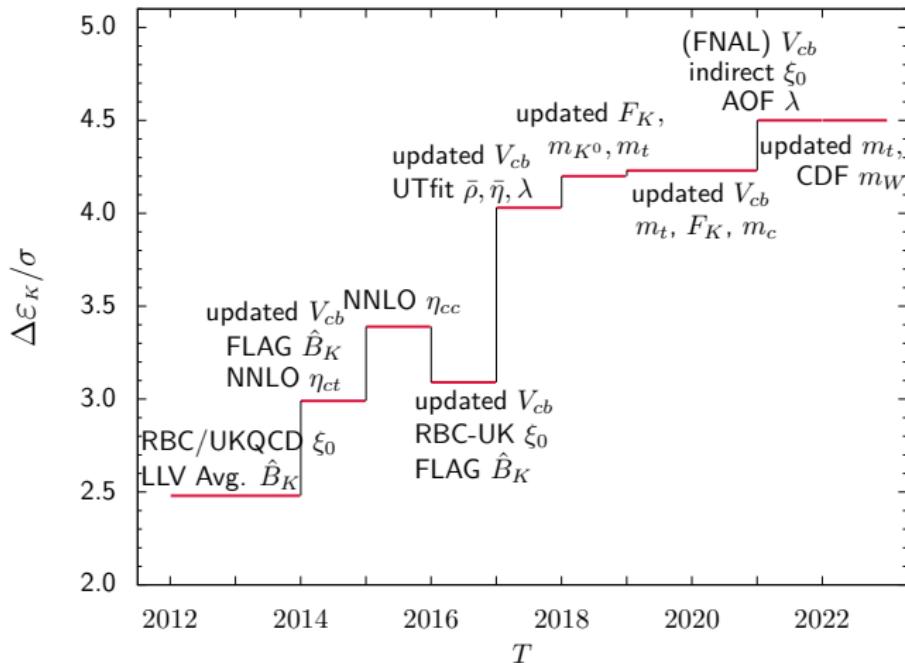
$$|\varepsilon_K|_{\text{incl}}^{\text{SM}} = 2.022 \pm 0.176 \quad \text{for Inclusive } |V_{cb}| \text{ (Heavy Quark Expansion)}$$

- Experiments:

$$|\varepsilon_K|^{\text{Exp}} = 2.228 \pm 0.011$$

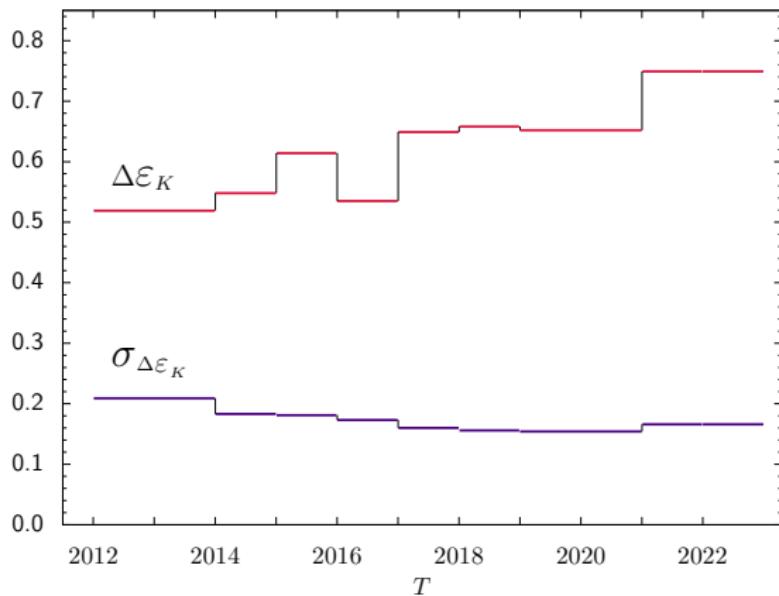
- Hence, we observe  $4.5\sigma \sim 3.7\sigma$  difference between the SM theory (Lattice QCD) and experiments.
- What does this mean?  $\rightarrow$  Breakdown of SM ?

# Time Evolution of $\Delta\varepsilon_K$ on the Lattice



- $\Delta\varepsilon_K \equiv |\varepsilon_K|^{\text{Exp}} - |\varepsilon_K|_{\text{excl}}^{\text{SM}}$
- We use exclusive  $|V_{cb}|$  (BGL) and  $\xi_{\text{LD}}$  (RBC-UK).

# Time Evolution of Average and Error for $\Delta\varepsilon_K$



- The average  $\Delta\varepsilon_K$  has increased by 44% with some fluctuations.
- The error  $\sigma_{\Delta\varepsilon_K}$  has decreased by 20% with some fluctuations.

Error Budget of  $\Delta\varepsilon_K$  : excl.  $|V_{cb}|$  (BGL),  $\xi_{LD}$  (RBC-UK)

source	error (%)	memo
$ V_{cb} $	44.7	Exclusive
$\bar{\eta}$	21.4	AOF
$\eta_{ct}$	18.1	$c - t$ Box
$\eta_{cc}$	7.7	$c - c$ Box
$\bar{\rho}$	3.2	AOF
$\xi_{LD}$	1.9	Long-distance
$\hat{B}_K$	1.5	FLAG
$\eta_{tt}$	0.61	$t - t$ Box
$\xi_0$	0.54	Indirect
$\lambda$	0.18	$ V_{us} $ (PDG)
:	:	:

- The error from  $|V_{cb}|$  is dominant.

## To Do List

- It would be nice to reduce overall errors on  $|V_{cb}|$  down to 1/2 of the current values.  
[OK action project: LANL-SWME report in Lattice 2022]
- It would be nice to reduce overall errors on  $\bar{\eta}$ . [BELLE2]
- It would be nice to reduce overall errors on  $\xi_0$  and  $\xi_2$  in lattice QCD.  
[RBC-UKQCD]
- It would be nice to reduce overall errors on  $|V_{us}|$ ,  $m_c(m_c)$ ,  $f_K$  in lattice QCD.

# Summary and Conclusion

# Summary

- ① We find that

$$\Delta \varepsilon_K^{\text{excl}} = 4.5(3)\sigma \quad (\text{Lattice QCD, CLN/BGL}) \quad (2)$$

$$\Delta \varepsilon_K^{\text{incl}} = 1.2\sigma \quad (\text{HQE, QCD Sum Rules}) \quad (3)$$

- ② We find that the results of CLN are consistent with those of BGL for the  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decays at present. (Good news !!!)
- ③ Meanwhile, it would be very helpful to reduce the errors for  $|V_{cb}|$ ,  $|V_{us}|$ ,  $\bar{\eta}$ ,  $\xi_0$ ,  $\xi_2$ ,  $m_c(m_c)$ ,  $f_K$ ,  $\hat{B}_K$ , and  $\xi_{\text{LD}}$  in lattice QCD.  
 $\bar{\eta} \leftarrow \xi, f_{B_d}, f_{B_s}, B_{B_d}, B_{B_s}, \dots$
- ④ Please stay tuned for the update.

## My personal opinion

- The Scripture says in [Isaiah 55:8-9] that
  - 8. *"For my thoughts are not your thoughts, neither are your ways my ways," declares the LORD.*
  - 9. *"As the heavens are higher than the earth, so are my ways higher than your ways and my thoughts than your thoughts."*
- I suspect that some of the fundamental postulates of the standard model (SM) might be wrong even in quark sector,
- even though we do not know yet which one may deviate from the regular orbit.

Thank God for your help !!!

# Backup Slides

# CKM matrix elements

# Charged Current Lagrangian in Quark Sector of the SM

$$\mathcal{L}_W = \frac{g_w}{\sqrt{2}} \sum_{i=1,2,3} \sum_{k=1,2,3} [ V_{jk} \bar{u}_{jL} \gamma^\mu d_{kL} W_\mu^+ + V_{jk}^* \bar{d}_{kL} \gamma^\mu u_{jL} W_\mu^- ]$$

where

$$u_j = \begin{pmatrix} u \\ c \\ t \end{pmatrix}, \quad d_k = \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

and

$$V_{jk} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

# CKM matrix elements

Standard Parametrization:

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -s_{23}c_{12} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Wolfenstein Parametrization:

$$s_{12} = \lambda, \quad s_{23} = A\lambda^2, \quad s_{13} = A\lambda^3\sqrt{\rho^2 + \eta^2},$$

$$V = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

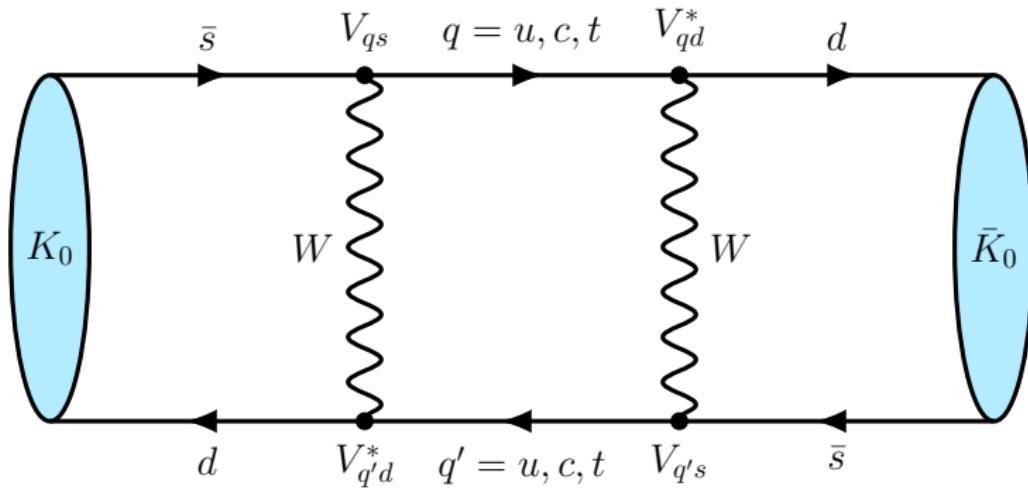
where  $\lambda = |V_{us}| \cong 0.22$ ,  $A \cong 0.83$ ,  $\rho \cong 0.16$ ,  $\eta \cong 0.35$

# [Meson]–[Anti-Meson] Mixing

# $M - \bar{M}$ Mixing

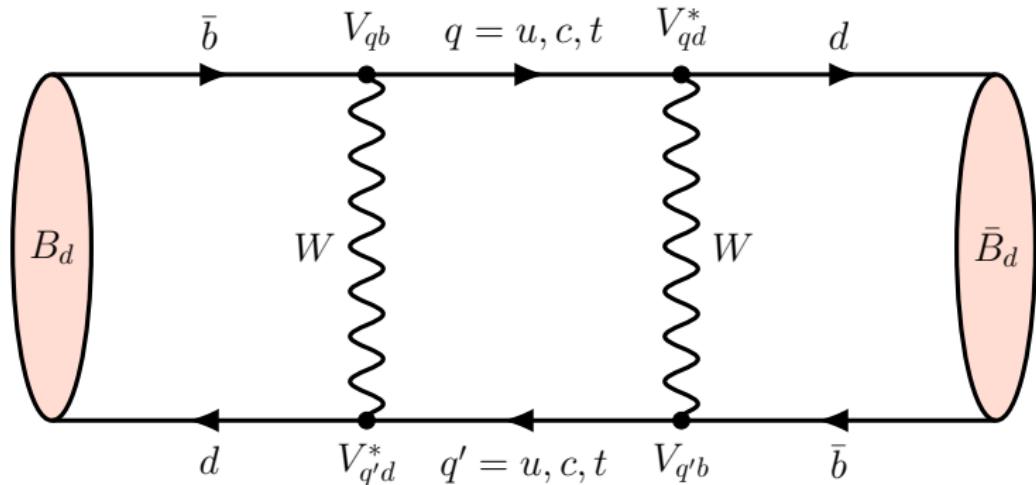
- It is possible only for the 4 neutral mesons.
- $K_0 \cong \bar{s}d \longleftrightarrow \bar{K}_0 \cong s\bar{d}$        $497.611(13) \text{ MeV} \cong 0.5 \text{ GeV}$
- $D_0 \cong c\bar{u} \longleftrightarrow \bar{D}_0 \cong \bar{c}u$        $1864.83(5) \text{ MeV} \cong 1.9 \text{ GeV}$
- $B_d \cong \bar{b}d \longleftrightarrow \bar{B}_d \cong b\bar{d}$        $5279.64(13) \text{ MeV} \cong 5.3 \text{ GeV}$
- $B_s \cong \bar{b}s \longleftrightarrow \bar{B}_s \cong b\bar{s}$        $5366.88(17) \text{ MeV} \cong 5.4 \text{ GeV}$

# $K_0 - \bar{K}_0$ Mixing



- This is the main topic of the talk.
- Hence, we will discuss it later.

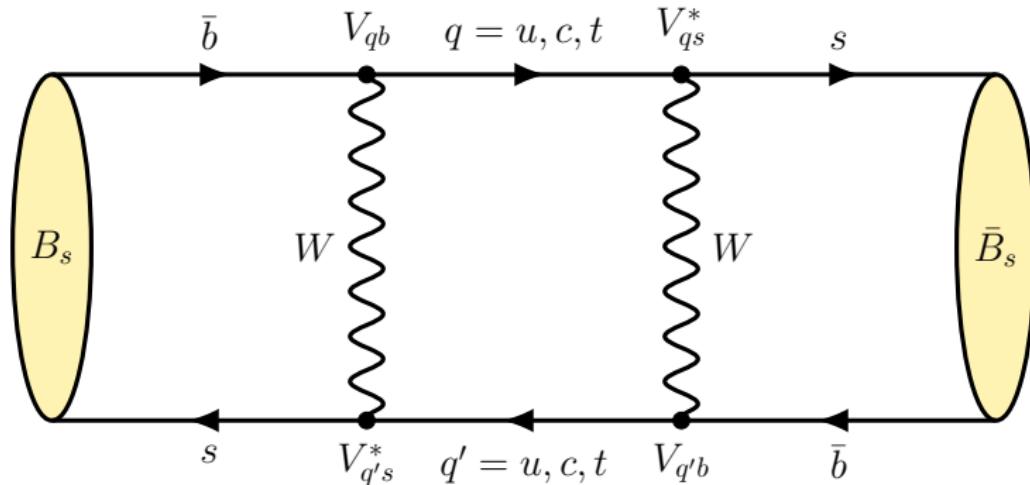
# $B_d - \bar{B}_d$ Mixing



- $t - t$  box  $\rightarrow x_t (V_{tb} V_{td}^*)^2 \cong x_t A^2 \lambda^6 (1 - \rho + i\eta)^2$  with  $x_t = (m_t/m_W)^2$
- $c - c$  box  $\rightarrow x_c (V_{cb} V_{cd}^*)^2 \cong x_c A^2 \lambda^6 \cong \frac{1}{16000} \times [t - t \text{ box}]$
- $c - t$  box  $\rightarrow \sqrt{x_c x_t} (V_{cb} V_{cd}^* \cdot V_{tb} V_{td}^*) \cong -\sqrt{x_c x_t} A^2 \lambda^6 (1 - \rho + i\eta)$

$$\Delta m_d = \frac{G_F^2}{6\pi^2} M_{B_d} f_{B_d}^2 \hat{B}_{B_d} M_W^2 S(x_t) (V_{tb} V_{td}^*)^2$$

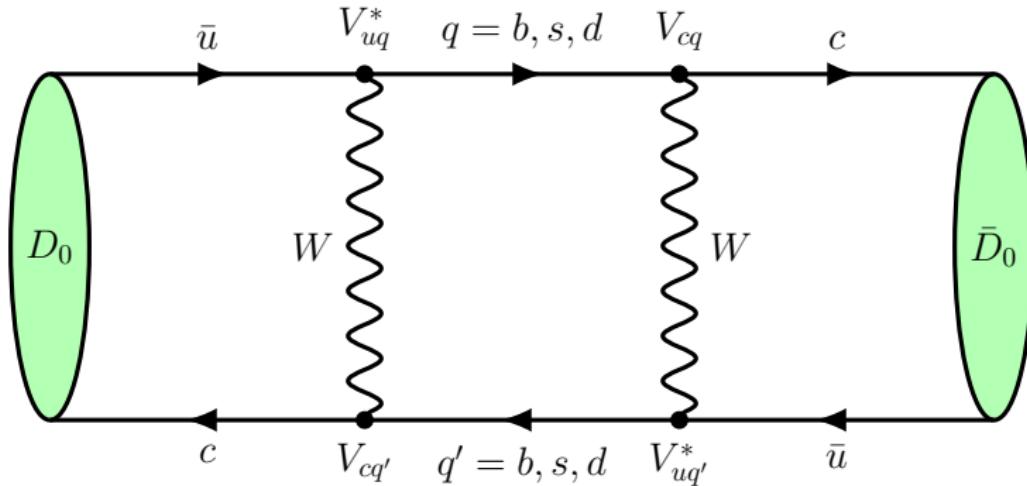
# $B_s - \bar{B}_s$ Mixing



- $t - t$  box  $\rightarrow x_t (V_{tb} V_{ts}^*)^2 \cong x_t A^2 \lambda^4$  with  $x_t = (m_t/m_W)^2$
- $c - c$  box  $\rightarrow x_c (V_{cb} V_{cs}^*)^2 \cong x_c A^2 \lambda^4 \cong \frac{1}{16000} \times [t - t \text{ box}]$
- $c - t$  box  $\rightarrow \sqrt{x_c x_t} (V_{cb} V_{cs}^* \cdot V_{tb} V_{ts}^*) \cong -\sqrt{x_c x_t} A^2 \lambda^4$

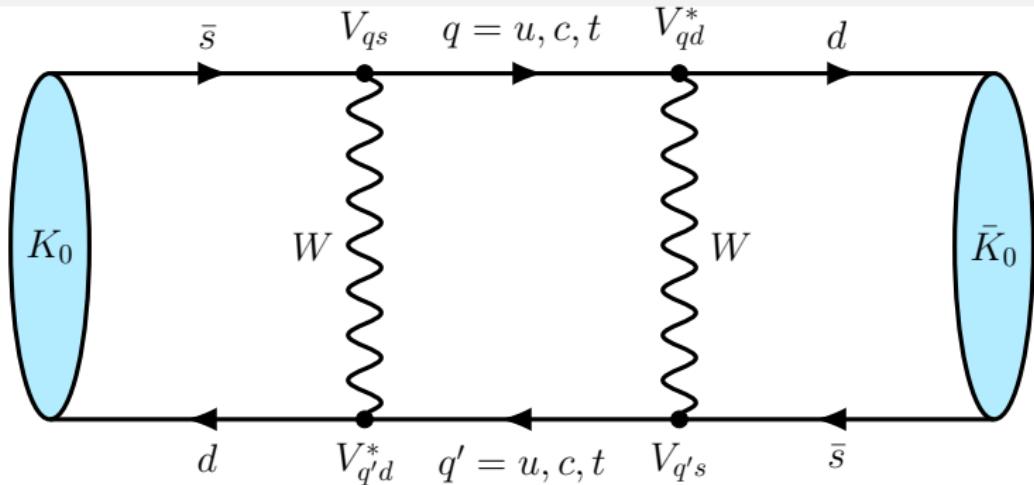
$$\Delta m_s = \frac{G_F^2}{6\pi^2} M_{B_s} f_{B_s}^2 \hat{B}_{B_s} M_W^2 S(x_t) (V_{tb} V_{ts}^*)^2$$

# $D_0 - \bar{D}_0$ Mixing



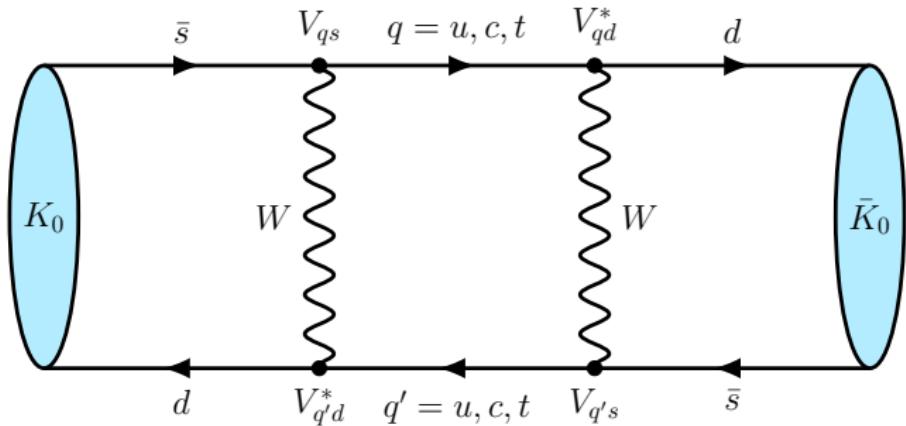
- $b - b$  box  $\rightarrow x_b (V_{cb} V_{ub}^*)^2 \cong x_b A^4 \lambda^{10} (\rho + i\eta)^2$  with  $x_b = (m_b/m_W)^2$
- $s - s$  box  $\rightarrow x_s (V_{cs} V_{us}^*)^2 \cong x_s \lambda^2 \cong 200 \times [b - b \text{ box}]$
- $d - d$  box  $\rightarrow x_d (V_{cd} V_{ud}^* \cdot V_{cd} V_{ud}^*) \cong x_d \lambda^2 \cong [b - b \text{ box}]$
- Hence, the long distance effect from the  $s - s$  box becomes dominant and important.  $\rightarrow$  Very tough in lattice QCD.

# $\Delta M_K$ : Real Part of $K_0 - \bar{K}_0$ Mixing



- $t - t$  box  $\rightarrow x_t (V_{ts} V_{td}^*)^2 \cong x_t A^4 \lambda^{10} (1 - \rho + i\eta)^2$  with  $x_t = (m_t/m_W)^2$
- $c - c$  box  $\rightarrow x_c (V_{cs} V_{cd}^*)^2 \cong x_c \lambda^2 \cong 25 \times \text{Re}[t - t \text{ box}]$
- $u - u$  box  $\rightarrow x_u (V_{us} V_{ud}^*)^2 \cong x_u \lambda^2 \cong \frac{1}{2800} \times \text{Re}[t - t \text{ box}]$
- Hence, the  $c - c$  box becomes dominant. Hence, the long distance effect ( $\approx 30\%$ ) becomes important.

# $\varepsilon_K$ : Imaginary Part of $K_0 - \bar{K}_0$ Mixing



- $t - t \rightarrow x_t \text{Im}(V_{ts} V_{td}^*)^2 \cong 2x_t A^4 \lambda^{10} (1 - \rho) \eta$  with  $x_t = (m_t/m_W)^2$
- $c - c \rightarrow x_c \text{Im}(V_{cs} V_{cd}^*)^2 \cong -2x_c A^2 \lambda^6 \eta \cong -\frac{1}{25} \times \text{Re}[t - t \text{ box}]$
- $c - t \rightarrow 2\sqrt{x_c x_t} \text{Re}(V_{cs} V_{cd}^*) \text{Im}(V_{ts} V_{td}^*) \cong 2\sqrt{x_c x_t} A^2 \lambda^6 \eta \cong +\frac{1}{5} \times \text{Re}[t - t \text{ box}]$
- Hence, the  $t - t$  box is dominant (86%), the  $c - t$  box is sub-dominant (17%), and the  $c - c$  box is small and negative (-3.4%).

# Input Parameter: Exclusive $|V_{cb}|$ in units of $1.0 \times 10^{-3}$

(a) Exclusive  $|V_{cb}|$  ( $1.0 \times 10^{-3}$ )

channel	value	method	collaboration	Ref.
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	39.0(2)(6)(6)	CLN	BELLE 2021	[9]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.9(3)(7)(6)	BGL	BELLE 2021	[9]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.40(84)	CLN	BABAR 2019	[10]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.36(90)	BGL	BABAR 2019	[10]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	38.57(78)	BGL	FNAL/MILC 2021 <sup>2</sup>	[11]
ex-comb	39.48(68)	comb	FLAG 2021	[8]
ex-comb	39.25(56)	comb	HFLAV 2021	[12]
$\bar{B}_s \rightarrow D_s^* \ell \bar{\nu}$	41.4(6)(9)(12)	CLN	LHCb 2020	[13]
$\bar{B}_s \rightarrow D_s^* \ell \bar{\nu}$	42.3(8)(9)(12)	BGL	LHCb 2020	[13]

- There is no difference between the CLN and BGL analyses.
- Refer to BABAR 2019 [26] and BELLE 2019 [27].
- Hence, the CLN method turns out to be consistent with BGL within our limited knowledge.

<sup>2</sup>They combined both BELLE and BABAR data in their analysis.

# $\bar{B} \rightarrow D^* \ell \bar{\nu}$ Form Factor Parametrization: CLN vs. BGL I

- Consider the  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decays:

$$\frac{d\Gamma(\bar{B} \rightarrow D^* \ell \bar{\nu})}{dw} = \frac{G_F^2 m_{D^*}^3}{48\pi^3} (m_B - m_{D^*})^2 \chi(w) \eta_{EW}^2 \mathcal{F}^2(w) |V_{cb}|^2$$

- In order that the experiments determine  $|\mathcal{F}(w)| \cdot |V_{cb}|$ , they must know a specific functional form of  $\mathcal{F}(w)$ .
- The theory provides the functional form and parametrization for  $\mathcal{F}(w)$ .
- Popular parametrizations are CLN and BGL.
- CLN depends on the HQET, but BGL does NOT.
- HQET is the heavy quark effective theory, as if the chiral perturbation theory is the low energy effective theory of QCD.

# $\bar{B} \rightarrow D^* \ell \bar{\nu}$ Form Factor Parametrization: CLN vs. BGL II

- CLN: Caprini, Lellouch, and Neubert [28]

$$\mathcal{F}(w) = h_{A_1}(w) \times \frac{1}{Y(w)} \times X(w)$$

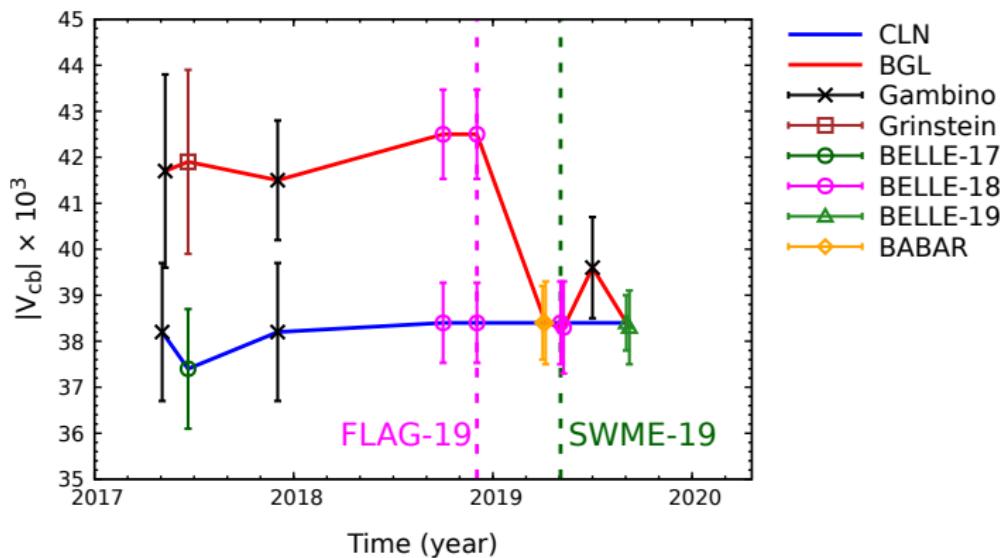
$$h_{A_1}(w) = h_{A_1}(1) \left[ 1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3 \right]$$

$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}, \quad w \equiv v_B \cdot v_{D^*} = \frac{E_{D^*}}{m_{D^*}}$$

where  $z$  is a conformal mapping variable.  $\rightarrow z$  expansion.

- BGL: Boyd, Grinstein, and Lebed [29]

$$\mathcal{F}(w) = \frac{1}{\phi(z)P(z)} \sum_{n=0}^{\infty} a_n z^n(z)$$

CLN vs. BGL in  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decays

- At present, we find that BGL is consistent with CLN.  
⇒ Resolved ???

# CLN vs. BGL: Martin Jung's claim in 19/08 INT workshop

- BELLE 2019 used BGL<sub>(102)</sub> fit (6 parameters).
- Martin used BGL<sub>(222)</sub> fit (9 parameters) [PLB795 (2019) 386].
- $\chi^2/\text{d.o.f.} = 32.5/35$  (BGL<sub>(102)</sub>) and 31.2/32 (BGL<sub>(222)</sub>).  
→ No distinction !!!
- Martin claims that the correct error for  $|V_{cb}|$  is 50% larger than that of BELLE 2019.
- Martin also suggested that the slope and curvature of  $R_1(w)$  and  $R_2(w)$  at zero recoil should be calculated in lattice QCD.

# Input Parameter: Inclusive $|V_{cb}|$ in units of $1.0 \times 10^{-3}$

$|V_{cb}|$  in units of  $1.0 \times 10^{-3}$ .

(a) Exclusive  $|V_{cb}|$

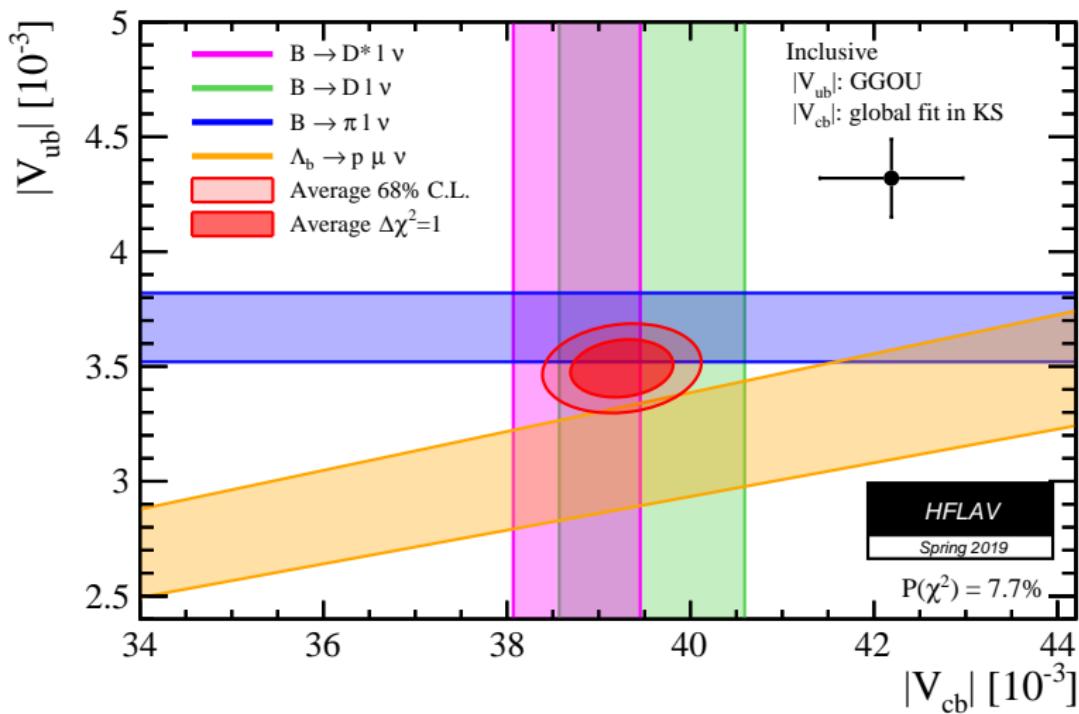
method	value	collaboration
CLN	39.0(2)(6)(6)	BELLE 2021
BGL	38.57(78)	FNAL/MILC 2021

(b) Inclusive  $|V_{cb}|$

method	value	Ref.
kinetic scheme	42.16(51)	[14]
1S scheme	41.98(45)	[15]

- There is  $3\sigma \sim 4\sigma$  difference in  $|V_{cb}|$  between the exclusive and inclusive decay channels.
- This issue remains **unresolved** yet.

# Current Status of $|V_{cb}|$ in 2019



$R(D)$  and  $R(D^*)$

# R(D) and R(D<sup>\*</sup>)

- Definition:

$$R(D) \equiv \frac{\mathcal{B}(B \rightarrow D\tau\nu_\tau)}{\mathcal{B}(B \rightarrow D\ell\nu_\ell)}, \quad R(D^*) \equiv \frac{\mathcal{B}(B \rightarrow D^*\tau\nu_\tau)}{\mathcal{B}(B \rightarrow D^*\ell\nu_\ell)}$$

- Results of HFLAV 2017

channel	SM (Lattice QCD)	Experiment	Difference
$R(D)$	0.300(8)	0.403(40)(24)	$2.2\sigma$
$R(D^*)$	0.252(3)	0.310(15)(8)	$3.4\sigma$

- Results of HFLAV 2019 (Preliminary)

channel	SM (Lattice QCD)	Experiment	Difference
$R(D)$	0.299(3)	0.340(27)(13)	$1.4\sigma$
$R(D^*)$	0.258(5)	0.295(11)(8)	$2.5\sigma$

# Calculation of R( $D^*$ ) and R( $D$ ) |

- We can calculate the semi-leptonic form factors of the  $\bar{B} \rightarrow D^{(*)}\ell\bar{\nu}$  decays using tools in lattice QCD.
- Then, we can obtain the form factors  $\mathcal{F}(w)$  and  $\mathcal{G}(w)$  for the full range of  $w$  (the recoil parameter) using CLN, BGL, and BCL.
- The recoil parameter  $w$  is

$$w = v_B \cdot v_D = \frac{m_B^2 + m_D^2 - q^2}{2m_B m_D} = \sqrt{1 + v_D^2}$$

- $q^2 \in [m_\ell^2, (m_B - m_D)^2] \rightarrow w \in [1, x_\ell]$ , where  $x_\ell = \frac{m_B^2 + m_D^2 - m_\ell^2}{2m_B m_D}$

# Calculation of R( $D^*$ ) and R( $D$ ) II

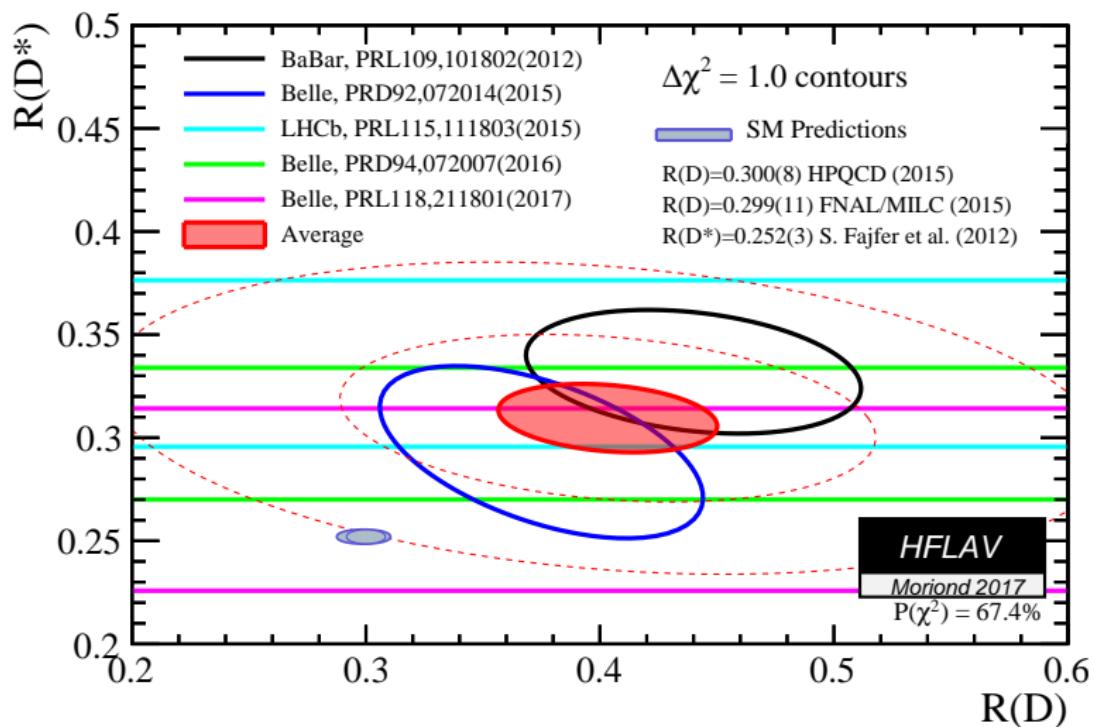
- How to calculate R( $D^*$ ):

$$R(D^*) = \frac{\mathcal{B}(B \rightarrow D^* \tau \nu_\tau)}{\mathcal{B}(B \rightarrow D^* \ell \nu_\ell)} = \frac{\int_1^{x_\tau} dw \left[ \frac{d\Gamma}{dw}(w, m_\tau) \right]}{\int_1^{x_\ell} dw \left[ \frac{d\Gamma}{dw}(w, m_\ell) \right]},$$

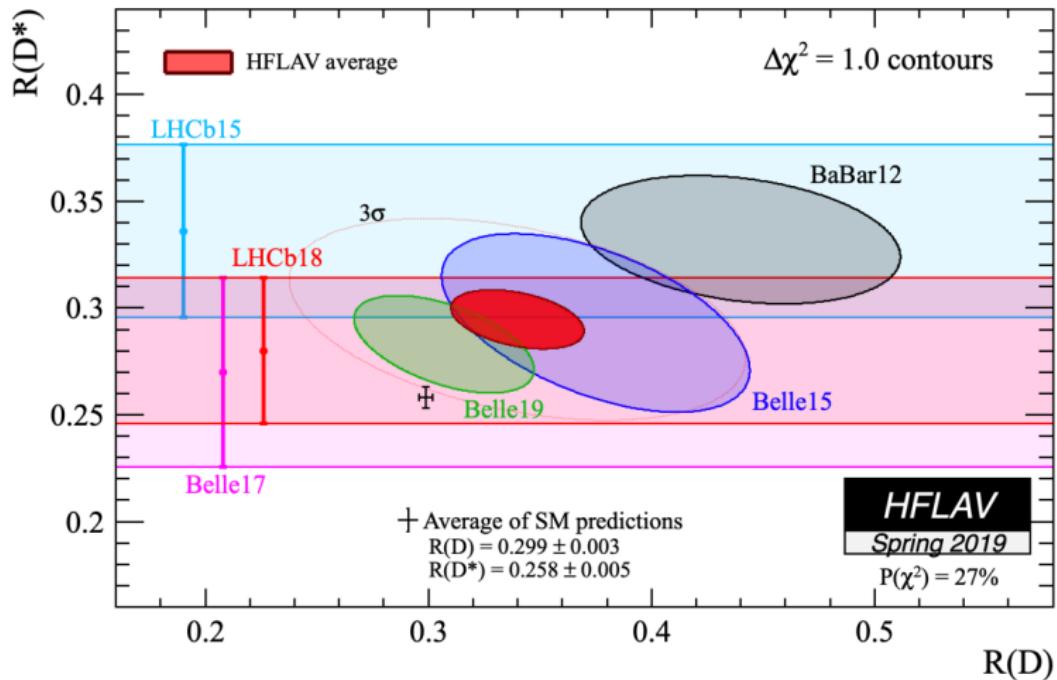
where  $\ell = \{e, \mu\}$ ,  $x_\tau = 1.355$ ,  $x_\mu = 1.503$ , and

$$\frac{d\Gamma}{dw} = \frac{G_F^2 M_{D^*}^3}{4\pi^3} (M_B - M_{D^*})^2 \sqrt{w^2 - 1} |\eta_{EM}|^2 |V_{cb}|^2 \chi(w) |\mathcal{F}(w)|^2$$

# $R(D)$ and $R(D^*)$ (2017)



# $R(D)$ and $R(D^*)$ (2019, preliminary)



$|V_{cb}|$  on the lattice

# Why the OK action?

# Calculation of $|V_{cb}|$ on the lattice

- ➊ Exclusive  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  at zero recoil [Fermilab-MILC (2014), HPQCD (2018)]
  - Gold-plated: most precise in experimental and lattice errors.
  - Form factor calculation using the 3-point function  $\langle D^* | A^\mu | B \rangle$  on the lattice.
  
- ➋ Exclusive  $\bar{B} \rightarrow D \ell \bar{\nu}$  at non-zero recoil [Fermilab-MILC (2015), HPQCD (2015)]
  - Near the zero recoil, the experimental precision is poor due to phase space suppression.
  - Form factor calculation using the 3-point function  $\langle D | V^\mu | B \rangle$  on the lattice.
  
- ➌ Inclusive  $B \rightarrow X_c \ell \bar{\nu}$  [S. Hashimoto (2017)]
  - Preliminary, Calculate the 4-point function on the lattice,

$$\langle B | T\{J_\mu^\dagger(q) J_\nu(0)\} | B \rangle, \quad \text{where } J_\mu = \bar{c} \gamma_\mu (1 - \gamma_5) b.$$

Decay mode	Method	$ V_{cb}  \times 10^3$ [HFLAV (2017)]
$\bar{B} \rightarrow D^* \ell \bar{\nu}$	Lattice	39.05(47)(58)
$\bar{B} \rightarrow D \ell \bar{\nu}$	Lattice	39.18(94)(36)
$B \rightarrow X_c \ell \bar{\nu}$	QCD sum rule	42.03(39)

# Limitation of Fermilab action calculation

- On the lattice, we have **discretization error** by construction.
- For the  $\bar{B} \rightarrow D^{(*)}\ell\bar{\nu}$  study, the heavy quark discretization error (HQDE) for charm quark is dominant. ( $\lambda \sim \Lambda/2m_Q$ )
- The Fermilab action calculation of  $h_{A_1}$  ( $\bar{B} \rightarrow D^*\ell\bar{\nu}$  semileptonic form factor) has  $\mathcal{O}(\alpha_s \lambda^2)$  and  $\mathcal{O}(\lambda^3) \sim 1\%$  discretization error.
- To achieve a sub-percent ( $< 1\%$ ) precision, we have to use new action: **Oktay-Kronfeld action**,  $\mathcal{O}(\lambda^3)$  improved action where its discretization error appears at  $\mathcal{O}(\lambda^4) \sim 0.2\%$ .

# Limitation of Fermilab action calculation

- We expect the improvement in charm quark discretization error from the Fermilab/MILC results [PRD89, 114504 (2014) and PRD92, 034506 (2015)] for the  $\bar{B} \rightarrow D^{(*)}\ell\bar{\nu}$  semileptonic form factors.

form factor	$h_{A_1}$	$f_+$
decay channel	$\bar{B} \rightarrow D^*\ell\bar{\nu}$	$\bar{B} \rightarrow D\ell\bar{\nu}$
statistics	0.4	0.7
matching	0.4	0.7
$\chi$ PT	0.5	0.6
$g_{D^*D\pi}$	0.3	-
c discretization	$1.0 \rightarrow (0.2)_{OK}$	$0.4 \rightarrow (0.1)_{OK}$
others	0.1	0.2
total	$1.4 \rightarrow (0.8)_{OK}$	$1.2 \rightarrow (1.1)_{OK}$

- BELLE2 has been running since April, 2019, and the target statistics is 50 times larger than BELLE.

# The OK action

# OK Action (mass form)

$$S_{\text{OK}} = S_{\text{Fermilab}} + S_{\text{new}}, \quad S_{\text{Fermilab}} = S_0 + S_B + S_E$$

$$\begin{aligned} S_0 &= m_0 \sum_x \bar{\psi}(x) \psi(x) + \sum_x \bar{\psi}(x) \gamma_4 D_4 \psi(x) - \frac{1}{2} a \sum_x \bar{\psi}(x) \Delta_4 \psi(x) \\ &\quad + \zeta \sum_x \bar{\psi}(x) \vec{\gamma} \cdot \vec{D} \psi(x) - \frac{1}{2} r_s \zeta a \sum_x \bar{\psi}(x) \Delta^{(3)} \psi(x) \\ &= \mathcal{O}(1) + \mathcal{O}(\lambda) \quad [\lambda \sim a \Lambda, \Lambda / m_Q] \end{aligned}$$

$$S_B = -\frac{1}{2} \textcolor{red}{c}_B \zeta a \sum_x \bar{\psi}(x) i \vec{\Sigma} \cdot \vec{B} \psi(x) \rightarrow \mathcal{O}(\lambda)$$

$$S_E = -\frac{1}{2} \textcolor{red}{c}_E \zeta a \sum_x \bar{\psi}(x) \vec{\alpha} \cdot \vec{E} \psi(x) \rightarrow \mathcal{O}(\lambda^2) \quad (\textcolor{red}{c}_E \neq \textcolor{red}{c}_B : \text{OK action})$$

$$m_0 = \frac{1}{2\kappa_t} - (1 + 3r_s \zeta + 18c_4)$$

[M. B. Oktay and A. S. Kronfeld, PRD **78**, 014504 (2008)]

[A. El-Khadra, A. S. Kronfeld and P. B. Mackenzie, PRD **55**, 3933 (1997)]

# OK Action (mass form)

$$\begin{aligned}
 S_{\text{new}} = \mathcal{O}(\lambda^3) = & c_1 a^2 \sum_x \bar{\psi}(x) \sum_i \gamma_i D_i \Delta_i \psi(x) \\
 & + c_2 a^2 \sum_x \bar{\psi}(x) \{ \vec{\gamma} \cdot \vec{D}, \Delta^{(3)} \} \psi(x) \\
 & + c_3 a^2 \sum_x \bar{\psi}(x) \{ \vec{\gamma} \cdot \vec{D}, i \vec{\Sigma} \cdot \vec{B} \} \psi(x) \\
 & + c_{EE} a^2 \sum_x \bar{\psi}(x) \{ \gamma_4 D_4, \vec{\alpha} \cdot \vec{E} \} \psi(x) \\
 & + c_4 a^3 \sum_x \bar{\psi}(x) \sum_i \Delta_i^2 \psi(x) \\
 & + c_5 a^3 \sum_x \bar{\psi}(x) \sum_i \sum_{j \neq i} \{ i \Sigma_i B_i, \Delta_j \} \psi(x)
 \end{aligned}$$

# Inconsistency Parameter

# Improvement Test: Inconsistency Parameter

$$I \equiv \frac{2\delta M_{\bar{Q}q} - (\delta M_{\bar{Q}Q} + \delta M_{\bar{q}q})}{2M_{2\bar{Q}q}} = \frac{2\delta B_{\bar{Q}q} - (\delta B_{\bar{Q}Q} + \delta B_{\bar{q}q})}{2M_{2\bar{Q}q}}$$

$$M_{1\bar{Q}q} = m_{1\bar{Q}} + m_{1q} + B_{1\bar{Q}q} \quad \delta M_{\bar{Q}q} = M_{2\bar{Q}q} - M_{1\bar{Q}q}$$

$$M_{2\bar{Q}q} = m_{2\bar{Q}} + m_{2q} + B_{2\bar{Q}q} \quad \delta B_{\bar{Q}q} = B_{2\bar{Q}q} - B_{1\bar{Q}q}$$

[S. Collins *et al.*, NPB **47**, 455 (1996) , A. S. Kronfeld, NPB **53**, 401 (1997)]

- Inconsistency parameter  $I$  can be used to examine the improvements by  $\mathcal{O}(\mathbf{p}^4)$  terms in the action. The OK action is designed to improve these terms and matched at tree-level.
- Binding energies  $B_1$  and  $B_2$  are of order  $\mathcal{O}(\mathbf{p}^2)$ . Because the kinetic meson mass  $M_2$  appears with a factor  $\mathbf{p}^2$ , the leading contribution of binding energy  $B_2$  is generated by  $\mathcal{O}(\mathbf{p}^4)$  terms in the action.

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} + \dots = M_1 + \frac{\mathbf{p}^2}{2(m_{2\bar{Q}} + m_{2q})} \left[ 1 - \frac{B_{2\bar{Q}q}}{(m_{2\bar{Q}} + m_{2q})} + \dots \right] + \dots$$

# Improvement Test: Inconsistency Parameter

$$I \cong \frac{2\delta M_{\bar{Q}q} - \delta M_{\bar{Q}Q}}{2M_{2\bar{Q}q}} \cong \frac{2\delta B_{\bar{Q}q} - \delta B_{\bar{Q}Q}}{2M_{2\bar{Q}q}}$$

- Considering non-relativistic limit of quark and anti-quark system, for S-wave case ( $\mu_2^{-1} = m_{2\bar{Q}}^{-1} + m_{2q}^{-1}$ ),

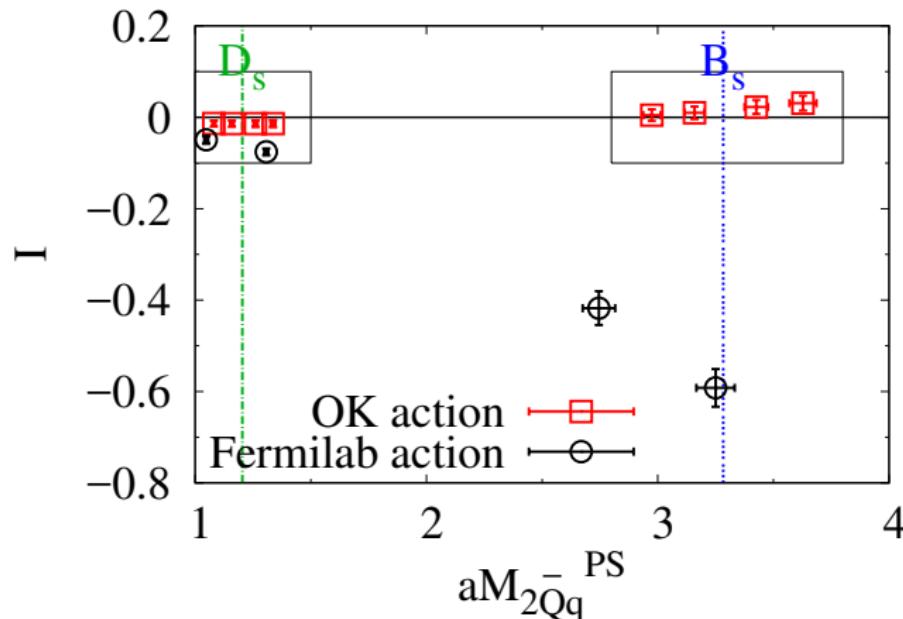
$$\begin{aligned}\delta B_{\bar{Q}q} &= \frac{5}{3} \frac{\langle \mathbf{p}^2 \rangle}{2\mu_2} \left[ \mu_2 \left( \frac{m_{2\bar{Q}}^2}{m_{4\bar{Q}}^3} + \frac{m_{2q}^2}{m_{4q}^3} \right) - 1 \right] \quad (\textcolor{red}{m_4 : c_1, c_3}) \\ &+ \frac{4}{3} a^3 \frac{\langle \mathbf{p}^2 \rangle}{2\mu_2} \mu_2 (\textcolor{blue}{w_{4\bar{Q}}} m_{2\bar{Q}}^2 + \textcolor{blue}{w_{4q}} m_{2q}^2) \quad (\textcolor{blue}{w_4 : c_2, c_4}) \\ &+ \mathcal{O}(p^4)\end{aligned}$$

[A. S. Kronfeld, NPB **53**, 401 (1997) , C. Bernard *et al.*, PRD **83**, 034503 (2011)]

- Leading contribution of  $\mathcal{O}(\mathbf{p}^2)$  in  $\delta B$  vanishes when  $\textcolor{blue}{w_4} = 0$ ,  $\textcolor{red}{m_2} = \textcolor{red}{m_4}$ , not only for S-wave states but also for higher harmonics.
- This condition is satisfied exactly at tree-level, and we expect  $I$  is close to 0.

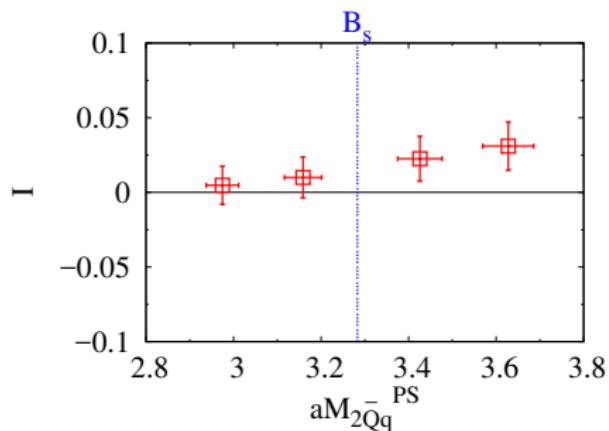
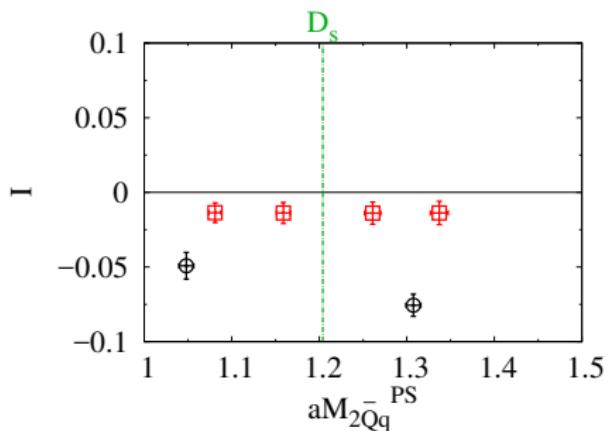
## Improvement by the OK action: Inconsistency

$$I \equiv \frac{2\delta M_{\bar{Q}q} - (\delta M_{\bar{Q}Q} + \delta M_{\bar{q}q})}{2M_{2\bar{Q}q}} = \frac{2\delta B_{\bar{Q}q} - (\delta B_{\bar{Q}Q} + \delta B_{\bar{q}q})}{2M_{2\bar{Q}q}}$$



# Inconsistency

a12m310,  $\kappa_{\text{crit}} = 0.051211$  (nonperturbative)



[Yong-Chull Jang et al., EPJC 77:768]

# $\bar{B} \rightarrow D^* \ell \bar{\nu}$ Form Factors

# | $V_{cb}$ | from the exclusive $\bar{B} \rightarrow D^* \ell \bar{\nu}$ |

- ①  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  HQET form factors:  $h_{A_1}(w)$ ,  $h_{A_2}(w)$ ,  $h_{A_3}(w)$ , and  $h_V(w)$

$$\frac{\langle D^*(p_{D^*}, \epsilon) | A^\mu | \bar{B}(p_B) \rangle}{\sqrt{m_B m_{D^*}}} = i h_{A_1}(w)(w+1)\epsilon^{*\mu} - i h_{A_2}(w)(\epsilon^* \cdot v_B)v_B^\mu \\ - i h_{A_3}(w)(\epsilon^* \cdot v_B)v_{D^*}^\mu$$

$$\frac{\langle D^*(p_{D^*}, \epsilon) | V^\mu | \bar{B}(p_B) \rangle}{\sqrt{m_B m_{D^*}}} = \varepsilon^{\mu\nu}{}_{\rho\sigma} \epsilon_\nu^* v_B^\rho v_{D^*}^\sigma h_V(w)$$

- ②  $R_i(w)$  form factor ratios:

$$R_1(w) \equiv \frac{h_V(w)}{h_{A_1}(w)}$$

$$R_2(w) \equiv \frac{h_{A_3}(w) + r h_{A_2}(w)}{h_{A_1}(w)} \quad \text{with} \quad r = M_{D^*}/M_B$$

# | $V_{cb}$ | from the exclusive $\bar{B} \rightarrow D^* \ell \bar{\nu}$ II

- ③ **Experiment:** determine  $\frac{d\Gamma}{dw}$  as a function of  $w$  (= recoil parameter).

$$\frac{d\Gamma}{dw} = \frac{G_F^2 M_{D^*}^3}{4\pi^3} (M_B - M_{D^*})^2 \sqrt{w^2 - 1} |\eta_{EM}|^2 |V_{cb}|^2 \chi(w) |\mathcal{F}(w)|^2$$

where  $w = v_B \cdot v_{D^*}$ ,  $r = M_{D^*}/M_B$ , and

$$\chi(w) = (1 + w)^2 \lambda(w)$$

$$\lambda(w) = \frac{1}{12} \left( 1 + \frac{4w}{w+1} t^2(w) \right)$$

$$t^2(w) = \frac{1 - 2wr + r^2}{(1 - r)^2}$$

$$\mathcal{F}(w) = h_{A_1}(w) \sqrt{\frac{H_0^2(w) + H_+^2(w) + H_-^2(w)}{\lambda(w)}}$$

# $|V_{cb}|$ from the exclusive $\bar{B} \rightarrow D^* \ell \bar{\nu}$ III

$$H_0(w) = \frac{w - r - X_3(w) - rX_2(w)}{1 - r} = \frac{w - r - R_2(w)}{1 - r}$$

$$H_{\pm}(w) = t(w)(1 \mp X_V(w))$$

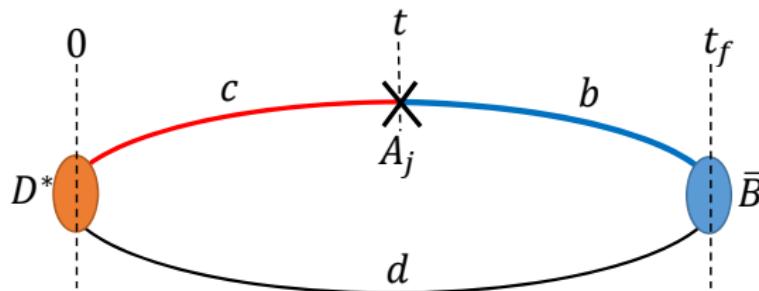
$$X_2(w) = (w - 1) \frac{h_{A_2}(w)}{h_{A_1}(w)}$$

$$X_3(w) = (w - 1) \frac{h_{A_3}(w)}{h_{A_1}(w)}$$

$$X_V(w) = \sqrt{\frac{w - 1}{w + 1}} \frac{h_V(w)}{h_{A_1}(w)} = \sqrt{\frac{w - 1}{w + 1}} \cdot R_1(w)$$

$|V_{cb}|$  from the  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decays at zero recoil ( $w = 1$ )

- ① **Lattice QCD:** Calculate  $\mathcal{F}(1) = h_{A_1}(1)$  from the following matrix element



- ② Determine  $|V_{cb}|$  by combining experiment with lattice QCD results for  $\mathcal{F}(1)$

$\bar{B} \rightarrow D^* \ell \bar{\nu}$  Form Factor:  $h_{A_1}(w = 1)$

# $\bar{B} \rightarrow D^* \ell \bar{\nu}$ at zero recoil: $h_{A_1}(1)$ on the lattice

$$|h_{A_1}(1)|^2 = \frac{\langle D^* | A_{cb}^j | \bar{B} \rangle \langle \bar{B} | A_{bc}^j | D^* \rangle}{\langle D^* | V_{cc}^4 | D^* \rangle \langle \bar{B} | V_{bb}^4 | \bar{B} \rangle} \times \rho_{A_j}^2, \quad \text{with} \quad \rho_{A_j}^2 = \frac{Z_{A_j}^{cb} Z_{A_j}^{bc}}{Z_{V_4}^{cc} Z_{V_4}^{bb}}$$

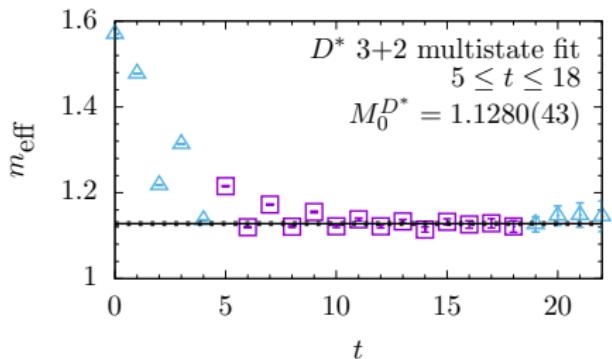
First, we calculate 2-point correlation functions on the lattice:

$$\begin{aligned} C_X^{2pt}(t) &= \langle O_X^\dagger(t) O_X(0) \rangle \\ &= |\mathcal{A}_0|^2 e^{-M_0 t} \left( 1 + \left| \frac{\mathcal{A}_2}{\mathcal{A}_0} \right|^2 e^{-\Delta M_2 t} + \left| \frac{\mathcal{A}_4}{\mathcal{A}_0} \right|^2 e^{-(\Delta M_2 + \Delta M_4)t} + \dots \right. \\ &\quad \left. - (-1)^t \left| \frac{\mathcal{A}_1}{\mathcal{A}_0} \right|^2 e^{-\Delta M_1 t} - (-1)^t \left| \frac{\mathcal{A}_3}{\mathcal{A}_0} \right|^2 e^{-(\Delta M_1 + \Delta M_3)t} + \dots \right) + (t \leftrightarrow T - t) \end{aligned}$$

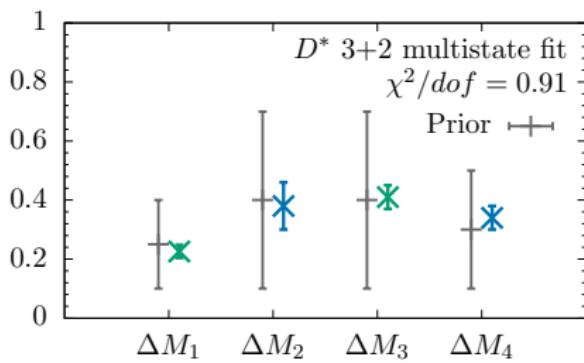
where  $X = B, D^*$ ,  $\mathcal{A}_n \equiv \langle n | O_X | \Omega \rangle$ , and

$\Delta M_n \equiv M_n - M_{n-2}$  with  $\Delta M_1 = M_1 - M_0$  and  $n \geq 2$ .

## Multi-state fitting (3+2 fit)



(a) Effective mass



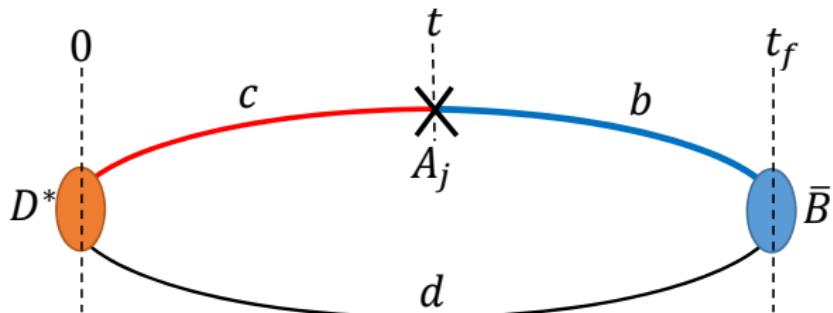
(b) Multi-state fit

$$m_{\text{eff}}(t) = \frac{1}{2} \ln \left( \frac{C^{\text{2pt}}(t)}{C^{\text{2pt}}(t+2)} \right)$$

$$\Delta M_n = M_n - M_{n-2} \quad \text{for } n \geq 2$$

$$\Delta M_1 = M_1 - M_0$$

## 3-point correlation function



$$C_{A_j}^{B \rightarrow D^*}(t, t_f) = \sum_{\vec{x}, \vec{y}} \langle O_{D^*}^\dagger(0) A_j^{cb}(\vec{y}, t) O_B(\vec{x}, t_f) \rangle \quad (0 < t < t_f)$$

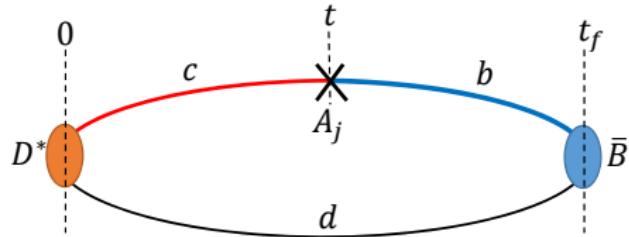
Interpolating operators for mesons

$$O_B = \bar{\psi}_b \gamma_5 \psi_b, \quad O_{D^*} = \bar{\psi}_c \gamma_j \psi_c$$

Improved axial current operator

$$A_j^{cb} = \bar{\Psi}_c \gamma_j \gamma_5 \Psi_b,$$

## 3-point correlation function: current improvement



$$A_j^{cb} = \bar{\Psi}_c \gamma_j \gamma_5 \Psi_b,$$

The  $\mathcal{O}(\lambda^3)$  improved field with 11 parameters ( $d_i$ ): [Jaehoon Leem, Lattice 2017]

$$\begin{aligned}
\Psi(x) = & e^{M_1/2} \left[ 1 + d_1 \boldsymbol{\gamma} \cdot \boldsymbol{D} \right. && \rightarrow \mathcal{O}(\lambda^1) \\
& + d_2 \Delta^{(3)} + d_B i \boldsymbol{\Sigma} \cdot \boldsymbol{B} + d_E \boldsymbol{\alpha} \cdot \boldsymbol{E} && \rightarrow \mathcal{O}(\lambda^2) \\
& + d_{rE} \{ \boldsymbol{\gamma} \cdot \boldsymbol{D}, \boldsymbol{\alpha} \cdot \boldsymbol{E} \} + d_3 \sum_i \gamma_i D_i \Delta_i + d_4 \{ \boldsymbol{\gamma} \cdot \boldsymbol{D}, \Delta^{(3)} \} \\
& + d_5 \{ \boldsymbol{\gamma} \cdot \boldsymbol{D}, i \boldsymbol{\Sigma} \cdot \boldsymbol{B} \} + d_{EE} \{ \gamma_4 D_4, \boldsymbol{\alpha} \cdot \boldsymbol{E} \} && \rightarrow \mathcal{O}(\lambda^3) \\
& \left. + d_6 [\gamma_4 D_4, \Delta^{(3)}] + d_7 [\gamma_4 D_4, i \boldsymbol{\Sigma} \cdot \boldsymbol{B}] \right] \psi(x).
\end{aligned}$$

Calculate  $R = |h_{A_1}(1)/\rho_{A_j}|^2$  using two different analysis

① Direct analysis on  $C_J^{X \rightarrow Y}$

$$C_{A_j}^{B \rightarrow D^*}(t, t_f) = B^{B \rightarrow D^*} e^{-M_D^* t} e^{-M_B(t_f - t)} (1 + \hat{c}^{B \rightarrow D^*}(t, t_f))$$

where  $B^{B \rightarrow D^*} = \mathcal{A}_0^{D^*} \langle D^* | A_j^{cb} | B \rangle \mathcal{A}_0^B$ , and  $\hat{c}^{B \rightarrow D^*}$  represents the contamination from the excited states of  $B$  and  $D^*$  mesons.

$$R = \frac{B^{B \rightarrow D^*} \cdot B^{D^* \rightarrow B}}{B^{B \rightarrow B} \cdot B^{D^* \rightarrow D^*}}$$

② Analysis on  $R$

$$\begin{aligned} R(t, t_f) &\equiv \frac{C_{A_1}^{B \rightarrow D^*}(t, t_f) C_{A_1}^{D^* \rightarrow B}(t, t_f)}{C_{V_4}^{B \rightarrow B}(t, t_f) C_{V_4}^{D^* \rightarrow D^*}(t, t_f)} \\ &= \frac{B^{B \rightarrow D^*} \cdot B^{D^* \rightarrow B}}{B^{B \rightarrow B} \cdot B^{D^* \rightarrow D^*}} [1 + \hat{c}^{B \rightarrow D^*}(t, t_f) + \hat{c}^{D^* \rightarrow B}(t, t_f) \\ &\quad - \hat{c}^{B \rightarrow B}(t, t_f) - \hat{c}^{D^* \rightarrow D^*}(t, t_f) \dots]. \end{aligned}$$

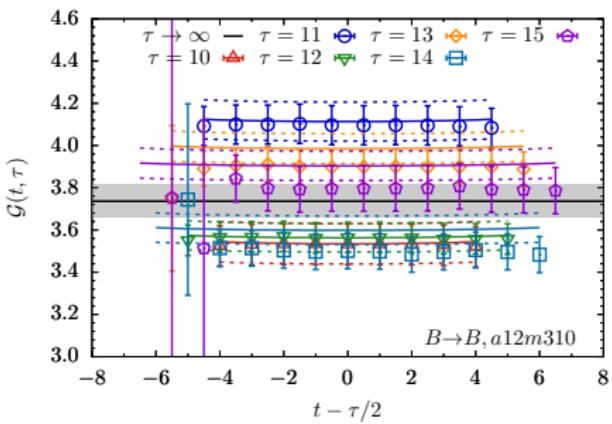
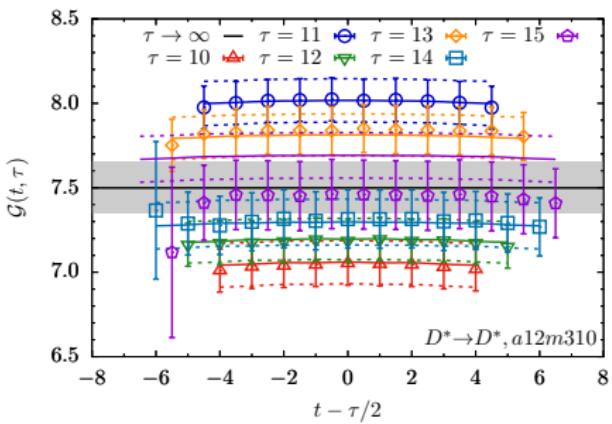
# Direct analysis on $C_J^{X \rightarrow Y}$

$$\begin{aligned}
C_{A_j}^{B \rightarrow D^*}(t, \tau) &= \langle O_{D^*}^\dagger(0) A_j^{cb}(t) O_B(\tau) \rangle \quad (0 < t < \tau) \\
&= \mathcal{A}_0^{D^*} \mathcal{A}_0^B \langle D_0^* | A_j^{cb} | B_0 \rangle e^{-M_{B_0}(\tau-t)} e^{-M_{D_0^*}t} \\
&\quad - \mathcal{A}_0^{D^*} \mathcal{A}_1^B \langle D_0^* | A_j^{cb} | B_1 \rangle (-1)^{\tau-t} e^{-M_{B_1}(\tau-t)} e^{-M_{D_0^*}t} \\
&\quad - \mathcal{A}_1^{D^*} \mathcal{A}_0^B \langle D_1^* | A_j^{cb} | B_0 \rangle (-1)^t e^{-M_{B_0}(\tau-t)} e^{-M_{D_1^*}t} \\
&\quad + \mathcal{A}_1^{D^*} \mathcal{A}_1^B \langle D_1^* | A_j^{cb} | B_1 \rangle (-1)^\tau e^{-M_{B_1}(\tau-t)} e^{-M_{D_1^*}t} \\
&\quad + \mathcal{A}_2^{D^*} \mathcal{A}_0^B \langle D_2^* | A_j^{cb} | B_0 \rangle e^{-M_{B_0}(\tau-t)} e^{-M_{D_2^*}t} \\
&\quad + \mathcal{A}_0^{D^*} \mathcal{A}_2^B \langle D_0^* | A_j^{cb} | B_2 \rangle e^{-M_{B_2}(\tau-t)} e^{-M_{D_0^*}t} \\
&\quad - \mathcal{A}_2^{D^*} \mathcal{A}_1^B \langle D_2^* | A_j^{cb} | B_1 \rangle (-1)^{\tau-t} e^{-M_{B_1}(\tau-t)} e^{-M_{D_2^*}t} \\
&\quad - \mathcal{A}_1^{D^*} \mathcal{A}_2^B \langle D_1^* | A_j^{cb} | B_2 \rangle (-1)^t e^{-M_{B_2}(\tau-t)} e^{-M_{D_1^*}t} \\
&\quad + \mathcal{A}_2^{D^*} \mathcal{A}_2^B \langle D_2^* | A_j^{cb} | B_2 \rangle e^{-M_{B_2}(\tau-t)} e^{-M_{D_2^*}t} + \dots .
\end{aligned}$$

We take  $\mathcal{A}_i^{D^*}$ ,  $\mathcal{A}_j^B$ ,  $M_{D_i^*}$  and  $M_{B_j}$  from the 2-point fitting.

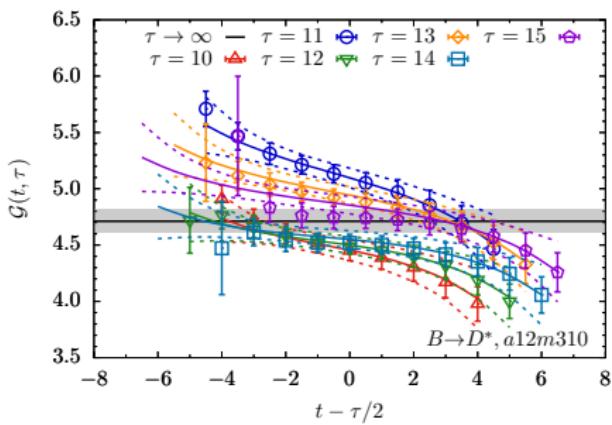
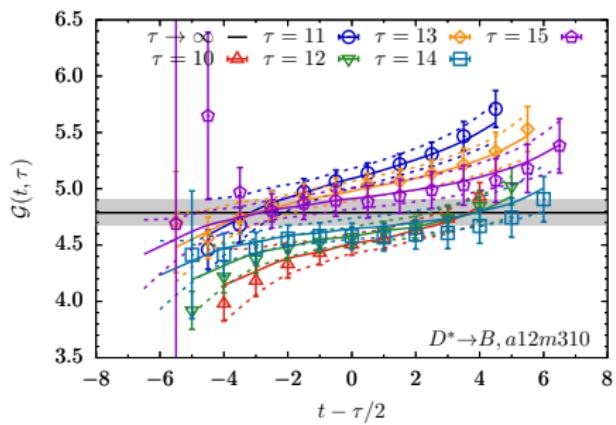
# Fitting results for the 3-point correlation functions (1)

$$\mathcal{G}(t, \tau) \equiv \frac{C_{A_j}^{X \rightarrow Y}(t, \tau)}{\mathcal{A}_0^Y \mathcal{A}_0^X e^{-M_{X_0}(\tau-t)} e^{-M_{Y_0}t}} = \langle Y_0 | A_j^{cb} | X_0 \rangle + \dots,$$

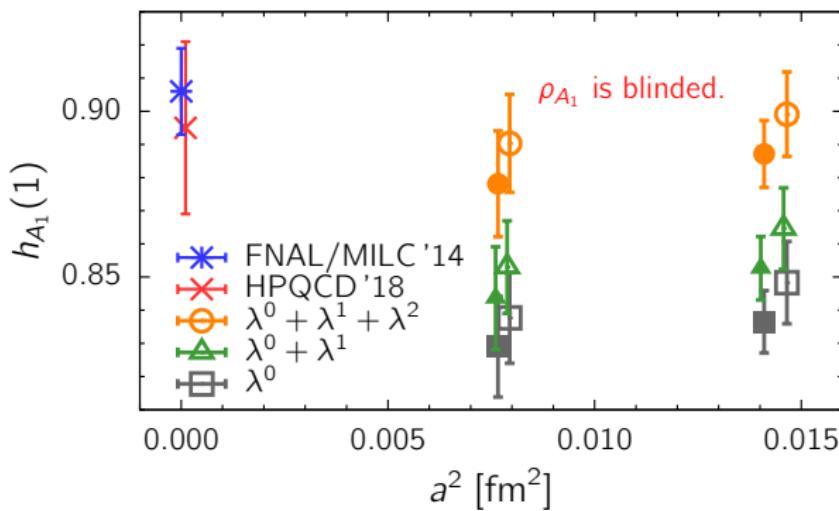
(c)  $B \rightarrow B$ (d)  $D^* \rightarrow D^*$

# Fitting results for the 3-point correlation functions (2)

$$\mathcal{G}(t, \tau) \equiv \frac{C_{A_j}^{X \rightarrow Y}(t, \tau)}{\mathcal{A}_0^Y \mathcal{A}_0^X e^{-M_{X_0}(\tau-t)} e^{-M_{Y_0}t}} = \langle Y_0 | A_j^{cb} | X_0 \rangle + \dots,$$

(e)  $B \rightarrow D^*$ (f)  $D^* \rightarrow B$

# $\bar{B} \rightarrow D^* \ell \bar{\nu}$ Form Factor at Zero Recoil : $h_{A_1}(w = 1)$



- $\rho_{A_j}$  is blinded:  $\rho_{A_j}^2 = \frac{Z_{A_j}^{bc} Z_{A_j}^{cb}}{Z_{V_4}^{bb} Z_{V_4}^{cc}} \rightarrow 1$ .
- Non-perturbative calculation of  $\rho_{A_j}$  is underway.
- Preliminary results!!! [PoS (Lattice2019) 056]

## Summary

- This is the first numerical study with the OK action using the currents improved up to  $\mathcal{O}(\lambda^3)$ .
- We have obtained **preliminary** results for  $\frac{|h_{A_1}(1)|}{\rho_{A_j}}$  of  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decays.

### [ To do list ]

- Non-perturbative calculation of matching factor  $\rho_{A_j}$ .
- Extending measurement to superfine and ultrafine ensembles.
- Chiral-continuum extrapolation
- Accumulate more statistics

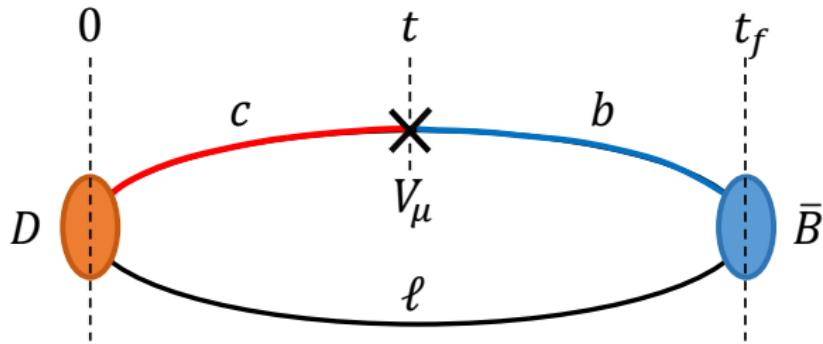
$\bar{B} \rightarrow D\ell\bar{\nu}$  Form Factors:  $h_{\pm}(w)$

# $\bar{B} \rightarrow D\ell\bar{\nu}$ Form Factors: $h_{\pm}(w)$ on the lattice

$$\frac{\langle D(M_D, \mathbf{p}') | V_\mu | B(M_B, \mathbf{0}) \rangle}{\sqrt{2M_D}\sqrt{2M_B}} = \frac{1}{2} \{ h_+(w)(v + v')_\mu + h_-(w)(v - v')_\mu \} ,$$

- $B$  meson is at rest:  $v = \frac{\mathbf{p}}{M_B} = (1, \mathbf{0})$ .
- $D$  meson is moving with velocity:  $v' = \frac{\mathbf{p}'}{M_D} = (\frac{E_D}{M_D}, \frac{\mathbf{p}'}{M_D})$ .
- Recoil parameter:  $w = v \cdot v'$ .

## 3-point correlation function



$$C_{V_\mu}^{B \rightarrow D}(t, t_f) = \sum_{\vec{x}, \vec{y}} \langle O_D^\dagger(0) V_\mu^{cb}(\vec{y}, t) O_B(\vec{x}, t_f) \rangle \quad (0 < t < t_f)$$

Interpolating operators for mesons

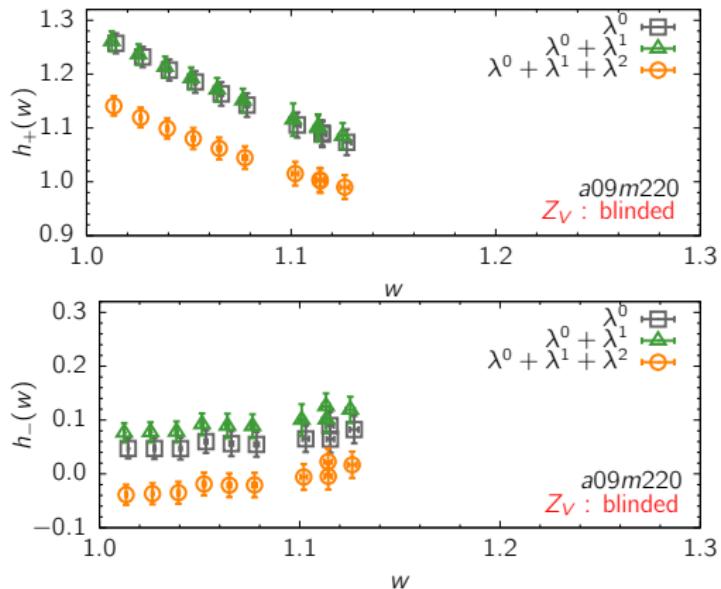
$$O_B = \bar{\psi}_b \gamma_5 \psi_\ell, \quad O_D = \bar{\psi}_c \gamma_5 \psi_\ell$$

Improved vector current operator

$$V_\mu^{cb} = \bar{\Psi}_c \gamma_\mu \Psi_b,$$

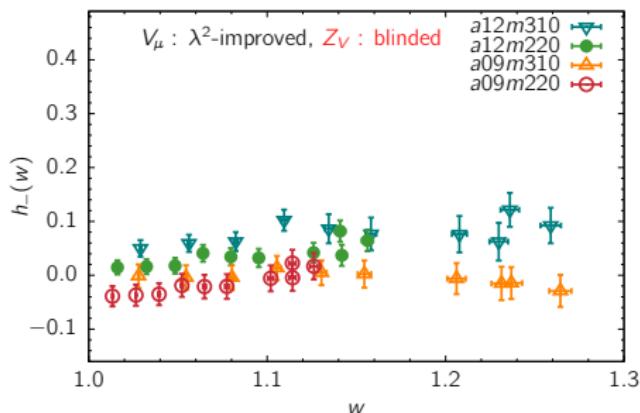
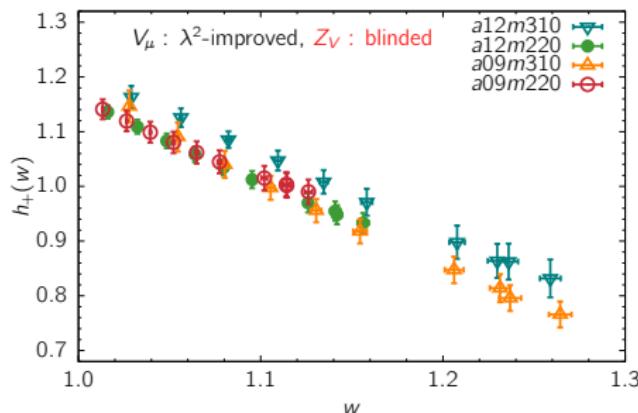
# $\bar{B} \rightarrow D\ell\bar{\nu}$ Form Factors $h_{\pm}(w)$

$a \cong 0.09 \text{ fm}$  &  $m_\pi \cong 220 \text{ MeV}$



- MILC HISQ lattice at  $a \cong 0.09 \text{ fm}$  and  $m_\pi \cong 220 \text{ MeV}$ .
- $Z_V$  is blinded. → [Preliminary](#) results!!! [PoS (LATTICE 2019) 056]

# $\bar{B} \rightarrow D\ell\bar{\nu}$ Form Factors $h_{\pm}(w)$



- MILC HISQ lattices at  $a \cong 0.12$  fm and  $a \cong 0.09$  fm
- $Z_V$  is blinded. (NPR is underway.)
- The vector current is improved up to the  $\lambda^2$  order.
- Preliminary results!!! [PoS (LATTICE 2019) 056]

## Summary

- This is the first numerical study with the OK action using the currents improved up to  $\mathcal{O}(\lambda^3)$ .
- We produced 3-point correlation functions, and obtained preliminary results for  $\frac{h_{\pm}(w)}{Z_V}$  of the  $\bar{B} \rightarrow D\ell\bar{\nu}$  decays.

### [ To do list ]

- Non-perturbative (NPR) calculation of matching factors:  $Z_V$ .
- Extending measurement to superfine, ultrafine, and anker-point ensembles.
- Chiral-continuum extrapolation
- Accumulate more statistics

# Current status of measurements and data analysis

label	2-point	3-point	NPR	meson mass	analysis
a12m299	○	○	✗	△	△
a12m216	○	○	✗	✗	△
a12m133	✗	✗	✗	✗	✗
a09m301	○	○	✗	✗	△
a09m215	○	○	✗	✗	△
a09m130	✗	✗	✗	✗	✗
a06m304	○	○	✗	✗	✗
<b>a06m224</b>	△	✗	✗	✗	✗
a06m135	✗	✗	✗	✗	✗
a042m294	✗	✗	✗	✗	✗
a042m134	✗	✗	✗	✗	✗
a03m294	✗	✗	✗	✗	✗

label =  $N_f = 2 + 1 + 1$  MILC HISQ ensemble ID

example: a12m299  $\rightarrow a = 0.12$  fm and  $m_\pi = 299$  MeV

# MILC HISQ Ensembles

label	$a$ ( fm)	geometry	$m_\pi$ ( MeV)	$am_\ell$	$am_s$	$am_c$
a12m299	0.12	$24^3 \times 64$	299	0.0102	0.0509	0.635
a12m216	0.12	$32^3 \times 64$	216	0.00507	0.0507	0.628
a12m133	0.12	$48^3 \times 64$	133	0.00184	0.0507	0.628
a09m301	0.09	$32^3 \times 96$	301	0.0074	0.037	0.440
a09m215	0.09	$48^3 \times 96$	215	0.00363	0.0363	0.430
a09m130	0.09	$64^3 \times 96$	130	0.0012	0.0363	0.432
a06m304	0.06	$48^3 \times 144$	304	0.0048	0.024	0.286
a06m224	0.06	$64^3 \times 144$	224	0.0024	0.024	0.286
a06m135	0.06	$96^3 \times 192$	135	0.0008	0.022	0.260
a042m294	0.042	$64^3 \times 192$	294	0.00316	0.0158	0.188
a042m134	0.042	$144^3 \times 288$	134	0.000569	0.01555	0.1827
a03m294	0.03	$96^3 \times 288$	294	0.00223	0.01115	0.1316

label = MILC HISQ ensemble ID

example: a12m299 →  $a = 0.12$  fm and  $m_\pi = 299$  MeV

CLN

# CLN: Caprini, Lellouch, Neubert I

- Consider  $\bar{B} \rightarrow D^* \ell \bar{\nu}$  decays.

$$\frac{d\Gamma(\bar{B} \rightarrow D^* \ell \bar{\nu})}{dw} = \frac{G_F^2 m_{D^*}^3}{48\pi^3} (m_B - m_{D^*})^2 \chi(w) \eta_{EW}^2 \mathcal{F}^2(w) |V_{cb}|^2$$

- Here,  $G_F$  is Fermi constant,  $\eta_{EW}$  is a small electroweak correction, and  $\mathcal{F}(w)$  is the form factor.
- The kinematic factor  $\chi(w)$  is

$$\chi(w) = \sqrt{w^2 - 1} (w + 1)^2 \times Y(w)$$
$$Y(w) = \left[ 1 + \frac{4w}{w + 1} \frac{1 - 2wr + r^2}{(1 - r)^2} \right]$$

# CLN: Caprini, Lellouch, Neubert II

- The form factor can be rewritten as follows,

$$\mathcal{F}^2(w) = h_{A_1}^2(w) \times \frac{1}{Y(w)} \times \left\{ 2 \frac{1 - 2wr + r^2}{(1-r)^2} \left[ 1 + \frac{w-1}{w+1} R_1^2(w) \right] + \left[ 1 + \frac{w-1}{1-r} (1 - R_2(w)) \right]^2 \right\}$$

- So far the formalism is quite general.

## CLN: Caprini, Lellouch, Neubert III

- CLN method [28]: ( $\approx$  model-dependent approximation)

$$h_{A_1}(w) = h_{A_1}(1) \left[ 1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3 \right] \quad (4)$$

$$R_1(w) = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2 \quad (5)$$

$$R_2(w) = R_2(1) + 0.11(w - 1) - 0.06(w - 1)^2 \quad (6)$$

where  $z$  is a conformal mapping variable:

$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}} \quad (7)$$

## CLN: Caprini, Lellouch, Neubert IV

- The trouble is that the slopes and curvatures of  $R_1(w)$  and  $R_2(w)$  are fixed by the HQET perturbation theory (zero-recoil expansion). The HQET results for the slopes and curvatures have about 10% uncertainty of order  $\mathcal{O}(\Lambda^2/m_c^2)$  and  $\mathcal{O}(\alpha_s \Lambda/m_c)$ .
- Hence, CLN can **NOT** have precision better than 2% by construction.
- The trouble is that the experimental results have errors less than 2% and that the lattice QCD results for the form factors have such a high precision that the errors are below the 2% level.
- At any rate, the experimental group (HFLAV 2017) uses CLN to fit the experimental data to determine four parameters:  $\eta_{\text{EW}} \mathcal{F}(1) |V_{cb}|$ ,  $\rho^2$ ,  $R_1(1)$ ,  $R_2(1)$ .
- Lattice QCD determines  $\mathcal{F}(1)$  very well.
- $\eta_{\text{EW}}$  is very well known.
- Hence, we can determine exclusive  $|V_{cb}|$  out of this.

BGL

# BGL: Boyd, Grinstein, Lebed I

- BGL is model-independent.
- BGL is constructed on three building blocks:
  - ① Dispersion relation
  - ② Crossing symmetry
  - ③ Analytic continuation: analyticity
- Consider the 2-point function:

$$\begin{aligned}\Pi_J^{\mu\nu}(q) &= (q^\mu q^\nu - q^2 g^{\mu\nu}) \Pi_J^T(q^2) + g^{\mu\nu} \Pi_J^L(q^2) \\ &\equiv i \int d^4x e^{iq\cdot x} \langle 0 | T J^\mu(x) [J^\nu(0)]^\dagger | 0 \rangle\end{aligned}\quad (8)$$

- In general,  $\Pi_J^{T,L}(q^2)$  is not finite.

# BGL: Boyd, Grinstein, Lebed II

- Hence, we need to make one or two subtractions to obtain finite dispersion relations:

$$\chi_J^L(q^2) = \frac{\partial \Pi_J^L}{\partial q^2} = \frac{1}{\pi} \int_0^\infty dt \frac{\text{Im} \Pi_J^L(t)}{(t - q^2)^2} \quad (9)$$

$$\chi_J^T(q^2) = \frac{\partial \Pi_J^T}{\partial q^2} = \frac{1}{\pi} \int_0^\infty dt \frac{\text{Im} \Pi_J^T(t)}{(t - q^2)^2} \quad (10)$$

- Källen-Lehmann spectral decomposition:

$$\begin{aligned}
 & (q^\mu q^\nu - q^2 g^{\mu\nu}) \text{Im} \Pi_J^T(q^2) + g^{\mu\nu} \text{Im} \Pi_J^L(q^2) \\
 &= \frac{1}{2} \sum_X (2\pi)^4 \delta^4(q - p_X) \langle 0 | J^\mu(0) | X \rangle \langle X | [J^\nu(0)]^\dagger | 0 \rangle \quad (11)
 \end{aligned}$$

## BGL: Boyd, Grinstein, Lebed III

- Multiply  $\xi_\mu \xi_\nu^*$  on both sides:

$$\left[ (q^\mu q^\nu - q^2 g^{\mu\nu}) \text{Im}\Pi_J^T(q^2) + g^{\mu\nu} \text{Im}\Pi_J^L(q^2) \right] \xi_\mu \xi_\nu^* \geq 0 \quad (12)$$

for any complex 4-vector  $\xi_\mu$ .

- From this we can prove the positivity:

$$\text{Im}\Pi_J^T(q^2) \geq 0 \quad (13)$$

$$\text{Im}\Pi_J^L(q^2) \geq 0 \quad (14)$$

# BGL: Boyd, Grinstein, Lebed IV

- Consider the two body state of  $X = H_b(p_1)H_c(p_2)$ .

$$\begin{aligned} \text{Im}\Pi_J^{ii}(q^2) = & \frac{1}{2} \int \frac{d^3 p_1 d^3 p_2}{(2\pi)^3 4E_1 E_2} \delta^4(q - p_1 - p_2) \\ & \times \sum_{\text{pol}} \langle 0 | J^i | H_b(p_1)H_c(p_2) \rangle \langle H_b(p_1)H_c(p_2) | [J^i]^\dagger | 0 \rangle \\ & + \dots \end{aligned} \quad (15)$$

- Here, the ellipsis ( $\dots$ ) represents strictly **positive** contributions from the higher resonances and multi-particle states.
- We may assume that  $H_b = B, B^*$  meson states, and  $H_c = D, D^*$  meson states.

# BGL: Boyd, Grinstein, Lebed V

- Let us consider a simple example of  $H_b = B$  and  $H_c = D^*$ .

$$\text{Im} \Pi_J^{ii}(t) \geq k(t) |\mathcal{F}(t)|^2 \quad (16)$$

where  $t = q^2$ ,  $k(t)$  is a calculable kinematic function arising from two-body phase space.

- Let us use the crossing symmetry and analytic continuation:

$$\langle 0 | J^i | H_b(p_1) H_c(p_2) \rangle = \mathcal{F}(t) \quad (t_+ \leq t < \infty) \quad (17)$$

$$\langle \bar{H}_b(-p_1) | J^i | H_c(p_2) \rangle = \mathcal{F}(t) \quad (m_\ell^2 \leq t < t_-) \quad (18)$$

# BGL: Boyd, Grinstein, Lebed VI

- Hadronic moments  $\chi_J^{(n)}$ :

$$\begin{aligned}\chi_J^{(n)} &\equiv \frac{1}{\Gamma(n+3)} \left. \frac{\partial^{n+2} \Pi_J^{ii}}{\partial^{n+2} q^2} \right|_{q^2=0} \\ &= \frac{1}{\pi} \int_0^\infty dt \left. \frac{\text{Im} \Pi_J^{ii}(t)}{(t - q^2)^{n+3}} \right|_{q^2=0}\end{aligned}\tag{19}$$

- Hence, the inequality is

$$\chi_J^{(n)} \geq \frac{1}{\pi} \int_{t_+}^\infty dt \frac{k(t) |\mathcal{F}(t)|^2}{t^{n+3}}\tag{20}$$

$$\longrightarrow \frac{1}{\pi} \int_{t_+}^\infty dt |h^{(n)}(t) F(t)|^2 \leq 1\tag{21}$$

# BGL: Boyd, Grinstein, Lebed VII

where

$$[h^{(n)}(t)]^2 = \frac{k(t)}{t^{n+3}\chi_J^{(n)}} \geq 0. \quad (22)$$

- Let us introduce the conformal mapping function:

$$z(t, t_s) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_s}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_s}} \quad (23)$$

- The inequality can be rewritten as follows,

$$\frac{1}{\pi} \int_{t_+}^{\infty} dt \left| \frac{dz(t, t_0)}{dt} \right| |\phi(t, t_0)P(t)F(t)|^2 \leq 1, \quad (24)$$

# BGL: Boyd, Grinstein, Lebed VIII

- Here, the outer function  $\phi$  is

$$\phi(t, t_0) = \tilde{P}(t) \frac{h^{(n)}(t)}{\sqrt{\left| \frac{dz(t, t_0)}{dt} \right|}} \quad (25)$$

- Here, the factor  $\tilde{P}(t)$  removes the sub-threshold poles and branch cuts in  $h^{(n)}(t)$ .

$$\tilde{P}(t) = \prod_{i=1}^{\tilde{N}} z(t, t_{s_i}) \prod_{j=1}^{\tilde{M}} \sqrt{z(t, t_{s_j})} \quad (26)$$

# BGL: Boyd, Grinstein, Lebed IX

- The Blaschke factor  $P(t)$  removes all the sub-threshold poles in  $\mathcal{F}(t)$ .

$$P(t) \equiv \prod_{i=1}^N \frac{z - z_{P_i}}{1 - zz_{P_i}^*} = \prod_{i=1}^N \frac{z - z_{P_i}}{1 - zz_{P_i}} \quad (27)$$

$$z_{P_i} \equiv z(t_{P_i}, t_-) = \frac{\sqrt{t_+ - t_{P_i}} - \sqrt{t_+ - t_-}}{\sqrt{t_+ - t_{P_i}} + \sqrt{t_+ - t_-}} \quad (28)$$

where  $t_{P_i} = M_{P_i}^2$  represents the pole positions of  $F(t)$  below the threshold ( $t_{P_i} < t_+$ ).

- $|\tilde{P}(t)| = 1$  and  $|P(t)| = 1$  for  $t_+ \leq t < \infty$ .
- Hence,  $\phi(t, t_0)P(t)\mathcal{F}(t)$  is analytic even in the sub-threshold region.

## BGL: Boyd, Grinstein, Lebed X

- BGL method for the form factor parametrization:

$$F(t) = \frac{1}{\phi(t, t_0)P(t)} \sum_{n=0}^{\infty} a_n z^n(t, t_0) \quad (29)$$

- After the Fourier analysis, the inequality is

$$\sum_{n=0}^{\infty} |a_n|^2 \leq 1. \quad (30)$$

- This is called the unitarity conditions (the weak version).

$B_s$  meson mass

# Measurement

Gauge Ensemble, Heavy Quark  $\kappa$ , Meson Momentum

- MILC asqtad  $N_f = 2 + 1$

$a(\text{fm})$	$N_L^3 \times N_T$	$\beta$	$am'_I$	$am'_s$	$u_0$	$a^{-1}(\text{GeV})$	$N_{\text{conf}}$	$N_{t_{\text{src}}}$
0.12	$20^3 \times 64$	6.79	0.02	0.05	0.8688	$1.683^{+43}_{-16}$	500	6

- 11 momenta  $|\mathbf{p}a| = 0, 0.099, \dots, 1.26$

# Measurement: Interpolating Operator

- Meson correlator

$$C(t, \mathbf{p}) = \sum_{\mathbf{x}} e^{i\mathbf{p} \cdot \mathbf{x}} \langle \mathcal{O}^\dagger(t, \mathbf{x}) \mathcal{O}(0, \mathbf{0}) \rangle$$

- Heavy-light meson interpolating operator

$$\mathcal{O}_{\textcolor{red}{t}}(x) = \bar{\psi}_\alpha(x) \Gamma_{\alpha\beta} \Omega_{\beta\textcolor{red}{t}}(x) \chi(x)$$

$$\Gamma = \begin{cases} \gamma_5 & (\text{Pseudo-scalar}) \\ \gamma_\mu & (\text{Vector}) \end{cases}, \quad \Omega(x) \equiv \gamma_1^{x_1} \gamma_2^{x_2} \gamma_3^{x_3} \gamma_4^{x_4}$$

- Quarkonium interpolating operator

$$\mathcal{O}(x) = \bar{\psi}_\alpha(x) \Gamma_{\alpha\beta} \psi_\beta(x)$$

[Wingate *et al.*, PRD **67**, 054505 (2003) , C. Bernard *et al.*, PRD **83**, 034503 (2011)]

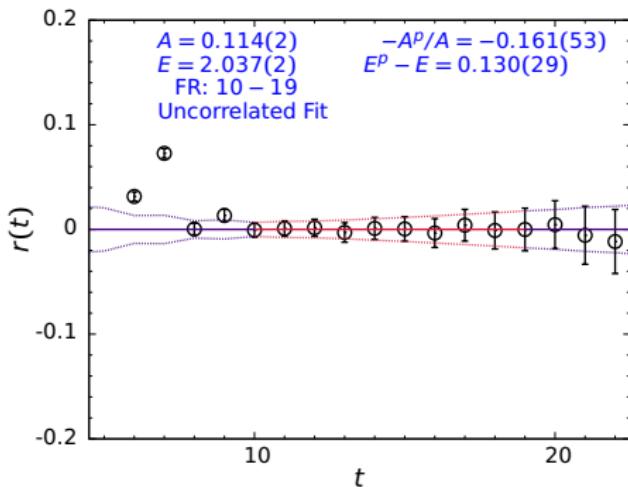
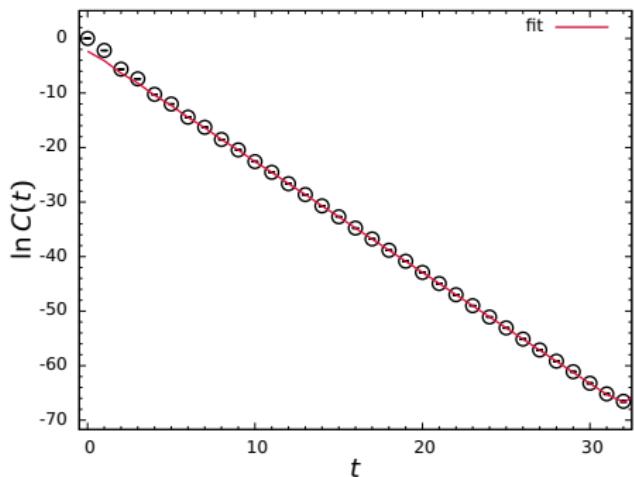
# Correlator Fit

- fit function

$$f(t) = A\{e^{-Et} + e^{-E(T-t)}\} + (-1)^t A^p\{e^{-E^p t} + e^{-E^p(T-t)}\}$$

- fit residual

$$r(t) = \frac{C(t) - f(t)}{|C(t)|}, \text{ where } C(t) \text{ is data.}$$



$[\bar{Q}q, \text{PS}, \kappa = 0.041, \mathbf{p} = 0]$

# Correlator Fit: Effective Mass

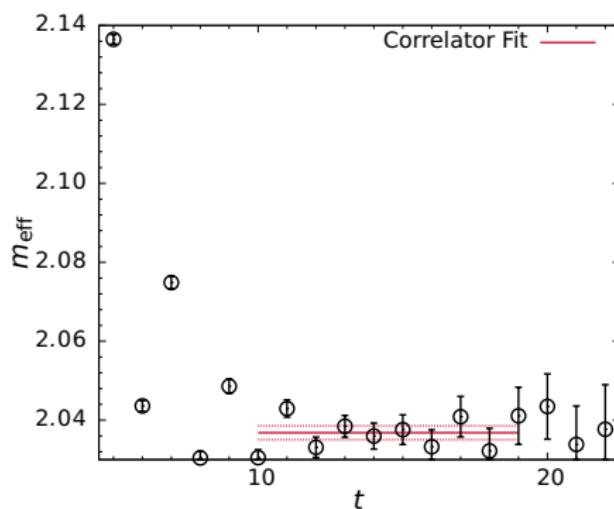
$$m_{\text{eff}}(t) = \frac{1}{2} \ln \left( \frac{C(t)}{C(t+2)} \right)$$

For small  $t$ ,

$$\begin{aligned} C(t) &\cong A(e^{-Et} + \beta e^{-(E+\Delta E)t}) \\ &= Ae^{-Et}(1 + \beta e^{-(\Delta E)t}), \end{aligned}$$

$$\begin{cases} \beta > 0 & \text{(excited state)} \\ \beta \sim -(-1)^t & \text{(time parity state)} \end{cases}$$

$$m_{\text{eff}} \approx E + \beta(\Delta E)e^{-(\Delta E)t}$$

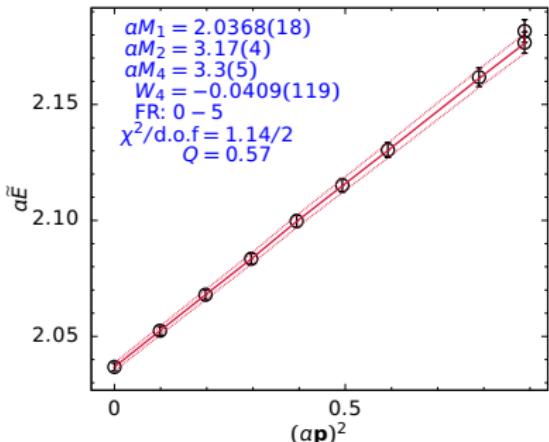


$[\bar{Q}q, \text{ PS}, \kappa = 0.041, \mathbf{p} = \mathbf{0}]$

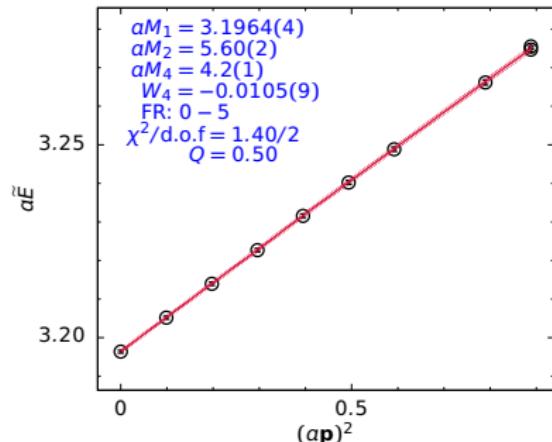
# Dispersion Relation

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} - \frac{(\mathbf{p}^2)^2}{8M_4^3} - \frac{a^3 W_4}{6} \sum_i p_i^4$$

$$\tilde{E} = E + \frac{a^3 W_4}{6} \sum_i p_i^4, \quad \mathbf{n} = (2, 2, 1), (3, 0, 0)$$



$[\overline{Q}q, \text{PS}, \kappa = 0.041]$



$[\overline{Q}Q, \text{PS}, \kappa = 0.041]$

# Improvement Test: Inconsistency Parameter

$$I \equiv \frac{2\delta M_{\bar{Q}q} - (\delta M_{\bar{Q}Q} + \delta M_{\bar{q}q})}{2M_{2\bar{Q}q}} = \frac{2\delta B_{\bar{Q}q} - (\delta B_{\bar{Q}Q} + \delta B_{\bar{q}q})}{2M_{2\bar{Q}q}}$$

$$M_{1\bar{Q}q} = m_{1\bar{Q}} + m_{1q} + B_{1\bar{Q}q} \quad \delta M_{\bar{Q}q} = M_{2\bar{Q}q} - M_{1\bar{Q}q}$$

$$M_{2\bar{Q}q} = m_{2\bar{Q}} + m_{2q} + B_{2\bar{Q}q} \quad \delta B_{\bar{Q}q} = B_{2\bar{Q}q} - B_{1\bar{Q}q}$$

[S. Collins *et al.*, NPB **47**, 455 (1996) , A. S. Kronfeld, NPB **53**, 401 (1997)]

- Inconsistency parameter  $I$  can be used to examine the improvements by  $\mathcal{O}(\mathbf{p}^4)$  terms in the action. The OK action is designed to improve these terms and matched at tree-level.
- Binding energies  $B_1$  and  $B_2$  are of order  $\mathcal{O}(\mathbf{p}^2)$ . Because the kinetic meson mass  $M_2$  appears with a factor  $\mathbf{p}^2$ , the leading contribution of binding energy  $B_2$  is generated by  $\mathcal{O}(\mathbf{p}^4)$  terms in the action.

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} + \dots = M_1 + \frac{\mathbf{p}^2}{2(m_{2\bar{Q}} + m_{2q})} \left[ 1 - \frac{B_{2\bar{Q}q}}{(m_{2\bar{Q}} + m_{2q})} + \dots \right] + \dots$$

# Improvement Test: Inconsistency Parameter

$$I \cong \frac{2\delta M_{\bar{Q}q} - \delta M_{\bar{Q}Q}}{2M_{2\bar{Q}q}} \cong \frac{2\delta B_{\bar{Q}q} - \delta B_{\bar{Q}Q}}{2M_{2\bar{Q}q}}$$

- Considering non-relativistic limit of quark and anti-quark system, for S-wave case ( $\mu_2^{-1} = m_{2\bar{Q}}^{-1} + m_{2q}^{-1}$ ),

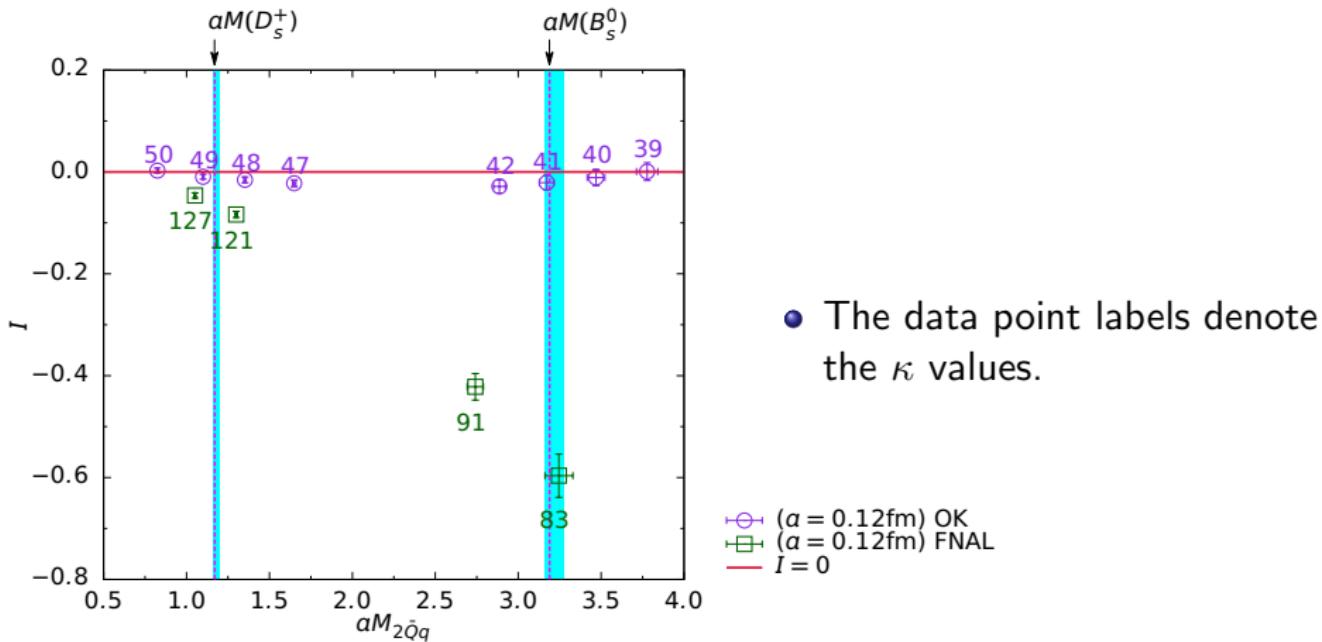
$$\begin{aligned}\delta B_{\bar{Q}q} &= \frac{5}{3} \frac{\langle \mathbf{p}^2 \rangle}{2\mu_2} \left[ \mu_2 \left( \frac{m_{2\bar{Q}}^2}{m_{4\bar{Q}}^3} + \frac{m_{2q}^2}{m_{4q}^3} \right) - 1 \right] \quad (\textcolor{red}{m}_4 : c_1, c_3) \\ &+ \frac{4}{3} a^3 \frac{\langle \mathbf{p}^2 \rangle}{2\mu_2} \mu_2 (\textcolor{blue}{w}_{4\bar{Q}} m_{2\bar{Q}}^2 + \textcolor{blue}{w}_{4q} m_{2q}^2) \quad (\textcolor{blue}{w}_4 : c_2, c_4) \\ &+ \mathcal{O}(p^4)\end{aligned}$$

[A. S. Kronfeld, NPB **53**, 401 (1997) , C. Bernard *et al.*, PRD **83**, 034503 (2011)]

- Leading contribution of  $\mathcal{O}(\mathbf{p}^2)$  in  $\delta B$  vanishes when  $\textcolor{blue}{w}_4 = 0$ ,  $\textcolor{red}{m}_2 = \textcolor{red}{m}_4$ , not only for S-wave states but also for higher harmonics.
- This condition is satisfied exactly at tree-level, and we expect  $I$  is close to 0.

# Improvement Test: Inconsistency Parameter

- The coarse ( $a = 0.12\text{fm}$ ) ensemble data covers the  $B_s^0$  mass and shows significant improvement compared to the Fermilab action.



## Improvement Test: Hyperfine Splitting $\Delta$

$$\Delta_1 = M_1^* - M_1, \quad \Delta_2 = M_2^* - M_2$$

Recall,

$$M_{1\bar{Q}q}^{(*)} = m_{1\bar{Q}} + m_{1q} + B_{1\bar{Q}q}^{(*)}$$

$$M_{2\bar{Q}q}^{(*)} = m_{2\bar{Q}} + m_{2q} + B_{2\bar{Q}q}^{(*)}$$

$$\delta B^{(*)} = B_2^{(*)} - B_1^{(*)}$$

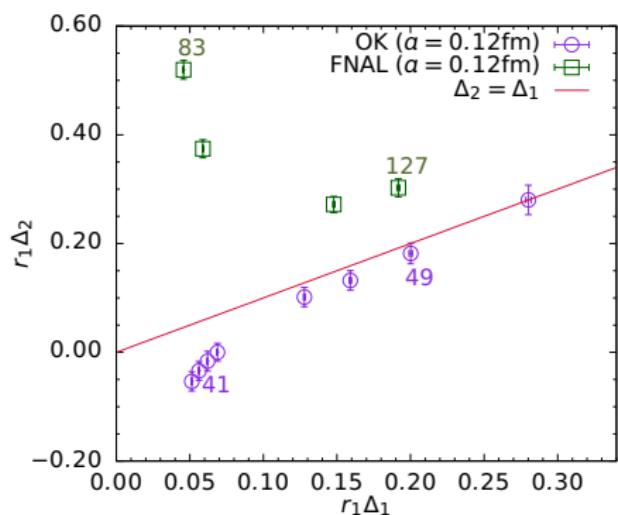
Then,

$$\Delta_2 = \Delta_1 + \delta B^* - \delta B$$

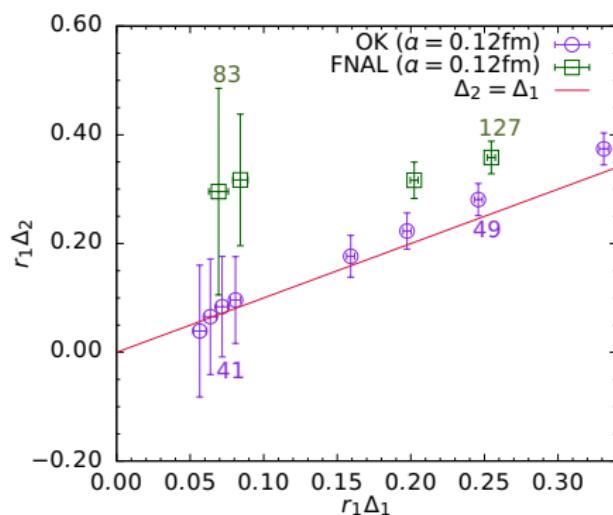
- The difference in hyperfine splittings  $\Delta_2 - \Delta_1$  also can be used to examine the improvement from  $\mathcal{O}(p^4)$  terms in the action.

Improvement Test: Hyperfine Splitting  $\Delta$ 

$$\Delta_2 = \Delta_1 + \delta B^* - \delta B$$



Quarkonium



Heavy-light

# $\kappa$ Tuning

# $N_f = 2 + 1 + 1$ MILC HISQ lattice

$a$ (fm)	Volume	$\hat{m}' / m'_s$	$M_\pi L$	$M_\pi$ (MeV)	$N_{\text{conf}}$
0.12	$24^3 \times 64$	1/5	4.54	305.3(4)	1040
	$24^3 \times 64$	1/10	3.22	218.1(4)	1020
	$32^3 \times 64$	1/10	4.29	216.9(2)	1000
	$40^3 \times 64$	1/10	5.36	217.0(2)	1028
	$48^3 \times 64$	1/27	3.88	131.7(1)	1000
0.09	$32^3 \times 96$	1/5	4.50	312.7(6)	1011
	$48^3 \times 96$	1/10	4.71	220.3(2)	1000
	$64^3 \times 96$	1/27	3.66	128.2(1)	1047
0.06	$48^3 \times 144$	1/5	4.51	319.3(5)	1016
	$64^3 \times 144$	1/10	4.30	229.2(4)	1246
	$96^3 \times 192$	1/27	3.69	135.5(2)	858
0.042	$64^3 \times 192$	1/5	4.35	309.3(9)	1133
	$144^3 \times 288$	1/27	4.17	134.2(2)	381
0.03	$96^3 \times 288$	1/5	4.84	308.7(1.2)	609

# $|V_{cb}|$ from the exclusive decay $\bar{B} \rightarrow D^* \ell \bar{\nu}$

$$\frac{d\Gamma}{dw}(\bar{B} \rightarrow D^* l \bar{\nu}) = \frac{G_F^2 |V_{cb}|^2 M_B^5}{4\pi^3} r^{*3} (1 - r^*)^2 (w^2 - 1)^{\frac{1}{2}} \eta_C |\eta_{EW}|^2 \chi(w) |\mathcal{F}(w)|^2$$

- $w = v_B \cdot v_{D^*}$ ,  $r^* = \frac{M_{D^*}}{M_B}$
- $\eta_C$ : Coulomb attraction,  $\eta_{EW} = 1.0066$ : the one-loop electroweak correction
- $\chi(w)$ : Phase-space factor
- $\mathcal{F}(w)$ : Form factor ( $\leftarrow$ LATTICE)

## Heavy quarks on the lattice: Fermilab method

The most updated version of  $|V_{cb}|$  calculation is done using the Fermilab action to control the  $c, b$  heavy quark discretization errors. It is generalized version of the Wilson clover action [El-Khadra, Kronfeld, and Mackenzie, PRD55, 3933 (1997)]

$$S_{\text{Fermilab}} = S_0 + S_E + S_B$$

$$S_0 = a^4 \sum_x \bar{\psi}(x) \left[ m_0 + \gamma_4 D_4 - \frac{a}{2} \Delta_4 + \zeta \left( \boldsymbol{\gamma} \cdot \boldsymbol{D} - \frac{r_s a}{2} \Delta^{(3)} \right) \right] \psi(x)$$

$$S_E = -\frac{1}{2} c_E \zeta a^5 \sum_x \bar{\psi}(x) \boldsymbol{\alpha} \cdot \boldsymbol{E} \psi(x), \quad S_B = -\frac{1}{2} c_B \zeta a^5 \sum_x \bar{\psi}(x) i \boldsymbol{\Sigma} \cdot \boldsymbol{B} \psi(x).$$

- The **Wilson term** breaks the chiral symmetry explicitly, and the mass gets additive renormalization. → We tune  $\kappa$  and  $\kappa_{\text{crit}}$  to the physical quark.

$$am_0 = \frac{1}{2u_0} \left( \frac{1}{\kappa} - \frac{1}{\kappa_{\text{crit}}} \right)$$

## Oktay-Kronfeld action

The OK action is an improved version of the Fermilab action such that the bilinear operators are tree-level matched to QCD through  $\mathcal{O}(\lambda^3)$  in HQET power counting where  $\lambda \sim a\Lambda \sim \Lambda/(2m_Q)$  [Oktay and Kronfeld, PRD78, 014504 (2008)]

$$S_{\text{OK}} = S_{\text{Fermilab}} + S_{\text{new}}$$

$$S_{\text{new}} = a^6 \sum_x \bar{\psi}(x) \left[ c_1 \sum_i \gamma_i D_i \Delta_i + c_2 \{\gamma \cdot \boldsymbol{D}, \Delta^{(3)}\} + c_3 \{\gamma \cdot \boldsymbol{D}, i \boldsymbol{\Sigma} \cdot \boldsymbol{B}\} \right.$$

$$\left. + c_{EE} \{\gamma_4 D_4, \boldsymbol{\alpha} \cdot \boldsymbol{E}\} + c_4 \sum_i \Delta_i^2 + c_5 \sum_{i \neq j} \{i \Sigma_i B_i, \Delta_j\} \right] \psi(x)$$

- The matching determines  $c_B$ ,  $c_E$ ,  $c_1, \dots, 5$  and  $c_{EE}$  as a function of  $m_0$ . We have a tree-level value for the  $\kappa_{\text{crit}}$

$$\kappa_{\text{crit}}^{\text{tree}} = [2u_0(1 + 3\zeta r_s + 18c_4)]^{-1} = 0.053850 \quad (\zeta = r_s = 1)$$

where  $u_0 = 0.86372$  for MILC HISQ lattice ( $a12m310$ ,  $24^3 \times 64$ )

# Fermilab method

We write non-relativistic dispersion relation,

$$E(\mathbf{p}) = M_1 + \frac{\mathbf{p}^2}{2M_2} - \frac{(\mathbf{p}^2)^2}{8M_4^3} - \frac{a^3 W_4}{6} \sum_i p_i^4 + \dots$$

- $M_1$ : rest mass
- $M_2$ : kinetic mass → Tuning to the physical mass
- $M_4$ : quartic mass
- $W_4$ : Lorentz symmetry breaking term

(Example) Tree-level relation between the bare quark mass  $m_0$  and the kinetic quark mass  $m_2$

$$\frac{1}{am_2} = \frac{2\zeta^2}{am_0(2+am_0)} + \frac{r_s\zeta}{1+am_0}$$

## Nonperturbative determination of $\kappa_{\text{crit}}$

- $M_2(\kappa, \kappa_{\text{crit}})$ : Light kinetic meson mass (600~950 MeV)
- $m_2(\kappa, \kappa_{\text{crit}})$ : kinetic quark mass

Let us suppose the meson mass relation

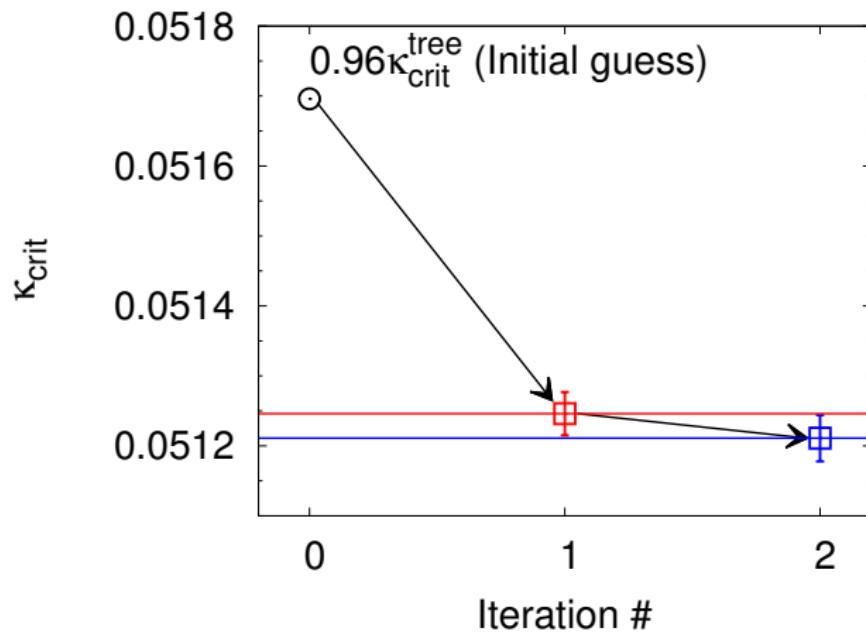
$$M_2^2 = A + Bm_2 + Cm_2^2.$$

The fitting using the true value of  $\kappa_{\text{crit}}$  will give  $A = 0$ . Note that the action depends on both  $\kappa$  and  $\kappa_{\text{crit}}$ . We determine  $\kappa_{\text{crit}}$  iteratively, as follows.

- 1 Start with an initial guess  $\kappa'_{\text{crit}} = 0.96\kappa_{\text{crit}}^{\text{tree}}$
- 2 Determine the OK action coefficients using  $\kappa'_{\text{crit}}$
- 3 Produce 2-pt pion correlators, and determine kinetic meson mass  $M_2(\kappa, \kappa'_{\text{crit}})$  using various  $\kappa$  in the range (600~950 MeV)
- 4 Find  $\kappa_{\text{crit}}$  such that fitting in terms of  $m_2(\kappa, \kappa_{\text{crit}})$  gives  $A = 0$ .
- 5 Update  $\kappa'_{\text{crit}} = \kappa_{\text{crit}}$  and go to the step 2.

Nonperturbative determination of  $\kappa_{\text{crit}}$ : result

$N_f = 2 + 1 + 1$  MILC HISQ ensemble (a12m310),  
 $N_{\text{conf}} = 130$ , point source



$$\kappa_{\text{crit}} = 0.051211(33)(4)$$

## κ tuning using $D_s$ and $B_s$ masses

- $M_2(\kappa, \kappa_{\text{crit}})$ : Heavy-light meson mass
- $m_2(\kappa, \kappa_{\text{crit}})$ : kinetic quark mass

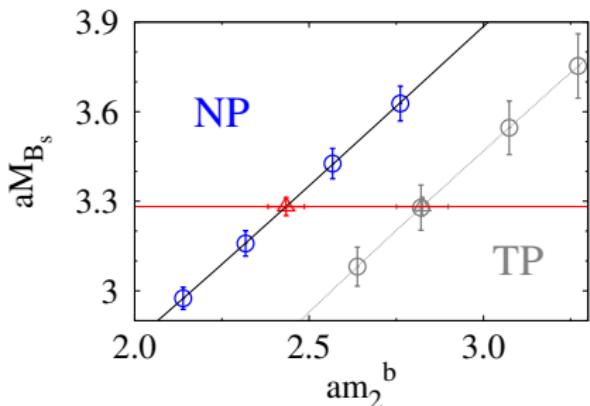
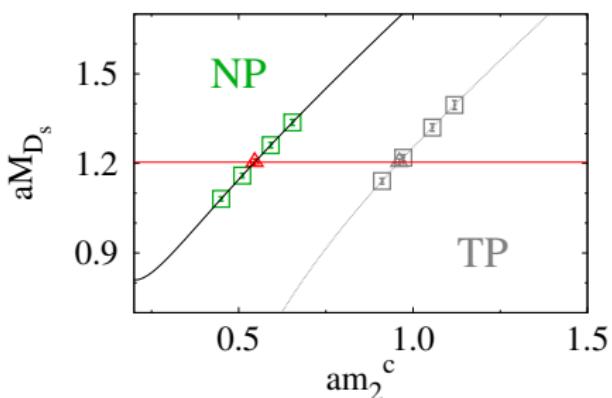
We use the HQET expansion of heavy-light meson masses  $M_2$  as a fitting function:

$$aM_2 = am_2 + d_0 + \frac{d_1}{am_2} + \frac{d_2}{(am_2)^2}.$$

- 1 Determine the OK action coefficients using charm and bottom type  $\kappa$  values with nonperturbative  $\kappa_{\text{crit}}$ .
- 2 Produce 2-pt  $B_s$ ,  $D_s$  correlators, and determine  $M_2(\kappa, \kappa_{\text{crit}})$
- 3 Determine the coefficients  $d_0$ ,  $d_1$  and  $d_2$  using least- $\chi^2$  fitting
- 4 Find  $m_2^{\text{tuned}}$  that gives the physical meson mass  $M^{\text{Phys}} = M_2(m_2^{\text{tuned}})$ .
- 5 obtain  $\kappa^{\text{tuned}}$  such that  $m_2^{\text{tuned}} = m_2(m_0^{\text{tuned}})$  and  $m_0^{\text{tuned}} = m_0(\kappa^{\text{tuned}}, \kappa_{\text{crit}})$ .

## $\kappa$ tuning using $D_s$ and $B_s$ masses: results

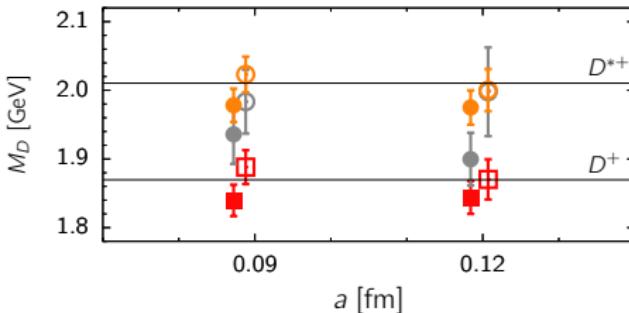
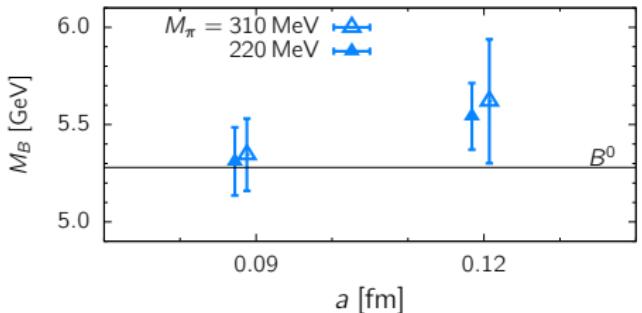
- $N_f = 2 + 1 + 1$  MILC HISQ ensemble (a12m310)
- HISQ propagators ( $am_s = 0.0509$ ) with point source
- OK propagators ( $\kappa_{\text{crit}} = 0.051211$  and  $\kappa_{\text{crit}}^{\text{tree}}$ ) with covariant Gaussian smearing.



$$\kappa_c = 0.048524(33)(43), \quad \kappa_b = 0.04102(14)(9)$$

# Meson Spectrum of $B$ and $D^{(*)}$

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} - \frac{(\mathbf{p}^2)^2}{8M_4^3} - \frac{a^3 w_4}{6} \sum_i p_i^4 + \dots$$



- Meson masses ( $M_B$ ,  $M_D$ ) can be obtained from the kinetic mass  $M_2$ .
- $M_{D^*}$  (gray) : kinetic mass ( $M_2$ ).
- $M_{D^*}$  (orange) :  $M(D^*) = M_2(D) + M_1(D^*) - M_1(D) \rightarrow$  smaller errors.

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