

Warped symmetries of the Kerr black hole

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General context

It is known since the 70s and a series of groundbreaking works by Hawking, Bekenstein, Bardeen and Carter that black holes behave like thermal systems:

- they have a temperature T_H (Hawking '75)
- they have an entropy $S_{BH} = \frac{A}{4G}$ (Bekenstein '72 '73), $dS \geq 0$ (Hawking '71 '72)
- they obey the laws of BH mechanics (Bardeen, Carter, Hawking '73)
// laws of thermodynamics

A longstanding question since then has been to provide a *microscopic* explanation of the macroscopic "thermodynamic" Bekenstein-Hawking entropy

$$S_{BH} = \log \Omega \quad \swarrow ?$$

Progress on this front has been tightly connected to

two-dimensional conformal field theories (CFT₂)

which is one manifestation of a broader framework, the holographic principle.

A canonical example of the relationship between BH thermodynamics and 2d CFT is provided by

AdS₃ gravity = Einstein-Hilbert action with $\Lambda = -\frac{1}{\ell^2} < 0$
and Brown-York boundary conditions

In this model, the asymptotic symmetries (= set of non-trivial "large diffeomorphisms" acting on the phase space) organize into the 2d conformal algebra:

$$[L_m^\pm, L_n^\pm] = (n-m)L_{n+m}^\pm + \frac{c^\pm}{12} (n^3-n)\delta_{n+m}$$

$$c^\pm = \frac{3\ell}{2G}$$

Virasoro $\oplus$ Virasoro

This suggested, upon quantization, a description of AdS_3 gravity in terms of a 2d CFT.

2d CFTs have a Cardy formula, allowing to count the asymptotic density of states at large charges / high temperatures:

$$\begin{aligned} S_{\text{Cardy}} &= 2\pi \sqrt{\frac{C^+ L_0^+}{6}} + 2\pi \sqrt{\frac{C^- L_0^-}{6}} && \text{Microcanonical} \\ &= \frac{\pi^2}{3} (C^+ T^+ + C^- T^-) && \text{Canonical} \end{aligned}$$

Remarkably, the Bekenstein-Hawking entropy of black holes in AdS_3 gravity (BTZ black holes) is found to match the Cardy entropy (Strominger '97)

$$S_{\text{BTZ}} = S_{\text{CFT}}$$

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These results from AdS_3 gravity can also be exploited to interpret the microscopic entropy of higher-dimensional black holes.

For instance, the extremal limit of the 3-charged BH of 5d supergravity has a near-horizon decoupled geometry including an asymptotically AdS_3 factor.

The Brown-Henneaux analysis can be transposed to this setting, the large Virasoro algebra now acting on the outer boundary of this decoupled region.

A reasoning similar to the one applied to BTZ using the Cardy formula and a suitable identification of the parameters allows to reproduce the Bekenstein-Hawking entropy:

$$\sum_{BH} S_{3d, \text{extremal}} = S_{CFT}$$

3-charges BH of $N=4$ or 8 SD super (metric, $C_{(2)}$, $A^{(1)}$, $A^{(2)}$, 2 scalars)

6 charges: $M, J_L, J_R, Q_1, Q_2, Q_0$

Extremal BPS limit: $\begin{cases} J_R \rightarrow 0 \\ J_L = J \text{ finite} \\ Q_i \text{ finite} \end{cases} \quad M = Q_1 + Q_2 + Q_0$

$$\boxed{\mathcal{S}_{\text{Bek-Haw}} = \frac{\pi^2}{G_5} \sqrt{Q_1 Q_2 Q_0 - \frac{1}{4} J^2}}$$

Uplift solution to 6d, take NH limit $\rightarrow$ get $\text{BTZ} \times S^3$ with parameters
 $\nearrow$ radius R_y

$$l_{\text{AdS}_3} = (Q_1 Q_2)^{1/4}, \quad L_{\pm} = \frac{l_{\text{AdS}_3}}{8G_3} M_3 \pm J_3 = \begin{cases} (Q_0 - \frac{J^2}{4Q_1 Q_2}) \pi \frac{R_y}{4G_5} & L_+ \\ 0 & L_- \end{cases}$$

$$G_5 2\pi R_y = G_3 2\pi^2 l_{\text{AdS}_3}^3$$

Then:

$$\boxed{\mathcal{S}_{\text{Bek-Haw}} = 2\pi \sqrt{\frac{C + L_+}{6}} + 2\pi \sqrt{\frac{C - L_-}{6}}}$$

$$w/C^{\pm} = \frac{3l_{\text{AdS}_3}}{2G_3}$$

This all applies to rather restricted classes of BHs (lower/higher-d, charged, rotating)

Real black holes **do not** have an AdS_3 factor in their near-horizon geometry.

In the last 10 years however, hints have appeared that the relevance of 2d conformal symmetry could be more general and extend to Kerr black holes in (3+1) dimensions, with entropy

$$S_{\text{Kerr}} = 2\pi (M^2 + \sqrt{M^4 - J^2}) = 2\pi J$$

↳ extreme Kerr, $J = M^2$

I will review 3 evidences for this.

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1) The Kerr/CFT correspondence (Guica, Hartman, Song, Strominger - 0809.4266)

Extreme Kerr black holes ($J = M^2$) exhibit a near-horizon geometry ("NHEK") sharing certain similarities with $AdS_3 \times S^3$.

It was observed that the asymptotic symmetries of NHEK, in the spirit of Brown-Kenneaux, consist in a chiral Virasoro algebra with

$$C = 12J$$

On the other hand, extreme black holes are endowed with a non-zero left-moving Euclidean Temperature (even though $T_H = 0$)

$$T_L = \frac{1}{2\pi}$$

Applying the Cardy formula

$$S = \frac{\pi^2}{3} C T_L = 2\pi J$$

reproduces extreme Kerr entropy

2) Hidden conformal symmetry of Kerr (Carter, Kalnay, Strominger - 2004, 0996)

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The solution space (or phase space) of the wave equation for fields propagating on a Kerr does exhibit 2d conformal symmetry in an appropriate limit.

For a massless scalar field on Kerr in Boyer-Lindquist coordinates:

$$\square \Phi(t, r, \theta, \phi) = 0, \quad \Phi = e^{-i\omega t + im\phi} \phi(r, \theta)$$

The wave equation can be separated (Teukolsky, Carter)

$$\phi(r, \theta) = R(r) S(\theta)$$

into an angular and a radial equation.

The radial equation reads as:

$$\left[\partial_r (r - r_-)(r - r_+) \partial_r + \frac{\omega - \Omega_+ m}{4K_+^2} \frac{(r_+ - r_-)}{(r - r_+)} - \frac{\omega - \Omega_- m}{4K_-^2} \frac{(r_+ - r_-)}{r - r_-} + (r^2 + 2Mr + 4M^2) \omega^2 \right] R(r) = K_\ell R(r) \sim \ell(\ell+1) R(r)$$

$$K_\pm^2 = \frac{r_+ - r_-}{4M\lambda_\pm}, \quad \Omega_\pm = \frac{a}{2M\lambda_\pm}, \quad r_\pm = M \pm \sqrt{M^2 - a^2}, \quad a = J/M$$

Herm equation

Considering a "near region" in phase space (not in space-time) defined as

$$\omega M \ll 1 \text{ (low frequency modes)}; \quad r\omega \ll 1 \text{ (near region of geometry)}$$

The radial wave equation reduces to a hypergeometric equation.

The $SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$ symmetry can be made more manifest introducing conformal coordinates and $SL(2, \mathbb{R})$ vector fields:

$$\begin{cases} w^+ = \sqrt{\frac{r - r_-}{r_+ - r_-}} e^{2\pi T_R \phi} \\ w^- = \sqrt{\frac{r - r_+}{r_+ - r_-}} e^{2\pi T_L \phi - \frac{t}{2M}} \\ y = \sqrt{\frac{r_+ - r_-}{r_+ - r_-}} e^{\pi(T_L + T_R)\phi - \frac{t}{4M}} \end{cases}$$

$$T_R/L = \frac{r_+ \mp r_-}{4\pi a}$$

$$\begin{cases} H_1 = i\partial_+ \\ H_0 = i(w^+ \partial_+ + \frac{1}{2} y \partial_y) \\ H_{-1} = i(w^{+2} \partial_+ + w^+ y \partial_y - y^2 \partial_y) \end{cases} \quad \begin{cases} \bar{H}_1 = i\partial_- \\ \bar{H}_0 = \dots \\ \bar{H}_{-1} = \dots \end{cases}$$

The radial wave equation in the near region reduces to

$$\mathcal{L}^2 \Phi = \bar{\mathcal{L}}^2 \Phi = \ell(\ell+1) \Phi$$

$\mathcal{L}^2, \bar{\mathcal{L}}^2$ = quadratic
Casimir of $SL(2, \mathbb{R})$

3) The HPS proposal (Haco, Hawking, Perry, Strominger - 1810.01847)

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They derived an explicit set of $V_{in} \oplus V_{out}$ conformal generators acting non-trivially on the horizon of a generic non-extreme Kerr black hole.

In conformal coordinates $(w^\pm, y, \theta)$ there are

$$g^\pm = \Sigma(w^\pm) \partial_\pm + \frac{1}{2} \partial_\pm \Sigma(w^\pm) y \partial_y$$

$\rightarrow$ modes $g_n^\pm$ satisfy $V_{in} \oplus V_{out}$

Assuming the existence of a well-defined phase space, the central charges associated with these generators turned out to be

$$C^+ = C^- = 12J$$

Returning to the conformal coordinates (at fixed r), one has

$$\begin{cases} w^+ \sim e^{2\pi T_R \phi} = e^{\epsilon^+} \\ w^- \sim e^{2\pi T_L \phi - \frac{\epsilon}{2M}} = e^{-\epsilon^-} \end{cases}$$

$\epsilon^\pm$ are naturally interpreted as CFT coordinates on the torus.

Since in the original Kerr coordinates

$$(t, \phi) \sim (t, \phi + 2\pi) \sim (t + \beta_H, \phi + i(\beta_H \Omega_H))$$

spatial *thermal*

(t^+, t^-) have periodicities

$$(t^+, t^-) \sim (t^+ + 2\pi \ell^+, t^- + 2\pi \ell^-) \sim (t^+ + 2\pi \mathcal{Z}^+, t^- + 2\pi \mathcal{Z}^-)$$

thermal *spatial*

with $\ell^\pm = 2\pi \left(\frac{\tilde{r}_+ \tilde{r}_-}{4\pi a} \right) \stackrel{= T^\pm}{\sim}$, $\mathcal{Z}^\pm = \pm 1$ (cycles "swapped")

The Cardy entropy for a CFT on such a torus is

$$S = \frac{\pi}{6} \left(\frac{\ell^- c^-}{\mathcal{Z}^-} + \frac{\ell^+ c^+}{\mathcal{Z}^+} \right)$$

plugging the
above values

$$\leftarrow = 2\pi (M^2 + \sqrt{M^4 - J^2}) = \frac{A_{\text{Kerr}}}{4} !$$

12.
This all seems to indicate that 2d conformal symmetry, beyond its relevance for stringy black holes, could also underlie real-world Kerr black holes.

I will however now return to the 3 evidences

- 1) Kerr/CFT
- 2) Hidden conformal symmetry
- 3) HHPS conformal symmetry

and look at them with more scrutiny.

1) Iem / "CFT"?

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The NHEK geometry, playing a central role in Iem/CFT is

$$ds^2 = 2GJ\Omega^2 \left[(-r^2 dt^2 + \frac{dr^2}{r^2}) + \Lambda^2 (d\phi + r dt)^2 + d\theta^2 \right]$$

$$\Omega^2 = \frac{1 + \cos^2 \theta}{2}, \quad \Lambda = \frac{2 \sin \theta}{1 + \cos^2 \theta}$$

$\theta = \text{ct slices}$:

• $\Lambda^2 = 1$: AdS_3

• $\Lambda^2 \neq 1$: not AdS_3 , not even asymptotically AdS_3 ($g_{tt} = (\Lambda^2 - 2)r^2 + \dots$ at $r \rightarrow \infty$)
↳ Warped AdS_3 ($WAdS_3$)

~~Brown-Henneaux~~

Should we be scared?

Not really. After all, in recent years, we have learned to get acquainted with holographic models involving non-AdS geometries and non-CFTs. (Schrodinger, Dirac e.g.)

Interesting features of $WAdS_3$ spaces have appeared in the last 10 years:

- $SL(2, \mathbb{R}) \times U(1)$ isometries
- Vir $\hat{\mathfrak{u}}(1)$ asymptotic symmetries

$$\begin{cases} [L_m, L_n] = (m-n) L_{m+n} + \frac{c}{12} (3-m^2) \delta_{m+n} \\ [L_m, P_n] = -m P_{m+n} \\ [P_m, P_n] = \frac{k}{2} m \delta_{m+n} \end{cases}$$

0701039
0808.1911

- Allow for BH solutions (Elmquist et al. 0303012, 0706063, Anninos et al. 0807.3040)

- Suggested the existence of Warped conformal field theories (WCFT)

1210.0539

- * $SL(2, \mathbb{R}) \times U(1)$ global symmetry
- * Vir $\hat{\mathfrak{u}}(1)$ local $\mathfrak{so}(2)$ symmetry
- * Modular properties
- * Warped analog of Cardy formula: S_{WCFT}

1107.2917

- Observation:

$$S_{WAdS_3 BH} = S_{WCFT} \quad (// S_{BTZ} = S_{CFT})$$

Are WCFTs relevant to Kerr?

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2) Hidden symmetry

Remember the radial wave equation:

$$\left[\partial_r (r - r_+) \partial_r + \frac{(\omega - m)^2}{4K_+^2} \frac{r_+ - r_-}{r - r_+} - \frac{(\omega - m)^2}{4K_-^2} \frac{r_+ - r_-}{r - r_-} + \text{red terms} + 2M\omega^2 + 4M^2\omega^2 \right] R(r) = K R(r)$$

The l.d.s. could be written as

$$\mathcal{H}^2 R(r) = \bar{\mathcal{H}}^2 R(r) \rightarrow \text{quadratic Casimir of } \mathcal{SL}(2, \mathbb{R})$$

in the limit $\omega M \ll 1$, $r\omega \ll 1$, neglecting the **red** and **green** terms.

However, one can be less stringent on the long wavelength approximation. This would amount to discard the **red** terms compared to the **green** one.

The l.d.s. is then modified to

$$(\mathcal{H}^2 - \bar{\mathcal{H}}_0^2) R(r)$$

This is the $\mathcal{SL}(2, \mathbb{R}) \times U(1)$ Casimir (= global symmetries of a WCFT)

5/ Revisiting HHP5

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We now have indications that a **WCFT** description might be equally appropriate as a CFT one. Does it allow to reproduce the **Non-entropy**?

Instead of picking conformal generators, take near-horizon diffeos satisfying a WCFT algebra:

$$\begin{aligned} \mathcal{J} &= \mathcal{E}(w^+) \partial_+ + \frac{1}{2} \partial_+ \mathcal{E}(w^+) \eta \partial_\eta \\ \mathcal{P} &= \hat{\mathcal{E}}(w^+) (w^- \partial_- + \frac{\eta}{2} \partial_\eta) \end{aligned}$$

→ modes $\mathcal{J}_n, \mathcal{P}_n$ satisfy Virasoro

Central extensions can be computed and give

$$c = 12J, \quad k = -2J$$

$$(t^+, t^-) \sim (t^+ - 2\pi l^+, t^- + 2\pi l^-) \sim (x^+ - 2\pi z^+, x^- + 2\pi z^-)$$

has entropy (2210.0539, Carter-Hofman-Sorani 1508.0832)

$$\| S = 2\pi i \left(\frac{l^+ z^-}{z^+} - l^- \right) P_0^{\text{vac}} + 4\pi i \frac{l^+}{z^+} l_0^{\text{vac}} \quad (*)$$

Remember from the "conformal" coordinates that

$$l^\pm = 2\pi \left(\frac{1 \mp r_\pm}{4\pi a} \right), \quad z^\pm = \pm 1, \quad r_\pm = M \pm \sqrt{M^2 - a^2}, \quad a = J/M$$

Plugging this and the vacuum values in (*) gives

$$S = 2\pi (M^2 + \sqrt{M^4 - J^2}) = \frac{A_{\text{KM}}}{4} !$$

Outlook

We have argued that a WCFT description of Kerr black holes could possibly challenge the more conventional CFT one.

WCFTs, however, are much less known than their CFT relative, and usually stand on less firm grounds.

On the other hand, they present some advantages over the CFT description.

An important step would be to properly define the phase space of the theory at the horizon.

We have our work cut out for us!

Thanks!

