

# Challenges for an accelerating universe in string theory

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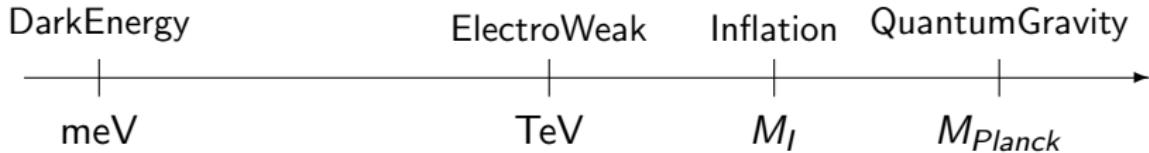
# Universe evolution: based on positive cosmological constant

- Dark Energy

simplest case: infinitesimal (tunable) +ve cosmological constant

- Inflation (approximate de Sitter)

describe possible accelerated expanding phase of our universe



# Swampland de Sitter conjecture

String theory: vacuum energy and inflation models  
related to the moduli stabilisation problem

Difficulties to find dS vacua led to a conjecture:

$$\frac{|\nabla V|}{V} \geq c \quad \text{or} \quad \min(\nabla_i \nabla_j V) \leq -c' \quad \text{in Planck units}$$

with  $c, c'$  positive order 1 constants

Ooguri-Palti-Shiu-Vafa '18

Dark energy: forbid dS minima but allow maxima

Inflation: forbid standard slow-roll conditions

Assumptions: heuristic arguments, no quantum corrections

→ here: explicit counter example

I.A.-Chen-Leontaris '18, '19; I.A.-Lacombe-Leontaris '20, '21

# Moduli stabilisation in type IIB

Compactification on a Calabi-Yau manifold  $\Rightarrow N = 2$  SUSY in 4 dims

Moduli: Complex structure in vector multiplets

Kähler class & dilaton in hypermultiplets

$\Rightarrow$  decoupled kinetic terms

turn on appropriate 3-form fluxes (primitive self-dual)  $\Rightarrow N = 1$  SUSY

↑  
field-strengths of 2-index antisymmetric gauge potentials

+ orientifolds and D3/D7-branes

vectors and RR companions of geometric moduli are projected away  $\Rightarrow$

all moduli in  $N = 1$  chiral multiplets + superpotential for the

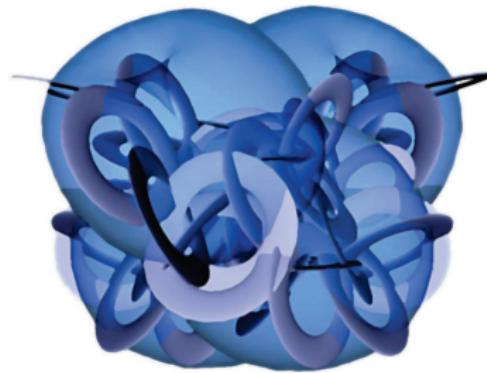
**complex structure & dilaton**  $\rightarrow$  fixed in a SUSY way Frey-Polchinski '02

Kähler moduli: no scale structure, vanishing potential (classical level) [6]

# String moduli

String compactifications from 10/11 to 4 dims → scalar moduli

arbitrary VEVs: parametrize the compactification manifold



size of cycles, shapes, ..., string coupling

- $N = 1$  SUSY  $\Rightarrow$  complexification: scalar + i pseudoscalar  $\equiv \phi_i$
- Low energy couplings: functions of moduli

# Stabilisation of Kähler moduli

Non perturbative superpotential from gaugino condensation on D-branes

⇒ stabilisation in an AdS vacuum

Derendinger-Ibanez-Nilles '85

Uplifting using anti-D3 branes

Kachru-Kallosh-Linde-Trivedi '03

or D-terms and perturbative string corrections to the Kähler potential

Large Volume Scenario

Conlon-Quevedo et al '05

Ongoing debate on the validity of these ingredients in full string theory

While perturbative stabilisation has the old Dine-Seiberg problem

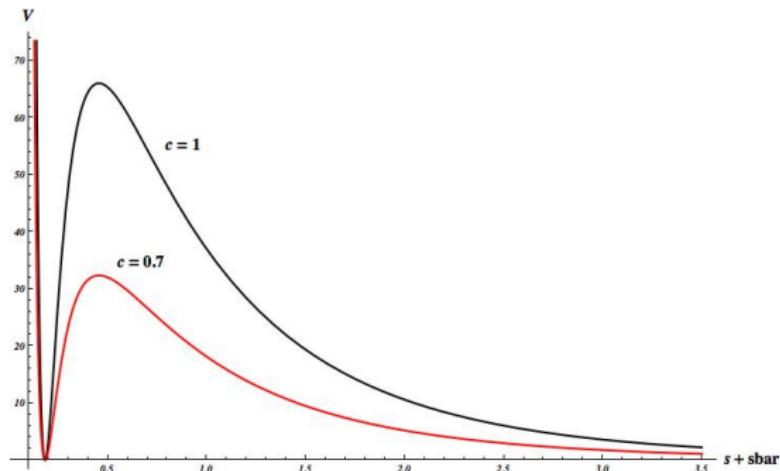
put together 2 orders of perturbation theory violating the expansion

possible exception known from field theory:

logarithmic corrections → Coleman-Weinberg mechanism [8]

# The Dine-Seiberg problem

Runaway potential towards vanishing string coupling or large volume



⇒ if there is perturbative minimum, it is likely to be at strong coupling  
or string size volume [15]

## Analogy with Coleman-Weinberg symmetry breaking

Effective potential in massless  $\lambda\Phi^4$

$$V = \left\{ \sum_{N>1} c_N \lambda^N (\Phi) \right\} \Phi^4 \Rightarrow \text{minimum at } \lambda = 0 \text{ or } \mathcal{O}(1)$$

C-W perturbative symmetry breaking needs 2 couplings + logs: [13]

$$V_{\text{C-W}} = \left( \lambda + c_1 e^4 \ln \frac{|\Phi|^2}{\mu^2} \right) |\Phi|^4 \Rightarrow |\Phi|_{\min}^2 \propto \mu^2 e^{-\frac{\lambda}{c_1 e^4}}$$

both  $\lambda$  and  $e$  are weak  $< 1$

realising this proposal in string theory:

- replace gaugino condensation by log corrections in the F-part potential
- use D-term uplifting as in LVS

# Log corrections in string theory:

localised couplings + closed string propagation in  $d \leq 2$

Effective propagation of massless bulk states in  $d \leq 2 \Rightarrow$  IR divergences [13]

$d = 1$ : linear,  $d = 2$ : logarithmic

$\Rightarrow$  corrections to (brane) localised couplings

depending on the size of the bulk due to local closed string tadpoles

I.A.-Bachas '98

e.g. threshold corrections to 4d gauge coupling

linear dilaton dependence on the 11th dim of M-theory [11]

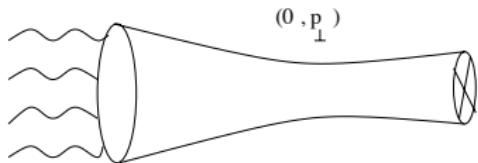
Type II strings: correction to the Kähler potential  $\leftrightarrow$  Planck mass

I.A.-Ferrara-Minasian-Narain '97

# Log corrections in string theory

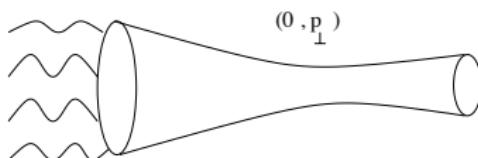
I.A.-Bachas '98

decompactification limit in the presence of branes



(a)

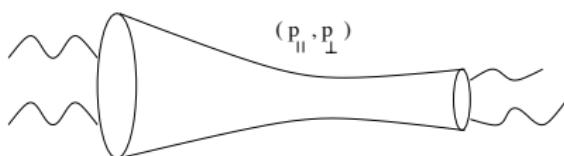
$$\mathcal{A} \sim \frac{1}{V_\perp} \sum_{|p_\perp| < M_s} \frac{1}{p_\perp^2} F(\vec{p}_\perp)$$



(b)

$$V_\perp = R^d \quad \vec{p}_\perp = \vec{n}/R$$

$$R \gg l_s \Rightarrow$$



(c)

$$\mathcal{A} \sim \begin{cases} \mathcal{O}(R) & \text{for } d=1 \\ \mathcal{O}(\log R) & \text{for } d=2 \\ \text{finite} & \text{for } d>2 \end{cases}$$

$$\text{local tadpoles: } F(\vec{p}_\perp) \sim \left( 2^{5-d} \prod_{i=1}^d (1 + (-)^{n_i}) - 2 \sum_{a=1}^{16} \cos(\vec{p}_\perp \vec{y}_a) \right)$$

# Localised gravity kinetic terms

Corrections to the 4d Planck mass in type II strings

Large volume limit: localised Einstein-Hilbert term in the 6d internal space

I.A.-Minasian-Vanhove '02 [13]

10d:  $R \wedge R \wedge R \wedge R \rightarrow$  in 4d:  $\chi \mathcal{R}_{(4)}$



Euler number =  $4(n_H - n_V)$  [16]

$$S_{\text{grav}}^{IIB} = \frac{1}{(2\pi)^7 \alpha'^4} \int_{M_4 \times \mathcal{X}_6} e^{-2\phi} \mathcal{R}_{(10)} + \frac{\chi}{(2\pi)^4 \alpha'} \int_{M_4} \left( 2\zeta(3) e^{-2\phi} + \frac{2\pi^2}{3} \right) \mathcal{R}_{(4)}$$

4-loop  $\sigma$ -model ↗ vanishes for orbifolds

$$\text{localisation width } w \sim |\chi|^{1/2} l_s = l_p^{(4)}$$

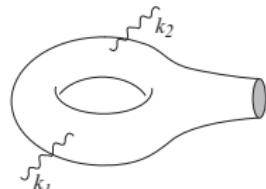
in agreement with general arguments of localised gravity

Dvali-Gabadadze-Porrati '00

# perturbative moduli stabilisation I.A.-Chen-Leontaris '18, '19

localised vertices from  $\mathcal{R}_{(4)}$  can emit massless closed strings

$\Rightarrow$  local tadpoles in the presence of distinct 7-brane sources

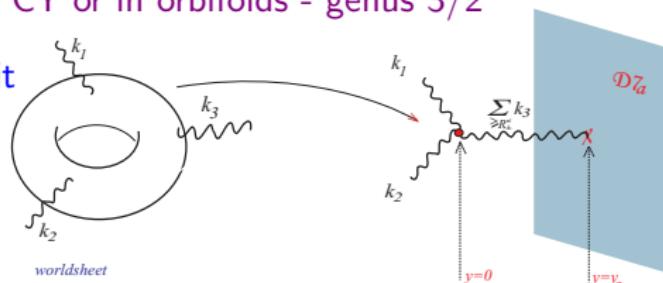


propagation in 2d transverse bulk  $\rightarrow \log R_\perp$  corrections

exact computation: difficult either in CY or in orbifolds - genus 3/2

computation in the degeneration limit

for  $Z_N$  orbifold ( $\chi \sim N$ )



$$\sim - \sum_{q_\perp \neq 0} g_s^2 T N e^{-w^2 q_\perp^2 / 2} \frac{1}{q_\perp^2 R_\perp^2} = -N g_s^2 T \log(R_\perp/w) + \dots$$

$T = T_0/g_s$ : brane tension

Kähler potential:

$$\mathcal{K} = -2 \ln \left( \mathcal{V} + \xi + \eta \ln \frac{\mathcal{V}_\perp}{w^2} + \mathcal{O}\left(\frac{1}{\mathcal{V}}\right) \right) = -2 \ln (\mathcal{V} + \eta \ln \mu^2 \mathcal{V}_\perp)$$

$$\xi = -\frac{1}{4} \chi f(g_s); \quad f(g_s) = \begin{cases} \zeta(3) \simeq 1.2 & \text{smooth CY} \\ \frac{\pi^2}{3} g_s^2 & \text{orbifolds} \end{cases} \quad \eta = -\frac{1}{2} g_s T_0 \xi \quad [11]$$

Using 3 mutual orthogonal 7-brane stacks with D-terms (magnetic fluxes)  
and minimising with respect to transverse volume ratios [8]

$$\Rightarrow V \simeq \frac{3\eta \mathcal{W}_0^2}{\mathcal{V}^3} (\ln \mu^6 \mathcal{V} - 4) + 3 \frac{d}{\mathcal{V}^2} \quad \mathcal{W}_0: \text{constant superpotential, } d: \text{D-term}$$

dS minimum:  $-0.007242 < \frac{d}{\eta \mathcal{W}_0^2 \mu^6} \equiv \rho < -0.006738$  with  $\mathcal{V} \simeq e^5 / \mu^6$  [15]

# FI D-terms

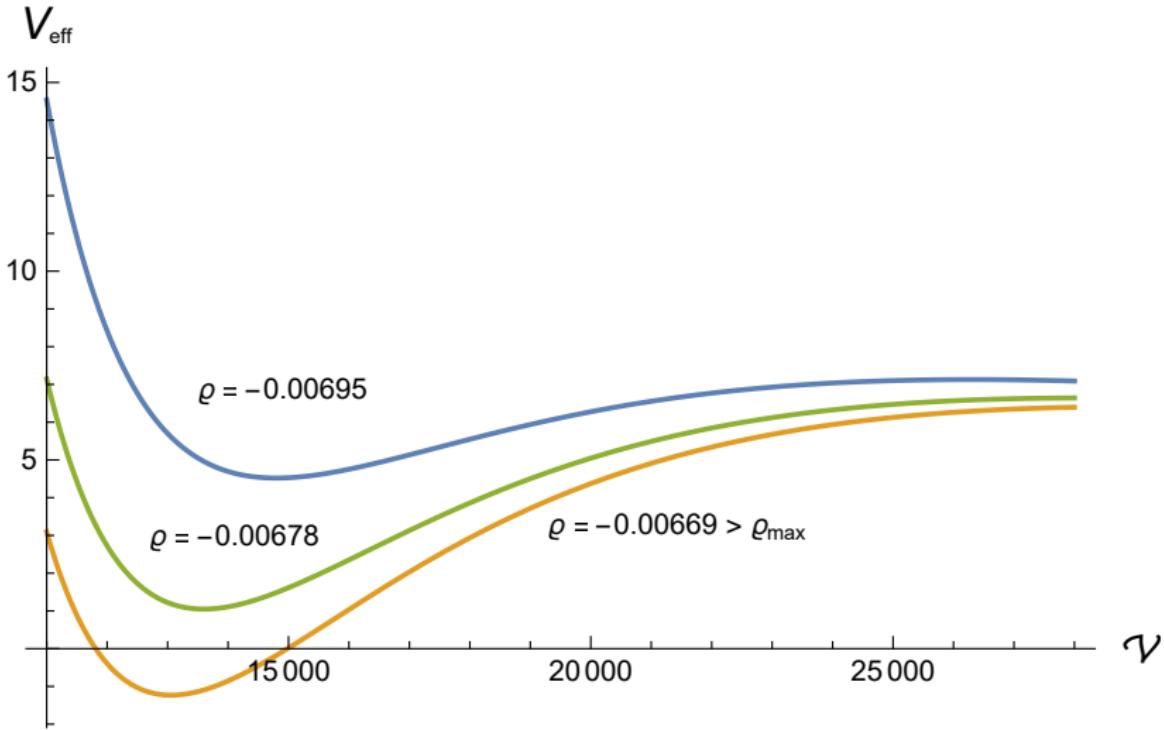
$$V_{D_i} = \frac{d_i}{\tau_i} \left( \frac{\partial K}{\partial \tau_i} \right)^2 = \frac{d_i}{\tau_i^3} + \mathcal{O}(\eta_j)$$

$\tau_i$ : world-volume modulus of D7<sub>i</sub>-brane stack with  $\mathcal{V} = (\tau_1 \tau_2 \tau_3)^{1/2}$

$$\eta_i \equiv \eta \Rightarrow V_{tot} = \frac{3\eta \mathcal{W}_0^2}{\mathcal{V}^3} (\ln(\mathcal{V}\mu^6) - 4) + \frac{d_1}{\tau_1^3} + \frac{d_2}{\tau_2^3} + \frac{d_3 \tau_1^3 \tau_2^3}{\mathcal{V}^6}$$

minimising with respect to  $\tau_1$  and  $\tau_2 \Rightarrow \frac{\tau_i}{\tau_j} = \left( \frac{d_i}{d_j} \right)^{1/3} \Rightarrow$

$$V_D = 3 \frac{d}{\mathcal{V}^2} \quad \text{with} \quad d = (d_1 d_2 d_3)^{1/3}$$



2 extrema min+max  $\rightarrow -0.007242 < \rho < -0.006738 \leftarrow +ve \text{ energy}$  [13] [7] [19]

$$\xi = -\frac{1}{4}\chi f(g_s); \quad f(g_s) = \begin{cases} \zeta(3) \simeq 1.2 & \text{smooth CY} \\ \frac{\pi^2}{3}g_s^2 & \text{orbifolds} \end{cases} \quad \eta = -\frac{1}{2}g_s T_0 \xi$$

dS minimum:  $-0.007242 < \frac{d}{\eta \mathcal{W}_0^2 \mu^6} \equiv \rho < -0.006738$  with  $\mathcal{V} \simeq e^5 / \mu^6$

exponentially large volume:

$$\mu = \frac{e^{\xi/6\eta}}{w} = \sqrt{|\chi|} e^{-\frac{1}{3g_s T_0}} \rightarrow 0 \quad \Rightarrow$$

weak coupling and

large  $\chi$  or/and  $\mathcal{W}_0$  from 3-form flux to keep  $\rho$  fixed

requirement: negative  $\chi$  ( $\eta < 0$ ) [11] and surplus of D7-branes ( $T_0 > 0$ )

- Inflaton: canonically normalised  $\phi = \sqrt{2/3} \ln \mathcal{V}$  (in Planck units)
  - one relevant parameter:  $\rho$  or  $x = -\ln(-4\rho/3) - 16/3$
- $0 < x < 0.072$  for dS minimum
- extrema  $V'(\phi_{\pm}) = 0$

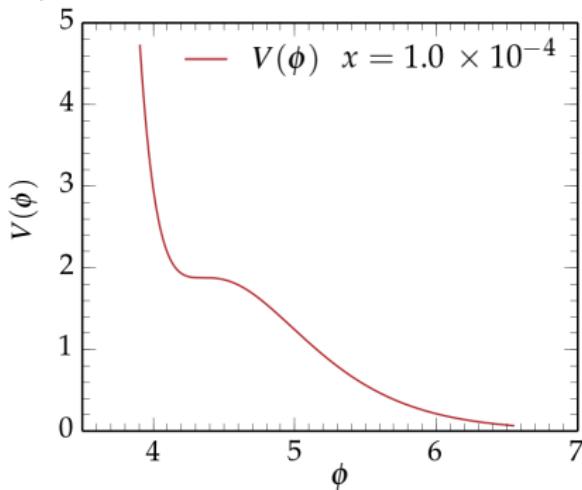
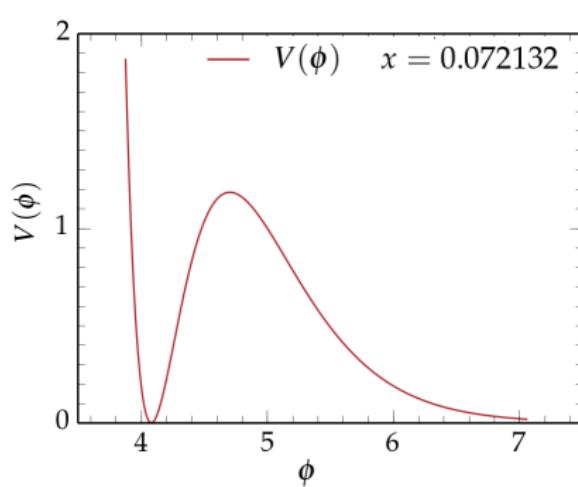
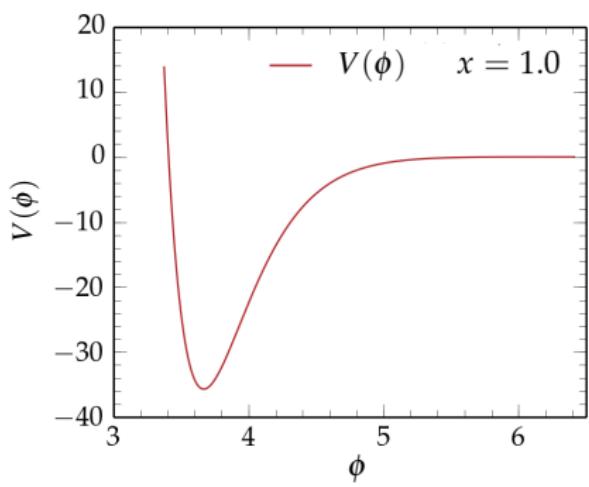
$$\phi_+ - \phi_- = \sqrt{2/3} (W_0(-e^{-x-1}) - W_{-1}(-e^{-x-1}))$$

$W_{0/-1}$ : Lambert functions satisfying  $W(xe^x) = x$

$$\frac{V(\phi_+)}{V(\phi_-)} = \frac{(W_0(-e^{-x-1}))^3 (2 + 3W_{-1}(-e^{-x-1}))}{(W_{-1}(-e^{-x-1}))^3 (2 + 3W_0(-e^{-x-1}))}$$

- slow roll parameter  $\eta(\phi_{-/+}) = \frac{V''(\phi_{-/+})}{V(\phi_{-/+})} = -9 \frac{1 + W_{0/-1}(-e^{-x-1})}{\frac{2}{3} + W_{0/-1}(-e^{-x-1})}$  [20]

successful inflation possible around the minimum from the inflection point



[21]

# Inflation possibilities

- Friedmann equations with time replaced by the inflaton  $\Rightarrow$

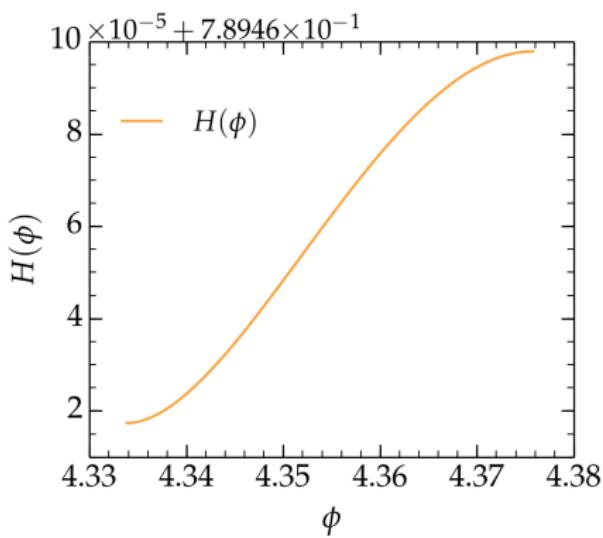
Hubble parameter  $\rightarrow H'(\phi) = \mp \frac{1}{\sqrt{2}} \sqrt{3H^2(\phi) - V(\phi)}$

- slow-roll parameters:  $\eta(\phi) = \frac{V''(\phi)}{V(\phi)}$ ,  $\epsilon(\phi) = \frac{1}{2} \left( \frac{V'(\phi)}{V(\phi)} \right)^2$
- number of e-folds by the end of inflation:  $N(\phi) = \int_{\phi_{end}}^{\phi} \frac{d\phi}{\sqrt{2\epsilon}}$

Observational constraints at the horizon exit  $\phi = \phi_*$ :

- ①  $N_* \simeq 50 - 60$
- ② spectral index of power spectrum  $n_S - 1 = 2\eta_* - 6\epsilon_* \simeq -0.04$
- ③ amplitude of scalar perturbations  $\mathcal{A}_S = \frac{V_*}{24\pi^2\epsilon_*} \simeq 2.2 \times 10^{-9}$

$\Rightarrow$  inflation possible around the minimum from the inflection point [15]



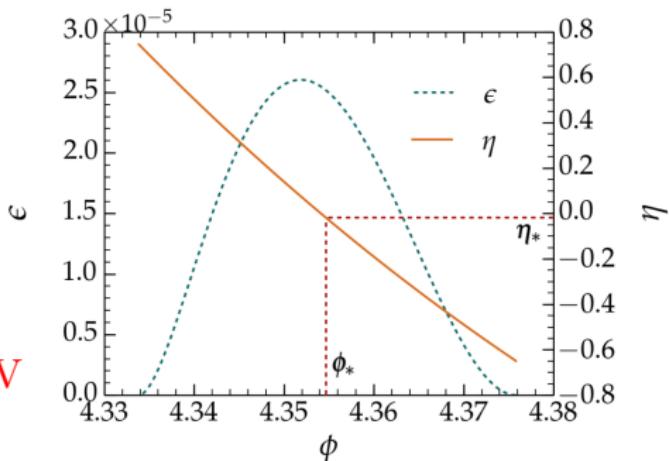
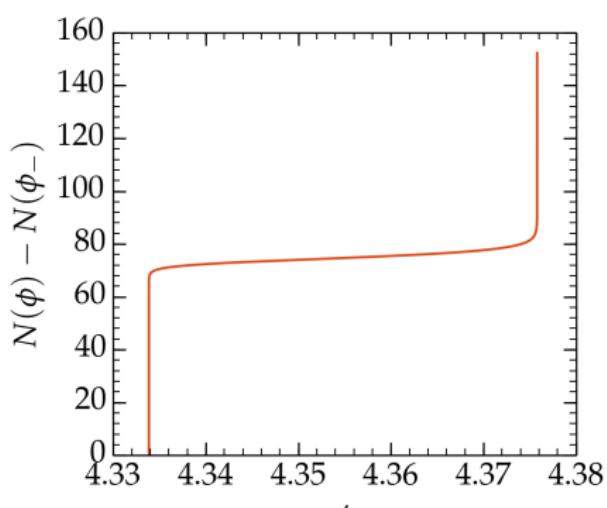
$$x = 3.3 \times 10^{-4}; \quad \eta(\phi_*) = -0.02$$

$\phi_*$  near the inflection point

$\Delta\phi \simeq 0.02$  : small field

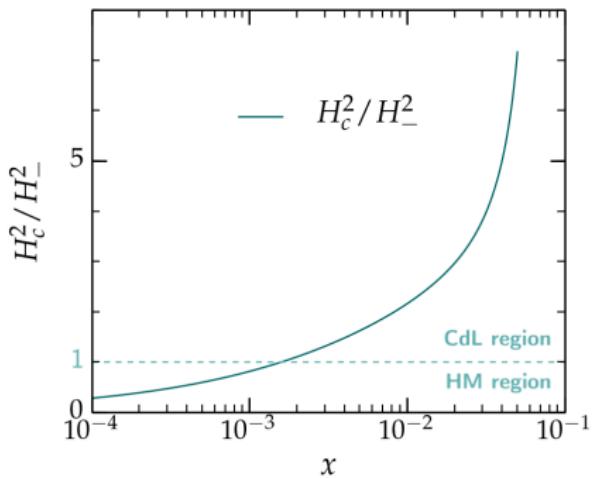
$$\Rightarrow r \simeq 4 \times 10^{-4} \text{ [22]}$$

$$H_* \simeq 5 \times 10^{12} \text{ GeV}$$



# dS vacuum metastability [18]

- through tunnelling       $H_c > H_-$       Coleman - de Luccia instanton
- over the barrier       $H_c < H_-$       Hawking - Moss transition



$$\frac{H_c^2}{H_-^2} \equiv -\frac{3V''(\phi_+)}{4V(\phi_-)}$$

HM region:  $\Gamma \sim e^{-B}$ ;  $B \simeq \frac{24\pi^2}{V} \frac{\Delta V}{V}$

$$\frac{\Delta V}{V} \simeq 24\sqrt{2}x^{3/2} \Rightarrow$$

$$B \simeq 3 \times 10^9 \text{ for } x \simeq 3 \times 10^{-4}$$

# Conclusions

New mechanism of moduli stabilisation is string theory (type IIB)

- perturbative: weak coupling, large volume
- based on log corrections in the transverse volume of 7-branes due to local tadpoles induced by localised gravity kinetic terms  
arising only in 4 dimensions!
- can lead to de Sitter vacua in string theory  
explicit counter-example to dS swampland conjecture
- inflation possible around the minimum from the inflection point