

# Complex Langevin analysis

of the spontaneous symmetry breaking in the Euclidean type IIB  
matrix model

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# Acknowledgments

## work with

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TAKEHIRO AZUMA<sup>b</sup>

YUTA ITO<sup>c</sup>

JUN NISHIMURA<sup>d</sup>

TOSHIYUKI OKUBO<sup>e</sup>

previous work on  $D = 6$  IKKT CLM

[[arXiv:1712.07562](https://arxiv.org/abs/1712.07562)]

# Outline

- 1 Introduction
  - The IKKT model
- 2 The Complex Action Problem
  - The effect of the action phase
  - Stochastic Quantization as an alternative
  - Complexified Langevin processes
- 3 IKKT Langevin dynamics
  - Definitions
  - Simulations
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# The Type IIB string theory

[arXiv:9612115] N. ISHIBASHI, H. KAWAI, Y. KITAZAWA, A. TSUCHIYA

Type IIB  $D = 10$  Green-Schwarz action in the Schild gauge

$$S_{\text{Schild}} = \int d^2\sigma \left( \sqrt{h} g^{-2} \left( \frac{1}{4} \{X^\mu, X^\nu\}^2 - \frac{1}{2} \bar{\psi} \Gamma^\mu \{X^\mu, \psi\} \right) \right)$$

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Matrix  $D = 10$  IKKT (Euclidean) model with fixed  $N$

$$S = S_{\text{boson}} + S_{\text{fermion}} = -N \operatorname{tr} \left( \frac{1}{4} [A_\mu, A_\nu]^2 + \frac{1}{2} (\bar{\psi}_\alpha (\Gamma_\mu)_{\alpha\beta} [A_\mu, \psi_\beta]) \right)$$

$$\{\dots, \dots\} \rightarrow \iota[\dots, \dots], \int d^2\sigma \sqrt{h} \dots \rightarrow \operatorname{tr} \dots \text{ and } g^{-2} = N$$

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$$\{\dots, \dots\} \rightarrow \iota[\dots, \dots], \int d^2\sigma \sqrt{h} \dots \rightarrow \operatorname{tr} \dots \text{ and } g^{-2} = N$$

Candidate for non-perturbative definition of Type IIB strings!

# The IKKT model

Wick rotation

$$A_D = -\imath A_0 \text{ and } \Gamma_D = \imath \Gamma^0$$



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## Complex action

Integrating out the fermion action in partition function

$$Z = \int \mathcal{D}A \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \exp(-S) = \int \mathcal{D}A \mu(\mathcal{M}) \exp(-S_{\text{boson}})$$

contains a phase,  $\mu(\mathcal{M}) \propto \exp(-\imath\Gamma)$ , responsible for the  $\text{SO}(D)$  SSB.

## Possible manifestations of the IKKT model for

$D = 4$ , no phase exists and thus no SSB

$D = 6$ , phase exists with SSB ( $\mu \equiv \text{determinant}$ )

$D = 10$ , phase exists with SSB ( $\mu \equiv \text{pfaffian}$ )

# Conjecture

[arXiv:1108.1293] JUN NISHIMURA, TOSHIYUKI OKUBO, FUMIHIKO SUGINO

## Dynamical compactification of extra dimensions

SSB of original  $SO(10)$  to  $SO(4)$  by distinction of 4 dimensional extends from the other 6, indicates the compactification of extra dimensions is emergent from the theory itself!

## Gaussian Expansion Method on $D = 10$ IKKT

Suggests that  $SO(10)$  breaks down to  $SO(3)$ .

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# Monte Carlo

Calculating expectation value integrals,

$$\langle \mathcal{O} \rangle = \frac{\int \mathcal{D}\phi \mathcal{O}[\phi] e^{-S[\phi]}}{\int \mathcal{D}\phi e^{-S[\phi]}}$$

by sampling the  $x$  configuration space via markovian chains.

## Markovian chains

Driven by a selection probability best defined on the Boltzmann factor  $e^{-S}$  containing the action  $S : (X \rightarrow \mathbb{R}) \rightarrow \mathbb{R}$

# Complex Action Problem

If the action  $S : (X \rightarrow \mathbb{R}) \rightarrow \mathbb{C}$  is complex,  $e^{-S}$  is no longer a viable sampling probability!

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Regular Monte Carlo techniques relying on the action  $S$  fail

# Re-weighting

Using the phase-quenched action  $S_0$  ( $S = S_0 - i\Gamma$ )

A partial solution comes with re-weighting,

$$\langle \mathcal{O} \rangle = \frac{\int \mathcal{D}\phi \mathcal{O}[\phi] e^{i\Gamma} e^{-S_0[\phi]}}{\int \mathcal{D}\phi e^{-S_0[\phi]}} = \frac{\langle \mathcal{O} e^{i\Gamma} \rangle_0}{\langle e^{i\Gamma} \rangle_0}$$

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The problem is still NP hard!



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# Stochastic Quantization

[DOI: 10.1016/0370-1573(87)90144-X] POUL DAMGAARD, HELMUTH HUFFEL.

Stochastic evolution (simplified)

$$\frac{\partial}{\partial t} \phi(t) = v(\phi(t)) + \eta(t), \quad \phi(t_0) = \phi_0 : X \longrightarrow \mathbb{R}$$

Drift  $v : (X \longrightarrow \mathbb{R}) \longrightarrow \mathbb{R}$

$$v(\phi) = -\frac{\delta S}{\delta \phi}[\phi]$$

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Noise  $\eta : X \longrightarrow \mathbb{R}$  obeying gaussian distribution

$$\langle \eta(t) \eta(t') \rangle_{\text{noise}} = 2\delta(t - t') \quad \varrho_{\text{noise}}(\eta) = \exp\left(-\frac{1}{4}\eta^2\right)$$

# Stochastic behaviour

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## Properties:

- The solution  $\phi$  is random and depends on noise  $\eta$ ,
- Even the initial condition can be random as in  $\phi_0 = \eta_0$ ,
- $\phi$  obeys a probability distribution  $\varrho : (X \rightarrow \mathbb{R}) \times \mathbb{R}^+ \rightarrow \mathbb{R}$

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## Fokker-Planck equation for solution probability

$$\frac{\partial}{\partial t} \varrho(\phi; t) = \mathcal{L}^*(\phi) \varrho(\phi; t) \quad \varrho(\phi; t_0) = \delta(\phi - \phi_0)$$

## EV evolution equation

$$\frac{\partial}{\partial t} \langle \mathcal{O}(t) \rangle_{\text{noise}} = \langle \mathcal{L} \mathcal{O}(t) \rangle_{\text{noise}}$$

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# Complexification

[arXiv:1802.01876] K. NAGATA, J. NISHIMURA and S. SHIMASAKI.

Drift term becomes complex

$$v_a(\phi(x)) = -\frac{\delta S[\phi]}{\delta \phi_a(x)}$$

$\phi$  is extended to complex for consistency

$$\frac{\partial \phi_a}{\partial t}(t) = v_a(\phi(t)) + \eta_a(t), \phi : \mathbb{C}$$

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Noise chosen real (compatibility condition)

$$\langle \eta_a(t) \eta_{a'}(t') \rangle_{\text{noise}} = 2\alpha_{aa'} \delta(t - t') \quad \alpha = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$



# Validity of the Complex Langevin Method

[arXiv:1802.01876] K. NAGATA, J. NISHIMURA and S. SHIMASAKI.

EV leading contributions

$$\langle \mathcal{O}(t) \rangle_{\text{noise}} \sim \int_0^\infty e^{(t-t_0)u} p(u, t) du$$

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$$\lim_{t \rightarrow \infty} \langle \mathcal{O}(t) \rangle_{\text{noise}} = \langle \mathcal{O} \rangle_w$$

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## Strong criterion for EV computation correctness

$$p(u, \tau) \lesssim e^{-\kappa u} \quad \kappa > 0$$

## Stochastic quantization assertion in CLM

$$\int dA \mathcal{O}[A] \rho(A; t) = \int d\Re A d\Im A \mathcal{O}[\Re A + i\Im A] P(\Re A, \Im A; t)$$

## Stochastic quantization assertion

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# Discretized Langevin equation

[arXiv:1802.01876] K. NAGATA, J. NISHIMURA and S. SHIMASAKI.

Discretized time  $t$  with  $n$  time-steps fixed or variable

$$t = n\Delta t$$

Euler-like discretized Langevin process

$$\phi_a(t + \Delta t) = \phi_a(t) + \Delta t v_a(\phi(t)) + \sqrt{\Delta t} \eta_a(t)$$

Noise  $\eta : \mathbb{R}$  with gaussian distribution noise :  $\mathbb{R} \rightarrow \mathbb{R}_+$

$$\langle \eta_n \eta_{n'} \rangle_{\text{noise}} = 2\delta_{nn'}$$

Variable stepsize  $\Delta t$  used to contain the drift term.

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# IKKT Langevin dynamics

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## IKKT Langevin equation

$$\frac{d(A_\mu)_{ij}}{dt} = -\frac{\delta}{\delta(A_\mu)_{ji}} S_{\text{effective}} + (\eta_\mu)_{ij} \quad A_\mu \text{ hermitian and traceless}$$

## IKKT effective action

$$S_{\text{effective}} = S_{\text{boson}} - \log \mu(\mathcal{M}) = \frac{1}{4} N [A_\mu, A_\nu]^2 - \log |\mu(\mathcal{M})| + \mathfrak{I}$$

## IKKT drift term

$$\frac{\delta}{\delta(A_\mu)_{ji}} S_{\text{effective}} = N [[A_\mu A_\nu] A_\nu]_{ij} - \frac{1}{2} \text{tr} \left( \left( \frac{\delta}{\delta(A_\mu)_{ji}} \mathcal{M} \right) \mathcal{M}^{-1} \right)$$

# IKKT complexification

KONSTANTINOS N. ANAGNOSTOPOULOS, TAKEHIRO AZUMA, YUTA ITO, JUN NISHIMURA,  
TOSHIYUKI OKUBO and STRATOS KOVALKOV PAPADOUDIS.

## Complexification of $A_\mu$ :

- $A_\mu$  no longer hermitian, just traceless,
  - Still, the closer it is to hermitian the better,
  - $SU(N)$  (internal) matrix gauge symmetry becomes  $SL(N)$ .
- Noise  $\eta_\mu$  remains hermitian and traceless.



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Observables  $\mathcal{O}$  need to be holomorphic extensions of their real counter-part.

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# IKKT Langevin problems

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## Large excursions into the imaginary direction

Counter with gauge cooling: minimize the norm  $\|A - A^\dagger\|$  under the  $SU(N)$  gauge freedom

## Singular drift

Counter with shifting fermion matrix  $\mathcal{M}$  off the origin:

$$\Delta S_{\text{fermion}} = iNm_{\text{fermion}} \text{tr}(\bar{\psi}_\alpha (\Gamma_8 \Gamma_9^\dagger \Gamma_{10})_{\alpha\beta} \psi_\beta)$$

Manifestly breaks  $SO(10) \rightarrow SO(7)$

## $m_{\text{fermion}}$ mass shift deformation (interpolation)

$m_{\text{fermion}} \rightarrow 0$  represents the true SYM (dimensionally reduced) theory.

$m_{\text{fermion}} \rightarrow \infty$  represents the pure bosonic (fermion-less) SYM theory.

# SO( $D$ ) SSB probing

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## SSB order parameter and action term

$$\lambda_\mu = N^{-1} \operatorname{tr}(A_\mu)^2 \qquad \Delta S_{\text{boson}} = \frac{1}{2} N^2 \epsilon \sum_\mu m_\mu \lambda_\mu$$

### Emergent observable ordering:

- $\lambda_\mu : \mathbb{C}$  but  $\langle \lambda_\mu \rangle : \mathbb{R}$  drift symmetry  $A_\mu \longleftrightarrow \eta_{\mu\nu} (A_\nu)^\dagger$ ,
- For finite  $N$ ,  $\langle \lambda_\mu \rangle$  are ordered like  $m_\mu$ :  $\langle \lambda_1 \rangle \geq \dots \geq \langle \lambda_{10} \rangle$ ,
- For  $N \rightarrow \infty$ ,  $\langle \lambda_\mu \rangle$  are grouped together based on which subsymmetries survive.

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## SO(2) minimum symmetry assumed

$$m_\mu = ( \mathbf{2}^{-1} \quad \mathbf{2}^{-1} \quad \mathbf{2}^0 \quad \mathbf{2}^1 \quad \mathbf{2}^2 \quad \mathbf{2}^3 \quad \mathbf{2}^3 \quad \mathbf{2}^3 \quad \mathbf{2}^3 \quad \mathbf{2}^3 )$$

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# Spacetime extend observables

KONSTANTINOS N. ANAGNOSTOPOULOS, TAKEHIRO AZUMA, YUTA ITO, JUN NISHIMURA,  
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## SSB computed observables

$$\rho_\mu(m_{\text{fermion}}, \epsilon, N) = \frac{\langle \lambda_\mu \rangle}{\sum_\nu \langle \lambda_\nu \rangle}$$

## SO(2) minimum symmetry assumed

$$m_\mu = ( 2^{-1} \quad 2^{-1} \quad 2^0 \quad 2^1 \quad 2^2 \quad 2^3 \quad 2^3 \quad 2^3 \quad 2^3 \quad 2^3 )$$

$$\frac{1}{2} \langle \lambda_1 + \lambda_2 \rangle, \langle \lambda_3 \rangle, \langle \lambda_4 \rangle, \langle \lambda_5 \rangle, \frac{1}{5} \langle \lambda_6 + \lambda_7 + \lambda_8 + \lambda_9 + \lambda_{10} \rangle$$

$$\rho_1 = \rho_2 \geq \rho_3 \geq \rho_4 \geq \rho_5 \geq \rho_6 = \rho_7 = \rho_8 = \rho_9 = \rho_{10}$$

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$$\rho_1 = \rho_2 \geq \rho_3 \geq \rho_4 \geq \rho_5 \geq \rho_6 = \rho_7 \geq \rho_8 = \rho_9 = \rho_{10}$$

# Spacetime extend parameters

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Large  $N$  limit

$$\lim_{N \rightarrow \infty} \rho_\mu(m_{\text{fermion}}, \epsilon, N) = \rho_\mu(m_{\text{fermion}}, \epsilon)$$



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## Order parameter expectation values

$$\rho_\mu(m_{\text{fermion}}, \epsilon) = \rho_\mu(m_{\text{fermion}}) + \sum_{k=1}^n b_k(m_{\text{fermion}}) \epsilon^k$$

## Large $N$ limit

$$\lim_{N \rightarrow \infty} \rho_\mu(m_{\text{fermion}}, \epsilon, N) = \rho_\mu(m_{\text{fermion}}, \epsilon)$$

## SSB limit

$$\lim_{\epsilon \rightarrow 0} \rho_\mu(m_{\text{fermion}}, \epsilon) = \rho_\mu(m_{\text{fermion}})$$

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## Large $N$ limit

$$\lim_{N \rightarrow \infty} \rho_\mu(m_{\text{fermion}}, \epsilon, N) = \rho_\mu(m_{\text{fermion}}, \epsilon)$$

## SSB limit

$$\lim_{\epsilon \rightarrow 0} \rho_\mu(m_{\text{fermion}}, \epsilon) = \rho_\mu(m_{\text{fermion}})$$

## Original model limit

$$\lim_{m_{\text{fermion}} \rightarrow 0} \rho_\mu(m_{\text{fermion}}) = \rho_\mu$$

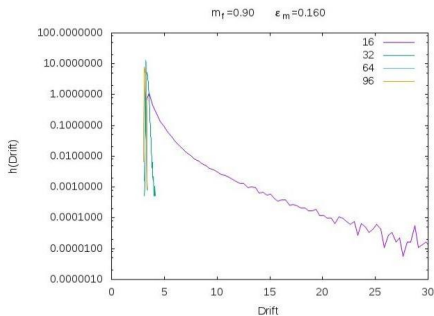
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# IKKT drift norm $u$

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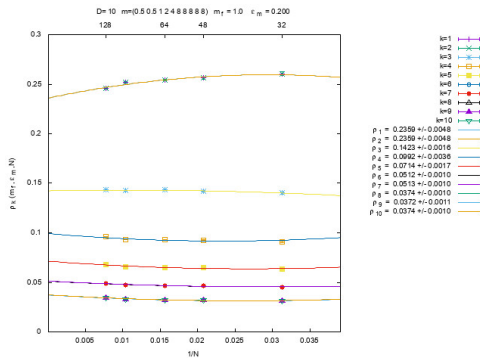
$$\text{IKKT drift norm histogram: } u^2 = \frac{1}{DN^3} \sum_{\mu} \sum_{ij} \left| \frac{\partial S}{\partial (A_{\mu})_{ji}} \right|^2$$



# IKKT order parameters $N \rightarrow \infty$ (example)

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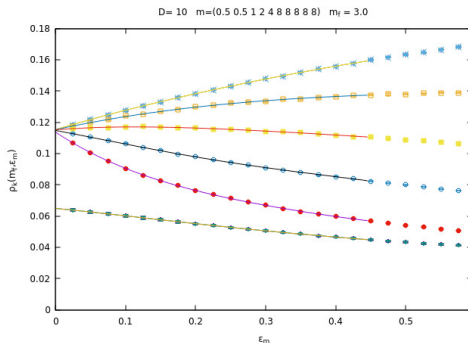
$$\rho_\mu(m_{\text{fermion}}, \epsilon, N) = \rho_\mu(m_{\text{fermion}}, \epsilon) + a_\mu^{(1)} N^{-1} + a_\mu^{(2)} N^{-2}$$



# IKKT order parameters $\epsilon \rightarrow 0$ , $m_{\text{fermion}} = 3.0$

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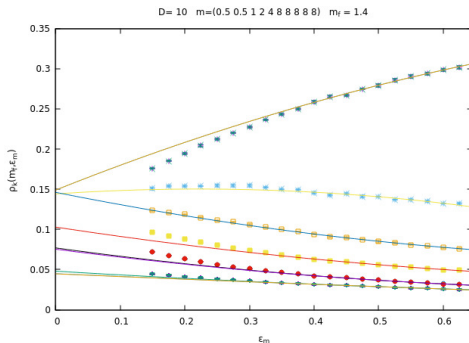
$$\rho_{\mu}(m_{\text{fermion}}, \epsilon) = \rho_{\mu}(m_{\text{fermion}}) + b_{\mu}^{(1)} \epsilon + b_{\mu}^{(2)} \epsilon^2 + b_{\mu}^{(3)} \epsilon^3 + b_{\mu}^{(4)} \epsilon^4$$



# IKKT order parameters $\epsilon \rightarrow 0$ , $m_{\text{fermion}} = 1.4$

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 TOSHIYUKI OKUBO and STRATOS KOVALKOV PAPADOUDIS.

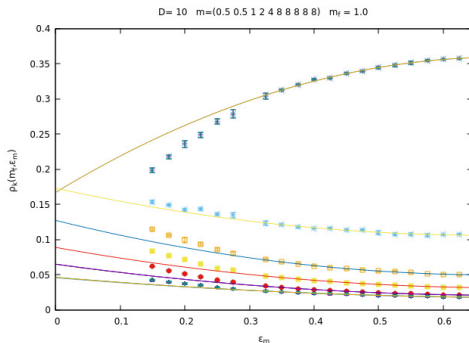
$$\rho_{\mu}(m_{\text{fermion}}, \epsilon) = \rho_{\mu}(m_{\text{fermion}}) + b_{\mu}^{(1)} \epsilon + b_{\mu}^{(2)} \epsilon^2$$



# IKKT order parameters $\epsilon \rightarrow 0$ , $m_{\text{fermion}} = 1.0$

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$$\rho_{\mu}(m_{\text{fermion}}, \epsilon) = \rho_{\mu}(m_{\text{fermion}}) + b_{\mu}^{(1)} \epsilon + b_{\mu}^{(2)} \epsilon^2$$

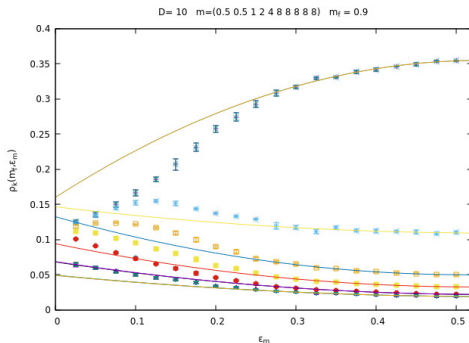




# IKKT order parameters $\epsilon \rightarrow 0$ , $m_{\text{fermion}} = 0.9$

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 TOSHIYUKI OKUBO and STRATOS KOVALKOV PAPADOUDIS.

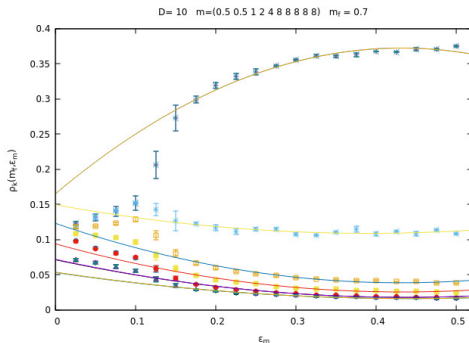
$$\rho_{\mu}(m_{\text{fermion}}, \epsilon) = \rho_{\mu}(m_{\text{fermion}}) + b_{\mu}^{(1)} \epsilon + b_{\mu}^{(2)} \epsilon^2$$



# IKKT order parameters $\epsilon \rightarrow 0$ , $m_{\text{fermion}} = 0.7$

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$$\rho_{\mu}(m_{\text{fermion}}, \epsilon) = \rho_{\mu}(m_{\text{fermion}}) + b_{\mu}^{(1)} \epsilon + b_{\mu}^{(2)} \epsilon^2$$



# Summary

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**Conclusions:**

**Outlook:**

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## Conclusions:

Spontaneous Symmetry Breaking of the rotational symmetry of the euclidean  $D = 10$  IKKT model was observed.

At  $N \rightarrow \infty$ ,  $\varepsilon \rightarrow 0$ , as  $m_{\text{fermion}}$  decreases, symmetry seems to breakdown to  $SO(3)$  as indicated also by the GEM result.

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Study of the Lorentzian signature IKKT model (true reduced Type IIB superstring model)

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Further exploration of model variations, as the SSB seems to be present.

~ THE END? ~