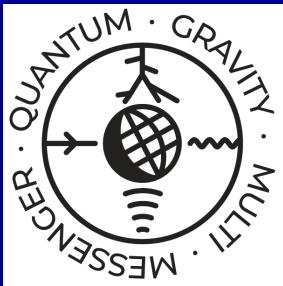


# A string-inspired running-vacuum-model of cosmology and the current tensions in cosmological data



KING'S  
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**CA18108 - Quantum gravity  
phenomenology in the multi-  
messenger approach**

## Workshop on the Standard Model and Beyond

August 28 - September 8, 2022

*EISA*  
*European Institute for Sciences and Their Applications*



**Dedicated to the memory of Prof. Costas Kounnas and Prof. Graham Ross**



# **0.** Outline

1. **Motivation**
2. **Topological invariants in Gravity theories**
3. **String-Inspired Gravitational Theory with Gravitational Anomalies & axions**
4. **Primordial Gravitational Waves (GW) induced Condensates of Anomalies, the role of supersymmetry**
5. **Running Vacuum Model (RVM) of Cosmology & inflation without external inflatons**
6. (a) **Post-inflationary Cosmic evolution**  
(b) **Modern-era phenomenology: deviations from  $\Lambda$ CDM and alleviation of cosmological data tensions?**

9. **Conclusions & Outlook**

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### Bonus features

7. Enhanced cosmic perturbations & densities of primordial black holes (PBH) & GW  
→ dark matter components: PBH, together with the torsion-induced axions
8. Spontaneous Lorentz and CPT-Violation by axion backgrounds & Leptogenesis in radiation era → Baryogenesis ;
9. Conclusions & Outlook

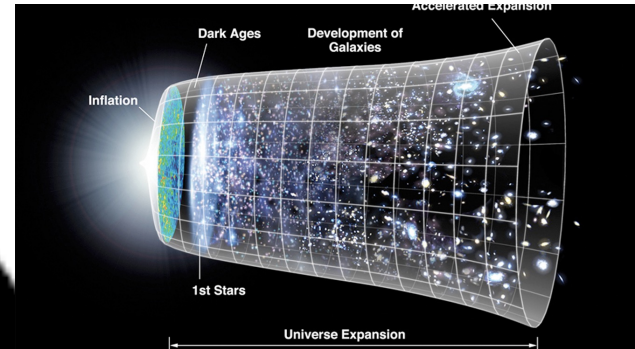
# **1. Motivation**

# Important (> last 20 yrs) Discoveries in Cosmology/Astronomy

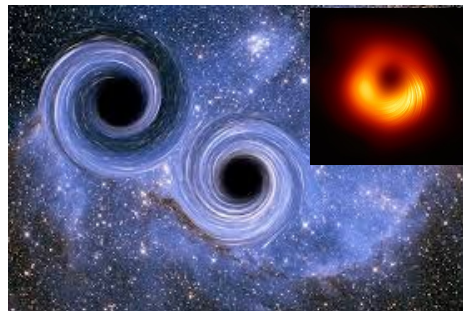
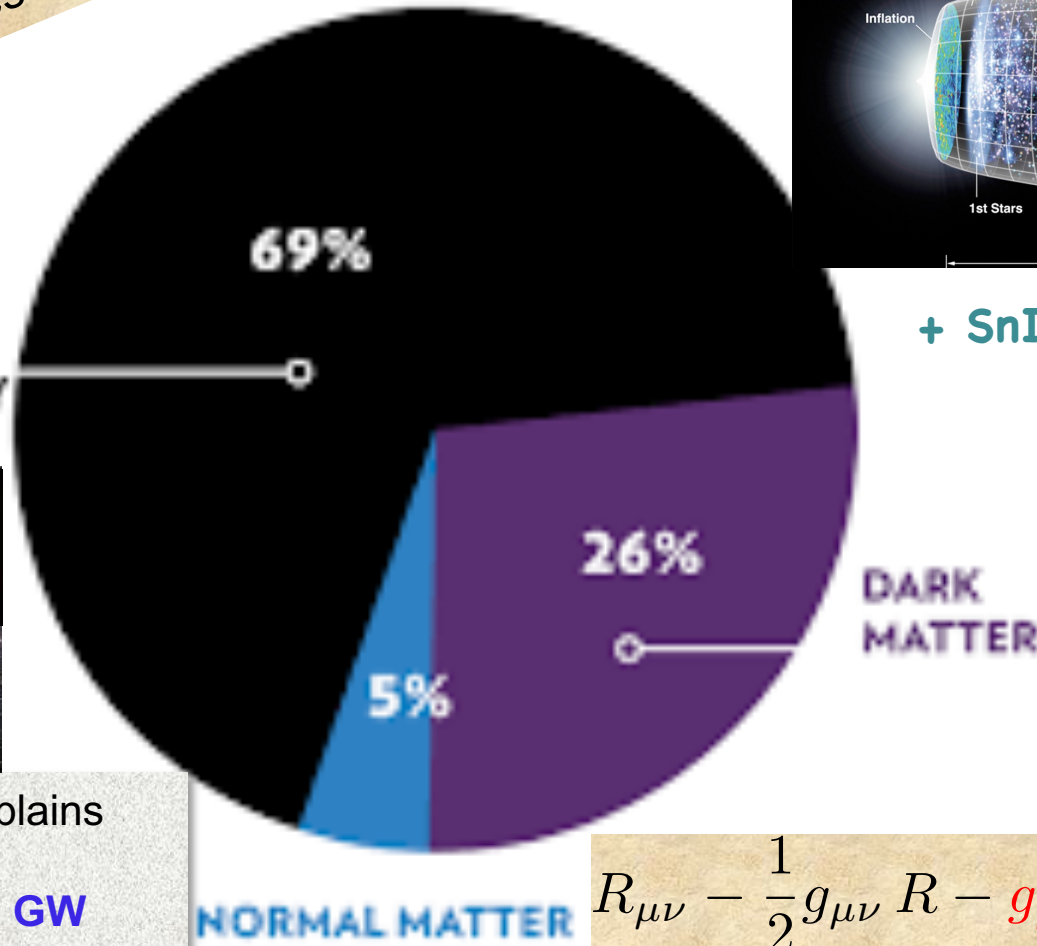
Simplest model based on  **$\Lambda$ CDM** works **OK** for **large** scales

## ENERGY DISTRIBUTION OF THE UNIVERSE

Planck2018 data



+ SnIa, BaO, Lensing



Also **Einstein's GR** explains **sufficiently well** **Black-Hole Mergers + GW** (since 2015 LIGO), **Black-Hole 'photographs'** (EHT),...

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu} R - g_{\mu\nu} \Lambda = 8\pi G T_{\mu\nu}$$

$$T_{\mu\nu} \ni \text{Cold Dark Matter}$$



# Important (> last 20 yrs) Discoveries in Cosmology/Astronomy

... 3 data

Sim  
on

**But...**

Need to go  
Beyond...

What still we do not know/**did not**  
observe:

Nature of Dark Energy

Nature of Dark matter

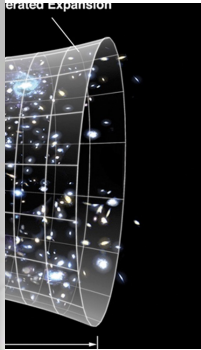
**Primordial Gravitational Waves**

(through detection of B-mode  
polarisation

in CMB from very early Universe)

**Microscopic models of Inflation**

(Is it due to fundamental inflatons or  
dynamical e.g. Starobinsky type? ...)



Lensing

$$8\pi G T_{\mu\nu}$$

Also I  
suffic  
Black  
(since  
Black

# Important (> last 20 yrs) Discoveries in Cosmology/Astronomy



$\Lambda$ CDM appears to be in tension with both, perturbative & non-perturbative string theory (& UV complete Theories of Quantum Gravity- swampland ?)

What still we

Nature of

Nature of

Primordial G

(through detection of B-mode polarisation

in CMB from very early Universe)

**Microscopic models of Inflation**

(Is it due to fundamental inflatons or dynamical e.g. Starobinsky type? ....)

But...

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Sim on



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$$8\pi G T_{\mu\nu}$$

# Important (> last 20 yrs) Discoveries in Cosmology/Astronomy



$\Lambda$ CDM appears to be in tension with local measurements of present-era  $H_0$  & also  $\sigma_8$  galaxy-growth data ?

What still we

Nature of

Nature of

Primordial G

(through detection of B-mode polarisation

in CMB from very early Universe)

**Microscopic models of Inflation**

(Is it due to fundamental inflatons or dynamical e.g. Starobinsky type? ....)

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Sim  
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**But...**

Need to go  
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## **2. Topological Invariants in Gravity theories**

## Topological invariants (total derivatives) in (3+1)-dimensional (curved) space-times

- Gauss Bonnet (quadratic-curvature) combination

$$\begin{aligned}
 R_{\text{GB}} &\equiv R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2 \\
 &= \nabla_{\mu} \mathcal{F}_{\text{GB}}^{\mu}
 \end{aligned}$$

- Chern-Simons – Hirzenbruch signature (Chiral Anomaly terms)

$$\begin{aligned}
 \text{CS} &\equiv R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \\
 &= \nabla_{\mu} \mathcal{K}_{\text{CS}}^{\mu}
 \end{aligned}$$

$$= 2 \partial_{\mu} \left[ \epsilon^{\mu\nu\alpha\beta} \omega_{\nu}^{ab} \left( \partial_{\alpha} \omega_{\beta ab} + \frac{2}{3} \omega_{\alpha a}^c \omega_{\beta cb} \right) - 2 \epsilon^{\mu\nu\alpha\beta} \left( A_{\nu}^i \partial_{\alpha} A_{\beta}^i + \frac{2}{3} f^{ijk} A_{\nu}^i A_{\alpha}^j A_{\beta}^k \right) \right]$$

$$\tilde{R}^{\mu\nu\rho\sigma} \equiv \frac{1}{2} \epsilon^{\mu\nu\lambda\pi} R_{\lambda\pi}{}^{\rho\sigma}, \quad \tilde{F}^{\mu\nu} \equiv \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$$

$$\epsilon_{\mu\nu\rho\sigma} = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma}, \quad \epsilon^{\mu\nu\rho\sigma} = \frac{\text{sgn}(g)}{\sqrt{-g}} \epsilon^{\mu\nu\rho\sigma} \quad *R^{\mu\nu\rho\sigma} \equiv \frac{1}{2} \epsilon^{\mu\nu\lambda\pi} R_{\lambda\pi}{}^{\rho\sigma}$$

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Spin connection Gauge fields

$$\tilde{R}^{\mu\nu\rho\sigma} \equiv \frac{1}{2} \epsilon^{\mu\nu\lambda\pi} R_{\lambda\pi}{}^{\rho\sigma}, \quad \tilde{F}^{\mu\nu} \equiv \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$$

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Flat Levi-Civita

$$*R^{\mu\nu\rho\sigma} \equiv \frac{1}{2} \epsilon^{\mu\nu\lambda\pi} R_{\lambda\pi}{}^{\rho\sigma}$$

# Topological invariants in string-effective gravity theories

- **Gauss Bonnet coupling to dilatons** (spin-0 scalars of string massless gravitational multiplet)

$$\mathcal{S} \ni \int d^4x \sqrt{-g} \frac{1}{8g_s^2} e^{-2\Phi} \left( R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2 \right)$$

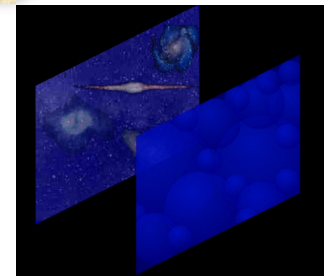
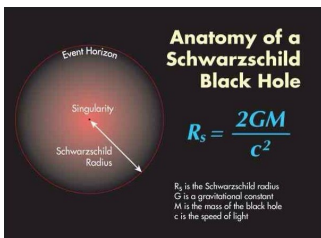


Kanti, NEM, Rizos,  
Tamvakis, Winstanley, ...  
Bakopoulos....

**Applications: (i) Dilaton scalar hair (secondary type) in Black Holes**

**(ii) String-Brane modified cosmologies**

Binetruy, Charnousis,  
Odintsov,....



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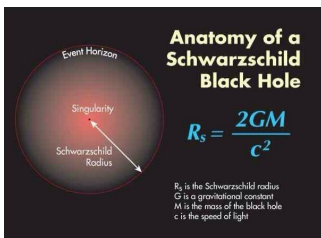
Kanti, NEM, Rizos,  
Tamvakis, Winstanley, ...  
Bakopoulos....

**Applications: (i) Dilaton scalar hair (secondary type) in Black Holes**

**Dilaton sources of BHs**

$$\square \Phi \propto e^{-2\Phi} \frac{M_{\text{Pl}}^{-2}}{g_s^2} R_{\text{GB}}$$

**Non trivial  
BH solutions**





## A Remark

- **Gauss Bonnet coupling to dilatons** (spin-0 scalars of string massless gravitational multiplet)

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$e^{-2\Phi} \approx 1 - 2\Phi,$   
 $|\Phi| \ll 1$

**Applications:** (i) Dilaton scalar hair (secondary type) in Black Holes

**NB:** weak (linear dilaton coupling  $\rightarrow$  belongs to **shift-symmetric scalar-GB**

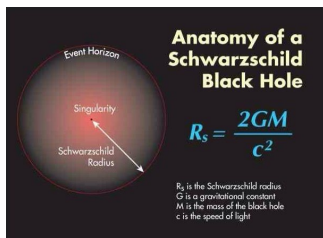
**Horndeski Theories**  $\Phi \rightarrow \Phi + c$  (evasion of no hair theorem similar to string case)

Sotiriou, Zhou....

# Topological invariants in string-effective gravity theories

- **Gauss Bonnet coupling to dilatons** (spin-0 scalars of string massless gravitational multiplet)

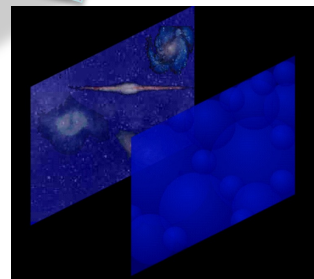
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**Applications:** (i) Dilaton scalar hair (secondary type) in Black Holes

(ii) String-Brane modified cosmologies

BH could be primordial



## Topological invariants in string-effective gravity theories

- **Chern-Simons coupling** to **axions** (pseudoscalars)  **$a(x)$**  (**string-model independent axions** (dual in (3+1)-dim to the field strength of Kalb-Ramond antisymmetric (spin-1) tensor field) as well as **axions from string compactification**)

$$\mathcal{S} \ni \int d^4x \sqrt{-g} \frac{1}{f_a} a(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - \mathbf{F}_{\mu\nu} \tilde{\mathbf{F}}^{\mu\nu} \right)$$



Jackiw, Pi, Yunes, Alexander, ....  
Chatzifotis, Dorlis, NEM,  
Papantonopoulos



**Applications:** (i) **Pseudoscalar (axion) hair** (secondary type) in Rotating (**Kerr-like**) Black Holes

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**Applications: (i) Pseudoscalar (axion) hair** (secondary type) in Rotating (**Kerr-like**) Black Holes

Axions source (via Chern-Simons coupling) rotating (spinning) black holes

$$\square a(x) \propto \frac{1}{f_a} \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$$

**Spinning BH solutions**



# Topological invariants in string-effective gravity theories

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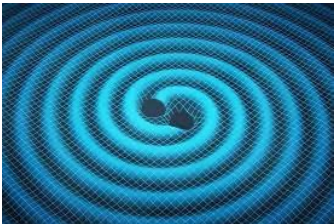
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Alexander, Peskin,  
Sheikh-Jabbari  
Lyth, Quimbay, Rodriguez



**Applications: (ii) Non-trivial Chern-Simons gravity terms if chiral gravitational waves are present**



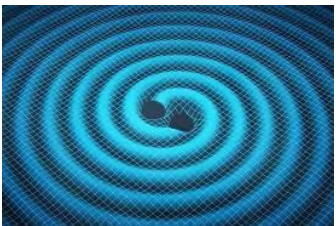
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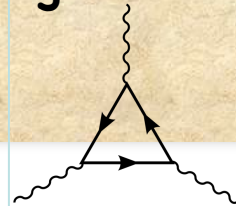
Non trivial if **chiral** gravitational Waves (GW) or **spinning** BH present (including primordial configurations.)



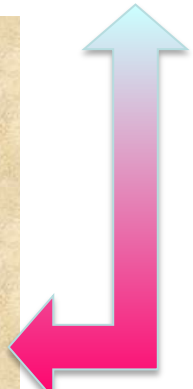
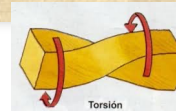
Applications: (ii) Non-trivial Chern-Simons gravity terms if chiral gravitational waves are present



(iii) String-inspired modified cosmologies with gravitational anomalies (& torsion)



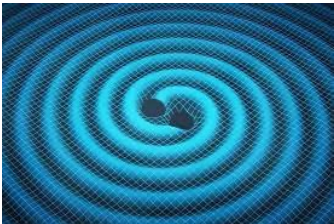
NEM, Solà, Basilakos



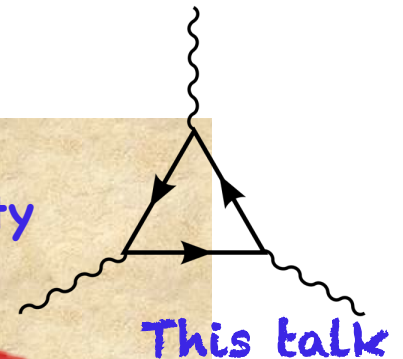
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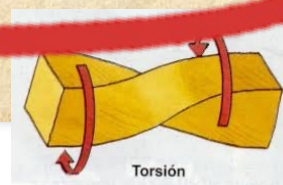
$$\mathcal{S} \ni \int d^4x \sqrt{-g} \frac{1}{f_a} a(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - \mathbf{F}_{\mu\nu} \tilde{\mathbf{F}}^{\mu\nu} \right)$$

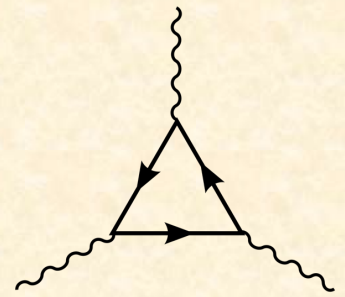


**Applications: (ii) Non-trivial Chern-Simons gravity terms if chiral gravitational waves are present**

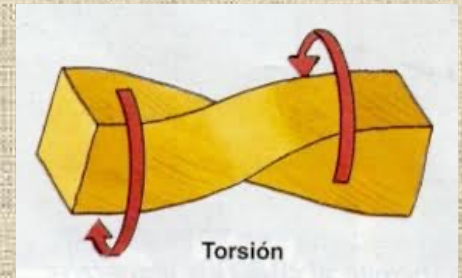


**(iii) String-inspired modified cosmologies with gravitational anomalies (& torsion)**





# 3. String-Inspired Gravitational Theory with Torsion & Grav. Anomalies, axions and torsion







**KALB-RAMOND FIELD**

Massless Gravitational multiplet of (closed) strings:

spin 0 scalar (dilaton  $\Phi$ )

spin 2 traceless symmetric rank 2

tensor (graviton  $g_{\mu\nu}$ )

spin 1 antisymmetric rank 2 tensor

$$B_{\mu\nu} = -B_{\nu\mu}$$



Massless Gravitational multiplet of (closed) strings:

spin 0 scalar (dilaton  $\Phi$ )

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tensor (graviton  $g_{\mu\nu}$ )

spin 1 antisymmetric rank 2 tensor

**KALB-RAMOND FIELD**

$$B_{\mu\nu} = -B_{\nu\mu}$$

U(1) - symmetry :  $B_{\mu\nu} \rightarrow B_{\mu\nu} + \partial_{[\mu}\theta(x)_{\nu]}$

4-DIM action

$$S_B = \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} [-R + 2 \partial_\mu \Phi \partial^\mu \Phi] - \frac{1}{6\kappa^2} e^{-4\Phi} H_{\lambda\mu\nu} H^{\lambda\mu\nu} + \dots \right)$$

$\kappa^2 = 8\pi G$

Green, Schwarz

String Anomaly Cancellation requires modification in definition of  $H_{\mu\nu\rho}$

$$H_{\mu\nu\rho} = \partial_{[\mu} B_{\nu\rho]}$$



$$H = dB + \frac{\alpha'}{8\kappa} (\Omega_{3L} - \Omega_{3Y})$$

$$\Omega_{3L} = \omega_c^a \wedge d\omega_a^c + \frac{2}{3} \omega_c^a \wedge \omega_d^c \wedge \omega_a^d, \quad \Omega_{3Y} = A \wedge dA + A \wedge A \wedge A,$$



Massless Gravitational multiplet of (closed) strings:

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- spin 2 traceless symmetric rank 2 tensor (graviton  $g_{\mu\nu}$ )
- spin 1 antisymmetric rank 2 tensor

**KALB-RAMOND FIELD**  $B_{\mu\nu} = -B_{\nu\mu}$

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$$\bar{R}(\bar{\Gamma})$$

generalised curvature

$\Phi = \text{constant}$  throughout

No Gauss Bonnet combination

$$\bar{\Gamma}_{\nu\rho}^\mu = \Gamma_{\nu\rho}^\mu + \frac{\kappa}{\sqrt{3}} H_{\nu\rho}^\mu \neq \bar{\Gamma}_{\rho\nu}^\mu$$

**Contorsion**



Stringy  
gravitational  
Axions  
+  
torsion

Massless Gravitational  
multiplet of (closed) strings:

- spin 0 scalar (dilaton  $\Phi$ )
- spin 2 traceless symmetric rank 2 tensor (graviton  $g_{\mu\nu}$ )
- spin 1 antisymmetric rank 2 tensor

**KALB-RAMOND FIELD**

$$B_{\mu\nu} = -B_{\nu\mu}$$

4-DIM  
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$$S_B = \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} [-R + 2\partial_\mu\Phi\partial^\mu\Phi] - \frac{1}{6\kappa^2} e^{-4\Phi} H_{\lambda\mu\nu}H^{\lambda\mu\nu} + \dots \right)$$

quantum  
torsion  $\rightarrow$   
gravitational  
axion  $b$   
"dual" to  
 $H$  torsion

$$\bar{R}(\bar{\Gamma})$$

$b(x)$  = Lagrange multiplier  
implementing  
**Bianchi identity  
constraint** for  $H_{\mu\nu\rho}$ :

$$d \star H \propto c_1 R \wedge \tilde{R} - F \wedge \tilde{F}$$

$$H_{\nu\rho}^\mu \neq \bar{\Gamma}_{\rho\nu}^\mu$$

**torsion**



# Effective Actions & Anomaly Cancellation – Addition of Counterterms

Green, Schwarz

$$S_B = \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} [-R + 2 \partial_\mu \Phi \partial^\mu \Phi] - \frac{1}{6\kappa^2} e^{-4\Phi} H_{\lambda\mu\nu} H^{\lambda\mu\nu} + \dots \right)$$

String Anomaly Cancellation requires modification in definition of  $H_{\mu\nu\rho}$

$$H_{\mu\nu\rho} = \partial_{[\mu} B_{\nu\rho]} \quad \longrightarrow \quad \mathcal{H} = \mathbf{dB} + \frac{\alpha'}{8\kappa} \left( \Omega_{3L} - \Omega_{3Y} \right)$$

$$\Omega_{3L} = \omega_c^a \wedge \mathbf{d}\omega_a^c + \frac{2}{3} \omega_c^a \wedge \omega_d^c \wedge \omega_a^d, \quad \Omega_{3Y} = \mathbf{A} \wedge \mathbf{dA} + \mathbf{A} \wedge \mathbf{A} \wedge \mathbf{A},$$

Modified Bianchi Constraint

$$\varepsilon_{abc}{}^\mu \nabla_\mu H^{abc} = \frac{\alpha'}{32} \sqrt{-g} \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) \equiv \sqrt{-g} \mathcal{G}(\omega, \mathbf{A}) \neq 0$$

Implement in path-integral as a field theory  $\delta(\dots)$  via  
Lagrange multiplier  $\mathbf{b}(x)$  pseudoscalar (axion-like) field  
(Kalb-Ramond (KR) Axion) becomes dynamical after H-torsion integration

# Effective Actions & Anomaly Cancellation – Addition of Counterterms

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String Anomaly Cancellation requires modification in definition of  $H_{\mu\nu\rho}$

$$H_{\mu\nu\rho} = \partial_{[\mu} B_{\nu\rho]} \quad \longrightarrow \quad \mathcal{H} = \mathbf{dB} + \frac{\alpha'}{8\kappa} \left( \Omega_{3L} - \Omega_{3Y} \right)$$

$$\Omega_{3L} = \omega_c^a \wedge \mathbf{d}\omega_c^a + \frac{2}{3} \omega_c^a \wedge \omega_d^c \wedge \omega_a^d, \quad \Omega_{3Y} = \mathbf{A} \wedge \mathbf{dA} + \mathbf{A} \wedge \mathbf{A} \wedge \mathbf{A},$$

Modified Bianchi Constraint

$$\varepsilon_{abc}{}^\mu \nabla_\mu H^{abc} = \frac{\alpha'}{32} \sqrt{-g} \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) \equiv \sqrt{-g} \mathcal{G}(\omega, \mathbf{A}) \neq 0$$

Implement in path-integral as a field theory  $\delta(\dots)$  via  
 Lagrange multiplier  $\mathbf{b}(x)$  pseudoscalar (axion-like) field  
 (Kalb-Ramond (KR) Axion) becomes dynamical after H-torsion integration

$$\Pi_x \delta\left(\varepsilon^{\mu\nu\rho\sigma} \mathcal{H}_{\nu\rho\sigma}(x)_{;\mu} - \mathcal{G}(\omega, \mathbf{A})\right) = \int D\mathbf{b} \exp\left[i \int d^4x \sqrt{-g} \frac{1}{\sqrt{3}} b(x) \left(\mathcal{H}_{\nu\rho\sigma}(x)_{;\mu} \varepsilon^{\mu\nu\rho\sigma} - \mathcal{G}(\omega, \mathbf{A})\right)\right] =$$

$$\int D\mathbf{b} \exp\left[-i \int d^4x \sqrt{-g} \left(\partial^\mu b(x) \frac{1}{\sqrt{3}} \varepsilon_{\mu\nu\rho\sigma} \mathcal{H}^{\nu\rho\sigma} + \frac{b(x)}{\sqrt{3}} \mathcal{G}(\omega, \mathbf{A})\right)\right]$$

$$\mathcal{Z} = \int DH D\mathbf{b} \exp(-H \wedge *H + c_1 b(dH - \mathcal{G}) + \dots)$$



**Effective action  
after H-torsion (exact  
path-integration)**

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

**KR-axion anomalous  
CP-Violating interaction**

## Fermions and (generic) Torsion

Dirac Lagrangian (for concreteness, it can be extended to Majorana neutrinos)

$$\mathcal{L} = \sqrt{-g} (i \bar{\psi} \gamma^a D_a \psi - m \bar{\psi} \psi)$$

$$\gamma^a \gamma^b \gamma^c = \eta^{ab} \gamma^c + \eta^{bc} \gamma^a - \eta^{ac} \gamma^b - i \epsilon^{dabc} \gamma_d \gamma^5$$

$$D_a = \left( \partial_a - \frac{i}{4} \omega_{bca} \sigma^{bc} \right),$$

$$g_{\mu\nu} = e_\mu^a \eta_{ab} e_\nu^b$$

$$\omega_{bca} = e_{b\lambda} (\partial_a e_c^\lambda + \Gamma_{\gamma\mu}^\lambda e_c^\gamma e_a^\mu).$$

Gravitational covariant derivative including spin connection

$$\sigma^{ab} = \frac{i}{2} [\gamma^a, \gamma^b]$$

$$\mathcal{L} = \mathcal{L}_f + \mathcal{L}_I = \sqrt{-g} \bar{\psi} [(i \gamma^a \partial_a - m) + \gamma^a \gamma^5 B_a] \psi,$$

$$B^d = \epsilon^{abcd} e_{b\lambda} (\partial_a e_c^\lambda - \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu)$$

If **torsion** then  $\Gamma_{\mu\nu} \neq \Gamma_{\nu\mu}$   
**antisymmetric** part is the contorsion tensor, contributes





## FERMIONS COUPLE TO H-TORSION VIA GRAVITATIONAL COVARIANT DERIVATIVE

$$S_\psi = \frac{i}{2} \int d^4x \sqrt{-g} \left( \bar{\psi} \gamma^\mu \bar{\mathcal{D}}_\mu \psi - (\bar{\mathcal{D}}_\mu \bar{\psi}) \gamma^\mu \psi \right)$$

TORSIONFUL CONNECTION, FIRST-ORDER FORMALISM

$$\bar{\mathcal{D}}_a = \partial_a - \frac{i}{4} \bar{\omega}_{bca} \sigma^{bc}$$

$$\bar{\omega}_{ab\mu} = \omega_{ab\mu} + K_{ab\mu}$$

**contorsion**

$$K_{abc} = \frac{1}{2} \left( T_{cab} - T_{abc} - T_{bca} \right)$$

## FERMIONS COUPLE TO H-TORSION VIA GRAVITATIONAL COVARIANT DERIVATIVE

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**contorsion**

$$\sigma^{ab} = \frac{i}{2} [\gamma^a, \gamma^b]$$

$$K_{abc} = \frac{1}{2} (T_{cab} - T_{abc} - T_{bca})$$

$$\gamma^a \gamma^b \gamma^c =$$

$$\eta^{ab} \gamma^c + \eta^{bc} \gamma^a - \eta^{ac} \gamma^b - i \epsilon^{dabc} \gamma_d \gamma^5$$

## FERMIONS COUPLE TO H-TORSION VIA GRAVITATIONAL COVARIANT DERIVATIVE

$$S_\psi = \frac{i}{2} \int d^4x \sqrt{-g} \left( \bar{\psi} \gamma^\mu \bar{\mathcal{D}}_\mu \psi - \left( \bar{\mathcal{D}}_\mu \bar{\psi} \right) \gamma^\mu \psi \right)$$

TORSIONFUL CONNECTION, FIRST-ORDER FORMALISM

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**contorsion**

$$K_{abc} = \frac{1}{2} \left( T_{cab} - T_{abc} - T_{bca} \right)$$

$$H_{cab}$$

Non-trivial contributions to  $B^\mu$

$$B^d = \epsilon^{abcd} e_{b\lambda} \left( \partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu \right)$$

$$\bar{\Gamma}_{\nu\rho}^\mu = \Gamma_{\nu\rho}^\mu + \frac{\kappa}{\sqrt{3}} H_{\nu\rho}^\mu \neq \bar{\Gamma}_{\rho\nu}^\mu$$

# FERMIONS COUPLE TO H-TORSION VIA GRAVITATIONAL COVARIANT DERIVATIVE

$$S_\psi = \frac{i}{2} \int d^4x \sqrt{-g} \left( \bar{\psi} \gamma^\mu \bar{\mathcal{D}}_\mu \psi - (\bar{\mathcal{D}}_\mu \bar{\psi}) \gamma^\mu \psi \right)$$

TORSIONFUL CONNECTION, FIRST-ORDER FORMALISM

$$S_\psi \ni \int d^4x B_a \bar{\psi} \gamma^a \gamma^5 \psi$$

$$\bar{\omega}_{ab\mu} = \omega_{ab\mu} + K_{ab\mu}$$

contorsion

$$B^d \sim \epsilon^{abcd} H_{bca}$$

$$K_{abc} = \frac{1}{2} \left( T_{cab} - T_{abc} - T_{bca} \right)$$

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## TORSIONFUL CONNECTION

$$S_\psi \ni \int d^4x B_a \bar{\psi} \gamma^a \gamma^5 \psi$$



$$B^d \sim \epsilon^{abcd} H_{bca}$$



$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

$b(x)$  = KR (gravitational) axion

Non-trivial contributions to  $B^\mu$

$$B^d = \epsilon^{abcd} e_{b\lambda} \left( \partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu \right)$$

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# FERMIONS COUPLE TO H-TORSION VIA GRAVITATIONAL COVARIANT DERIVATIVE

$$S_\psi = \frac{i}{2} \int d^4x \sqrt{-g} \left( \bar{\psi} \gamma^\mu \bar{\mathcal{D}}_\mu \psi - (\bar{\mathcal{D}}_\mu \bar{\psi}) \gamma^\mu \psi \right)$$

**TORSIONFUL CONNECTION**  $\rightarrow$  **AXION-LIKE CP-VIOLATING INTERACTION**

$$S_\psi \ni \int d^4x B_a \bar{\psi} \gamma^a \gamma^5 \psi$$

$$- \int d^4x \sqrt{-g} \partial_\alpha b \left( \bar{\psi} \gamma^\alpha \gamma^5 \psi \right)$$

Universal (gravitational) Coupling

$$B^d \sim \epsilon^{abcd} H_{bca}$$

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

$b(x)$  = KR (gravitational) axion

Non-trivial contributions to  $B^\mu$

$$B^d = \epsilon^{abcd} e_{b\lambda} \left( \partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu \right)$$

$$\bar{\Gamma}_{\nu\rho}^\mu = \Gamma_{\nu\rho}^\mu + \frac{\kappa}{\sqrt{3}} H_{\nu\rho}^\mu \neq \bar{\Gamma}_{\rho\nu}^\mu$$

## Inclusion of Fermions

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

$$+ S_{\text{Dirac}}^{\text{Free}} + \int d^4x \sqrt{-g} \left( \mathcal{F}_\mu + \frac{\kappa}{2} \sqrt{\frac{3}{2}} \partial_\mu b \right) J^{5\mu} - \frac{3\kappa^2}{16} \int d^4x \sqrt{-g} J_\mu^5 J^{5\mu} + \dots \Big] + \dots$$

or Majorana

$$\mathcal{F}^d = \varepsilon^{abcd} e_{b\lambda} \partial_a e_c^\lambda, \quad \text{vielbeins}$$

KR-axion anomalous  
CP-Violating interaction

$$J^{5\mu} = \bar{\psi}_j \gamma^\mu \gamma^5 \psi_j \quad \text{Axial Current}$$

All fermion species

torsion

cf. classically in 4 dim:  
(duality relationship)

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

## Inclusion of Fermions

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

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**Axial Current**

**All fermion species**

KR-axion anomalous  
CP-Violating interaction

torsion

cf. classically in 4 dim:  
(duality relationship)

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$



NB: Anomalies:  
(CHIRAL)

Classically conserved current  
AXIAL FERMION CURRENT  $J^{\mu 5}$   
CEASES to be conserved @ a  
quantum level

CHIRAL FERMIONS  
IN LOOP:



$$\nabla_{\mu} J^{\mu 5} \propto c_1 R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$c_i \in \mathbb{R}$

$$J^{\mu 5} \equiv \bar{\Psi}_j \gamma^{\mu} \gamma^5 \Psi_j, \quad j = 1 \dots N$$

SPECIES

chiral fermion

$$\tilde{F}_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} F^{\rho\sigma}$$

$$\tilde{R}_{\mu\nu\rho\sigma} = \frac{1}{2} \epsilon_{\mu\nu\alpha\beta} R^{\alpha\beta}{}_{\rho\sigma}$$

$\gamma^5 \Psi_j = \mp \Psi_j$   
(LEFT OR RIGHT HANDED)

## Inclusion of Fermions

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \kappa}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

$$+ S_{\text{Dirac}}^{\text{Free}} + \int d^4x \sqrt{-g} \left( \mathcal{F}_\mu + \frac{\kappa}{2} \sqrt{\frac{5}{2}} \partial_\mu b \right) J^{5\mu} - \frac{3\kappa^2}{16} \int d^4x \sqrt{-g} J_\mu^5 J^{5\mu} + \dots \right] + \dots$$

or Majorana

$$\mathcal{F}^d = \varepsilon^{abcd} e_{b\lambda} \partial_a e_c^\lambda, \quad \text{vielbeins}$$

KR-axion anomalous  
CP-Violating interaction

Axial Current  
All fermion species

torsion

cf. classically in 4 dim:  
(duality relationship)

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

## Inclusion of Fermions

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

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or Majorana

$$\mathcal{F}^d = \varepsilon^{abcd} e_{b\lambda} \partial_a e_c^\lambda, \quad \text{vielbeins}$$

$$J^{5\mu} = \bar{\psi}_j \gamma^\mu \gamma^5 \psi_j \quad \text{Axial Current}$$

All fermion species

4-fermion contact interaction  
characteristic of  
(integrating out) torsion

torsion

cf. classically in 4 dim:  
(duality relationship)

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

## Inclusion of Fermions

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

$$+ \frac{\kappa}{2} \int d^4x \sqrt{-g} \left( \mathcal{F}_\mu + \frac{\kappa}{2} \sqrt{\frac{3}{2}} \partial_\mu b \right) J^{5\mu} - \frac{3\kappa^2}{16} \int d^4x \sqrt{-g} J_\mu^5 J^{5\mu} + \dots \Big] + \dots$$

for Majorana

$$\mathcal{F}^d = \varepsilon^{abcd} e_{b\lambda} \partial_a e_c^\lambda$$

triplets of helbeins

**Vanishes** for Friedmann-Lemaitre-Roberston-Walker backgrounds

torsion

cf. classically in 4 dim:  
(duality relationship)

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

## Inclusion of Fermions

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

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for Majorana

$$\mathcal{F}^d = \varepsilon^{abcd} e_{b\lambda} \partial_a e_c^\lambda$$

for Majorana Dirac-Majorana

**Vanishes** for Friedmann-Lemaitre-Roberston-Walker backgrounds

Kalb-Ramond (KR) or string-model independent ("gravitational") axion

torsion

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

cf. classically in 4 dim:  
(duality relationship)

# The Model

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

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or Majorana

$$J^{5\mu} = \bar{\psi}_j \gamma^\mu \gamma^5 \psi_j$$

All fermion species

# The Model

Anomaly terms

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{2\alpha'}{96\kappa\sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + \dots \right]$$

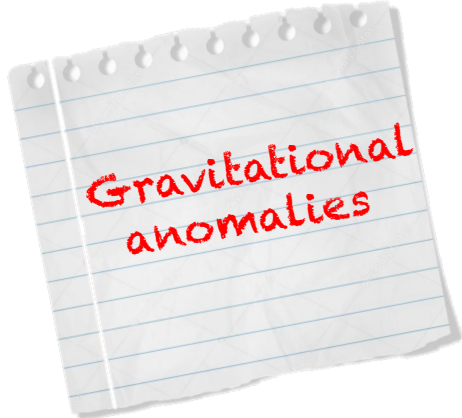
$$+ S_{\text{Dirac}}^{\text{Free}} + \int d^4x \sqrt{-g} \left( \frac{\kappa}{2} \sqrt{\frac{3}{2}} \partial_\mu b \right) J^{5\mu} - \frac{3\kappa^2}{16} \int d^4x \sqrt{-g} J_\mu^5 J^{5\mu} + \dots \Big] + \dots$$

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$$J^{5\mu} = \bar{\psi}_j \gamma^\mu \gamma^5 \psi_j$$

All fermion species

# Gravitational Anomalies & Diffeomorphism Invariance



$$\int d^4x \sqrt{-g} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} - F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$$

Spoils conservation of stress tensor (diffeomorphism invariance affected in quantum theory)

Topological, does NOT contribute to stress tensor

$$\delta \left[ \int d^4x \sqrt{-g} b R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \right] = 4 \int d^4x \sqrt{-g} C^{\mu\nu} \delta g_{\mu\nu} = -4 \int d^4x \sqrt{-g} C_{\mu\nu} \delta g^{\mu\nu}$$

## Cotton tensor

$$C^{\mu\nu} = -\frac{1}{2} \left[ v_\sigma \left( \epsilon^{\sigma\mu\alpha\beta} R^\nu_{\beta;\alpha} + \epsilon^{\sigma\nu\alpha\beta} R^\mu_{\beta;\alpha} \right) + v_{\sigma\tau} \left( \tilde{R}^{\tau\mu\sigma\nu} + \tilde{R}^{\tau\nu\sigma\mu} \right) \right] = -\frac{1}{2} \left[ \left( v_\sigma \tilde{R}^{\lambda\mu\sigma\nu} \right)_{;\lambda} + (\mu \leftrightarrow \nu) \right]$$

$$v_\sigma \equiv \partial_\sigma b = b_{;\sigma}, \quad v_{\sigma\tau} \equiv v_{\tau;\sigma} = b_{;\tau;\sigma}$$

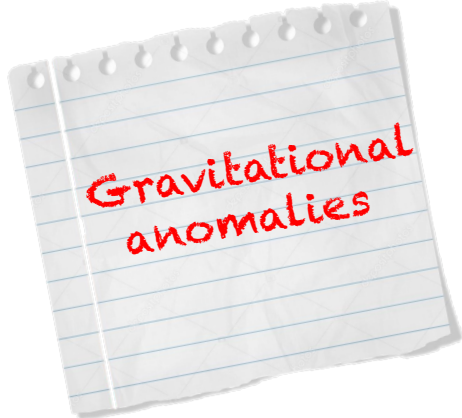
Traceless

$$g_{\mu\nu} C^{\mu\nu} = 0$$

Jackiw, Pi (2003)



# Gravitational Anomalies & Diffeomorphism Invariance



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$$v_\sigma \equiv \partial_\sigma b = b_{;\sigma}, \quad v_{\sigma\tau} \equiv v_{\tau;\sigma} = b_{;\tau;\sigma}$$

Traceless

$$g_{\mu\nu} C^{\mu\nu} = 0$$

not necessarily positive contributions to vacuum energy 

# Gravitational Anomalies & Diffeomorphism Invariance

Einstein's equation

$$R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R - \mathcal{C}^{\mu\nu} = \kappa^2 T_{\text{matter}}^{\mu\nu}$$

$$\mathcal{C}^{\mu\nu}_{;\mu} = -\frac{1}{8} v^\nu R^{\alpha\beta\gamma\delta} \tilde{R}_{\alpha\beta\gamma\delta}$$

$$v_\sigma \equiv \partial_\sigma b$$



$$\kappa^2 T_{\text{matter}}^{\mu\nu}_{;\mu} = -\mathcal{C}^{\mu\nu}_{;\mu} \neq 0$$

**Diffeomorphism invariance breaking by gravitational anomalies?**

# Gravitational Anomalies & Diffeomorphism Invariance

Einstein's equation

$$R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R - C^{\mu\nu} = \kappa^2 T_{\text{matter}}^{\mu\nu}$$

$$C^{\mu\nu}_{;\mu} = -\frac{1}{8} v^\nu R^{\alpha\beta\gamma\delta} \tilde{R}_{\alpha\beta\gamma\delta}$$

$$v_\sigma \equiv \partial_\sigma b$$



$$\kappa^2 T_{\text{matter}}^{\mu\nu}_{;\mu} + C^{\mu\nu}_{;\mu} = 0$$

No problem with diffeo



**Conserved Modified stress-energy tensor**

**4. Primordial Gravitational  
Waves, Anomaly condensates  
– The role of  
Supersymmetry**

**The Model in Early Universe:**  
**only gravitational d.o.f. ( $b, g_{\mu\nu}$ )**

Basilakos, NEM,  
Solà (2019-20)

$$\begin{aligned} S_B^{\text{eff}} &= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} + \dots \right] \\ &= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \partial_\mu b(x) \mathcal{K}^\mu + \dots \right], \end{aligned}$$

**The Model in Early Universe:  
only gravitational d.o.f. ( $b, g_{\mu\nu}$ )**

Basilakos, NEM,  
Solà (2019-20)

**NB:**

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} b(x) \cancel{R_{\mu\nu\rho\sigma}} \cancel{R^{\mu\nu\rho\sigma}} + \dots \right]$$

absent before  
formation of GW

$$= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \partial_\mu b(x) \cancel{R^{\mu\nu}} + \dots \right],$$

No potential for KR axion before generation of GW

→ stiff-matter, equation of state  $w=+1$

→ stiff-axion-matter dominance  
during very early (pre-inflationary)  
Universe

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}$ )

Basilakos, NEM,  
Solà (2019-20)

**NB:**

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} b(x) R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + \dots \right]$$

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No potential for KR axion before generation of GW

→ stiff-matter, equation of state  $w=+1$

→ stiff-axion-matter dominance  
during very early (pre-inflationary)  
Universe

c.f. Zeldovich  
but for baryons  
in his model;  
cf. also Chavanis

**The Model in Early Universe:**  
**only gravitational d.o.f. ( $b, g_{\mu\nu}$ )**

Basilakos, NEM,  
Solà (2019-20)

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**Primordial Gravitational Waves**  
**Potential Origins in pre-inflationary era?**

NEM, Solà  
EPJ-ST  
(2020)



# The Model in Early Universe: only gravitational d.o.f. ( $b$ , $g_{\mu\nu}$ , $\psi_\mu$ )

Basilakos, NEM,  
Solà (2019-20)

$$\begin{aligned} S_B^{\text{eff}} &= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} + \dots \right] \\ &= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \partial_\mu b(x) \mathcal{K}^\mu + \dots \right], \end{aligned}$$

## Primordial Gravitational Waves

### Potential Origins in pre-inflationary era?

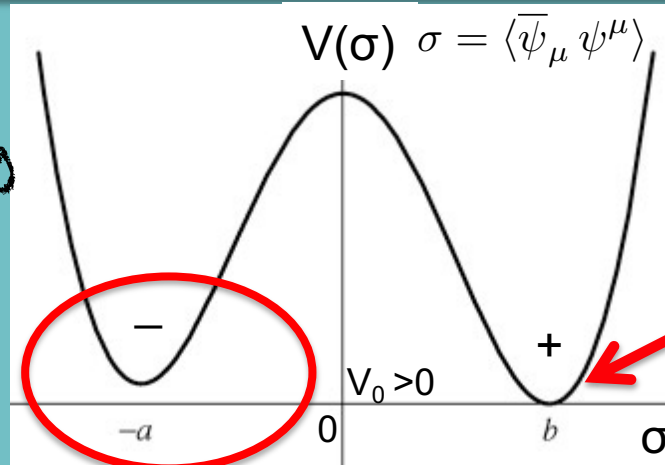
Collapse/collisions of Domain walls formed in theories with (approximate) discrete symmetry breaking, e.g. via bias in double-well potentials of some condensate (gravitino  $\psi_\mu$  or gaugino)

NEM, Solà  
EPJ-ST  
(2020)

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}, \Psi_\mu$ )

Basilakos, NEM,  
Solà (2019-20)

Role of (Local)  
Supersymmetry



SUGRA broken dynamically  
gravitino  
Condensate  
stabilised →  
RVM GW-induced Inflation

Statistical bias (percolation) in  
occupation probabilities of the +, - vacua

Lalak, Ovrut,  
Lola, G. Ross,  
Thomas

## Primordial Gravitational Waves

### Potential Origins in pre-inflationary era?

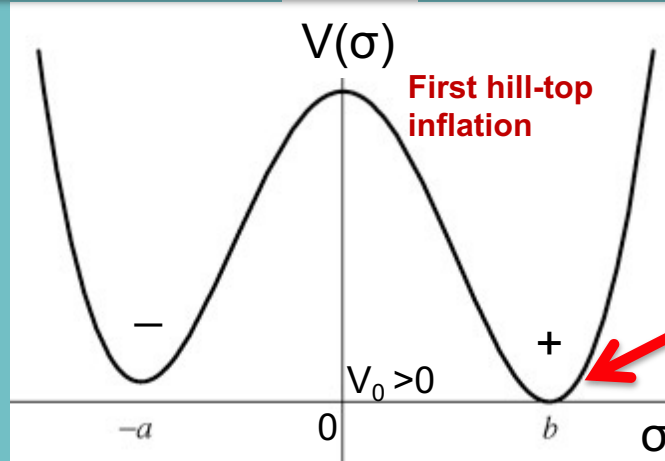
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NEM, Solà  
EPJ-ST  
(2020)

Ellis, NEM,  
Alexandre,  
Houston

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}, \Psi_\mu$ )

Basilakos, NEM,  
Solà (2019-20)



SUGRA broken dynamically  
gravitino  
Condensate  
stabilised  $\rightarrow$   
RVM GW-induced Inflation

**Pre-RVM inflationary phase:** superstring/supergravity  
Effective action  $\rightarrow$  **Imaginary parts**  $\rightarrow$  **instabilities**

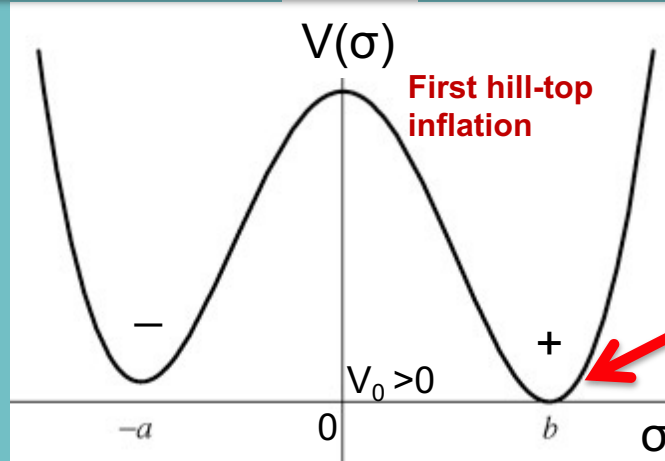
**First Hill-top inflation** = finite life -time  $\rightarrow$   
System **tunnels** to **RVM inflationary vacuum (GW condense)**

NEM, Solà  
EPJ-ST  
(2020)

Ellis, NEM,  
Alexandre,  
Houston

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}, \Psi_\mu$ )

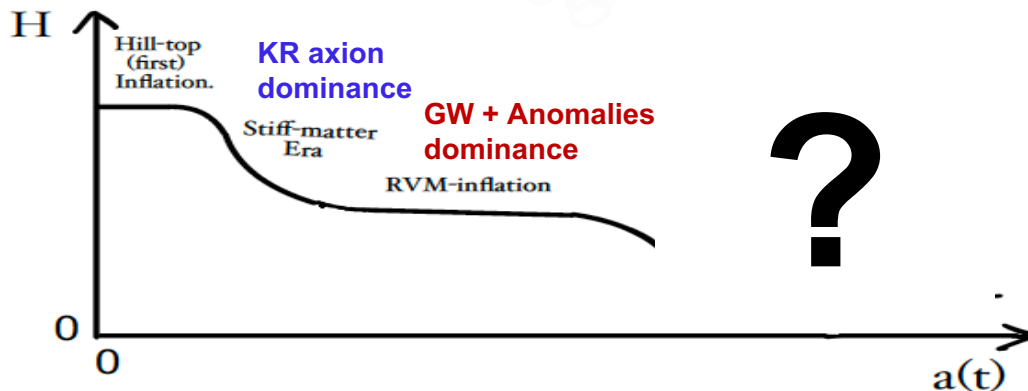
Basilakos, NEM,  
Solà (2019-20)



SUGRA broken dynamically  
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Condensate  
stabilised →  
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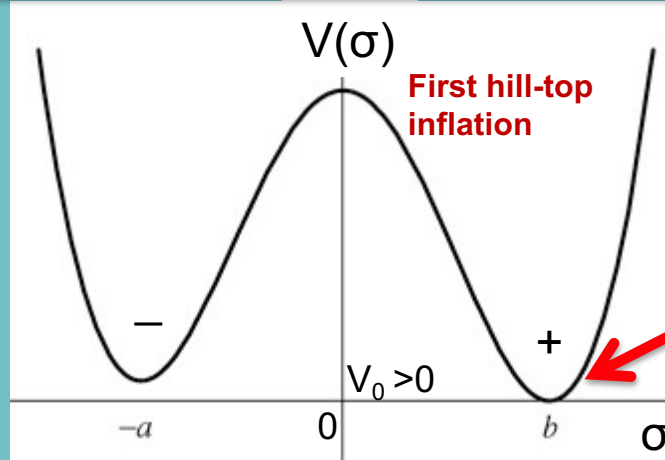


NEM, Solà  
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Ellis, NEM,  
Alexandre,  
Houston

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}, \Psi_\mu$ )

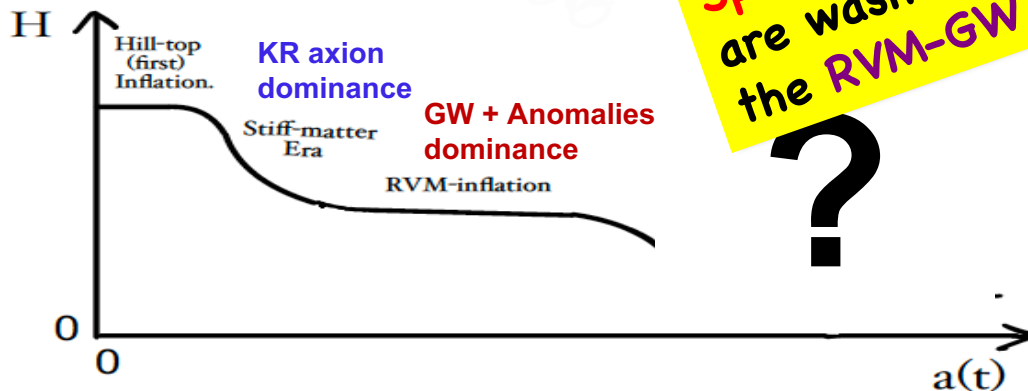
Basilakos, NEM,  
Solà (2019-20)



SUGRA broken dynamically  
gravitino  
Condensate  
stabilised →  
RVM GW-induced Inflation

Pre-RVM inflationary phase: superstring/supergravity  
Effective action → Imaginary parts → instabilities

First Hill-top inflation = finite life - time  
System tunnels to RVM inflationary vacuum



First inflation ensures any  
Spatial inhomogeneities  
are washed out before  
the RVM-GW inflation

NEM, Solà  
EPJ-ST  
(2020)

Ellis, NEM,  
Alexandre,  
Houston

**4b. Spontaneous Lorentz &  
CPT Violation  
by axion backgrounds  
and  
RVM Inflation**

# The Parts



**The Model in Early Universe:**  
**only gravitational d.o.f. ( $b, g_{\mu\nu}$ )**

Basilakos, NEM,  
Solà (2019-20)

Non-trivial if  
GW present

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} + \dots \right]$$
$$= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \partial_\mu b(x) \mathcal{K}^\mu + \dots \right],$$

**Primordial Gravitational Waves,**  
**&**  
**De Sitter space times &**  
**Spontaneous Lorentz & CPT Violation**



**The Model in Early Universe:**  
**only gravitational d.o.f. ( $b, g_{\mu\nu}$ )**

Basilakos, NEM,  
 Solà (2019-20)

Gravitational  
 Chern-Simons (gCS)

$$\begin{aligned}
 S_B^{\text{eff}} &= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} + \dots \right] \\
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 \end{aligned}$$

**Primordial Gravitational Waves →**  
**Condensate  $\langle \dots \rangle$  of Gravitational Anomalies**

$$gCS = \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \int d^4x \sqrt{-g} \left( \langle b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle + :b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma}: \right)$$

quantum ordered

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}$ )

Basilakos, NEM,  
Solà (2019-20)

Gravitational  
Chern-Simons (gCS)

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 &= \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \partial_\mu b(x) \mathcal{K}^\mu + \dots \right], \\
 &\quad + \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \int d^4x \sqrt{-g} \langle b(x) R_{\mu\mu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle
 \end{aligned}$$

**Condensate**  $\langle \dots \rangle$  of  
Gravitational Anomalies

Cosmological-  
Constant-like

Mild time  
Dependence  
through  $H(t)$

$$gCS = \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \int d^4x \left( \langle b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle + : b(x) R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} : \right)$$

**quantum ordered**

# The Model in Early Universe: only gravitational d.o.f. ( $b, g_{\mu\nu}$ )

Basilakos, NEM,  
Solà (2019-20)

Gravitational  
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**Condensate**  $\langle \dots \rangle$  of  
Gravitational Anomalies

Cosmological-  
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Mild time  
Dependence  
through  $H(t)$

$$gCS = -\sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \int d^4x \sqrt{-g} \langle \partial_\mu b \mathcal{K}^\mu \rangle + \text{Up to boundary terms} + \text{quantum flcts.}$$

Effective action contains **CP violating axion-like coupling**

$$\sqrt{-g} \mathcal{K}^\mu(\omega)_{;\mu}$$



$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \right) + \dots \right]$$

(i) **Assume de Sitter era**, first, to discuss anomaly condensate in the presence of GW perturbation

(ii) **deduce RVM vacuum** behaviour

and

(iii) **Inflation is obtained self consistently** from **RVM evolution**

Effective action contains **CP violating axion-like coupling**

$$\partial_\mu (\sqrt{-g} \mathcal{K}^\mu(\omega))$$

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \right) + \dots \right]$$

$$ds^2 = dt^2 - a^2(t) \left[ (1 - h_+(t, z)) dx^2 + (1 + h_+(t, z)) dy^2 + 2h_\times(t, z) dx dy + dz^2 \right]$$

Average over inflationary space time in the presence of **primordial Gravitational waves**

$b(x)=b(t)$   
Alexander, Peskin, Sheikh -Jabbari

$n^*$  = proper number density of sources of GW (assumed of  $O(1)$ )

$\mu = \text{UV k-momentum Cut-off}$

$$\frac{d}{dt} (\sqrt{-g} \mathcal{K}^0(t)) = \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle = \frac{16}{a^4} \kappa^2 n^* \int^{\mu} \frac{d^3k}{(2\pi)^3} \frac{H^2}{2k^3} k^4 \Theta + O(\Theta^3)$$

Homogeneity & Isotropy

$$\Theta = \sqrt{\frac{2}{3}} \frac{\kappa^3}{12} H \dot{b} \ll 1$$

$$\begin{aligned} \kappa &= M_{\text{Pl}}^{-1}, \\ \dot{b} &\equiv db/dt \\ a(t) &\sim e^{Ht} \end{aligned}$$

**$H \approx \text{const.}$  (inflation)**

Effective action contains **CP violating axion-like coupling**

$$\partial_\mu (\sqrt{-g} \mathcal{K}^\mu(\omega))$$

$$S_B^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\sqrt{2} \alpha'}{96 \kappa \sqrt{3}} b(x) \left( R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \right) + \dots \right]$$

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## Solutions (backgrounds) to the Eqs of Motion

$$\alpha' = M_s^{-2}$$

$$\partial_\alpha \left[ \sqrt{-g} \left( \partial^\alpha \bar{b} - \sqrt{\frac{2}{3}} \frac{\alpha'}{96 \kappa} \mathcal{K}^\alpha(t) \right) \right] = 0 \quad \Rightarrow \quad \dot{\bar{b}} = \sqrt{\frac{2}{3}} \frac{\alpha'}{96 \kappa} \mathcal{K}^0$$

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$$\Theta = \sqrt{\frac{2}{3}} \frac{\kappa^3}{12} H \dot{\bar{b}} \propto \mathcal{K}^0$$



**time evolution of Anomaly**

$\mu$  = UV k-momentum Cut-off

$$\mathcal{K}^0(t) \simeq \mathcal{K}_{\text{begin}}^0(0) \exp \left[ -3Ht \left( 1 - 0.73 \times 10^{-4} n^* \left( \frac{H}{M_{\text{Pl}}} \right)^2 \left( \frac{\mu}{M_s} \right)^4 \right) \right]$$

# Solutions (backgrounds) to the Eqs of Motion

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$\approx 0$



# Solutions (backgrounds) to the Eqs of Motion

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$$\frac{\mu}{M_s} \simeq 15 (n^*)^{-1/4} \left( \frac{M_{\text{Pl}}}{H} \right)^{1/2}$$



$$\mathcal{K}^0 = \text{const.}$$

**Planck Data**

$$H/M_{\text{Pl}} < 10^{-4}$$



**to ensure constant anomaly**

$$\mu = O(10^3 (n^*)^{-1/4}) M_s \leq M_{\text{planck}}$$

## Solutions (backgrounds) to the Eqs of Motion

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$$\dot{\bar{b}} \propto \epsilon^{ijkl} H_{ijk} = \text{constant}$$

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$$\mathcal{K}^0 = \text{const.}$$

**Spontaneous LV (+ CPTV) solution** 

**Planck Data**

$$H/M_{\text{Pl}} < 10^{-4}$$



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## Solutions (backgrounds) to the Eqs of Motion

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$$\dot{\bar{b}} \propto \epsilon^{ijkl} H_{ijk} = \text{constant}$$

$$\frac{d}{dt} \left( \sqrt{-g} \mathcal{K}^0(t) \right) = \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle = \frac{16}{a^4} \kappa^2 n^* \int \frac{d^3 k}{(2\pi)^3} \frac{H^2}{2k^3} k^4 \Theta + O(\Theta^3)$$

$$\Theta = \sqrt{\frac{2}{3}} \frac{\kappa^3}{12} H \dot{\bar{b}} \propto \mathcal{K}^0$$

**time evolution of Anomaly**

$\mu$  = UV k-momentum Cut-off

$$\mathcal{K}^0(t) \simeq \mathcal{K}_{\text{begin}}^0(0) \exp \left[ -3Ht \left( 1 - 0.73 \times 10^{-4} n^* \left( \frac{H}{M_{\text{Pl}}} \right)^2 \left( \frac{\mu}{M_s} \right)^4 \right) \right]$$

$$\frac{\mu}{M_s} \simeq 15 (n^*)^{-1/4} \left( \frac{M_{\text{Pl}}}{H} \right)^{1/2}$$



$$\mathcal{K}^0 = \text{const.}$$

**No transplanckian modes !**

**Planck Data**

$$H/M_{\text{Pl}} < 10^{-4}$$



**to ensure constant anomaly**

$$\mu = O(10^3 (n^*)^{-1/4}) M_s \leq M_{\text{planck}}$$

## Solutions (backgrounds) to the Eqs of Motion

$$\partial_\alpha \left[ \sqrt{-g} \left( \partial^\alpha \bar{b} - \sqrt{\frac{2}{3}} \frac{\alpha'}{96 \kappa} \mathcal{K}^\alpha(t) \right) \right] = 0 \quad \Rightarrow \quad \dot{\bar{b}} = \sqrt{\frac{2}{3}} \frac{\alpha'}{96 \kappa} \mathcal{K}^0 \sim \text{constant}$$

$$\dot{\bar{b}} \sim \varepsilon_{ijkl} H^{ijk} \approx \text{constant torsion}$$

Using **slow-roll assumption**  $b$

$$\varepsilon = \frac{1}{2} \frac{1}{(H M_{\text{Pl}})^2} \dot{\bar{b}}^2 \sim 10^{-2} \quad \text{Planck Data}$$



$$\dot{\bar{b}} \sim \sqrt{2\varepsilon} M_{\text{Pl}} H \sim 0.14 M_{\text{Pl}} H$$

$$H = H_{\text{infl}} \simeq \text{const.}$$

# Solutions (backgrounds) to the Eqs of Motion

$$\partial_\alpha \left[ \sqrt{-g} \left( \partial^\alpha \bar{b} - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \mathcal{K}^\alpha(t) \right) \right] = 0 \quad \Rightarrow \quad \dot{\bar{b}} = \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \mathcal{K}^0 \sim \text{constant}$$

Using **slow-roll assumption**  $b$   If  $n^*$  of  $O(1)$ , otherwise  $M_s$  free parameter,  $\mu = M_s$



$$2.6 \times 10^{-5} M_{\text{Pl}} < M_s \leq 10^{-4} M_{\text{Pl}}$$

NEM + Solà (2021)

$$\dot{\bar{b}} \sim \sqrt{2\varepsilon} M_{\text{Pl}} H \sim 0.14 M_{\text{Pl}} H$$

$$H = H_{\text{infl}} \simeq \text{const.}$$

**Constant anomaly during inflation, no transplanckian modes !**

**NB:**

$$\Theta \equiv \sqrt{\frac{2}{3}} \frac{\alpha' \kappa}{12} H \dot{\bar{b}} \ll 1$$

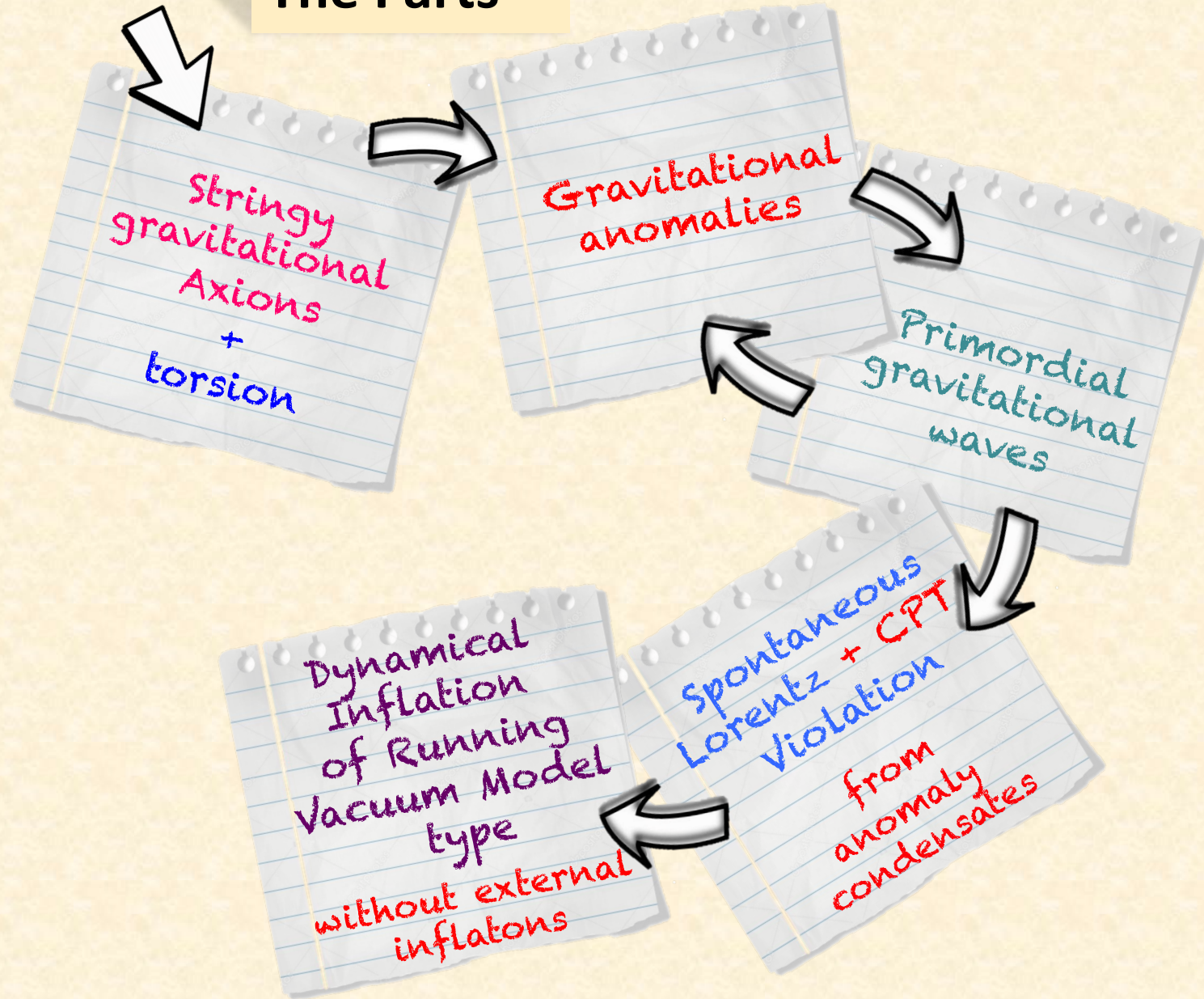
$$\dot{\bar{b}} \ll H/\kappa$$



$$H/M_s \ll 3.83, \quad H \simeq (10^{-5} - 10^{-4}) M_{\text{Pl}}$$

$$\frac{M_{\text{Pl}}}{M_s} \ll 3.83 \times (10^4 - 10^5), \quad M_s \leq 10^{-4} M_{\text{Pl}}$$

# The Parts



## Solutions (backgrounds) to the Eqs of Motion

$$\partial_\alpha \left[ \sqrt{-g} \left( \partial^\alpha \bar{b} - \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \mathcal{K}^\alpha(t) \right) \right] = 0 \quad \Rightarrow \quad \dot{\bar{b}} = \sqrt{\frac{2}{3}} \frac{\alpha'}{96\kappa} \mathcal{K}^0 \sim \text{constant}$$

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$$\dot{\bar{b}} \sim \sqrt{2\varepsilon} M_{\text{Pl}} H \sim 0.14 M_{\text{Pl}} H$$

$$H = H_{\text{infl}} \simeq \text{const.}$$



@ end of  
Inflationary  
era

$$b_{\text{end}} \sim b_{\text{initial}} + 0.14 M_{\text{Pl}} H_{\text{infl}} t_{\text{end}},$$

$$t_{\text{end}} H_{\text{infl}} \sim \mathcal{N} = e - \text{foldings} \\ \sim 55-70$$

Fix  $b_{\text{initial}}$  to arrange  
approx. constant  
condensate  
during appropriate  
time period (inflation)

# Gravitational Anomaly Condensates → Dynamical Inflation

Basilakos, NEM, Solà

$$\Lambda \equiv \langle b(x) R_{\mu\mu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle \simeq 5.86 \times 10^7 \epsilon \mathcal{N} H^4 > 0$$

e-foldings

Positive  
Cosmological  
Constant-like

Positive total energy density since  $\Lambda$ -term dominates

$$\rho_{\text{total}} = \rho_b + \rho_{gCS} + \rho_{\Lambda} \simeq 3M_{\text{Pl}}^4 \left[ -1.7 \times 10^{-3} \left( \frac{H}{M_{\text{Pl}}} \right)^2 + (1.17 - 1.37) \times 10^7 \left( \frac{H}{M_{\text{Pl}}} \right)^4 \right] > 0$$



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Dark Energy  
("running  
vacuum model  
(RVM) type")

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Equation of state :

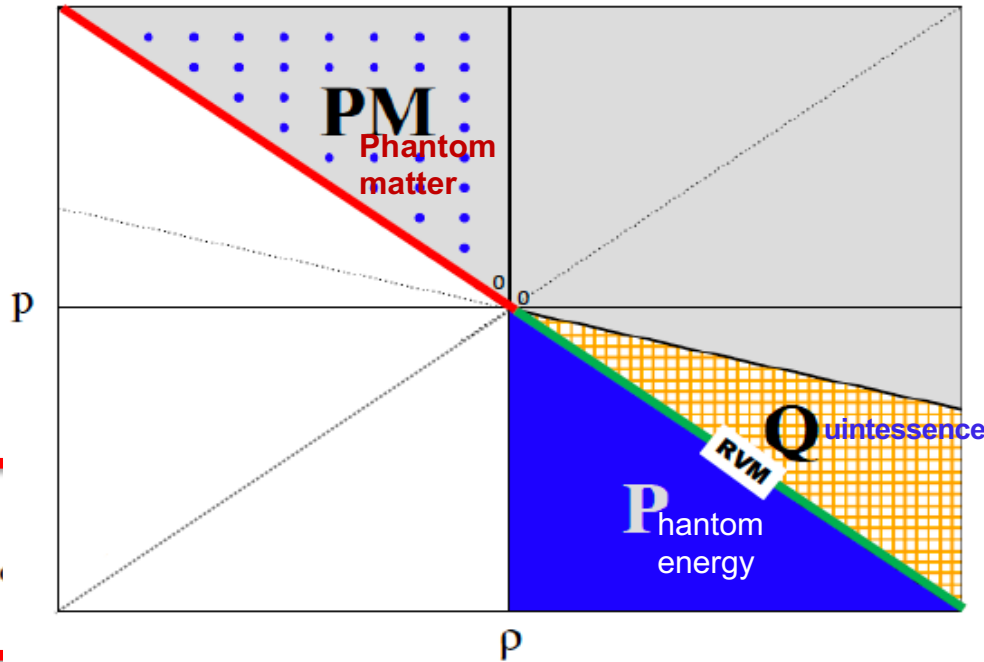
$$0 > \rho_b + \rho_{gCS} = - (p_b + p_{gCS}) \text{ cf. phantom "matter"}$$

$$0 < \rho_\Lambda = -p_\Lambda \rightarrow \text{dominates} \rightarrow$$

$$0 < \rho_b + \rho_{gCS} + \rho_\Lambda = - (p_b + p_{gCS} + p_\Lambda) \text{ true RVM vacuum}$$

# Gravitational Anomaly Condensates → Dynamical Inflation

NEM, Solà



$$10^7 \epsilon \mathcal{N} H^4 > 0$$

Positive  
Cosmological  
Constant-like

$$\left( \frac{-}{1} \right)^2 + \left( 1.17 - 1.37 \right) \times 10^7 \left( \frac{H}{M_{\text{Pl}}} \right)^4 > 0$$

Dark Energy  
("running  
vacuum model  
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Equation of state :

$$0 > \rho_b + \rho_{\text{gcs}} = - (p_b + p_{\text{gcs}}) \text{ cf. phantom "matter"}$$

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Basilakos, NEM, Solà

$$\Lambda \equiv \langle b(x) R_{\mu\mu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle \simeq 5.86 \times 10^7 \epsilon \mathcal{N} H^4 > 0$$

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$$\rho_{\text{total}} = \rho_b + \rho_{gCS} + \rho_\Lambda \simeq 3M_{\text{Pl}}^4 \left[ -1.7 \times 10^{-3} \left( \frac{H}{M_{\text{Pl}}} \right)^2 + (1.17 - 1.37) \times 10^7 \left( \frac{H}{M_{\text{Pl}}} \right)^4 \right] > 0$$

Dark Energy  
("running vacuum model (RVM) type")

RVM-like terms drive inflation contain scalar d.o.f. from the anomaly condensate

But slow roll is due to the KR axion field  $\epsilon \simeq \frac{1}{2} \frac{1}{(HM_{\text{Pl}})^2} \dot{\bar{b}}^2 \sim 10^{-2}$

**5. Running-Vacuum Model  
Cosmology –  
Inflation  
without external inflatons**

# The Parts

Shapiro + Solà  
Solà, ...

Dark Energy  
("running  
vacuum model  
(RVM) type")

$$\rho_{\Lambda}^{\text{RVM}} \equiv \kappa^{-2} \Lambda + c_1 H^2 + c_2 H^4 + \dots$$
$$\rho_{\Lambda} \equiv \kappa^{-2} \Lambda(t)$$

$$\Lambda \equiv 3c_0 \quad c_1 = 3\nu\kappa^{-2}, \quad c_2 = 3\alpha\kappa^{-2} H_I^{-2},$$
$$H_I \sim 10^{-5} \kappa^{-1} \text{ (current pheno)}$$

Vacuum energy density assumed de Sitter like but with time-dependent Cosmological parameter  $\Lambda(t)$  :

$$\rho_{\text{RVM}}^{\Lambda}(t) = \Lambda(t)/\kappa^2 \quad \kappa = \sqrt{8\pi G} = M_{\text{Pl}}^{-1}$$

$$p(t)_{\text{RVM}} = -\rho_{\text{RVM}}^{\Lambda}(t)$$

**NB: Renormalization-Group-like** equation for the evolution of **vacuum energy density**  
**Hubble parameter  $H(t) \leftrightarrow$  RG scale  $\mu$**

$$\frac{d\rho_{\Lambda}^{\text{RVM}}(t)}{d\ln H^2} = \frac{1}{(4\pi)^2} \sum_{i=F,B} \left[ a_i M_i^2 H^2 + b_i H^4 + \mathcal{O}\left(\frac{H^6}{M_i^2}\right) \right]$$

general covariance  $\rightarrow$   
even powers of  $H$



# The Parts

Shapiro + Solà  
Solà, ...

Dark Energy  
("running  
vacuum model  
(RVM) type")

$$\rho_{\Lambda}^{\text{RVM}} = \kappa^{-2} \Lambda + c_1 H^2 + c_2 H^4 + \dots$$


$$\equiv \kappa^{-2} \Lambda(t)$$

$$c_1 = 3\nu\kappa^{-2}, c_2 = 3\alpha\kappa^{-2} H_I^{-2},$$

$$\nu \sim 10^{-5} \kappa^{-1} \text{ (current pheno)}$$

time-dependent Cosmological

$$\kappa = \sqrt{8\pi G} = M_{\text{Pl}}^{-1}$$

Any  $dH/dt \approx -(1+q)H^2$ ,  
decel. parameter  $q \approx \text{const}$   
in each cosmic epoch 

$$\dot{\rho}_{\Lambda}^{\text{RVM}} = -\rho_{\Lambda}^{\text{RVM}}(t)$$

**NB: Renormalization group-like** equation for the evolution of **vacuum energy density**  
**Hubble parameter  $H(t) \leftrightarrow$  RG scale  $\mu$**

$$\frac{d\rho_{\Lambda}^{\text{RVM}}(t)}{d\ln H^2} = \frac{1}{(4\pi)^2} \sum_{i=F,B} \left[ a_i M_i^2 H^2 + b_i H^4 + \mathcal{O}\left(\frac{H^6}{M_i^2}\right) \right]$$

**general covariance  $\rightarrow$**   
**even powers of  $H$**



# Cosmological Evolution of RVM

Basilakos, Lima,  
Sola + Gomez Valent  
+ ... (2013 - 2018)

$$\omega = \rho_m / p_m \quad m = \text{matter, radiation}$$

$$\nabla^\mu T_{\mu\nu} = 0 \quad \rightarrow \quad \dot{\rho}_m + 3(1 + \omega)H\rho_m = -\dot{\rho}_{\text{RVM}}^\Lambda$$

$$\dot{H} + \frac{3}{2}(1 + \omega)H^2 \left( 1 - \nu - \frac{c_0}{H^2} - \alpha \frac{H^2}{H_I^2} \right) = 0$$

**Solution**

$$H(a) = \left( \frac{1 - \nu}{\alpha} \right)^{1/2} \frac{H_I}{\sqrt{D a^{3(1-\nu)(1+\omega_m)} + 1}}$$

$$D > 0$$

**Early de Sitter  
(unstable)**

$$D a^{4(1-\nu)} \ll 1 \quad \rightarrow \quad H^2 = (1 - \nu)H_I^2 / \alpha$$

**Radiation**

$$D a^{4(1-\nu)} \gg 1 \quad \rightarrow \quad H^2 \sim a^{3(1-\nu)(1+\omega_m)} \sim a^{-4}$$

$$\omega = 1/3$$

**Late dark-Energy  
dominated era**

$$H^2(a) = H_0^2 \left[ \tilde{\Omega}_{m0} a^{-3(1-\nu)} + \tilde{\Omega}_{\Lambda 0} \right] \quad \tilde{\Omega}_{\Lambda 0} \text{ dominant}$$



# Cosmological Evolution of RVM

Basilakos, Lima,  
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$$\dot{H} + \frac{3}{2}(1 + \omega)H^2 \left( 1 - \nu - \frac{c_0}{H^2} - \alpha \frac{H^2}{H_I^2} \right) = 0$$

**Solution**  
without  
fundamental  
inflaton

$$H(a) = \left( \frac{1 - \nu}{\alpha} \right)^{1/2} \frac{H_I}{\sqrt{D a^{3(1-\nu)(1+\omega_m)} + 1}} \quad D > 0$$

**Early de Sitter**  
(unstable)

$$D a^{4(1-\nu)} \ll 1 \quad \rightarrow \quad H^2 = (1 - \nu)H_I^2 / \alpha$$

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## Gravitational Anomaly Condensates → Dynamical Inflation

Cannot obtain such terms  
in ordinary Quantum Field Theories  
You need the condensate of  
the gravitational anomalies  
which have CP-violating couplings  
with the gravitational axions



NEM, Soła

$$\rho_{\text{total}} = \rho_b + \rho_{gCS} + \rho_{\Lambda} \simeq 3M_{\text{Pl}}^4 \left[ -1.7 \times 10^{-3} \left( \frac{H}{M_{\text{Pl}}} \right)^2 + (1.17 - 1.37) \times 10^7 \left( \frac{H}{M_{\text{Pl}}} \right)^4 \right] > 0$$

Dark Energy  
("running  
vacuum model  
(RVM) type")

RVM-like terms  
drive inflation  
contain scalar d.o.f.  
from the anomaly  
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But slow roll is due to the KR axion field  $\epsilon \sim \frac{1}{2} \frac{1}{(HM_{\text{Pl}})^2} \dot{b}^2 \sim 10^{-2}$

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Another important  
role of CP-violation  
in Early Universe

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Basilakos, NEM, Solà

$$\Lambda \equiv \langle b(x) R_{\mu\mu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle \simeq 5.86 \times 10^7 \epsilon \mathcal{N} H^4 > 0$$

Positive  
Cosmological  
Constant-like

Positive total energy density since  $\Lambda$ -term dominates

$$\rho_{\text{total}} = \rho_b + \rho_{gCS} + \rho_\Lambda \simeq 3M_{\text{Pl}}^4 \left[ -1.7 \times 10^{-3} \left( \frac{H}{M_{\text{Pl}}} \right)^2 + (1.17 - 1.37) \times 10^7 \left( \frac{H}{M_{\text{Pl}}} \right)^4 \right] > 0$$



Negative coefficient  $v < 0$   
due to CS anomaly  
in early Universe, unlike  
late-era RVM

RVM-like terms  
drive inflation  
contain scalar d.o.f.  
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condensate

But slow roll is due to the KR axion field  $\epsilon \simeq \frac{1}{2} \frac{1}{(HM_{\text{Pl}})^2} \dot{\bar{b}}^2 \sim 10^{-2}$

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But **slow roll** is due to the KR axion field  $\epsilon \sim \frac{1}{2} \frac{1}{(H M_{\text{Pl}})^2} \dot{\bar{b}}^2 \sim 10^{-2}$



Anomaly condensate  $\rightarrow$  **linear axion potential**  $V_{\text{eff}} \ni \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle b(x)$

approximately de Sitter provided during the duration of inflation



$$b(t) = \bar{b}(0) + 0.14 M_{\text{Pl}} H t_{\text{end}} \simeq \bar{b}(0) \quad \text{order of magnitude}$$

$< 0$

N=e-folds

beginning  
of inflation



$$|\bar{b}(0)| \gtrsim \mathcal{O}(10) M_{\text{Pl}}$$

**Distance-swampland  
conjectures?**

Slow running of  $db/dt$  can be constrained by data

## Solutions (backgrounds) to the Eqs of Motion

$$\partial_\alpha \left[ \sqrt{-g} \left( \partial^\alpha \bar{b} - \sqrt{\frac{2}{3}} \frac{\alpha'}{96 \kappa} \mathcal{K}^\alpha(t) \right) \right] = 0 \quad \Rightarrow \quad \dot{\bar{b}} = \sqrt{\frac{2}{3}} \frac{\alpha'}{96 \kappa} \mathcal{K}^0 \sim \text{constant}$$

Undiluted KR axion background  
at the end of Inflation



@ end of  
Inflationary  
era

$$\dot{\bar{b}} \sim \sqrt{2\varepsilon} M_{\text{Pl}} H \sim 0.14 M_{\text{Pl}} H$$

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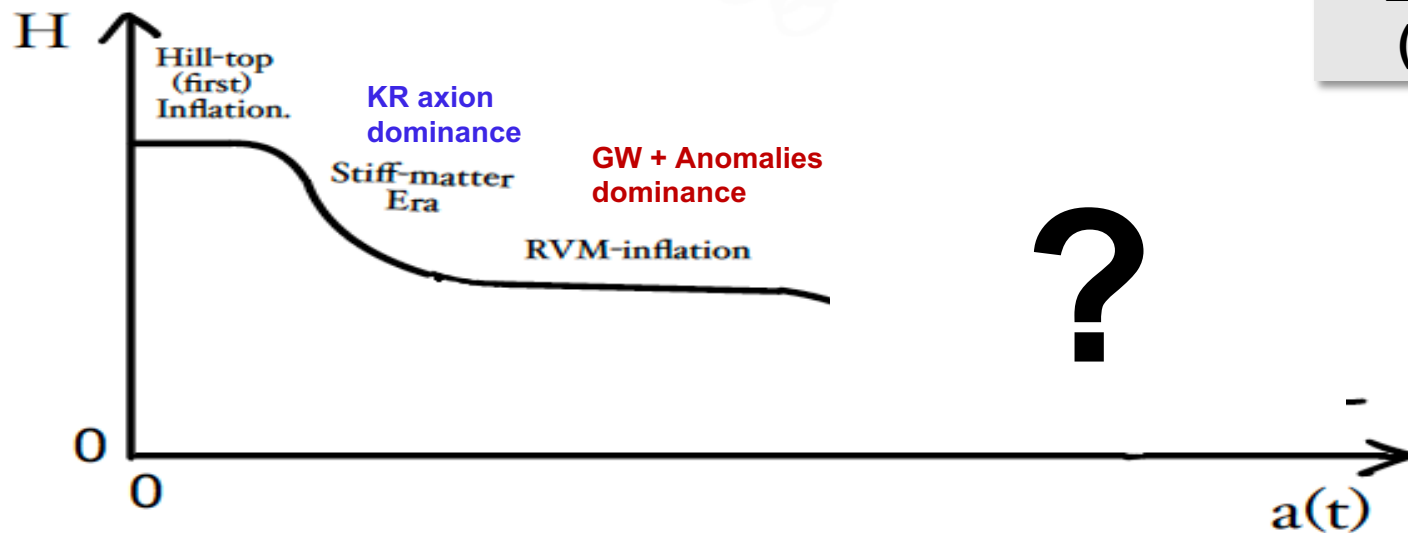


Important for Leptogenesis @ radiation era

**6a. Post Inflationary Eras  
&  
Cosmic Evolution  
of the stringy RVM**

# Post-RVM-Inflation Eras & Evolution

NEM, Solà  
EPJ-ST  
(2020)



# Cancellation of Gravitational Anomalies in Radiation Era

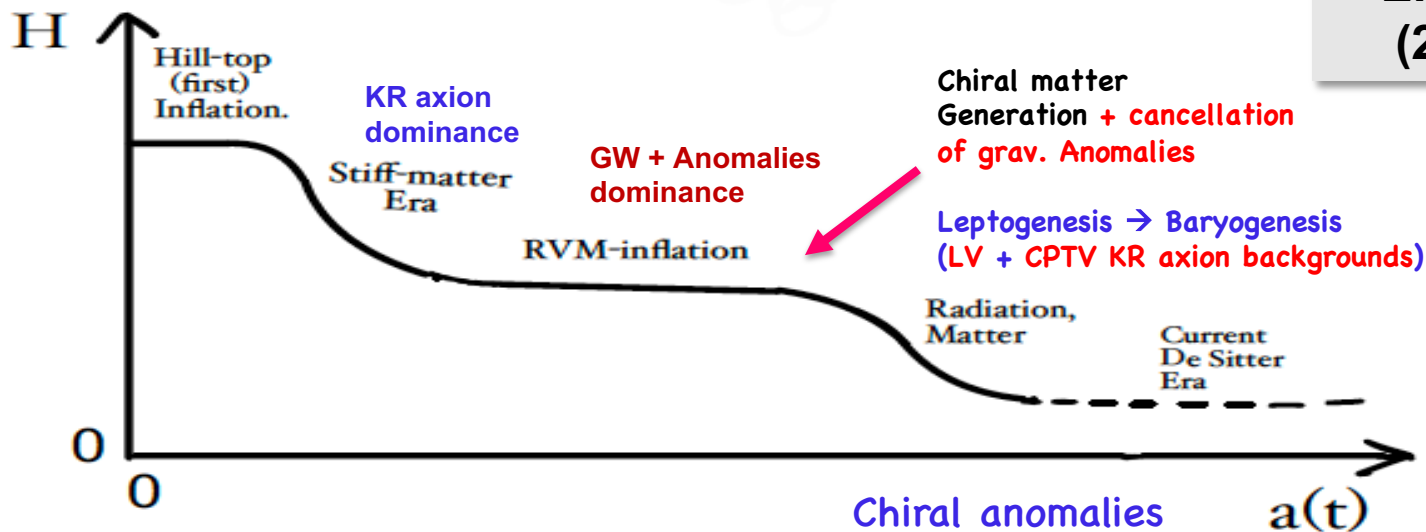
by:

Chiral Fermionic Matter generation @ end of Inflation

Required by consistency of quantum theory of matter and radiation (**diffeomorphism invariance**)

Basilakos, NEM, Soà (2019-20)

NEM, Soà  
EPJ-ST  
(2020)



NEM, Sarkar + De Cesare, Bossingham

KR axion mass generation through QCD instantons (Dark Matter)

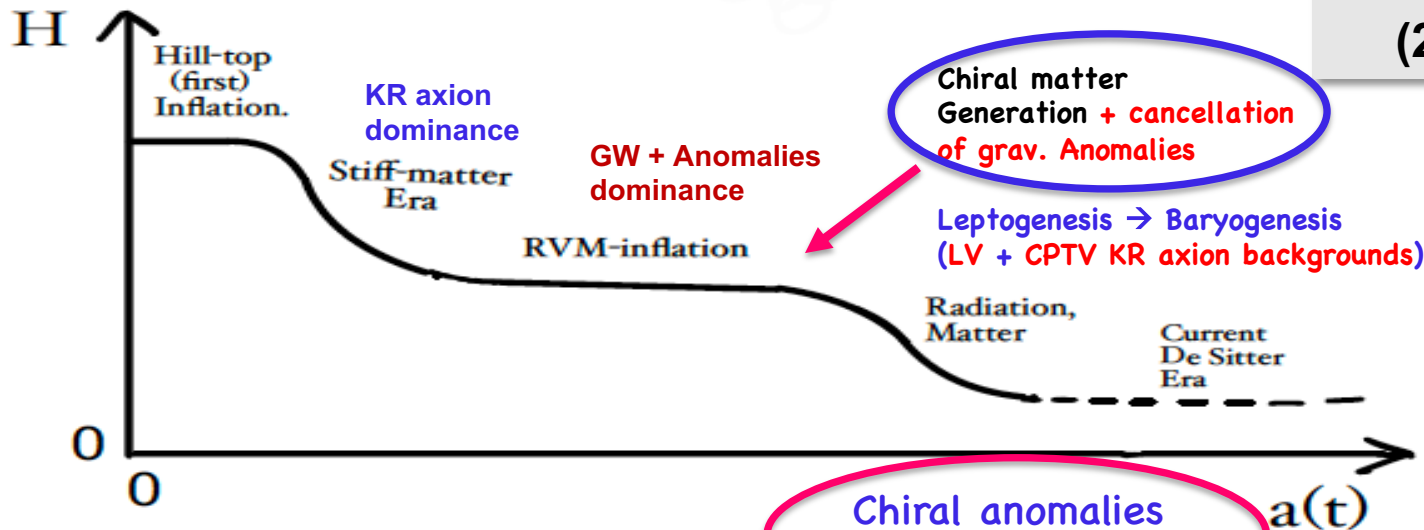
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Basilakos, NEM, Soła (2019-20)



NEM, Soła  
EPJ-ST  
(2020)

NEM, Sarkar  
+ De Cesare,  
Bossingham

KR axion mass generation through QCD instantons (Dark Matter)

# The Whole

Stringy-RVM  
Cosmological  
Evolution

“There is a fundamental error in separating the parts from the whole, the mistake of atomizing what should not be atomized.

Unity and complementarity constitute reality”

**Werner Karl Heisenberg**  
German Scientist & Nobel Prize  
1901-1976



**Werner Heisenberg** Der Teil  
und  
das Ganze

Gespräche im  
Umkreis der  
Atomphysik  
Piper

# Summary of (stringy-RVM) Cosmological Evolution

Basilakos, NEM, Solà

Cosmic Time

Big-Bang, pre-inflationary phase (broken Sugra)

Undiluted constant KR axial background

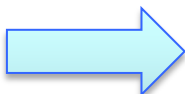
$$B_\mu = M_{\text{Pl}}^{-1} \dot{\bar{b}} \delta_{\mu 0}$$

$$\dot{\bar{b}} \sim \sqrt{2\varepsilon} M_{\text{Pl}} H \sim 0.14 M_{\text{Pl}} H$$

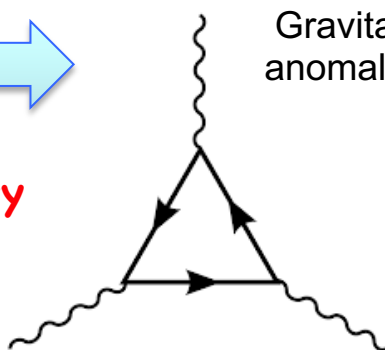
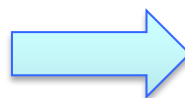
chiral matter generation @ inflation exit

RVM Inflationary (de Sitter) Phase

Primordial Gravitational Waves



Gravitational anomaly (GA)



Cancellation of GA



NEM, Sarkar + De Cesare, Bossingham

From a pre-inflationary era after Big-Bang

Radiation Era

$$B_0 \propto T^3$$

Leptogenesis induced by RHN (tree-level) decays

$$N_I \rightarrow \bar{\phi} \ell, \phi \bar{\ell} \quad \Delta L \text{ In the (approx.) constant LV + CPTV background } B_\mu = M_{\text{Pl}}^{-1} \dot{\bar{b}} \delta_{\mu 0}$$

B-L conserving sphelaron processes → Baryogenesis

Matter Era

Possible potential (mass) generation for b → axion Dark matter

forward direction



# Summary of (stringy-RVM) Cosmological Evolution

Basilakos, NEM, Solà

Cosmic Time

Big-Bang, pre-inflationary phase (broken Sugra)

RVM Inflationary (de Sitter) Phase

Primordial Gravitational Waves

Gravitational anomaly (GA)

Undiluted constant KR axial background

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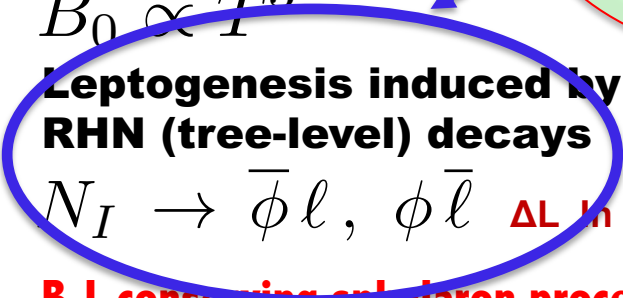
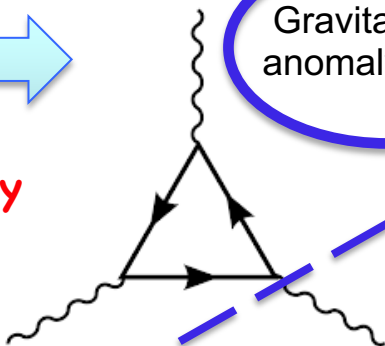
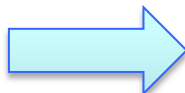
Matter Era

Possible potential (mass) generation for b → axion Dark matter

Cancellation of GA

NEM, Sarkar + De Cesare, Bossingham

forward direction





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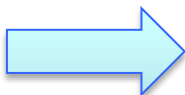
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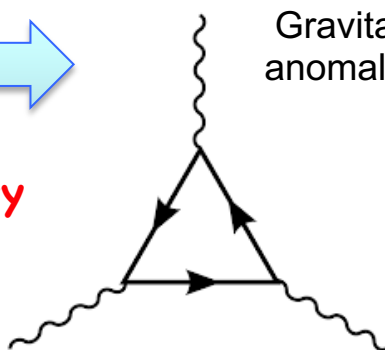
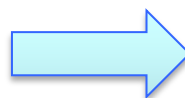
chiral matter generation @ inflation exit

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Primordial Gravitational Waves



Gravitational anomaly (GA)



Cancellation of GA

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B-L conserving sphelaron processes → Baryogenesis

## Matter Era

Possible potential (mass) generation for b → axion Dark matter

Chiral anomalies @ QCD era (instantons)

forward direction



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Basilakos, NEM, Solà

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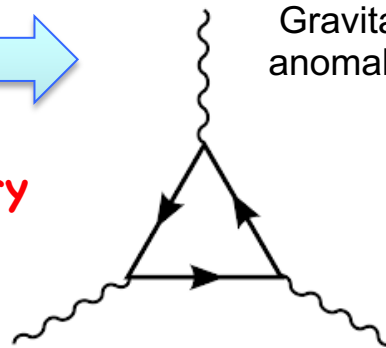
chiral matter generation @ inflation exit

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Primordial Gravitational Waves



Gravitational anomaly (GA)



From a pre-inflationary era after Big-Bang

Radiation Era

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B-L conserving sphelaron processes → Baryogenesis

Matter Era

Possible potential (mass) generation for b → axion Dark matter

Modern de-Sitter Era

GA resurfacing

$$\dot{b}_{\text{today}} \sim \sqrt{2\varepsilon'} M_{\text{Pl}} H_0$$

$$\varepsilon' \sim \varepsilon = \mathcal{O}(10^{-2}) \quad \text{Phenomenology}$$

Cancellation of GA



forward direction



# Summary of (stringy-RVM) Cosmological Evolution

Basilakos, NEM, Solà

Cosmic Time **Big-Bang, pre-inflationary phase (broken Sugra)**

Undiluted constant KR axial background

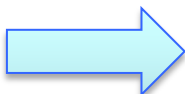
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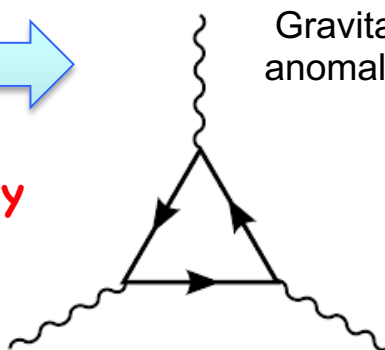
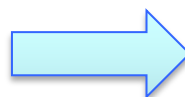
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Primordial Gravitational Waves



Gravitational anomaly (GA)



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$$N_I \rightarrow \bar{\phi} l, \phi \bar{l}$$

B-L conserving sphelaron processes → Baryogenesis

Consistent with current bounds on LV & CPTV

$$B_0 < 10^{-2} \text{ eV},$$

$$B_i < 10^{-22} \text{ eV}$$

## Matter Era

Possible potential (mass) generation for  $\phi$  → axion Dark matter

## Modern de-Sitter Era

GA resurfacing

$$\dot{b}_{\text{today}} \sim \sqrt{2\varepsilon'} M_{\text{Pl}} H_0$$

$$H_0 \sim 10^{-42} \text{ GeV}$$

$$\approx 10^{-60} M_{\text{Pl}} \approx 10^{-33} \text{ eV}$$

$\varepsilon' \sim \varepsilon = \mathcal{O}(10^{-2})$  Phenomenology

forward direction



# Summary of (stringy-RVM) Cosmological Evolution

Basilakos, NEM, Solà

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**Undiluted constant KR axial background**

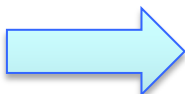
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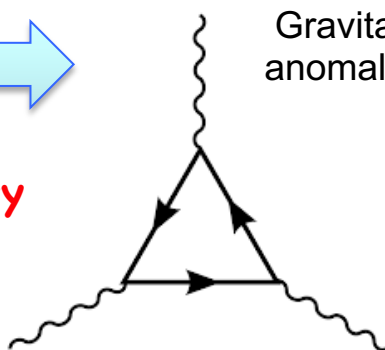
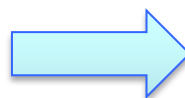
**chiral matter generation @ inflation exit**

## RVM Inflationary (de Sitter) Phase

Primordial Gravitational Waves



Gravitational anomaly (GA)



**From a pre-inflationary era after Big-Bang**

## Radiation Era

$$B_0 \propto \dot{\bar{b}} \propto T^3 + \text{subleading } (\sim T^2) \text{ chiral U(1) anomaly terms}$$

$$B_0 \Big|_{\text{today}} \sim 2.435 \times 10^{-34} \text{ eV}$$

**Consistent with current bounds on LV & CPTV**  
 $B_0 < 10^{-2} \text{ eV}$ ,  
 $B_i < 10^{-22} \text{ eV}$

## Matter Era

Possible potential (mass) generation for  $\phi \rightarrow$  axion Dark matter

## Modern de-Sitter Era

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forward direction



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Basilakos, NEM, Solà

Cosmic Time

Big-Bang, pre-inflationary phase (broken Sugra)

Undiluted constant KR axial background

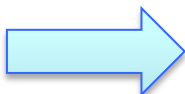
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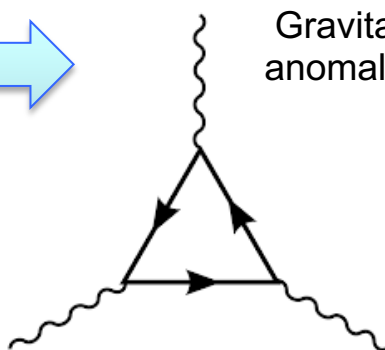
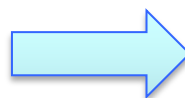
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B-L conserving sphalerons

Consistent with current bounds on LV & CPTV

$$B_0 < 10^{-2} \text{ eV},$$

$$B_i < 10^{-22} \text{ eV}$$

## Matter Era

Need to understand Modern Era better

Dark matter

## Modern de-Sitter Era

GA resurfacing

$$\dot{b}_{\text{today}} \sim \sqrt{2\varepsilon'} M_{\text{Pl}} H_0$$

$$\varepsilon' \sim \varepsilon = \mathcal{O}(10^{-2})$$

$$H_0 \sim 10^{-42} \text{ GeV}$$

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Phenomenology

**6b. Modern Era  
&  
Cosmological data  
Tension(s)  
potential alleviation  
by the stringy RVM**

# Recall:

## Cosmological Evolution of RVM

Basilakos, Lima,  
Sola + Gomez Valent  
+ ... (2013 - 2018)

$$\omega = \rho_m / p_m \quad m = \text{matter, radiation}$$

$$\nabla^\mu T_{\mu\nu} = 0 \quad \rightarrow \quad \dot{\rho}_m + 3(1 + \omega)H\rho_m = -\dot{\rho}_{\text{RVM}}^\Lambda$$

$$\dot{H} + \frac{3}{2}(1 + \omega)H^2 \left( 1 - \nu - \frac{c_0}{H^2} - \alpha \frac{H^2}{H_I^2} \right) = 0$$

**Solution**

$$H(a) = \left( \frac{1 - \nu}{\alpha} \right)^{1/2} \frac{H_I}{\sqrt{D a^{3(1-\nu)(1+\omega_m)} + 1}} \quad D > 0$$

**Early de Sitter  
(unstable)**

$$D a^{4(1-\nu)} \ll 1 \quad \rightarrow \quad H^2 = (1 - \nu)H_I^2 / \alpha$$

**Radiation**

$$D a^{4(1-\nu)} \gg 1 \quad \rightarrow \quad H^2 \sim a^{3(1-\nu)(1+\omega_m)} \sim a^{-4} \\ \omega = 1/3$$

**Late dark-Energy  
dominated era**

$$H^2(a) = H_0^2 \left[ \tilde{\Omega}_{m0} a^{-3(1-\nu)} + \tilde{\Omega}_{\Lambda 0} \right] \quad \tilde{\Omega}_{\Lambda 0} \text{ dominant}$$

Fit  
Cosmological  
Data



$$0 < \nu_0 = \mathcal{O}(10^{-3})$$

$$\mathcal{O}(10^{-4}) \lesssim \beta \lesssim \mathcal{O}(1)$$

$$\frac{3}{\kappa^2} c_0 \simeq 10^{-122} M_{\text{Pl}}^4$$

$$\rho_{\text{RVM}}(H) = 3M_{\text{Pl}}^4 \left( c_0 + \nu_0 \left( \frac{H_0}{M_{\text{Pl}}} \right)^2 + \beta \frac{H_0^4}{M_{\text{Pl}}^4} \right), \quad \beta > 0.$$

Running RVM  
Dark Energy

Not dominant today



Fit  
Cosmological  
Data



$$0 < \nu_0 = \mathcal{O}(10^{-3})$$

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Not dominant today

Running RVM  
Dark Energy

Could  
**Alleviate**  
 Tensions in  
 Data, e.g.  
 $H_0$ ,  $\sigma_8$   
 tensions



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Not dominant today

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Could  
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$$0 < \nu_0 = \mathcal{O}(10^{-3})$$

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$$\frac{3}{2} c_0 \simeq 10^{-122} M_{\text{Pl}}^4$$

$$\rho_{\text{RVM}}(H) = 3M_{\text{Pl}}^4 \left( c_0 + \nu_0 \left( \frac{H_0}{M_{\text{Pl}}} \right)^2 + \frac{\beta H_0^4}{M_{\text{Pl}}^4} \right), \quad \beta > 0.$$

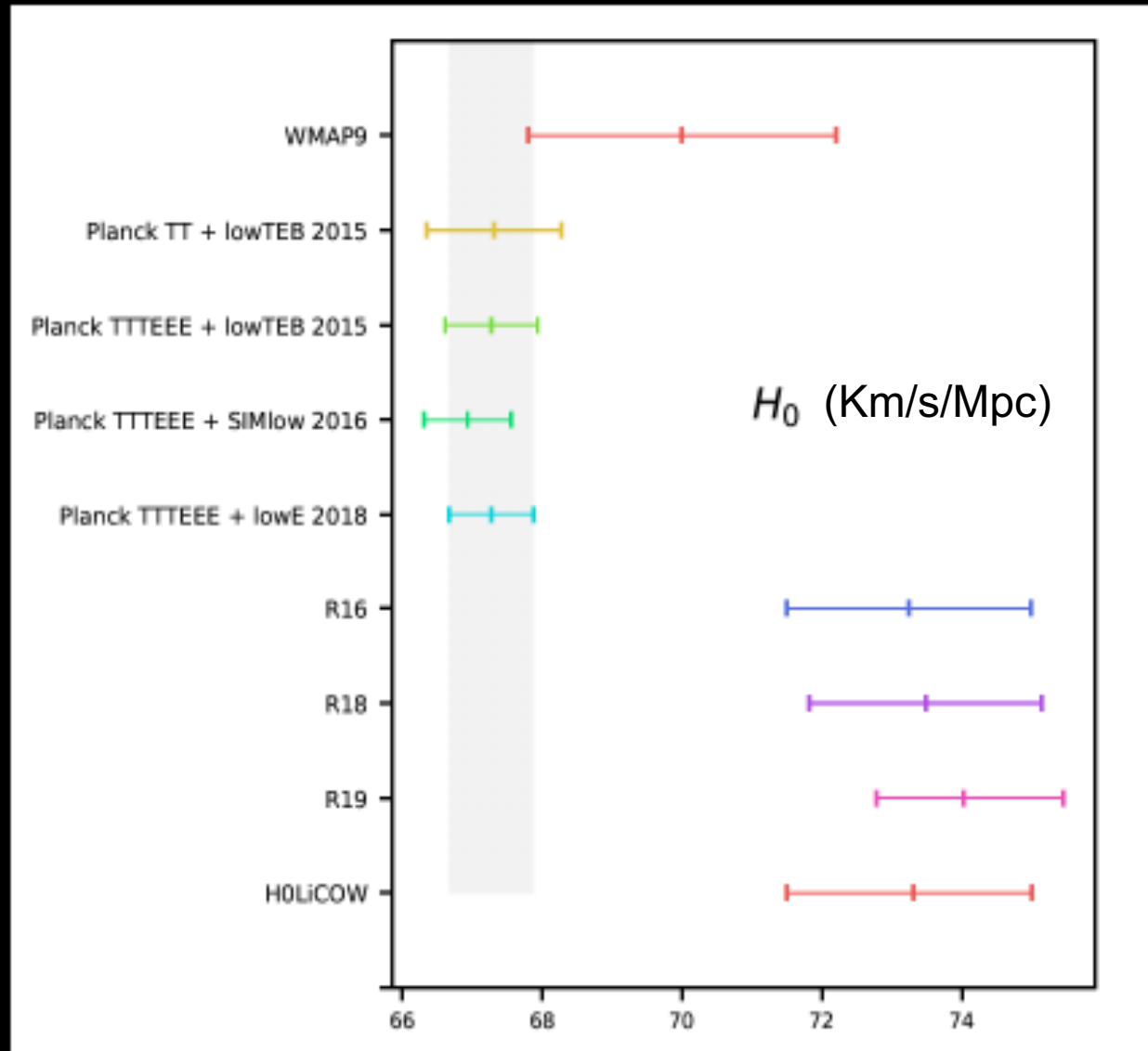
Not dominant today

Running RVM  
 Dark Energy

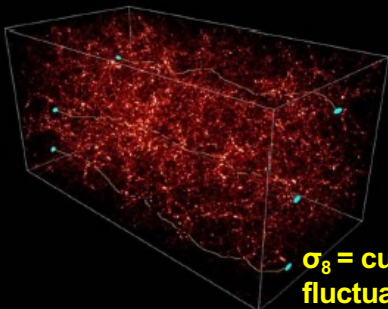
# The $H_0$ tension

We have two different blocks giving estimates of the Hubble constant in tension with each other:

- **CMB** (WMAP, Planck, ground based telescopes), **BAO**, **BBN**, **Pantheon**;
- **Direct local distance ladder measurements** (HST, SH0ES) and **Strong lensing** (H0LiCOW).



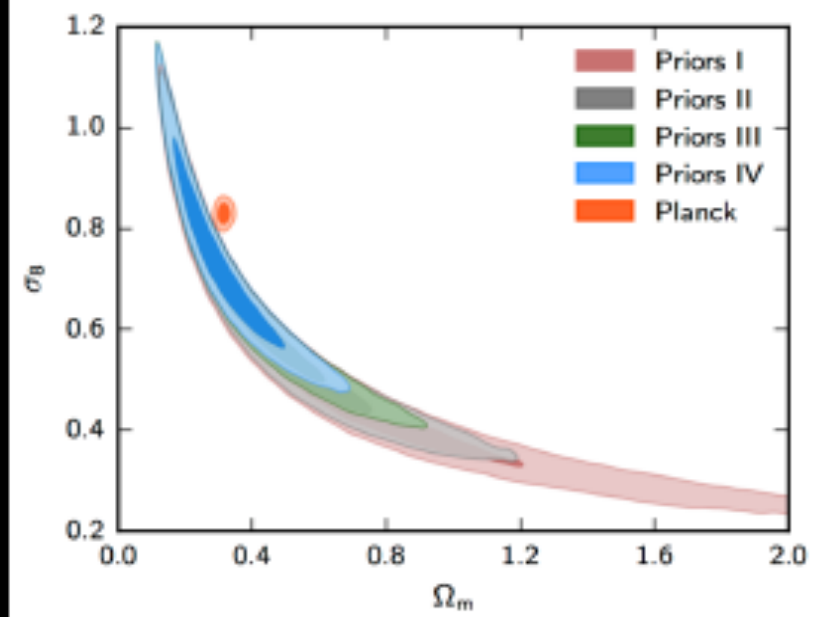
## S8 tension



$\sigma_8$  = current matter density rms fluctuations within spheres of radius  $8h^{-1}$  ( $h = H_0/100 =$  reduced Hubble constant)

$$S_8 \equiv \sigma_8 \sqrt{\Omega_m / 0.3}$$

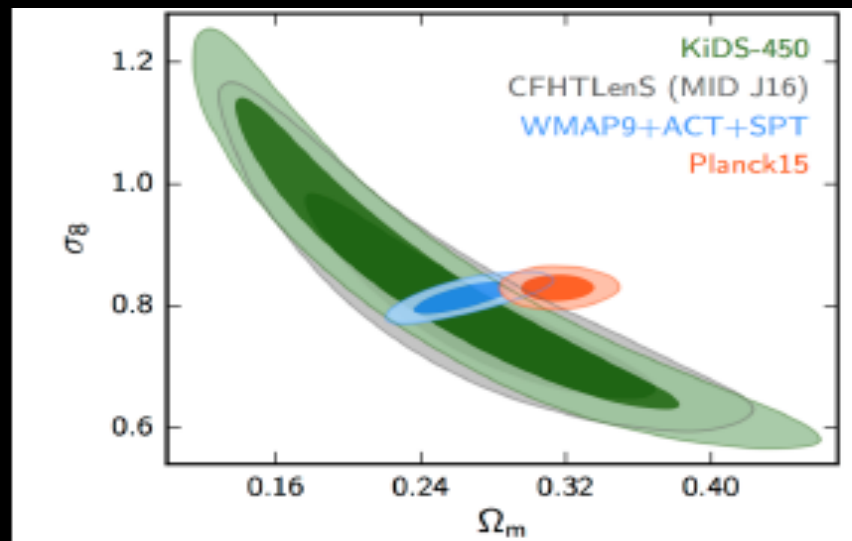
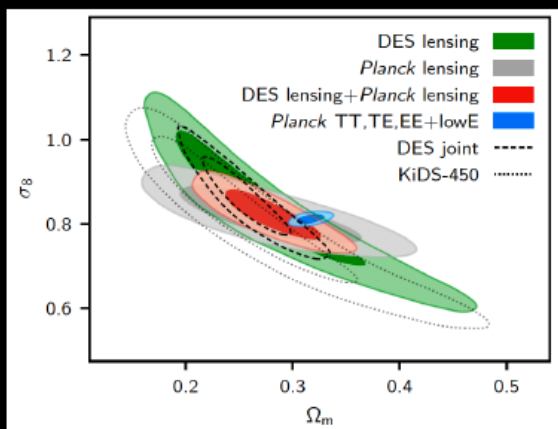
A tension on S8 is present between the Planck data in the  $\Lambda$ CDM scenario and the cosmic shear data.



Joudaki et al, arXiv:1601.05786

## S8 tension

Planck 2018, Aghanim et al., arXiv:1807.06209 [astro-ph.CO]



Hildebrandt et al., arXiv:1606.05338.

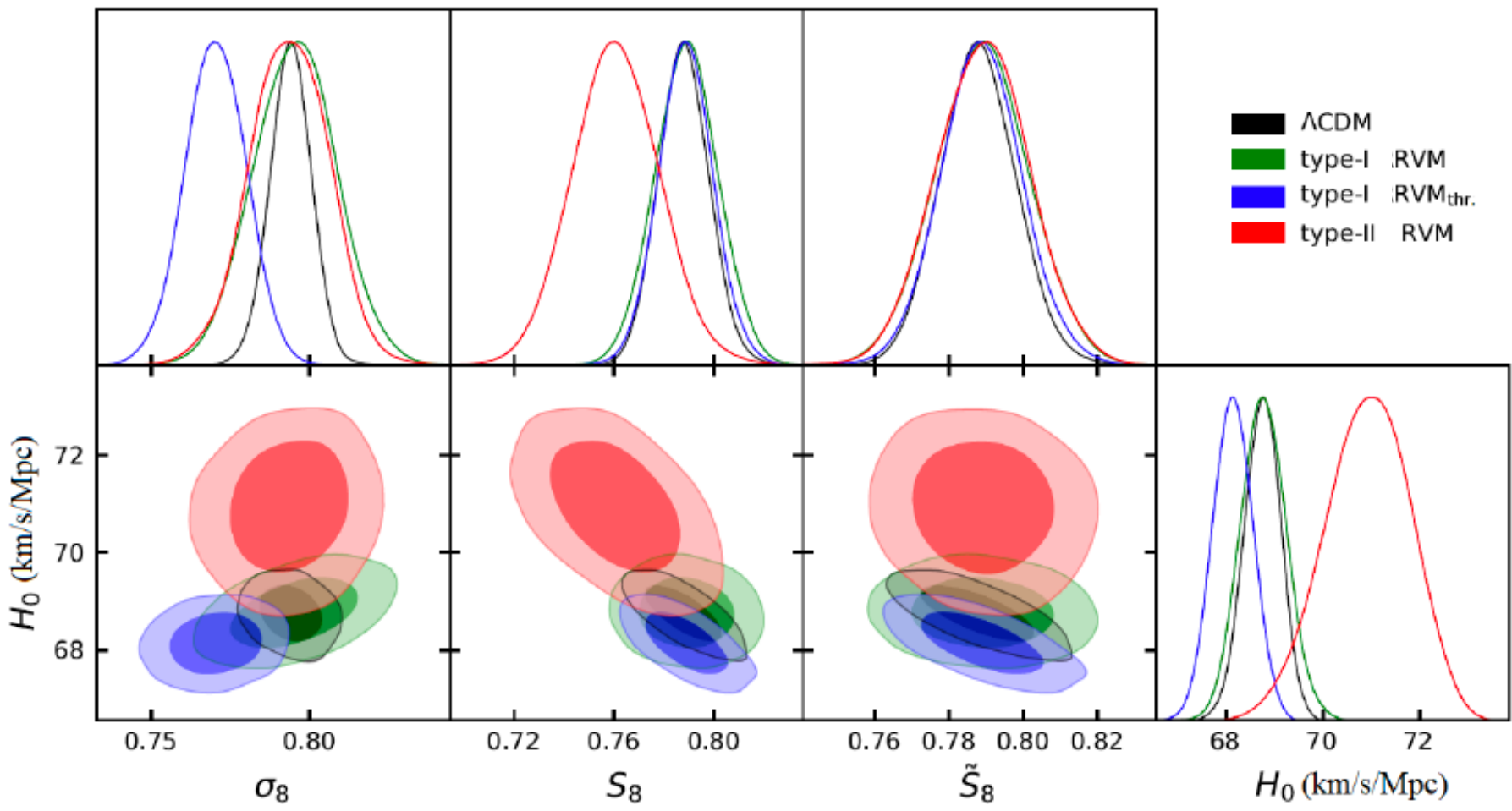
While there is no tension with DES galaxy lensing, a tension at about 2.5 sigma level is present for the DES results that include galaxy clustering.

The S8 tension is at about 2.6 sigma level between the Planck data in the  $\Lambda$ CDM scenario and CFHTLenS survey and KiDS-450.

If tensions  
are not due  
to statistics

Solà, Gómez-Valent,  
De Cruz Perez, Moreno-Pulido,  
(Planck 2018 data)

# Alleviation of the $H_0$ , $\sigma_8$ tension by RVM model

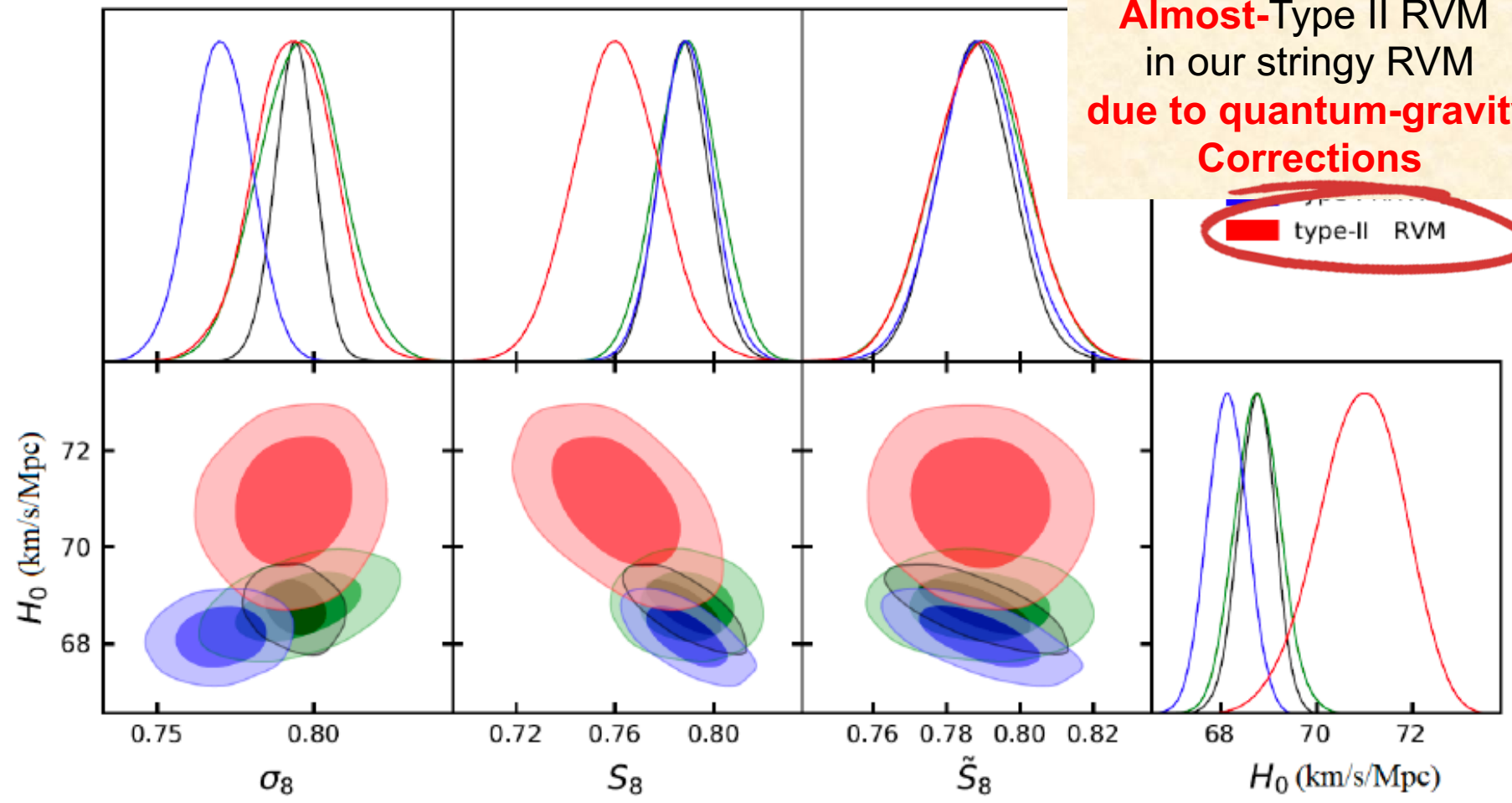


Integrating out graviton flcts

$$\rho \propto (c_1 + c_2 \ln H) H^2 + (c_3 + c_4 \ln H) H^4 + \Lambda$$

Almost-Type II RVM  
in our stringy RVM  
due to quantum-gravity  
Corrections

type-II RVM



Integrating out graviton flcts

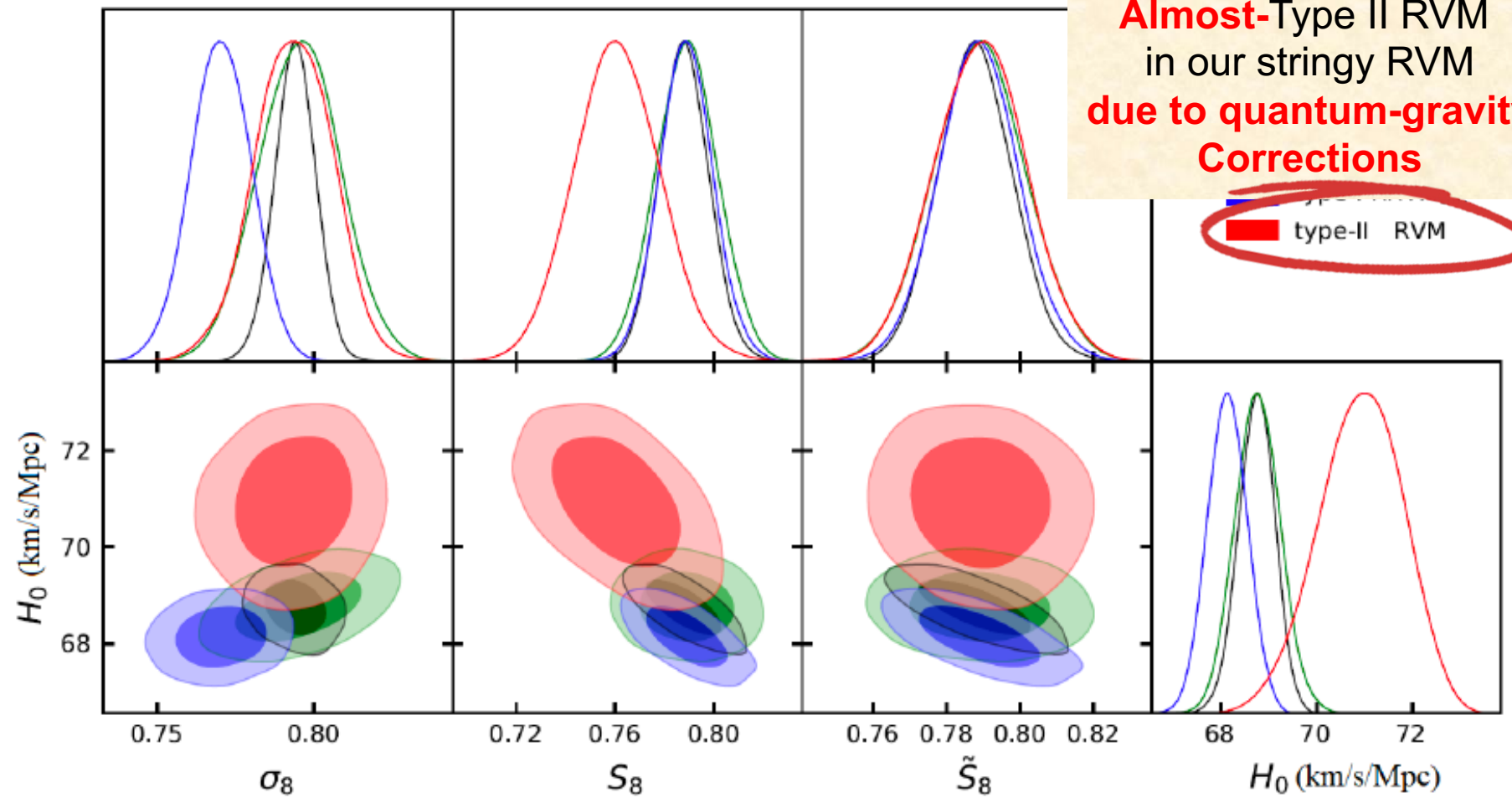
Not Dominant today

Metastable in strings

$$\rho \propto (c_1 + c_2 \ln H) H^2 + (c_3 + c_4 \ln H) H^4 + \Lambda$$

Almost-Type II RVM in our stringy RVM due to quantum-gravity Corrections

type-II RVM





# **9. Conclusions & Outlook**

# The Basic "Cosmic Cycle"

Deviations from  $\Lambda$ CDM  
Resolution of tensions ?

Dark Energy  
("running vacuum model (RVM) type")

current epoch

KR axion  
Mass

Stringy  
gravitational  
Axions  
+  
torsion

Dark Matter

geometric origin

Lorentz-Violating  
Leptogenesis

⊗  
matter-  
antimatter  
Asymmetry

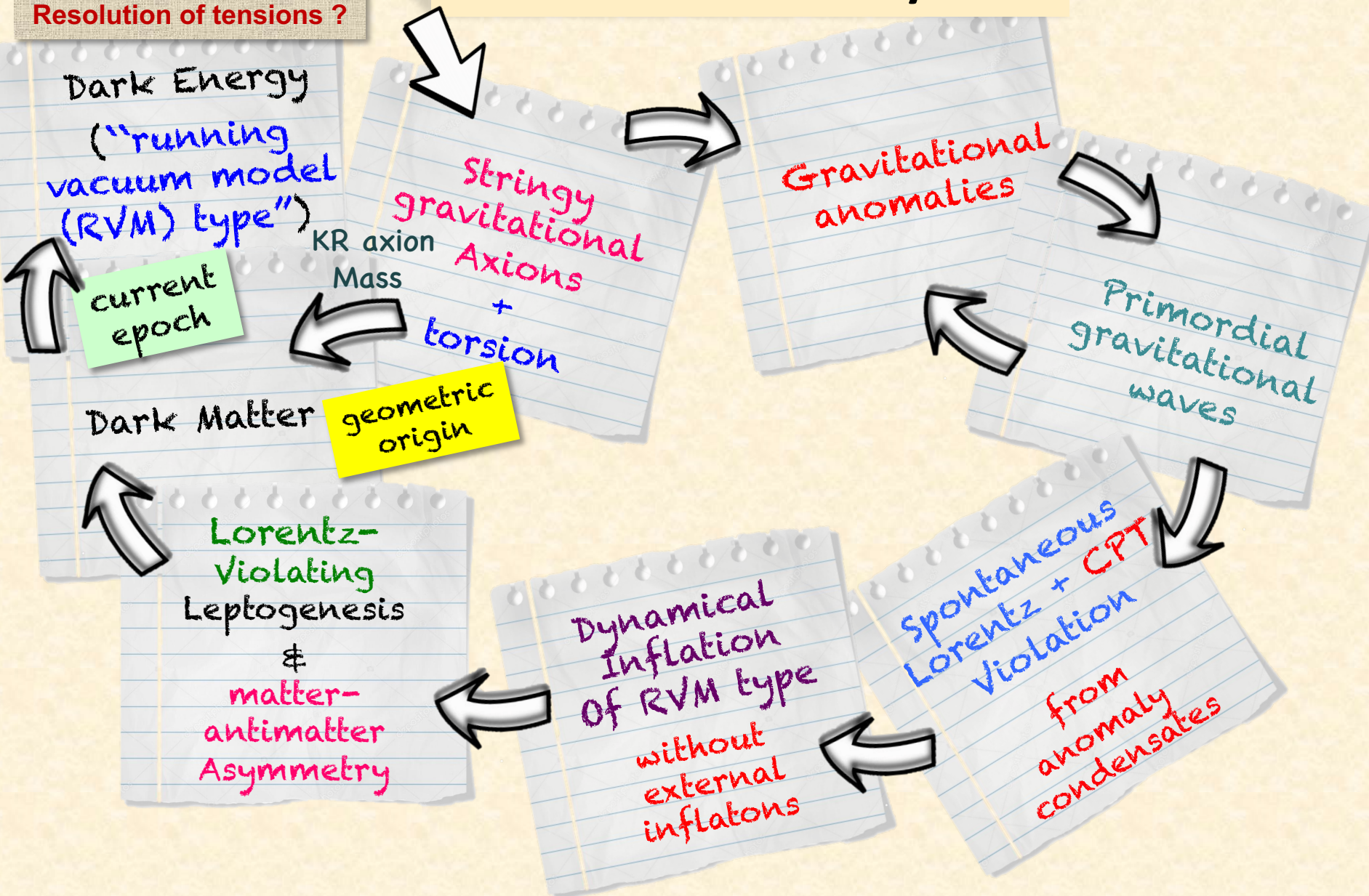
Dynamical  
Inflation  
of RVM type  
without  
external  
inflavons

Spontaneous  
Lorentz + CPT  
Violation

from  
anomaly  
condensates

Gravitational  
anomalies

Primordial  
gravitational  
waves



# The Parts/the Whole

Deviations from  $\Lambda$ CDM  
Resolution of tensions ?

Dark Energy  
("running vacuum model (RVM) type")

current epoch

KR axion  
Mass

Stringy  
gravitational  
Axions

+  
torsion

Gravitational  
anomalies

Primordial  
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Dark Matter

geometric  
origin

**STRINGY RVM**

Lorentz  
Violation

Leptogenesis

⊗  
matter-  
antimatter  
Asymmetry

Dynamical  
Inflation  
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without  
external  
inflaton

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Lorentz + CPT  
Violation

from  
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# Cosmological (stringy RVM) Evolution: the Whole & its Parts

Cosmic Time

Pre RVM-Inflationary era

RVM Inflationary (de Sitter) Phase

Primordial Gravitational Waves

Gravitational anomaly (GA)

Undiluted constant KR axial background

*We exist because of Anomalies!*



Paraphrasing C. Sagan: we are anomalously made of star stuff !

Leptogenesis induced by RHN (tree-level) decays

Spontaneous Lorentz and CPT Violation

Matter Era

axion Dark matter

Modern de-Sitter Era

RVM-type Running Dark Energy

forward direction

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We exist because of Anomalies!

Leptogenesis induced by RHN (tree-level) decays

Spontaneous

OUTLOOK: (i) Incorporate other model-dependent stringy axions → Axiverse  
Interesting Cosmology (eg Marsh 2015)  
could be ultralight → AION etc

Matter Era

Modern de-Sitter Era

axion Dark matter

RVM-type

Running Dark Energy

forward direction



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OUTLOOK: (ii) Look for imprints of the LV & CPTV KR axial background in CMB in early eras.

Leptogenesis induced by RHN (tree-level) decays

Spontaneous Lorentz and CPT Violation

Matter Era

axion Dark matter

Modern de-Sitter Era

RVM-type Running Dark Energy

forward direction

exist because anomalies!

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OUTLOOK: (iii) Can we also get evidence of  $v < 0$  coefficient of  $H^2$  during RVM inflation?

$$\rho_{\text{RVM}}^{\text{string}} \simeq 3 M_{\text{Pl}}^4 \left[ -1.7 \times 10^{-3} \left( \frac{H}{M_{\text{Pl}}} \right)^2 + \mathcal{O}(10^7) \left( \frac{H}{M_{\text{Pl}}} \right)^4 \right]$$

Leptogenesis induced by RHN (tree-level) decays

Spontaneous Lorentz and CPT Violation

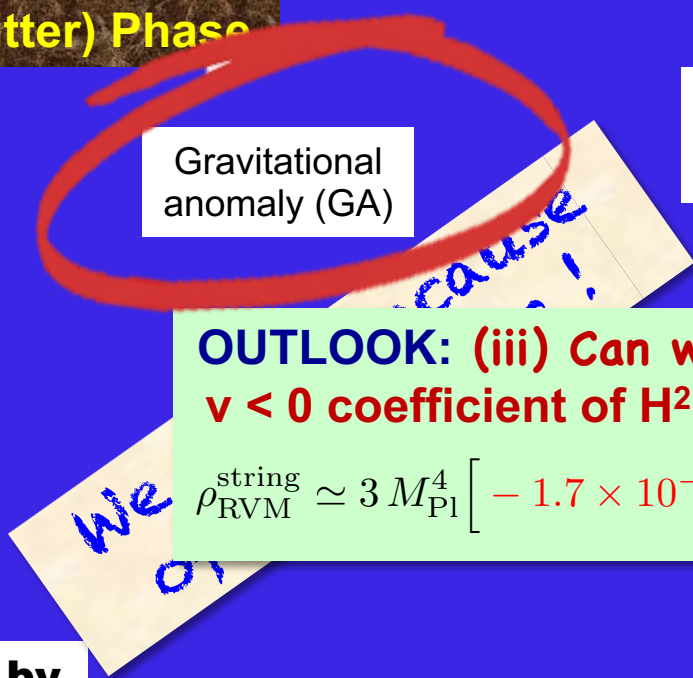
Matter Era

axion Dark matter

Modern de-Sitter Era

RVM-type Running Dark Energy

forward direction



## References:

# Thank you!



a microscopic  
(string-  
inspired)  
model for  
RVM Universe....

Links with :  
spontaneous Lorentz violation  
(via (gravitational axion)  
backgrounds)  
and  
Matter-Antimatter Asymmetry  
in theories with  
Right-Handed Neutrinos

Basilakos, NEM, Solà  
(i) JCAP 12 (2019) 025  
(ii) IJMD28 (2019) 1944002  
(iii) Phys.Rev.D 101 (2020) 045001  
(iv) Phys.Lett.B 803 (2020) 135342  
(v) Universe 2020, 6(11), 218  
NEM, Solà  
(vi) EPJST 230 (2020), 2077  
(vii) EPJPlus 136 (2021), 1152  
NEM  
(viii) arXiv:2205.07044  
(ix) Universe 7 (2021), 480  
(x) Phil. Trans. A380 (2022) 2222  
NEM, Spanos, Stamou,  
(xi) hep-th:2206.07963

- (i) NEM & Sarben Sarkar, EPJC 73 (2013), 2359
- (ii) John Ellis, NEM & Sarkar, PLB 725 (2013), 407
- (iii) De Cesare, NEM & Sarkar, EPJC 75 (2015), 514
- (iv) Bossingham, NEM & Sarkar, EPJC 78 (2018), 113; 79 (2019), 50
- (v) NEM & Sarben Sarkar, EPJC 80 (2020), 558



**SPARES:**

**Bonus**

**Features**

7. Enhanced cosmic perturbations

and

densities of primordial black holes

and Gravitational Waves

7. Enhanced cosmic perturbations

and

densities of primordial black holes

and Gravitational Waves

Assume RVM models with almost  
Instantaneous reheating;

Prolonged reheating in some RVM models leads  
to even more enhanced primordial BH densities



Anomaly condensate  $\rightarrow$  **linear axion potential**  $V_{\text{eff}} \ni \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle b(x)$

approximately de Sitter provided during the duration of inflation

$$b(t) = \bar{b}(0) + 0.14 M_{\text{Pl}} H t_{\text{end}} \simeq \bar{b}(0) \quad \text{order of magnitude}$$

$< 0$

N=e-folds

beginning  
of inflation



$$|\bar{b}(0)| \gtrsim \mathcal{O}(10) M_{\text{Pl}}$$

**Distance-swampland  
conjectures?**

Anomaly condensate  $\rightarrow$  **linear axion potential**  $V_{\text{eff}} \ni \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle b(x)$

$$V(b) \simeq b \tilde{\Lambda}_0^4 \sqrt{\frac{2}{3}} \frac{M_{\text{Pl}}}{96 M_s^2} \equiv b \frac{\tilde{\Lambda}_0^4}{f_b} \equiv b \Lambda_0^3$$

Such a potential can also arise in appropriate brane compactifications  
(eg type IIB strings)

L. McAllister, E. Silverstein and A. Westphal,  
Phys. Rev. D 82 (2010), 046003  
[arXiv:0808.0706 [hep-th]].

We may extend the model to include other **stringy axions** arising from **compactification**

$$V_{a_I}^{\text{lin}} = a_I(x) \frac{f_b}{f_a} \Lambda_0^3 \quad \Lambda_0 = 8.4 \times 10^{-4} M_{\text{Pl}}. \quad f_a = \text{axion coupling}$$

**canonical kinetic  
terms for a-axions**

$$f_b \equiv \left( \sqrt{\frac{2}{3}} \frac{M_{\text{Pl}}}{96 M_s^2} \right)^{-1} \stackrel{\text{Eq. (9)}}{\simeq} 5.3 \times 10^{-6} M_{\text{Pl}}$$

Anomaly condensate  $\rightarrow$  **linear axion potential**  $V_{\text{eff}} \ni \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle b(x)$

**world-sheet** (non-perturbative) **instantons**  $\rightarrow$  **periodic potential perturbations**

$$V_{\text{wsinst}}^b \simeq \Lambda_b^4 \cos\left(\frac{b}{f_b}\right) \quad \Lambda_b^4 \sim M_s^4 e^{-S_{\text{wsinst}}} \quad \rightarrow \quad \Lambda_b \ll \Lambda_0.$$

$$V_{\text{wsinst}}^{a_I} \simeq \Lambda_I^4 \cos\left(\frac{a_I}{f_{a_I}}\right) \quad \Lambda_0 \gg \Lambda_I \neq \Lambda_b, \quad \text{Restrict to } I = 1 : a_1 \equiv a$$

$$V_{\text{brane-compact-effects}}(a) \ni \Lambda_2^4 \frac{1}{f_a} a + \Lambda_I^4 \left(1 + \xi_a \frac{a}{f_a}\right) \cos\left(\frac{a}{f_a}\right)$$

warp factor

$$\frac{\Lambda_2^4}{f_a} \sim \frac{\epsilon}{L} \sqrt{\frac{3}{(2\pi)^3}} M_s^3$$

L. McAllister, E. Silverstein and A. Westphal,  
Phys. Rev. D 82 (2010), 046003  
[arXiv:0808.0706 [hep-th]].

## World-Sheet Instantons, Axion Monodromy like potentials & deviations from scale invariance

Anomaly condensate  $\rightarrow$  **linear axion potential**  $V_{\text{eff}} \ni \langle R_{\mu\nu\rho\sigma} \tilde{R}^{\mu\nu\rho\sigma} \rangle b(x)$

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Case I  $\left( \frac{f_b}{f_a} + \frac{\Lambda_2^4}{f_a \Lambda_0^3} \right)^{1/3} \Lambda_0 < \Lambda_1 \ll \Lambda_0$

NEM, Sola + Basilakos  
Stamou, Spanos, gr-qc...

Case II  $\Lambda_0 \ll \left( \frac{f_b}{f_a} + \frac{\Lambda_2^4}{f_a \Lambda_0^3} \right)^{1/3} \Lambda_0 < \Lambda_1$

Zhou, Jiang, Cai, Sasaki, Pi,  
Phys. Rev. D 102 (2020) no.10, 103527

# World-Sheet Instantons, Axion Monodromy like potentials & deviations from scale invariance

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NEM, Sola + Basilakos  
Stamou, Spanos, gr-qc...

Case

**Enhancement** of cosmic perturbations

$$\Lambda_0 \ll \left( \frac{f_b}{f_a} + \frac{\Lambda_2^4}{f_a \Lambda_0^3} \right)^{1/3} \Lambda_0 < \Lambda_1$$



Zhou, Jiang, Cai, Sasaki, Pi,  
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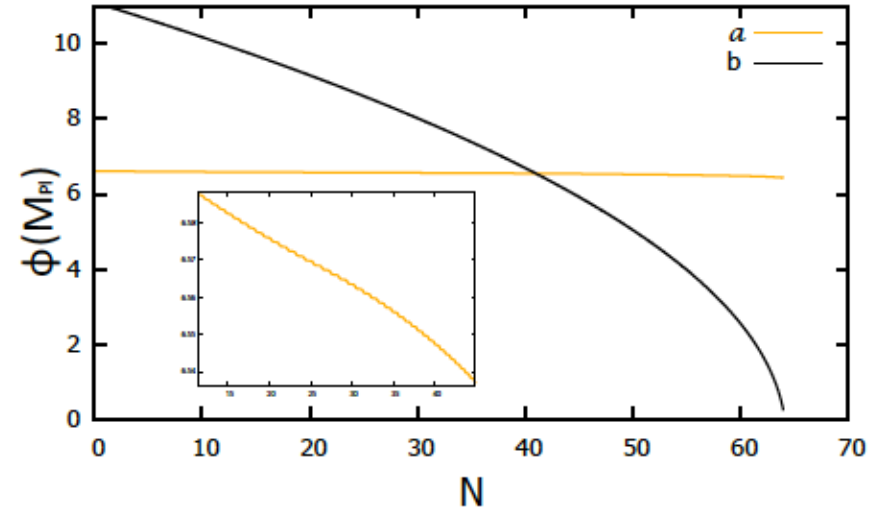
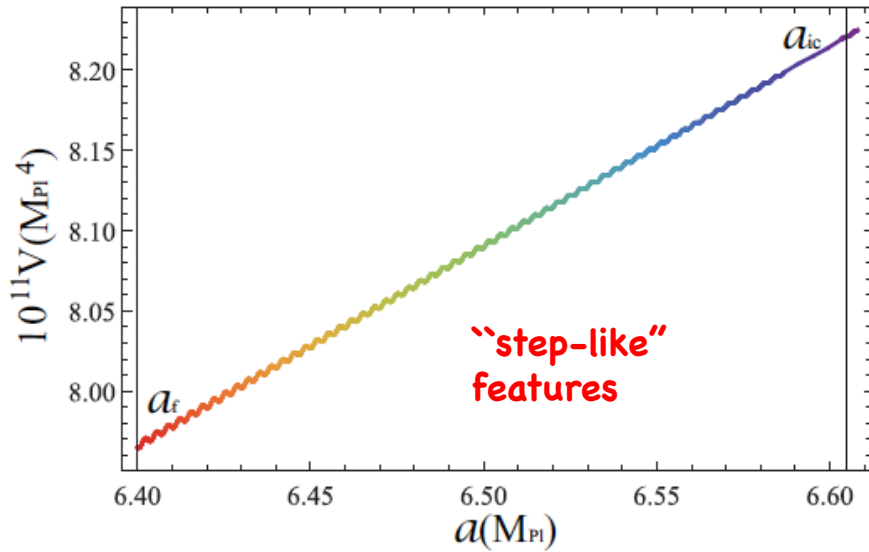
$$V(a, b) = \Lambda_1^4 \left( 1 + f_a^{-1} \tilde{\xi}_1 a(x) \right) \cos(f_a^{-1} a(x)) + \frac{1}{f_a} \left( f_b \Lambda_0^3 + \Lambda_2^4 \right) a(x) + \Lambda_0^3 b(x)$$

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NEM, Stamou, Spanos, gr-qc...

**b-field + condensate** drive inflation, **a-axion** ends inflation



$$V(a, b) = \Lambda_1^4 \left( 1 + f_a^{-1} \tilde{\xi}_1 a(x) \right) \cos(f_a^{-1} a(x)) + \frac{1}{f_a} \left( f_b \Lambda_0^3 + \Lambda_2^4 \right) a(x) + \Lambda_0^3 b(x)$$

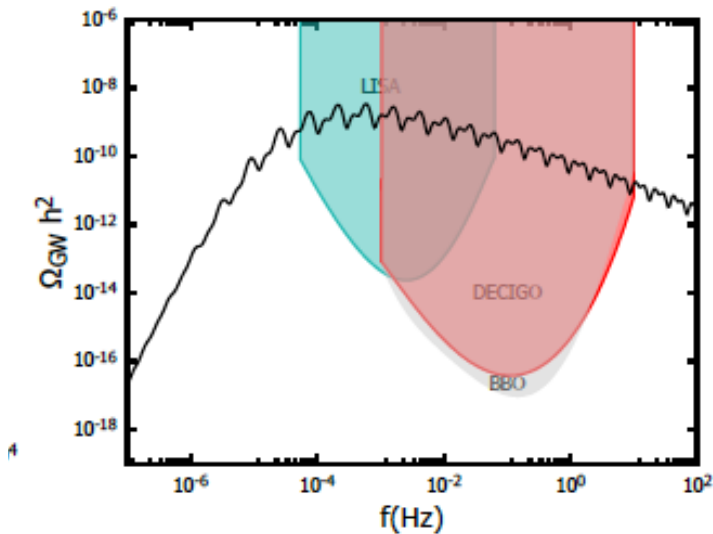
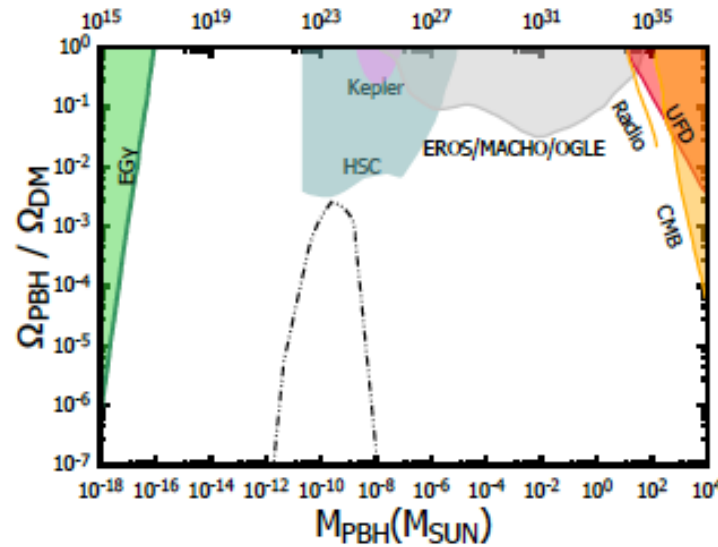
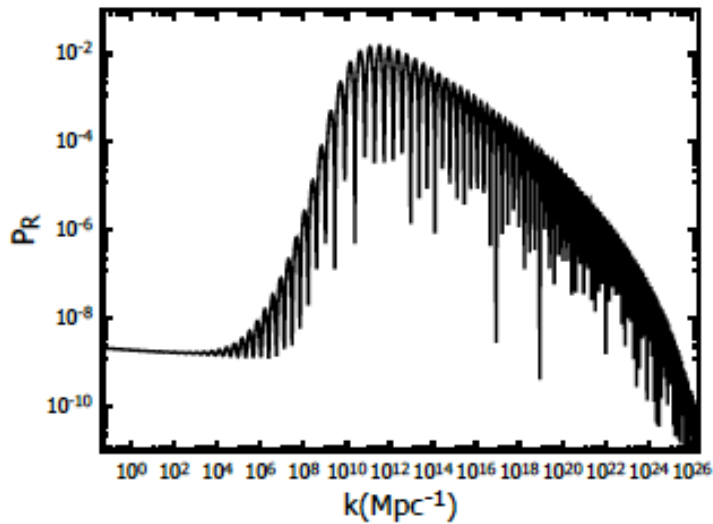
$$n_s = 1 + \frac{d \ln P_R}{d \ln k} \quad r = \frac{P_T}{P_R} \quad P_T = \frac{2}{\pi^2} H^2$$

SET	$g_1$	$g_2$	$\xi$	$f(M_{Pl})$	$\Lambda_0(M_{Pl})$	$\Lambda_1(M_{Pl})$	$\Lambda_3(M_{Pl})$
1	0.021	0.904	-0.15	$2.5 \times 10^{-4}$	$8.4 \times 10^{-4}$	$8.19 \times 10^{-4}$	$2.32 \times 10^{-4}$
2	0.026	0.774	-0.20	$2.5 \times 10^{-4}$	$8.4 \times 10^{-4}$	$7.89 \times 10^{-4}$	$2.49 \times 10^{-4}$

SET	$a_{ic}$	$b_{ic}$	$n_s$	$r$
1	6.605	11.1	0.9638	0.062
2	4.932	11.4	0.9619	0.060

# Primordial Black Hole (PBH) and GW enhanced production during inflation

SET 1



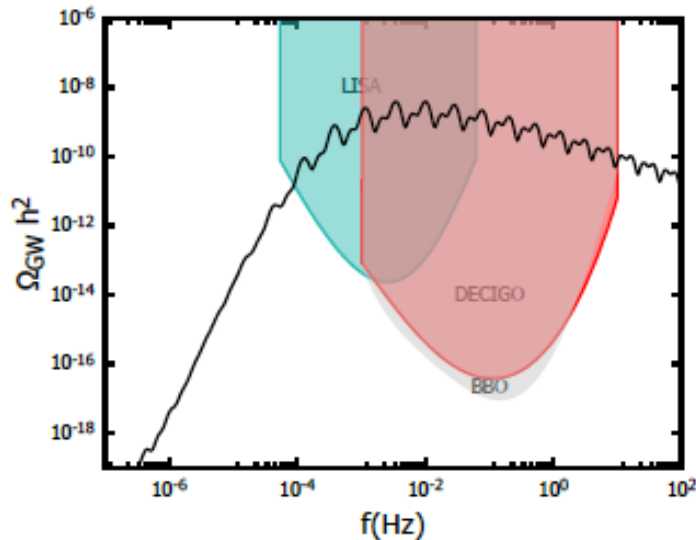
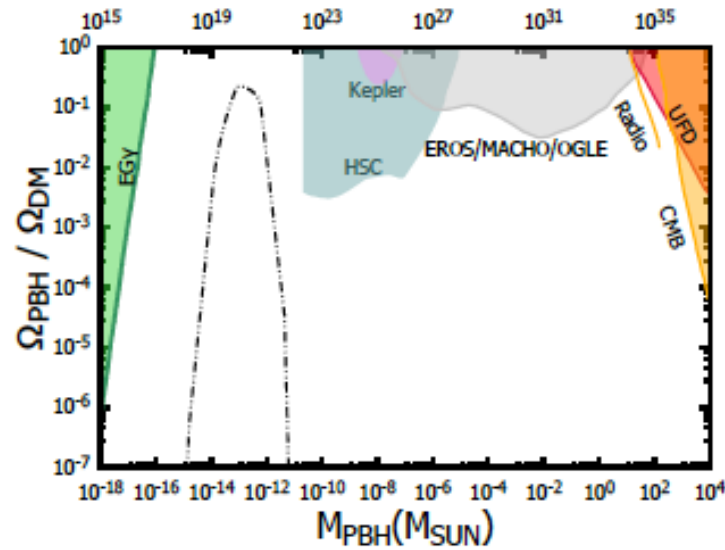
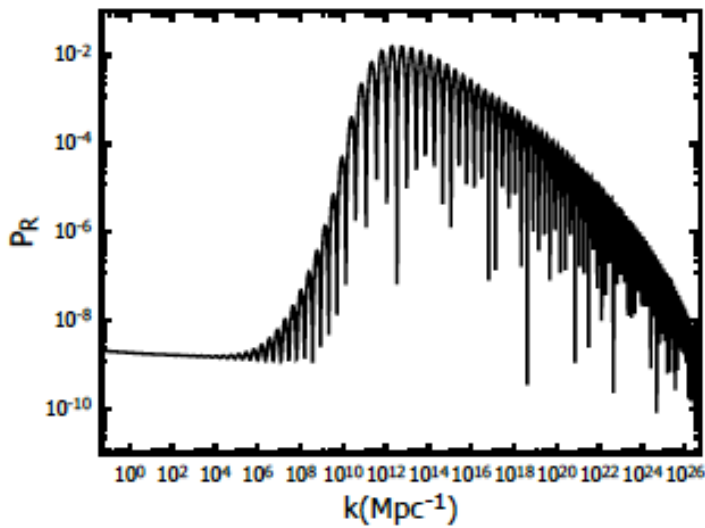
fractional PBH abundance

$$f_{PBH} = \int_k dM_{PBH}(k) \frac{1}{M_{PBH}(k)} \frac{\Omega_{PBH}}{\Omega_{DM}}(M_{PBH}(k))$$

$$f_{PBH} = 0.01$$

# Primordial Black Hole (PBH) and GW enhanced production during inflation

SET 2



fractional PBH abundance

$$f_{PBH} = \int_k dM_{PBH}(k) \frac{1}{M_{PBH}(k)} \frac{\Omega_{PBH}}{\Omega_{DM}}(M_{PBH}(k))$$

$$f_{PBH} = 0.80.$$

## World-Sheet Instantons, Axion Monodromy like potentials & deviations from scale invariance

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$$V(a, b) = \Lambda_1^4 \left( 1 + f_a^{-1} \tilde{\xi}_1 a(x) \right) \cos(f_a^{-1} a(x)) + \frac{1}{f_a} \left( f_b \Lambda_0^3 + \Lambda_2^4 \right) a(x) + \Lambda_0^3 b(x)$$

Case II

$$\Lambda_0 \ll \left( \frac{f_b}{f_a} + \frac{\Lambda_2^4}{f_a \Lambda_0^3} \right)^{1/3} \Lambda_0 < \Lambda_1$$

Zhou, Jiang, Cai, Sasaki, Pi,  
Phys. Rev. D 102 (2020) no.10, 103527

Stamou, Spanos, gr-qc...

## World-Sheet Instantons, Axion Monodromy like potentials & deviations from scale invariance

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Stamou, Spanos, gr-qc...

**specific set of parameters**  
enhancement due to **inflection points** in the potential  $\rightarrow$   
different enhancement mechanism than in

Zhou, Jiang, Cai, Sasaki, Pi,  
Phys. Rev. D 102 (2020) no.10, 103527

# World-Sheet Instantons, Axion Monodromy like potentials & deviations from scale invariance

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$$\Lambda_0 = 8.4 \times 10^{-4} M_{\text{Pl}}, \quad g_1 = 110, \quad g_2 = 1.779 \times 10^4, \quad \xi = -0.09, \quad f = 0.09 M_{\text{Pl}}$$

**SET 3**  $(a_{ic}, b_{ic}) = 7.5622, 0.522.$

Stamou, Spanos, gr-qc...



**specific set of parameters**  
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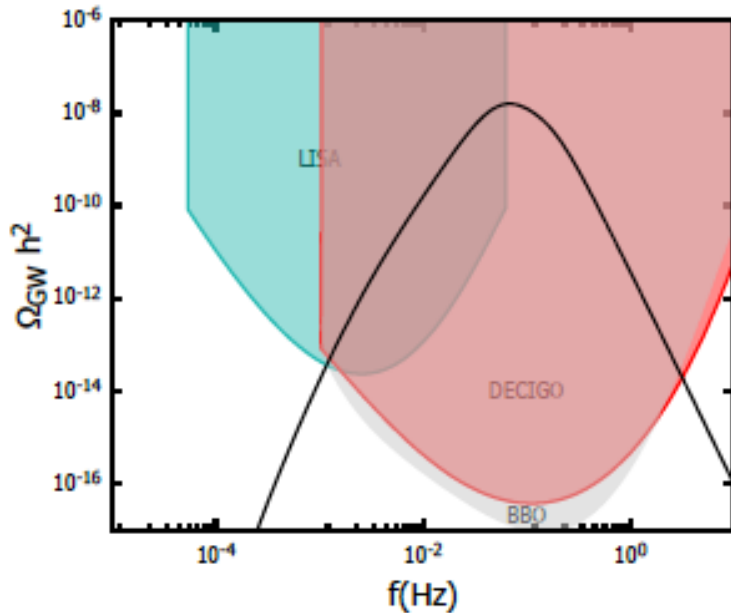
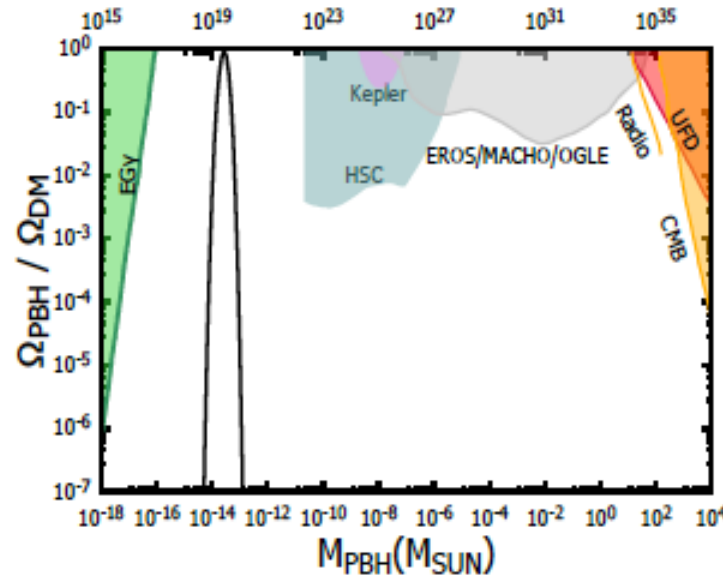
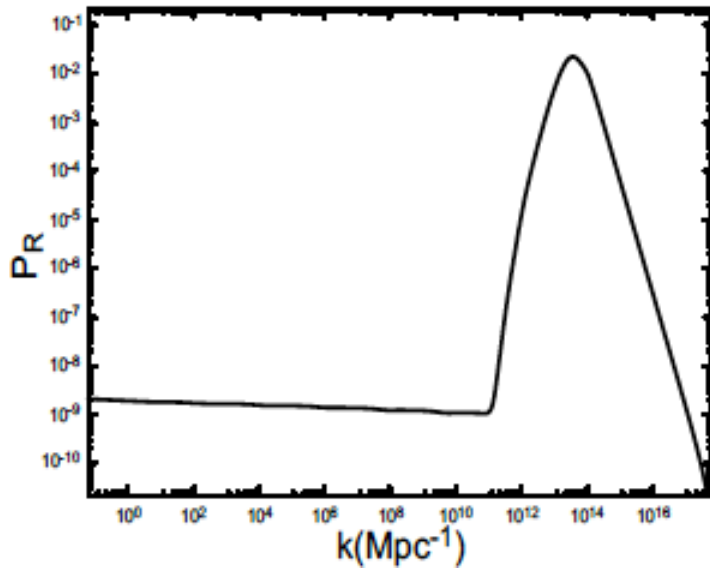
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Zhou, Jiang, Cai, Sasaki, Pi,  
Phys. Rev. D 102 (2020) no.10, 103527

Primordial Black Hole (PBH) and GW enhanced production during inflation in **Case 2**

NEM, Stamou, Spanos, gr-qc...

**SET 3**



fractional PBH abundance

$$f_{PBH} = \int_k dM_{PBH}(k) \frac{1}{M_{PBH}(k)} \frac{\Omega_{PBH}}{\Omega_{DM}}(M_{PBH}(k))$$

$$f_{PBH} = 0.762$$



**SUMMARY: Primordial Black Hole (PBH) and GW enhanced production during inflation in Cases 1 + 2**

NEM, Stamou, Spanos, gr-qc...

SET	$P_R^{peak}$	$M_{PBH}^{peak}(M_\odot)$	$f_{PBH}$
1	$1.466 \times 10^{-2}$	$2.394 \times 10^{-10}$	0.009
2	$1.365 \times 10^{-2}$	$8.313 \times 10^{-14}$	0.799
3	$2.24 \times 10^{-2}$	$1.791 \times 10^{-14}$	0.762

Hence in both hierarchies of scales :

$$1: \left( \frac{f_b}{f_a} + \frac{\Lambda_2^4}{f_a \Lambda_0^3} \right)^{1/3} \Lambda_0 < \Lambda_1 \ll \Lambda_0 \quad , \quad 2: \quad \Lambda_0 \ll \left( \frac{f_b}{f_a} + \frac{\Lambda_2^4}{f_a \Lambda_0^3} \right)^{1/3} \Lambda_0 < \Lambda_1$$

one may get **significant enhancement** of cosmic perturbations, and PBH production, and thus a **significant portion** of PBH could play **the role of DM**, also, as a result, **profiles of GW** could **change** during radiation, in principle **falsifiable predictions** at **interferometers**.

8a. Post-RVM-Inflationary  
Era

Cancellation of  
Gravitational Anomalies

# Cancellation of Gravitational Anomalies in Radiation Era by:

Chiral Fermionic Matter generation @ end of Inflation

Basilakos, NEM, Soła (2019-20)

**Required** by consistency of quantum theory of matter and radiation (**diffeomorphism invariance**)

$$S^{\text{eff}} = \int d^4x \sqrt{-g} \left[ -\frac{1}{2\kappa^2} R + \frac{1}{2} \partial_\mu b \partial^\mu b + \frac{\alpha'}{\kappa} b(x) \nabla_\mu \left( \sqrt{\frac{2}{3}} \frac{1}{96} \mathcal{K}^\mu - \sqrt{\frac{3}{8}} J^{5\mu} \right) \right] + \dots,$$

$$J^{5\mu} = \sum_j \bar{\psi}_j \gamma^\mu \gamma^5 \psi_j, \quad \text{Chiral current, including RHN}$$

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$$J^{5\mu} = \sum_j \bar{\Psi}_j \gamma^\mu \gamma^5 \Psi_j, \quad \text{Chiral current, including RHN}$$

$$\partial_\mu \left[ \sqrt{-g} \left( \sqrt{\frac{3}{8}} \frac{\alpha'}{\kappa} J^{5\mu} - \frac{\alpha'}{\kappa} \sqrt{\frac{2}{3}} \frac{1}{96} \mathcal{K}^\mu \right) \right] = \sqrt{\frac{3}{8}} \frac{\alpha'}{\kappa} \left( \frac{\alpha_{\text{EM}}}{2\pi} \sqrt{-g} F^{\mu\nu} \tilde{F}_{\mu\nu} + \frac{\alpha_s}{8\pi} \sqrt{-g} G_{\mu\nu}^a \tilde{G}^{a\mu\nu} \right)$$

chiral U(1)

Gluon QCD

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Chiral current, including RHN

$$\partial_\mu \left[ \sqrt{-g} \left( \sqrt{\frac{3}{8}} \frac{\alpha'}{\kappa} J^{5\mu} - \frac{\alpha'}{\kappa} \sqrt{\frac{2}{3}} \frac{1}{96} \mathcal{K}^\mu \right) \right] = \sqrt{\frac{3}{8}} \frac{\alpha'}{\kappa} \left( \frac{\alpha_{\text{EM}}}{2\pi} \sqrt{-g} F^{\mu\nu} \tilde{F}_{\mu\nu} + \frac{\alpha_s}{8\pi} \sqrt{-g} G_{\mu\nu}^a \tilde{G}^{a\mu\nu} \right)$$

chiral U(1)

Gluon QCD

instanton generated potential for KR axion b-field during matter dominance → axion Dark Matter

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Basilakos, NEM, Soà (2019-20)

Required by consistency of quantum theory of matter and radiation (**diffeomorphism invariance**)



Scale factor  $a(t) \sim T^{-1}$

Possibly also QCD

$$\dot{\bar{b}} \propto T^3 + \text{subleading } (\sim T^2) \text{ chiral U(1) anomaly terms}$$

sufficiently slowly varying during leptogenesis  
(brief) epoch  $\rightarrow$  qualitatively similar to  
approximately const. background

Bossingham, NEM,  
Sarkar

## 8b. Lorentz- & CPT-Violating

Leptogenesis →

→ Baryogenesis

in models with Massive  
Right-handed Neutrinos

# CPT Violation



de Cesare, NEM, Sarkar  
Eur.Phys.J. C75, 514 (2015)

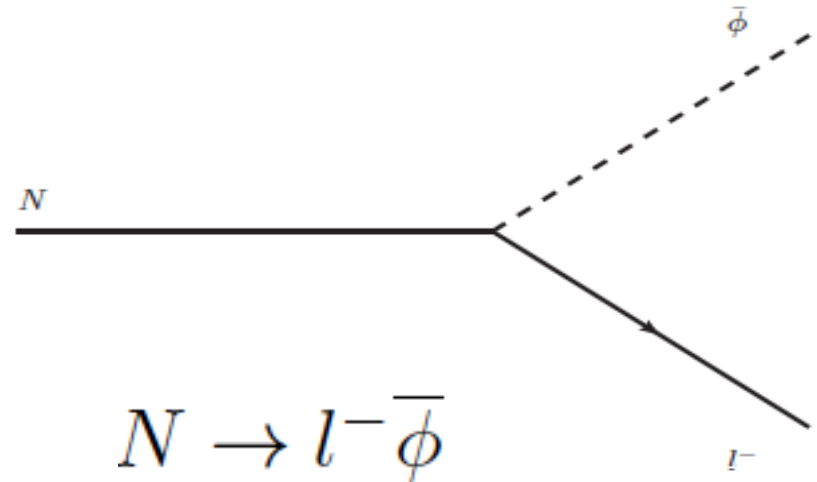
Early Universe  
 $T \gg T_{EW}$

$$\mathcal{L} = i\bar{N}\not{\partial}N - \frac{m}{2}(\bar{N}^c N + \bar{N}N^c) - \bar{N}\not{B}\gamma^5 N - Y_k \bar{L}_k \tilde{\phi} N + h.c.$$

Heavy Right-Handed-Neutrinos ( $N$ ) interact with **axial (approx.) constant background** with only temporal component  $B_0 \propto \dot{b} \neq 0$

Produce Lepton asymmetry

Lepton number & CP Violations  
@ **tree-level** due to  
Lorentz/CPTV Background



$$N \rightarrow l^+ \phi$$

$$N \rightarrow l^- \bar{\phi}$$

$$\Gamma_1 = \sum_k \frac{|Y_k|^2}{32\pi^2} \frac{m^2}{\Omega} \frac{\Omega + B_0}{\Omega - B_0} \neq \Gamma_2 = \sum_k \frac{|Y_k|^2}{32\pi^2} \frac{m^2}{\Omega} \frac{\Omega - B_0}{\Omega + B_0} \quad \text{CPV \& LV}$$

$B_0 \neq 0$

$$\Omega = \sqrt{B_0^2 + m^2}$$



$$\mathcal{L} = i\bar{N}\not{\partial}N - \frac{m}{2}(\bar{N}^c N + \bar{N}N^c) - \bar{N}\not{B}\gamma^5 N - Y_k \bar{L}_k \tilde{\phi} N + h.c.$$

(approx.) Constant  $B_0$  Background

Early Universe  
 $T \gg T_{EW}$

# CPT Violation

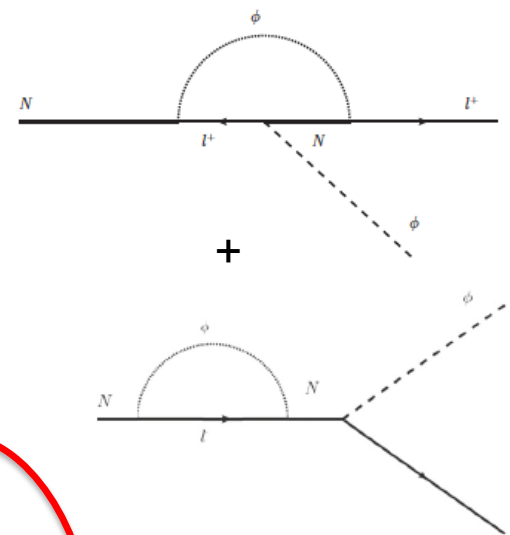
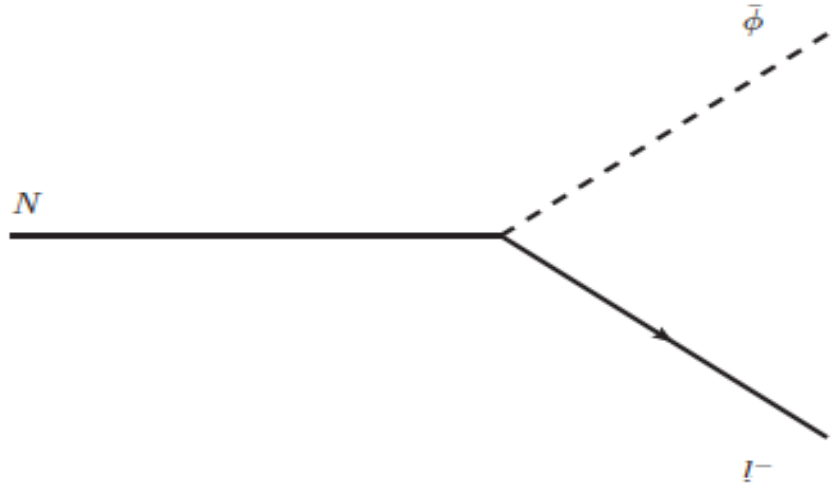


Lepton number & CP Violations @ tree-level  
 due to Lorentz/CPTV Background

$$N_I \rightarrow \bar{\phi} l, \phi \bar{l}$$

Produce Lepton asymmetry

Contrast with one-loop conventional CPV Leptogenesis (in absence of H-torsion)



Fukugita, Yanagida,

# CPTV Thermal

$$\mathcal{L} = i\bar{N}\not{\partial}N - \frac{m}{2}(\bar{N}^c N + \bar{N}N^c) - \bar{N}\not{B}\gamma^5 N - Y_k \bar{L}_k \tilde{\phi} N + h.c.$$

Early Universe  
 $T > 10^5 \text{ GeV}$

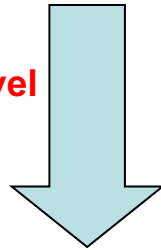
## CPT Violation



(approx.) Constant  $B^0 \neq 0$   
 background

Lepton number & CP Violations @ tree-level  
 due to Lorentz/CPTV Background

$$N_I \rightarrow \bar{\phi} l, \phi \bar{l}$$



$$\frac{\Delta L}{n_\gamma} \simeq 10^{-10},$$



$$\frac{B_0}{m} \simeq 10^{-8}$$

Produce Lepton asymmetry

Solving system  
 of Boltzmann  
 eqs

$$\frac{\Delta L^{TOT}}{s} \simeq \frac{g_N}{7e(2\pi)^{3/2}} \frac{B_0}{m} \simeq 0.007 \frac{B_0}{m}$$

$$Y_k \sim 10^{-5}$$

$$m \geq 100 \text{ TeV} \rightarrow$$

$$B^0 \sim 1 \text{ MeV}$$

$$T_D \simeq m \sim 100 \text{ TeV}$$

Similar order of magnitude estimates  
 if  $B^0 \sim T^3$  during Leptogenesis era

Bossingham, NEM,  
 Sarkar

# CPTV Thermal

$$\mathcal{L} = i\bar{N}\not{\partial}N - \frac{m}{2}(\bar{N}^c N + \bar{N}N^c) - \bar{N}\not{B}\gamma^5 N - Y_k \bar{L}_k \tilde{\phi} N + h.c.$$

Early Universe  
 $T > 10^5 \text{ GeV}$

## CPT Violation



(approx.) Constant  $B^0 \neq 0$   
 background

Lepton number & CP Violations @ tree-level  
 due to Lorentz/CPTV Background

$$N_I \rightarrow \bar{\phi} l, \phi \bar{l}$$

$$\frac{\Delta L}{n_\gamma} \simeq 10^{-10},$$

$$\frac{B_0}{m} \simeq 10^{-8}$$

Produce Lepton asymmetry

Equilibrated electroweak  
 B+L violating sphaleron interactions

B-L conserved

Environmental  
 Conditions Dependent

$$L = \frac{2}{M} l_L l_L \phi \phi + \text{H.c.}$$

where

$$l_L = \begin{bmatrix} \nu_e \\ e \end{bmatrix}_L, \begin{bmatrix} \nu_\mu \\ \mu \end{bmatrix}_L, \begin{bmatrix} \nu_\tau \\ \tau \end{bmatrix}_L$$

Observed Baryon Asymmetry  
 In the Universe (BAU)

Fukugita, Yanagida,

$$\frac{n_B - \bar{n}_B}{n_B + \bar{n}_B} \sim \frac{n_B - \bar{n}_B}{s} = (8.4 - 8.9) \times 10^{-11} \quad T > 1 \text{ GeV}$$