

The emergence of expanding space-time in a novel large- N limit of the Lorentzian type IIB matrix model

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Based on the collaboration with
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gauge theory and related physical models

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Lorentzian type IIB matrix model

[N. Ishibashi, H. Kawai, Y. Kitazawa, A. Tsuchiya (1997)]

- partition function and the action

c.f.) related talks at the workshop:

Brandenberger on 19/9

Battista on 19/9

Tran on 21/9

$$Z = \int dA d\Psi d\bar{\Psi} e^{i(S_b + S_f)}$$

$$S_b = -\frac{N}{4} \text{Tr} \{ -2[A_0, A_i]^2 + [A_i, A_j]^2 \}$$

$$S_f = -\frac{N}{2} \text{Tr} \{ \bar{\Psi}_\alpha (C\Gamma^\mu)_{\alpha\beta} [A_\mu, \Psi_\beta] \}$$

$A_\mu, \Psi_\alpha : N \times N$ Hermitian matrices $(\mu = 0, \dots, 9, \alpha = 1, 2, \dots, 16)$

- a promising candidate for non-perturbative formulation of superstring theory

- matrix regularization of the worldsheet action
- The interactions of D-branes can be reproduced.
- The string field Hamiltonian can be derived from Schwinger-Dyson equations for the Wilson loop operators.

[M. Fukuma, H. Kawai, Y. Kitazawa, A. Tsuchiya (1998)]



Lorentzian type IIB matrix model

[N. Ishibashi, H. Kawai, Y. Kitazawa, A. Tsuchiya (1997)]

- partition function and the action

$$Z = \int dA d\Psi d\bar{\Psi} e^{i(S_b + S_f)}$$
$$S_b = -\frac{N\beta}{4} \text{Tr} \{ -2[A_0, A_i]^2 + [A_i, A_j]^2 \}$$
$$S_f = -\frac{N\beta}{2} \text{Tr} \{ \bar{\Psi}_\alpha (C\Gamma^\mu)_{\alpha\beta} [A_\mu, \Psi_\beta] \}$$

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- This model has $\mathcal{N} = 2$ SUSY.
evidence for the fact that this model includes gravity
- Geometry emerges from matrix degrees of freedom.
In the SUSY algebra, translation corresponds to shift of A_μ .
→ The eigenvalues of A_μ are identified as space-time coordinates.
- This model has $SO(9,1)$ Lorentz symmetry.

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- 2 relation between the Euclidean and Lorentzian model
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$$Z_L = \int dA d\Psi d\bar{\Psi} e^{i(S_b + S_f)} = \int dA e^{iS_b} \text{Pf} \mathcal{M}$$

- Wick rotation in this model

$$S_b \rightarrow \tilde{S}_b = N\beta e^{i\frac{\pi}{2}u} \text{Tr} \left\{ \frac{1}{2} e^{-i\pi u} [\tilde{A}_0, \tilde{A}_i]^2 - \frac{1}{4} [\tilde{A}_i, \tilde{A}_j]^2 \right\},$$

↗ on the worldsheet ↖ in the target space

$$u = \begin{cases} 0 : \text{Lorentzian} \\ 1 : \text{Euclidean} \end{cases}$$

This Wick rotation is equivalent to the contour deformation:

$$\begin{aligned} A_0 &\rightarrow \tilde{A}_0 = e^{i\frac{\pi}{2}u} e^{-i\frac{\pi}{8}u} A_0 = e^{i\frac{3}{8}\pi u} A_0 \\ A_i &\rightarrow \tilde{A}_i = e^{-i\frac{\pi}{8}u} A_i \end{aligned}$$

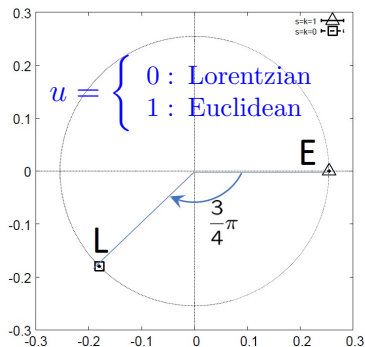
equivalence between the Euclidean and Lorentzian model

Cauchy's theorem

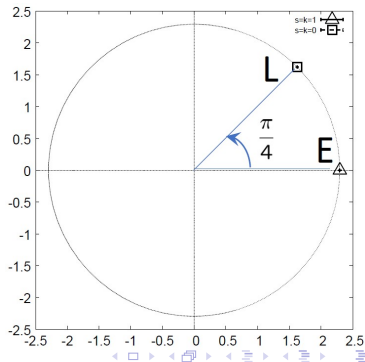
$$\left\langle \mathcal{O}(e^{-i\frac{3}{8}\pi u} \tilde{A}_0, e^{i\frac{\pi}{8}u} \tilde{A}_i) \right\rangle_u \text{ is independent of } u.$$

numerical confirmation of the equivalence using complex Langevin method

$$\left\langle \frac{1}{N} \text{Tr}(A_0)^2 \right\rangle_L = e^{-i\frac{3}{4}\pi} \left\langle \frac{1}{N} \text{Tr}(\tilde{A}_0)^2 \right\rangle_E,$$



$$\left\langle \frac{1}{N} \text{Tr}(A_i)^2 \right\rangle_L = e^{i\frac{1}{4}\pi} \left\langle \frac{1}{N} \text{Tr}(\tilde{A}_i)^2 \right\rangle_E$$



- $\text{Pf}\mathcal{M}$ is complex valued in the Euclidean model.
 - SSB of $\text{SO}(10)$ does not occur in the phase quenched model.

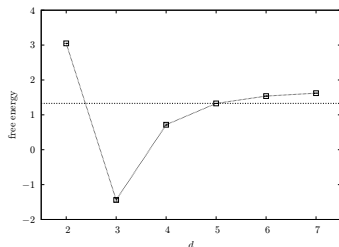
[J. Ambjørn, K. Anagnostopoulos, W. Bietenholz, T. Hotta, J. Nishimura (2000)]

→ The phase of $\text{Pf}\mathcal{M}$ plays an important role.

[J. Nishimura, G. Vernizzi (2000)]

- Gaussian expansion analysis [J. Nishimura, T. Okubo, and F. Sugino (2011)]

free energy for $\text{SO}(d)$ symmetric vacuum



$\text{SO}(10) \rightarrow \text{SO}(3)$ is predicted.

non-perturbative aspects of the Euclidean model

[K. Anagnostopoulos, T. Azuma, Y. Ito, J. Nishimura, T. Okubo, S. Papadoudis (2020)]

- sign problem (\because Pf \mathcal{M} is complex valued.)

Conventional Monte Carlo methods are not applicable.

→ The problem was overcome by using complex Langevin method.

- SSB: $SO(10) \rightarrow SO(3)$ occurs dynamically.
 - $SO(4)$ does not appear.
- Relation between the emergent space and our universe is not clear.

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- solving the equation of motion.

$$[A^\nu, [A_\nu, A_\mu]] = 0.$$

- The solution to this EOM is exhausted by diagonal matrices.
- no strong reasons for the emergence of expanding space

- introducing an additional term

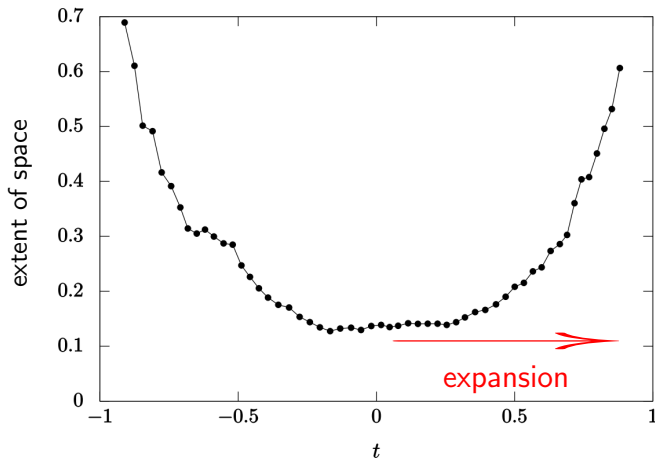
$$[A^\nu, [A_\nu, A_\mu]] - \gamma A_\mu = 0.$$
$$(\gamma > 0)$$

- Typical solutions have expanding space although its dimensionality is not fixed.

classical solution with (3+1)D space-time

[K. Hatakeyama, A. Matsumoto, J. Nishimura, A. Tsuchiya, A. Yosprakob (2019)]

- (3+1)D solutions (The dimensionality is chosen by hand)
 - The 3d space expands in typical classical solutions.



novel large- N limit

- In order to obtain a large- N limit inequivalent to the Euclidean model, we add a Lorentz invariant “mass” term to the action.

$$S_\gamma = -\frac{1}{2}N\gamma\text{Tr}(A_\mu)^2 = \frac{1}{2}N\gamma\{\text{Tr}(A_0)^2 - \text{Tr}(A_i)^2\}$$

$(\gamma > 0)$

Motivation for this extra mass term comes from the previous work on classical solutions.

$$[A^\nu, [A_\nu, A_\mu]] - \gamma A_\mu = 0$$

[K. Hatakeyama, A. Matsumoto, J. Nishimura, A. Tsuchiya, A. Yosprakob (2019)] [H. Steinacker (2017)]

We consider taking the $\gamma \rightarrow 0^+$ limit after taking the large- N limit.

We will see that γ can be also interpreted as an “infrared regulator” for the expanding space-time.

novel large- N limit

$$Z = \int dA e^{-S(A)} \text{Pf} \mathcal{M}(A), \quad e^{-S(A)} = e^{i(S_b(A) + S_\gamma(A))}$$

- contour deformation

$$\tilde{F}_{\mu\nu} \equiv -i[\tilde{A}_\mu, \tilde{A}_\nu]$$

positive real part for $0 < u \leq 1$

$$S(A) \rightarrow S(\tilde{A}) \sim 2e^{i\frac{\pi}{2}(1-u)} \text{Tr}(\tilde{F}_{0i})^2 + e^{-i\frac{\pi}{2}(1-u)} \text{Tr}(\tilde{F}_{ij})^2 \\ + \gamma e^{-i\frac{\pi}{2}(1+\frac{3}{2}u)} \text{Tr}(\tilde{A}_0)^2 + \gamma e^{i\frac{\pi}{2}(1+\frac{1}{2}u)} \text{Tr}(\tilde{A}_i)^2$$

negative real part for $0 < u \leq 1$

The action is unbounded for $0 < u \leq 1$

One cannot define the model by contour deformation any more!
(\therefore The corresponding Euclidean model is ill-defined.)

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- We choose an $SU(N)$ basis :

$$A_0 = \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_N)$$
$$(\alpha_1 < \alpha_2 < \dots < \alpha_N)$$

- sign problem

$$Z_L = \int dA e^{i(S_b + S_\gamma)} \text{Pf} \mathcal{M}(A)$$

phase factor

We cannot regard the Boltzmann weight as the probability.
→ Conventional Monte Carlo methods are not applicable.

We use complex Langevin method to overcome the problem.

- a way to realize the ordering : $\alpha_1 < \alpha_2 < \dots < \alpha_N$ ($A_0 = \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_N)$)

$$\alpha_1 = 0, \alpha_2 = e^{\tau_1}, \alpha_3 = e^{\tau_1} + e^{\tau_2}, \dots, \alpha_N = \sum_{a=1}^{N-1} e^{\tau_a}$$

[J. Nishimura, A. Tsuchiya (2019)]

- complexify the variables

A_i : Hermitian matrices \rightarrow general matrices

τ_a : real \rightarrow complex

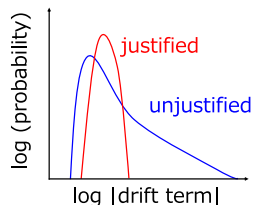
- complex Langevin equation

$$\frac{d\tau_a}{dt_L} = -\frac{\partial S}{\partial \tau_a} + \eta_a(t_L), \quad \frac{d(A_i)_{ab}}{dt_L} = -\frac{\partial S}{\partial (A_i)_{ba}} + (\eta_i)_{ab}(t_L)$$

critierion for the correct convergence

The drift histogram falls off exponentially or faster with the magnitude of **the drift term**.

[K. Nagata, J. Nishimura, S. Shimasaki (2016)]



- singular drift problem - a cause of wrong convergence -

If the Dirac operator has near-zero eigenvalues,
the criterion is not satisfied.

- adding fermionic mass term

$$S_{m_f} = iN m_f \text{Tr}[\bar{\Psi}_\alpha (\Gamma_7 \Gamma_8^\dagger \Gamma_9)_{\alpha\beta} \Psi_\beta]$$

[K. Anagnostopoulos, T. Azuma, Y. Ito, J. Nishimura, T. Okubo, S. Papadoudis (2020)]

$m_f = \infty$ corresponds to the fermion quenched model.

We need to make the $m_f \rightarrow 0$ extrapolation eventually.

- We perform the following procedure at each Langevin step for stabilization.

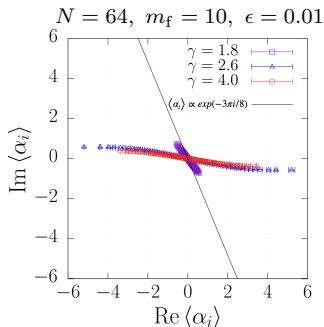
(c.f. dynamical stabilization for QCD [F. Attanasio, B. Jäger (2018)])

$$A_i \rightarrow \frac{1}{1+\epsilon} \left(A_i + \epsilon A_i^\dagger \right)$$

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phase structure for various γ

Lorentz invariant mass term: $\frac{1}{2}N\gamma \{ \text{Tr}(A_0)^2 - \text{Tr}(A_i)^2 \}$



- $\gamma \leq 1.8$:

qualitatively the same as the
Euclidean model ($\gamma = 0$)

1st order phase transition (?)

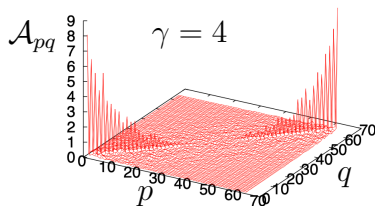
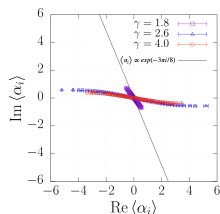
- $\gamma \geq 2.6$:

emergence of real time at both ends
($\alpha_{i+1} - \alpha_i \in \mathbb{R}$)

We focus on the real time phase.

how to extract time-evolution

- band diagonal structure (dynamical property)



$$A_{pq} \equiv \frac{1}{9} \sum_i |(A_i)_{pq}|^2$$

- how to extract time-evolution

$$A_0 = \begin{pmatrix} \alpha_1 \alpha_2 & & & \\ & \alpha_a & & \\ & & & \\ & & & \alpha_N \end{pmatrix}$$

$$A_i = \begin{pmatrix} \boxed{1} & & & \\ \boxed{2} & & & \\ & & & \\ & & & \alpha \end{pmatrix} \begin{matrix} -0 \\ \\ \\ \end{matrix}$$

\uparrow
 $\bar{A}_i(t_a)$

- definition of time

$$t_a = \sum_{i=1}^a |\bar{\alpha}_i - \bar{\alpha}_{i-1}|, \quad \bar{\alpha}_i = \frac{1}{n} \sum_{j=0}^{n-1} \alpha_{i+j}$$

(n: block size)

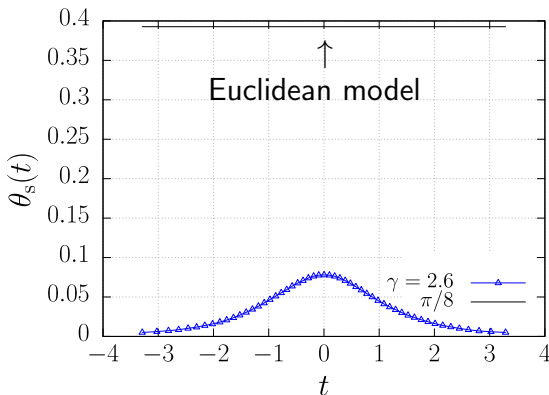
- $\bar{A}_i(t_a)$ ($n \times n$ matrix) represents the state of the universe at t_a .

emergence of real space

- phase of space

$$\text{tr}(\bar{A}_i(t))^2 = e^{2i\theta_s(t)} |\text{tr}(\bar{A}_i(t))^2|$$

$$N = 64, m_f = 10, \gamma = 2.6, n = 12$$



Space becomes real at late times.

SSB of SO(9) symmetry

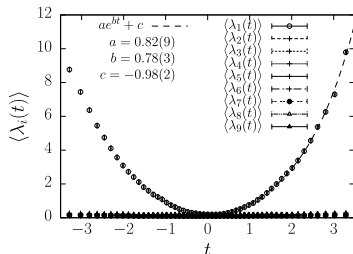
- order parameter for SSB of SO(9)

the eigenvalues of “moment of inertia tensor”

$$T_{ij}(t) = \frac{1}{n} \text{tr} (X_i(t) X_j(t)), \quad X_i(t) \equiv \frac{1}{2} (\bar{A}_i(t) + \bar{A}_i^\dagger(t))$$

- SO(9) symmetric: 9 eigenvalues are almost degenerate.
- SO(9) broken: 9 eigenvalues are NOT degenerate.

$$N = 64, \quad m_f = 10, \quad \gamma = 2.6, \quad n = 12$$

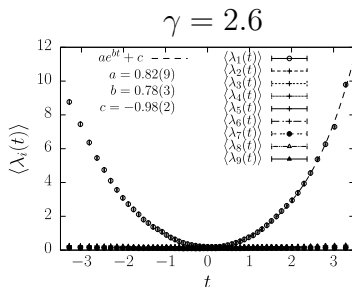
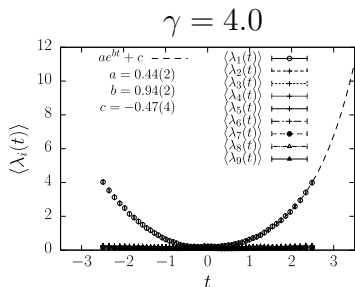


SSB of SO(9) occurs.

1d space expands exponentially.

γ dependence

$$N = 64, m_f = 10, n = 12$$



- 1d expansion occurs.
- The extent of time becomes larger at smaller γ .
- In the real time phase, the expansion of space gets more pronounced as γ decreases.

→ γ can be thought of as an “infrared regulator”.

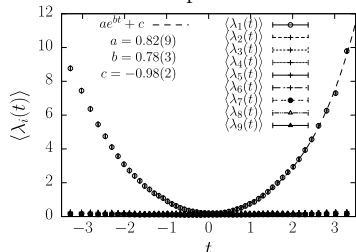
fermionic effects

$$N = 64, \quad \gamma = 2.6, \quad n = 12$$

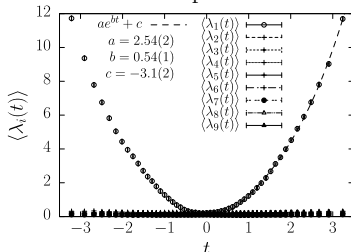
$$\text{fermionic mass term: } iNm_f \text{Tr}[\bar{\Psi}_\alpha(\Gamma_7\Gamma_8^\dagger\Gamma_9)_{\alpha\beta}\Psi_\beta]$$

$m_f = \infty$ corresponds to the fermion quenched model.

$$m_f = 10$$



$$m_f = 5$$



- In the real time phase, the expansion of space gets more pronounced as m_f decreases.
 - The attractive force between space-time eigenvalues is weakened by the SUSY effects.

c.f.) Euclidean model [H. Aoki, S. Iso, H. Kawai, Y. Kitazawa, T. Tada (1998)]

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summary

- We successfully applied the complex Langevin method to the Lorentzian type IIB matrix model.
- equivalence between the Euclidean and Lorentzian model in the conventional large- N limit
 - Euclidean model exhibits SSB: $SO(10) \rightarrow SO(3)$.
The space-time becomes complex and it has Euclidean signature.
- introducing the Lorentz invariant mass term
 - An expanding real space-time appears at late times as expected from classical solutions.
 - the dimensionality of the expanding space:
 - not fixed at the classical level
 - turned out to be 1D for $m_f > 5$.

Does 3d expanding space appear at smaller m_f ?

(SUSY : $m_f = 0$)

- a possible mechanism for the emergence of the 3d expanding space
 - a mechanism for collapsing space

Quantum fluctuation is suppressed most when $\text{Tr}[A_i, A_j]^2 \sim 0$.
→ 1d expanding space is favored.
 - property of the Pfaffian (at $m_f = 0$)

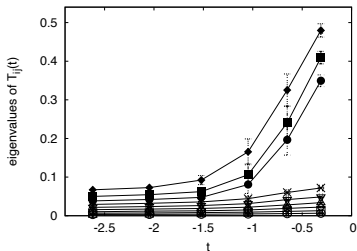
Pfaffian becomes zero if there are only two large matrices:
 $A_1, A_2 \neq 0, A_3, \dots, A_9 = 0$. [W. Krauth, H. Nicolai, M. Staudacher (1998)]
[J. Nishimura, G. Vernizzi (2000)]

(Due to the exponential expansion of space, A_0 cannot play any role here.)
→ 3d expanding space may be favored by the Pfaffian.
- We are now trying to see whether $\text{SO}(9) \rightarrow \text{SO}(3)$ occurs by decreasing m_f further. (c.f. results for the Euclidean model)
- We expect that 3d expanding space appears for sufficiently small m_f .

Thank you for listening!

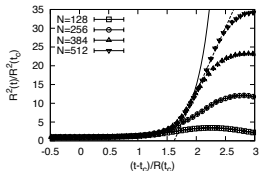
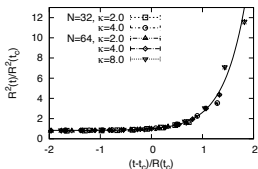
Lorentzian type IIB matrix model

- One of the candidates for non-perturbative definition of superstring theory
 - Monte Carlo method is applicable.
- Previous works about Monte Carlo simulation of the model
 - SSB: $SO(9,1) \rightarrow SO(3,1)$ [Kim, Nishimura, Tsuchiya \('12\)](#)



Lorentzian type IIB matrix model

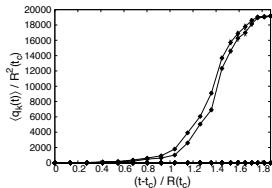
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 - SSB: $SO(9,1) \rightarrow SO(3,1)$ [Kim, Nishimura, Tsuchiya \('12\)](#)
 - expansion of the 3d space
 - exponential expansion in the early time [Ito, Kim, Nishimura, Tsuchiya \('13\)](#)
 - power law expansion in the late time [Ito, Nishimura, Tsuchiya \('15\)](#)



Lorentzian type IIB matrix model

- Previous works about Monte Carlo simulation of the model (cont'd)
 - structure of the 3d space
 - Pauli-matrix structure

Space is not continuous.



Aoki, Hirasawa, Ito, Nishimura, Tsuchiya ('19)

So far, we had used an approximation for the partition function to avoid the sign problem.

$$e^{iS_b} \rightarrow e^{\beta S_b} \quad (\beta > 0)$$

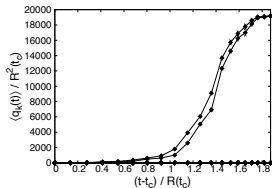
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due to the approximation?



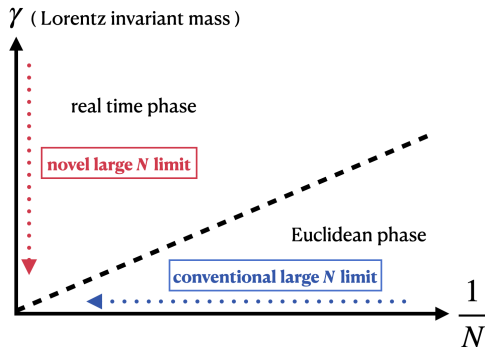
Aoki, Hirasawa, Ito, Nishimura, Tsuchiya ('19)

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Novel large- N limit

our prediction for a phase diagram $(\gamma, 1/N)$



We expect the phase appearing in the novel large- N limit is inequivalent to that in the conventional one.