



Neutrino Physics - a review

Steve King, 4th September 2019, Corfu

EISA
European Institute for Sciences and Their Applications

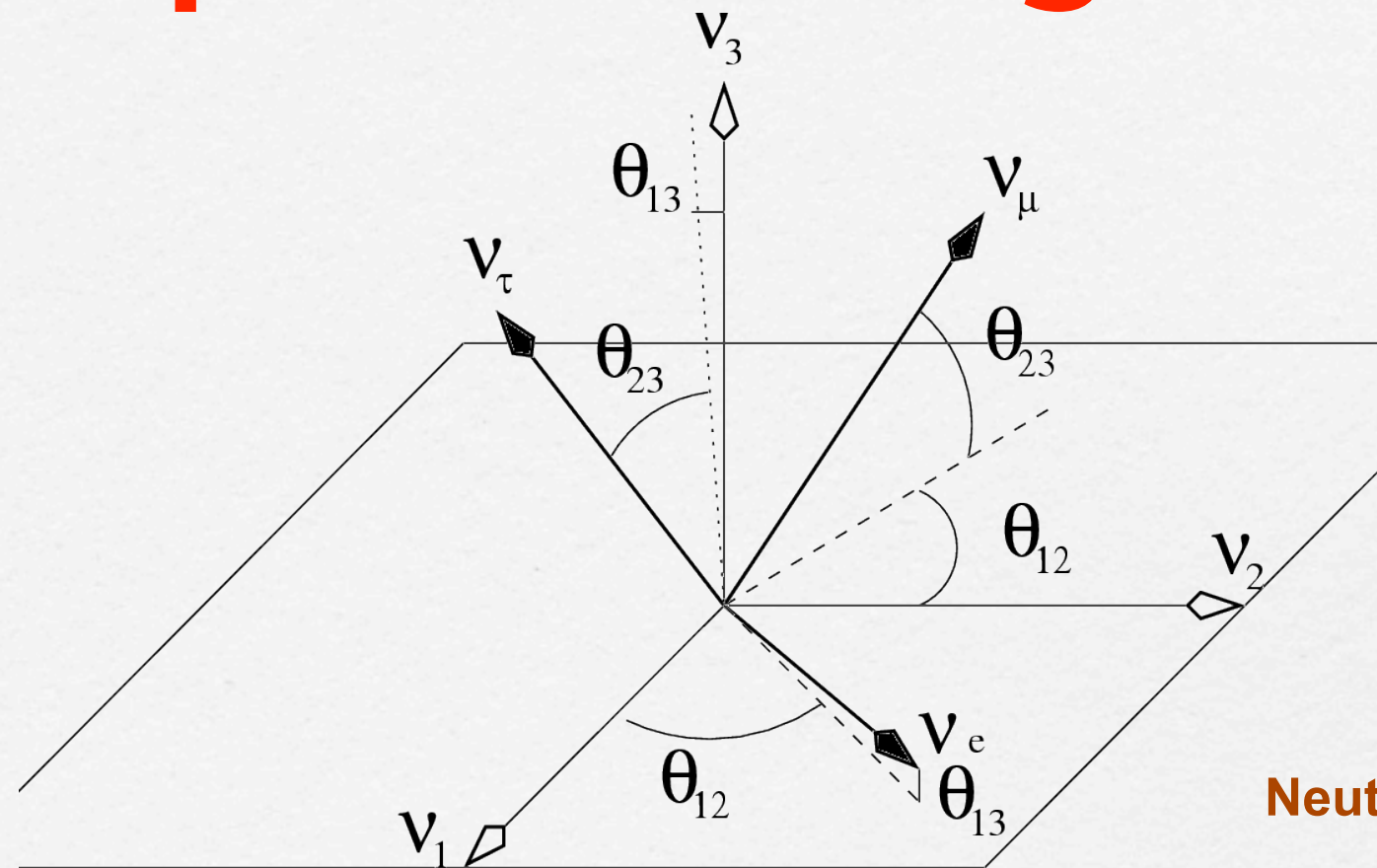


PMNS Lepton mixing matrix

Pontecorvo
Maki
Nakagawa
Sakata

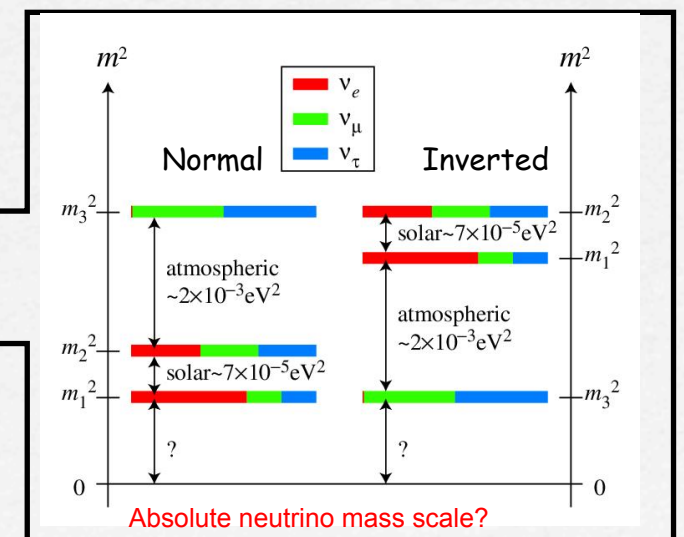
Standard Model states

$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L$$



Neutrino mass states

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



PMNS Lepton mixing matrix

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix}$$

Atmospheric

Reactor

Solar

Majorana

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$$\times \text{diag}(1, e^{i\alpha_{21}/2}, e^{i\alpha_{31}/2})$$

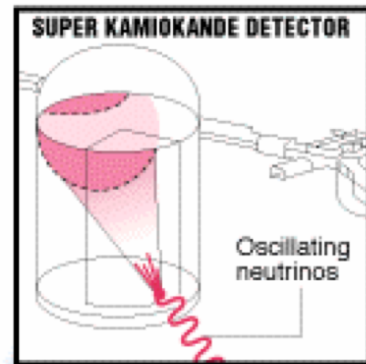
The 6 parameters measurable in neutrino oscillations (assuming 3 active neutrinos):

- * The atmospheric mass squared difference Δm_{31}^2
- * The solar mass squared difference $\Delta m_{21}^2 = m_2^2 - m_1^2$
- * The atmospheric angle θ_{23}
- * The solar angle θ_{12}
- * The reactor angle θ_{13}
- * The CP violating phase δ

Atmospheric Neutrino Oscillations (1998)

Discovering Mass

The farther neutrinos travel, the more time they have to oscillate. By comparing the ratio of flavors of neutrinos coming "up" through the Earth to those coming from overhead, physicists determined that neutrinos oscillate, which neutrinos can only do if they have mass.

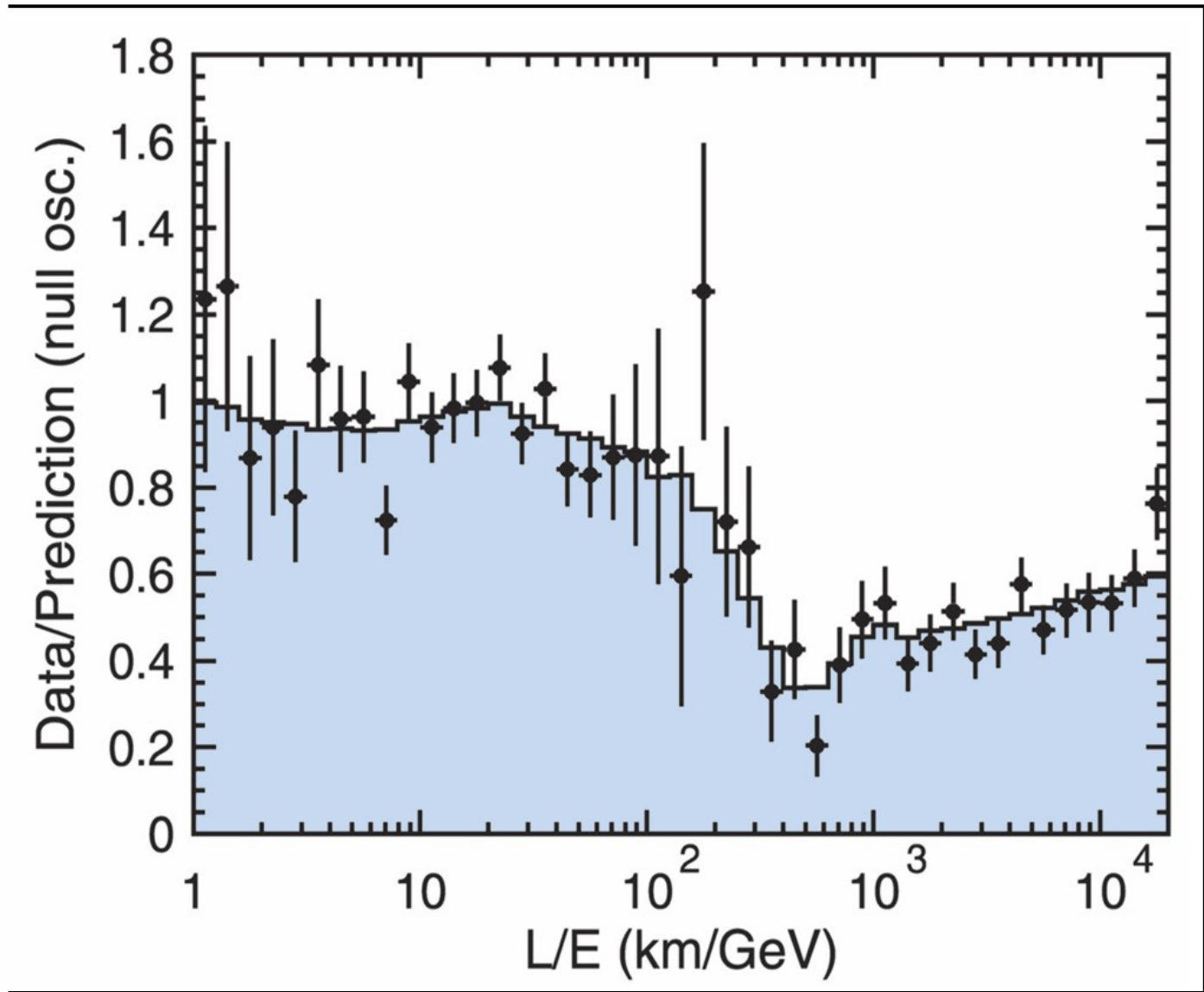
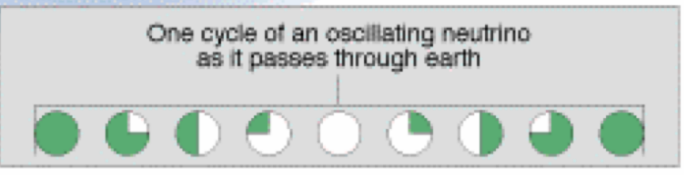


2 Neutrinos continue on the trajectory and begin to oscillate as they pass through the earth

3 A neutrino strikes another elementary particle in the detector tank. The interaction is recorded and analyzed by scientists to identify both the flavor of the neutrino and its flight path.

A cosmic ray (usually a proton) from space



1 The cosmic ray hits the earth's atmosphere, making a spray of secondary particles, some of which decay into neutrinos



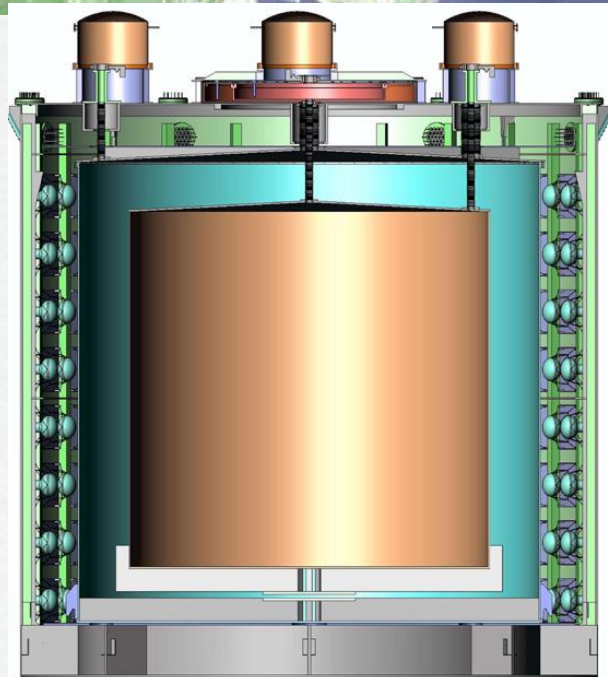
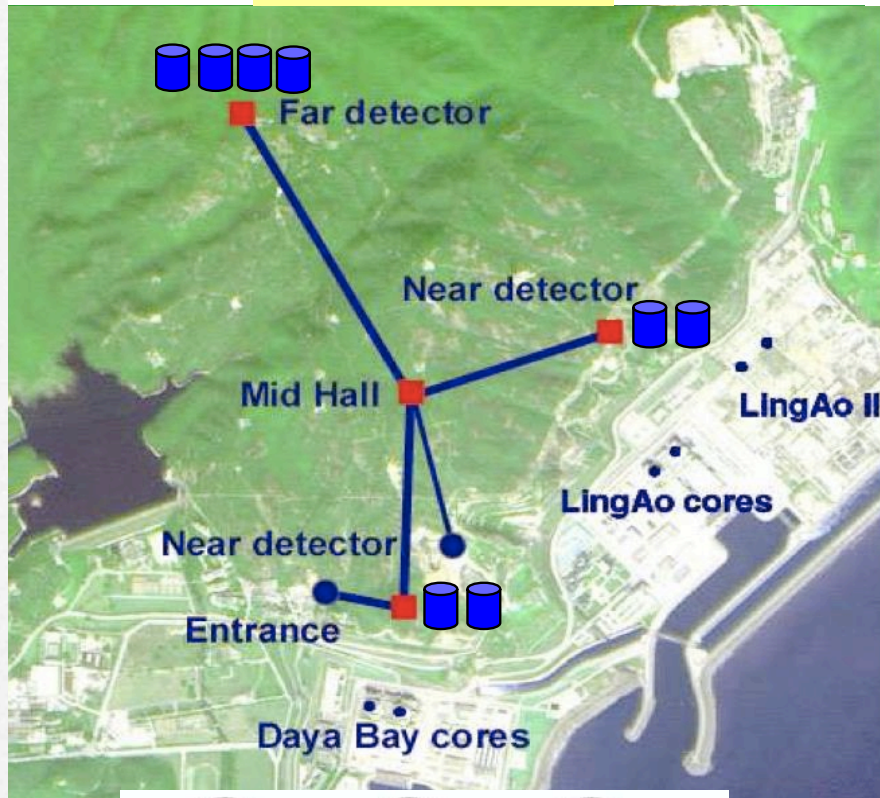
$$Prob. = \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{E}$$

Atmospheric neutrino oscillations show characteristic L/E variation

Brief History of Neutrino Physics post 1998

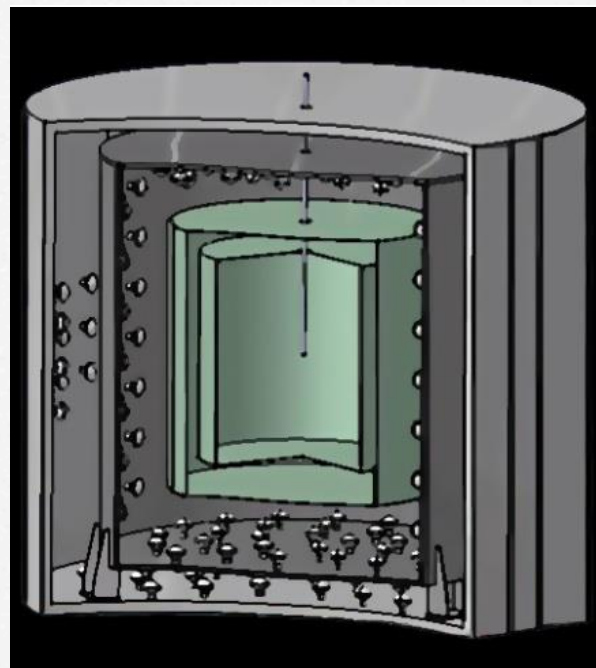
- ✓ Atmospheric ν_μ disappear, large θ_{23} (1998)  SK
- ✓ Solar ν_e disappear, large θ_{12} (2002)  SK, SNO
- ✓ Solar ν_e are converted to $\nu_\mu + \nu_\tau$ (2002) SNO
- ✓ Reactor anti- ν_e disappear/reappear (2004) Kamland
- ✓ Accelerator ν_μ disappear (2006) MINOS
- ✓ Accelerator ν_μ converted to ν_τ (2010) OPERA
- ✓ Accelerator ν_μ converted to ν_e , θ_{13} hint (2011) T2K
- ✓ Reactor anti- ν_e disapp θ_{13} meas. (2012) DB, Reno, DC

Daya Bay



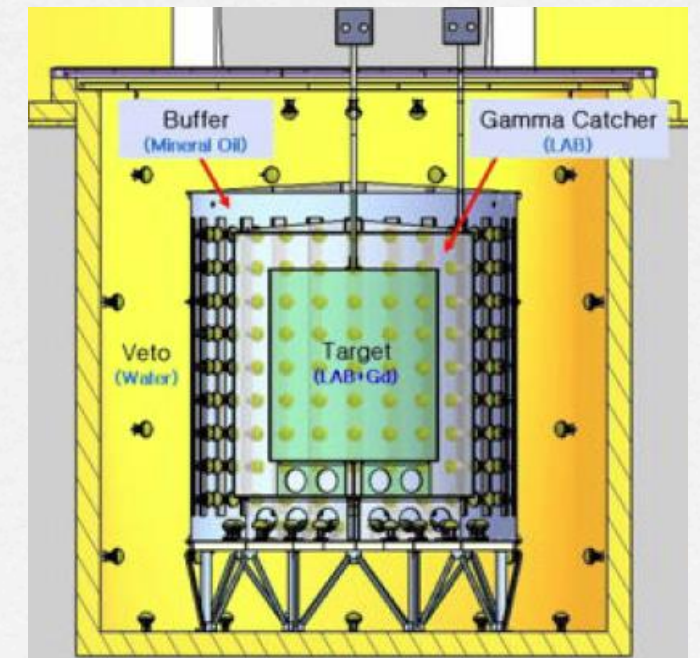
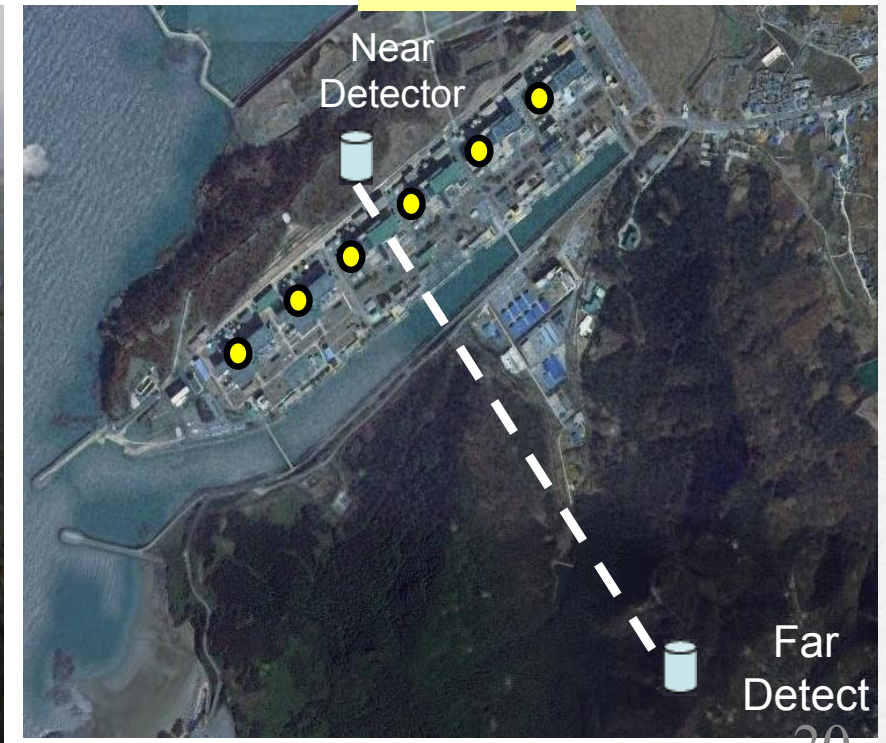
Daya Bay

Double Chooz



Double Chooz

Reno



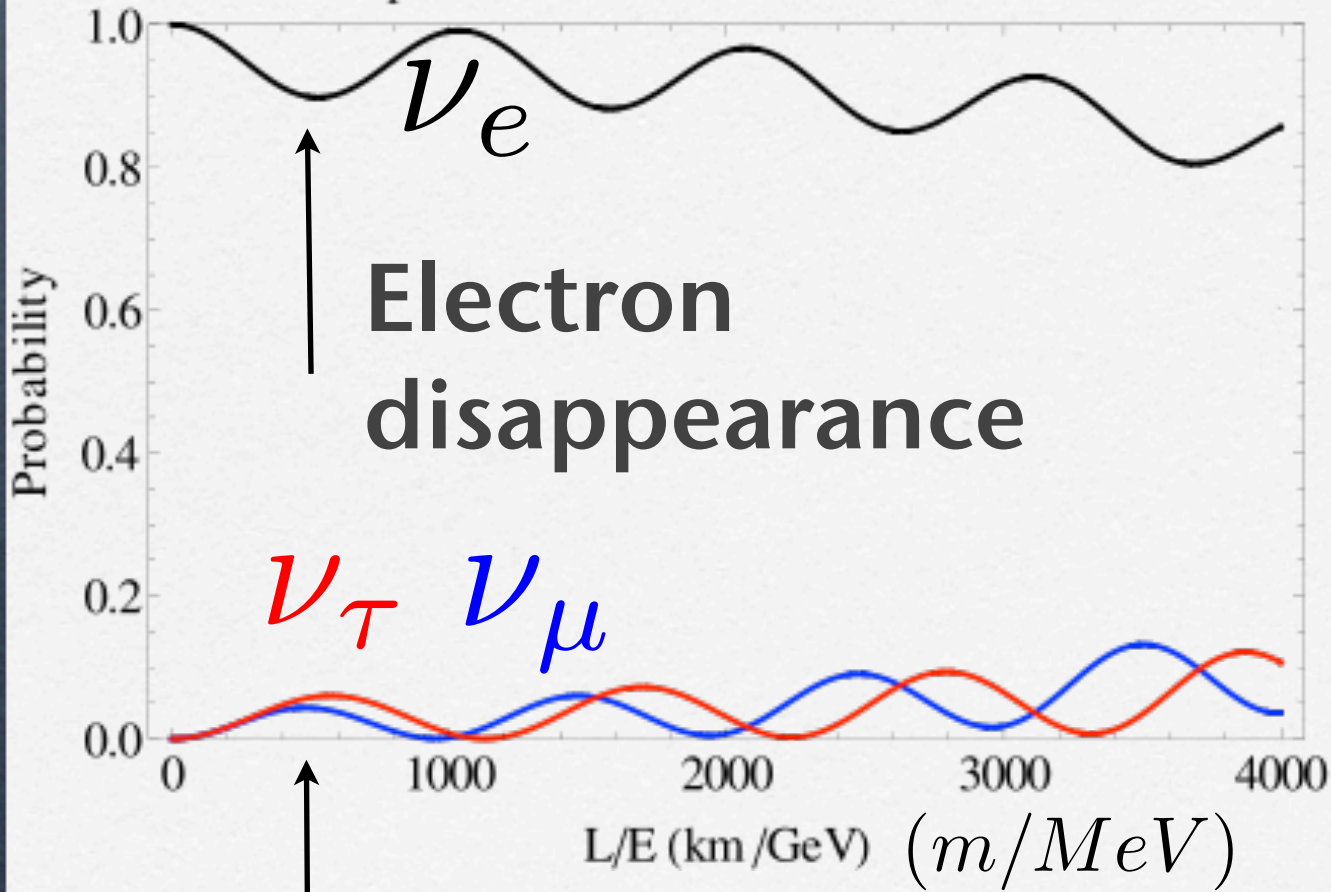
RENO

Electron Neutrino Oscillations

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e; E, L) = 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} (\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32})$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2 L}{4E}$$

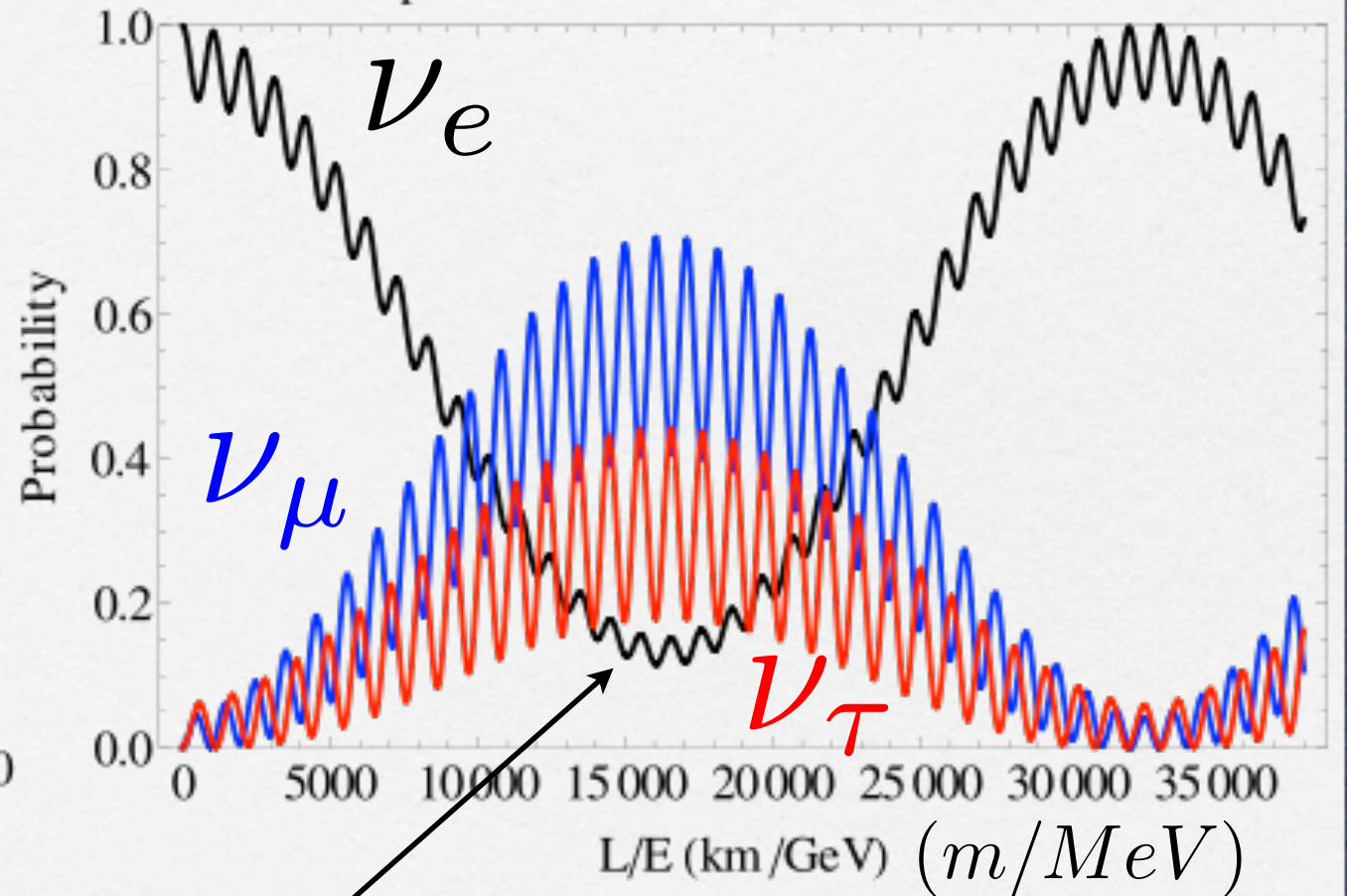
Oscillation probabilities for an initial electron neutrino



Daya Bay
RENO
(1st atm max)

$$\frac{\Delta m_{31}^2 L}{4E} = \frac{\pi}{2}$$

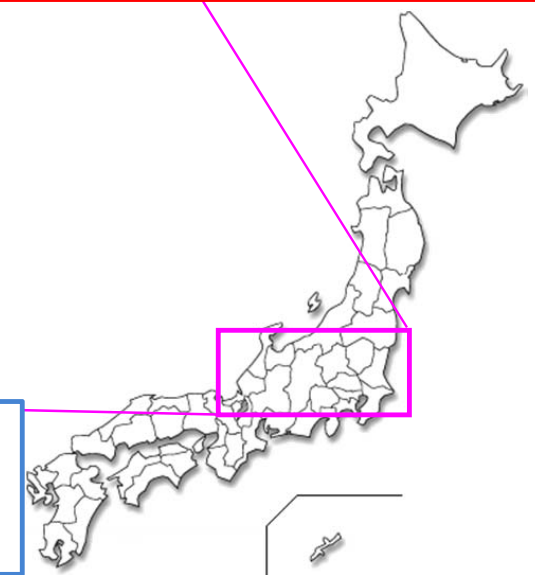
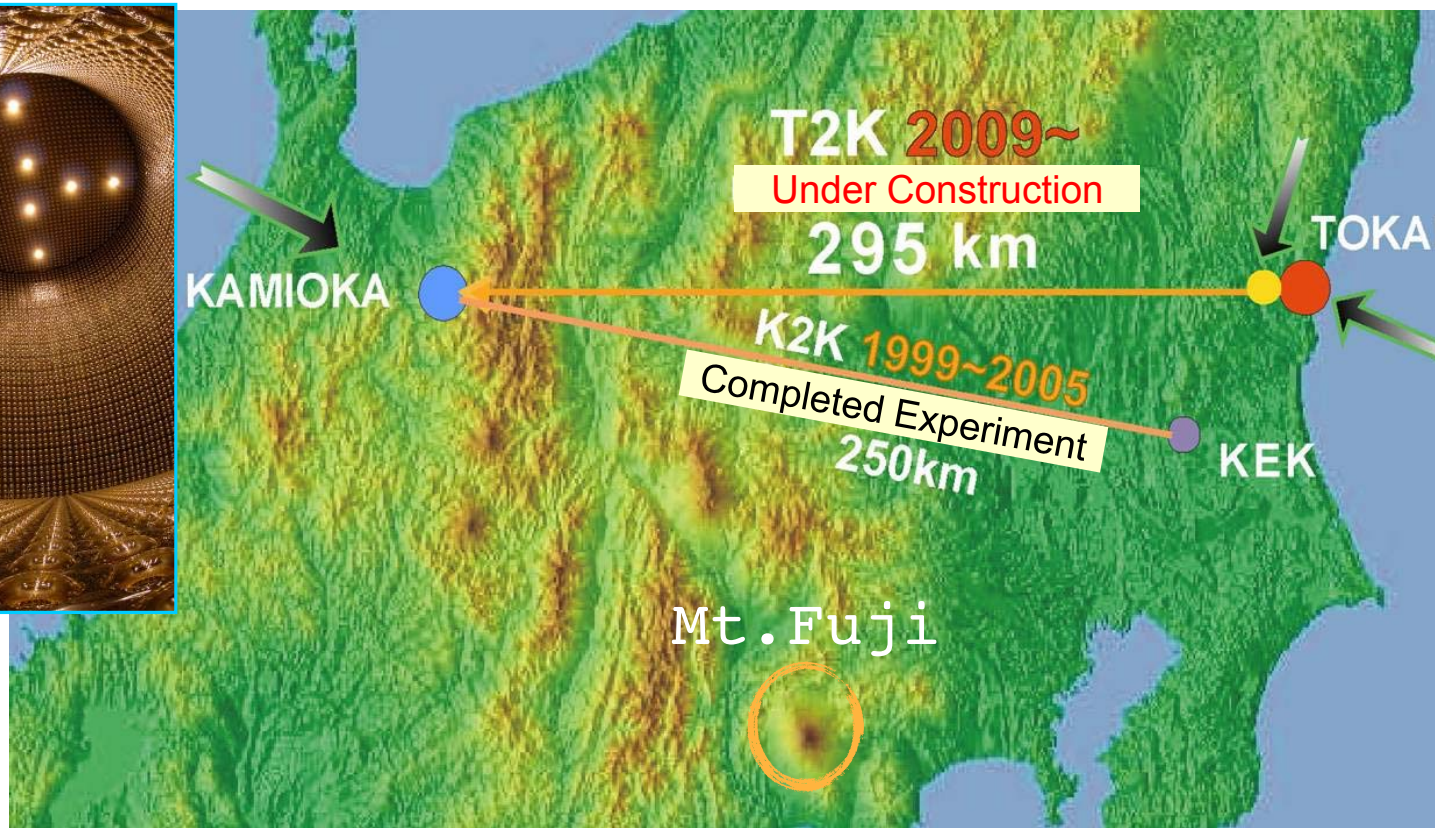
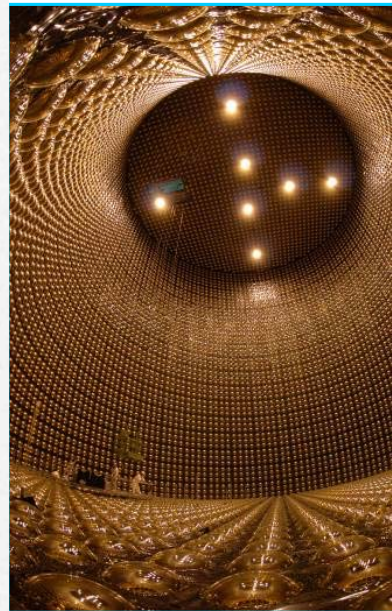
Oscillation probabilities for an initial electron neutrino



JUNO
RENO50km
(1st sol max)

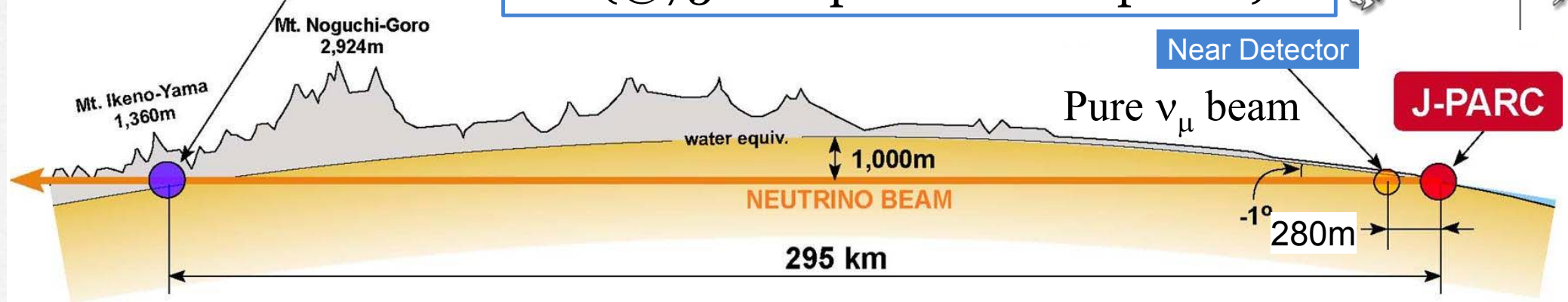
$$\frac{\Delta m_{21}^2 L}{4E} = \frac{\pi}{2}$$

T2K (Tokai to Kamioka) Long Baseline ν experiment



Super-KAMIOKANDE

$\sim 1\nu/\text{cm}^2/\text{s}$ at SK
(@750kW proton beam power)



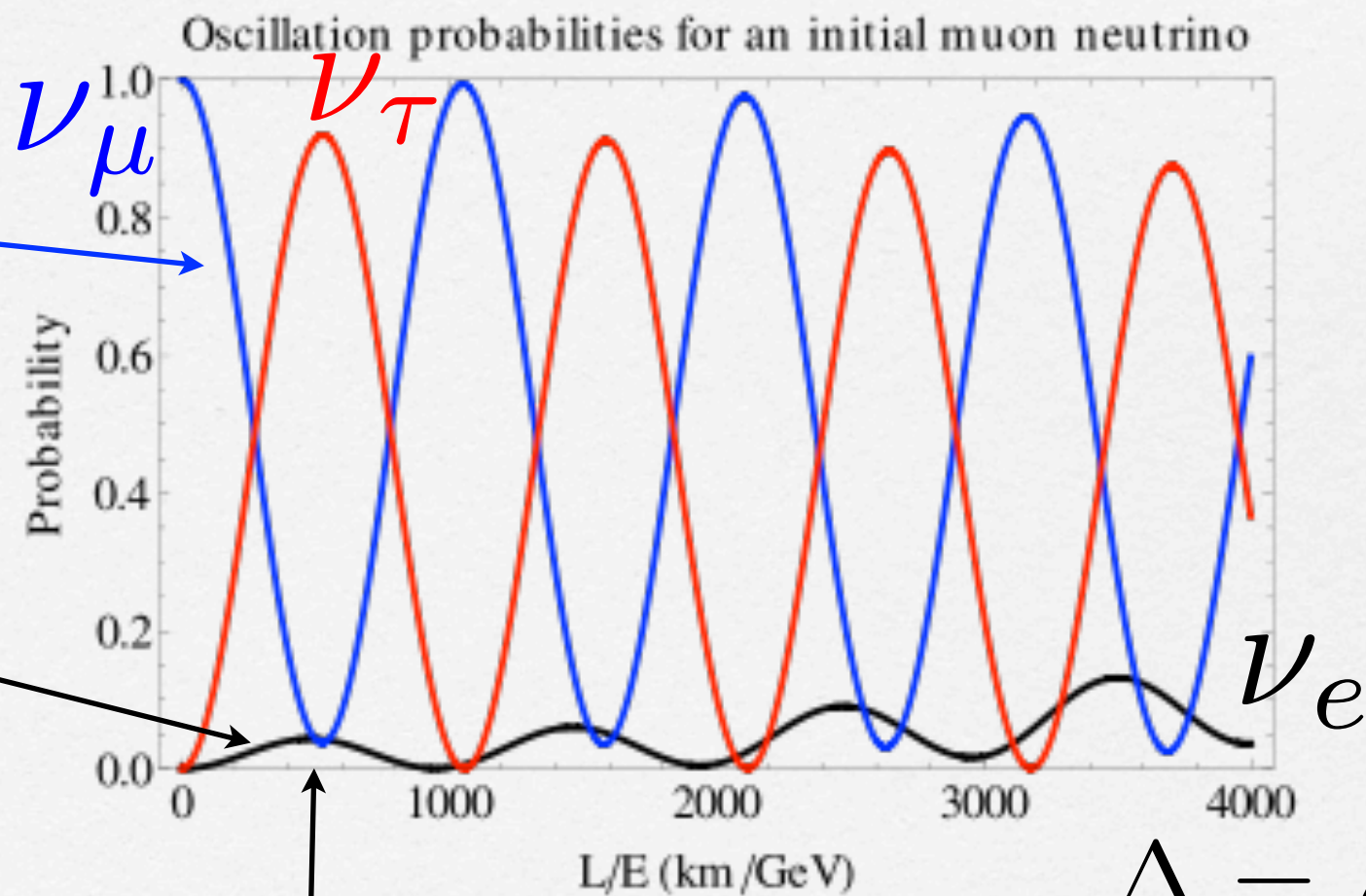
Muon Neutrino Oscillations

$$P(\nu_\mu \rightarrow \nu_\mu; E, L) = 1 - \sin^2(2\theta_{23}) \sin^2\left(\frac{\Delta L}{2}\right) + \mathcal{O}(\epsilon)$$

Muon disappearance

Electron appearance

**Accelerator LBL
(1st atm max)**



$$\frac{\Delta m_{31}^2 L}{4E} = \frac{\pi}{2}$$

$$\Delta = \Delta m_{31}^2 / 2E$$

$$\epsilon \equiv \Delta m_{21}^2 / \Delta m_{31}^2 \approx 0.03$$

Electron Neutrino Appearance

$$P(\nu_\mu \rightarrow \nu_e; E, L) \equiv P_1 + P_{\frac{3}{2}} + \mathcal{O}(\epsilon^2)$$

$$P_1 = \frac{4}{(1 - r_A)^2} \sin^2 \theta_{23} \sin^2 \theta_{13} \sin^2 \left(\frac{(1 - r_A) \Delta L}{2} \right),$$

$$P_{\frac{3}{2}} = 8J_r \frac{\epsilon}{r_A(1 - r_A)} \cos \left(\delta + \frac{\Delta L}{2} \right) \sin \left(\frac{r_A \Delta L}{2} \right) \sin \left(\frac{(1 - r_A) \Delta L}{2} \right)$$

CP phase

Matter effect

Electron appearance depends on CP phase

$$\Delta = \Delta m_{31}^2 / 2E$$

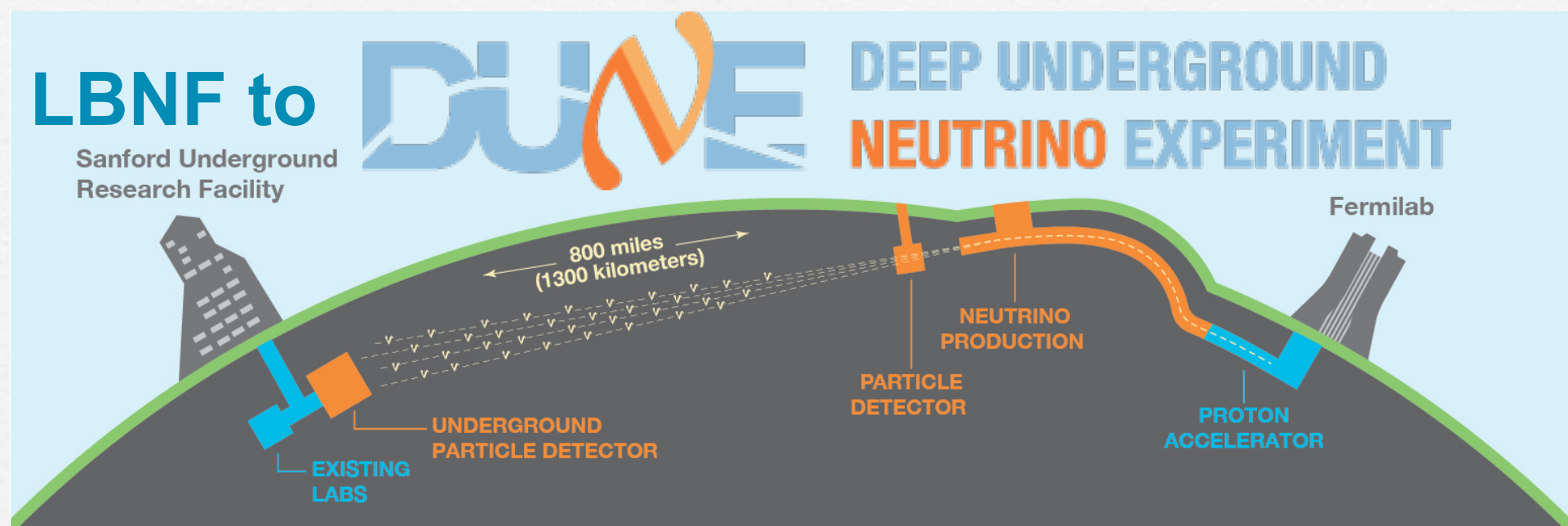
$$\epsilon \equiv \Delta m_{21}^2 / \Delta m_{31}^2 \approx 0.03$$

r_A, δ change sign for antineutrinos

$$J_r = \cos \theta_{12} \sin \theta_{12} \cos \theta_{23} \sin \theta_{23} \sin \theta_{13}$$

$$r_A = 2\sqrt{2}G_F N_e E / \Delta m_{31}^2$$

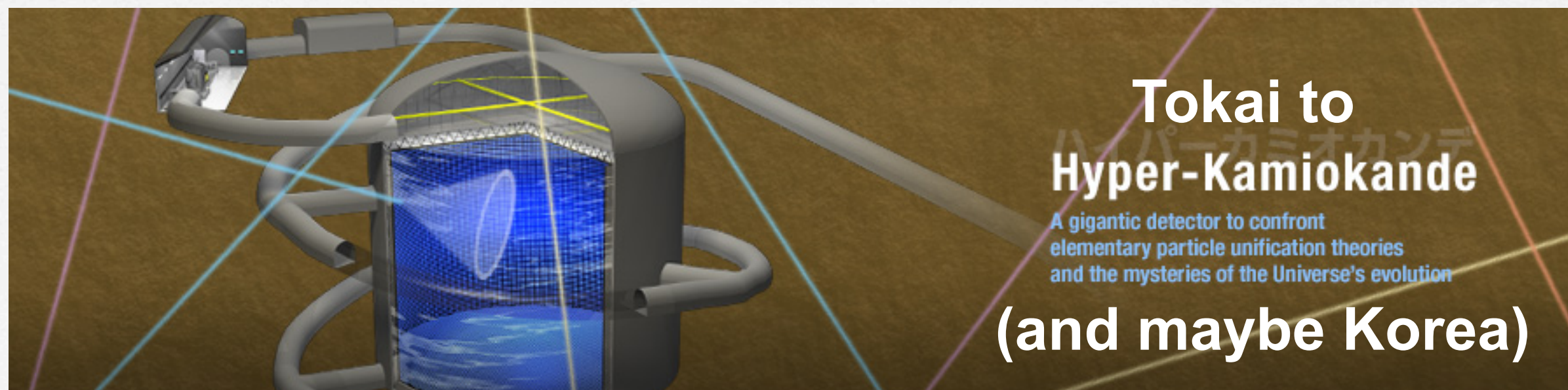
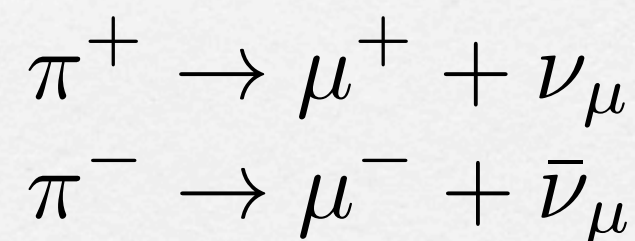
Future LBL experiments



Beams of

$$\nu_{\mu} \quad \bar{\nu}_{\mu}$$

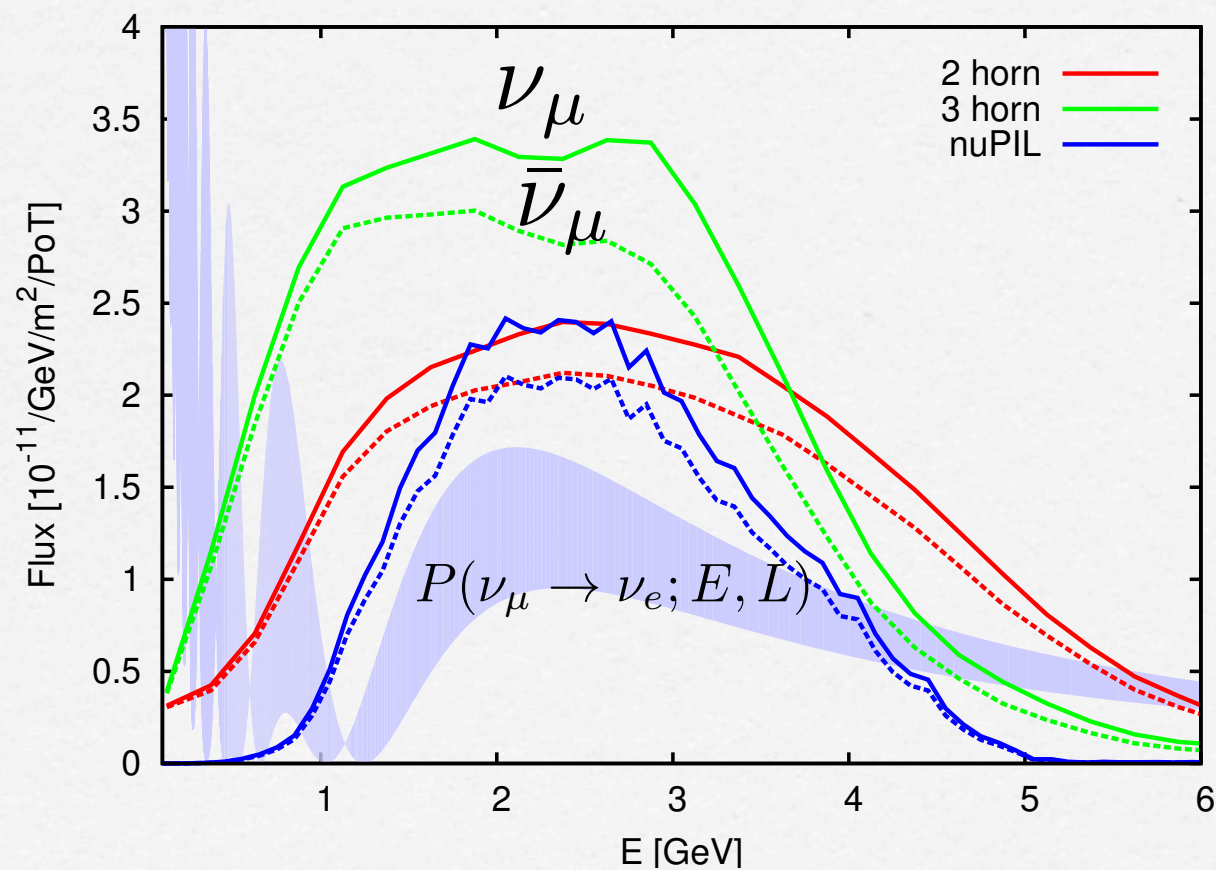
from



Highly complementary experiments:

DUNE

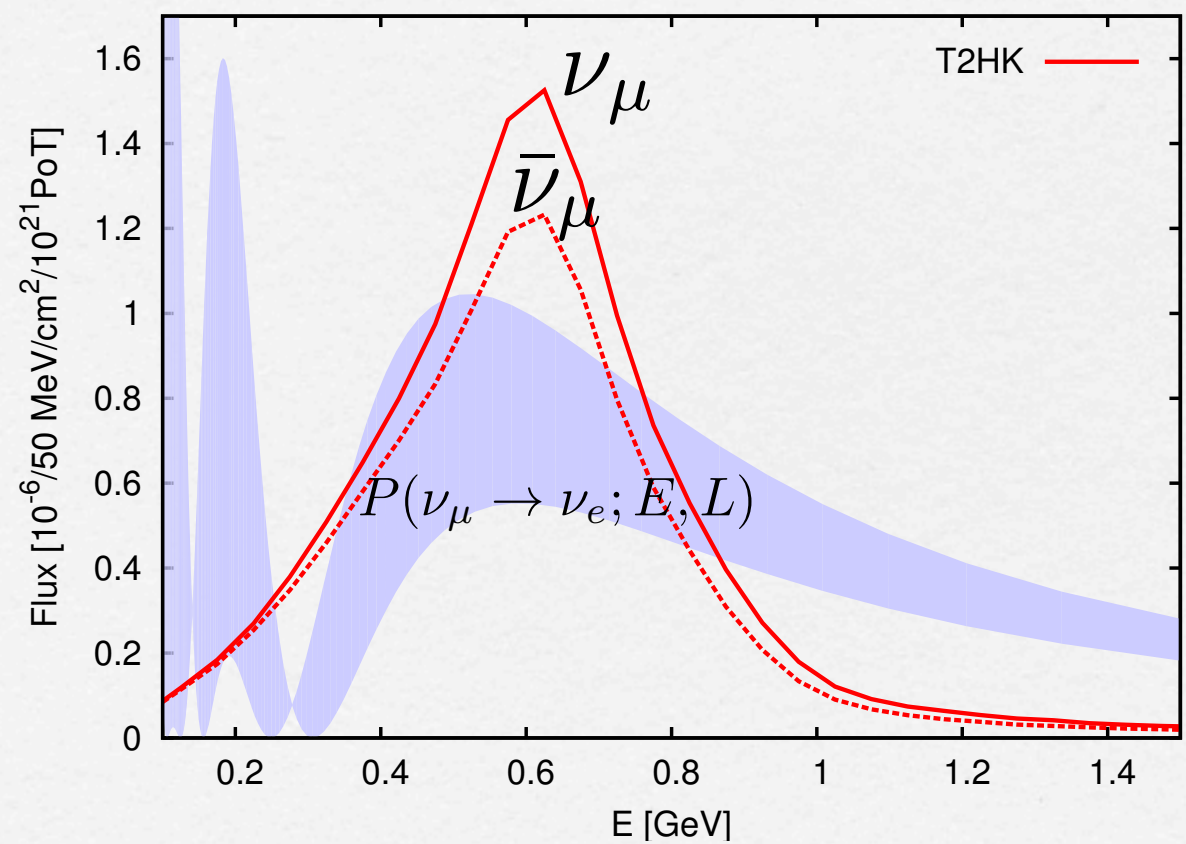
$L = 1300\text{km}$



Wide Band Beam
LAr detector

T2HK

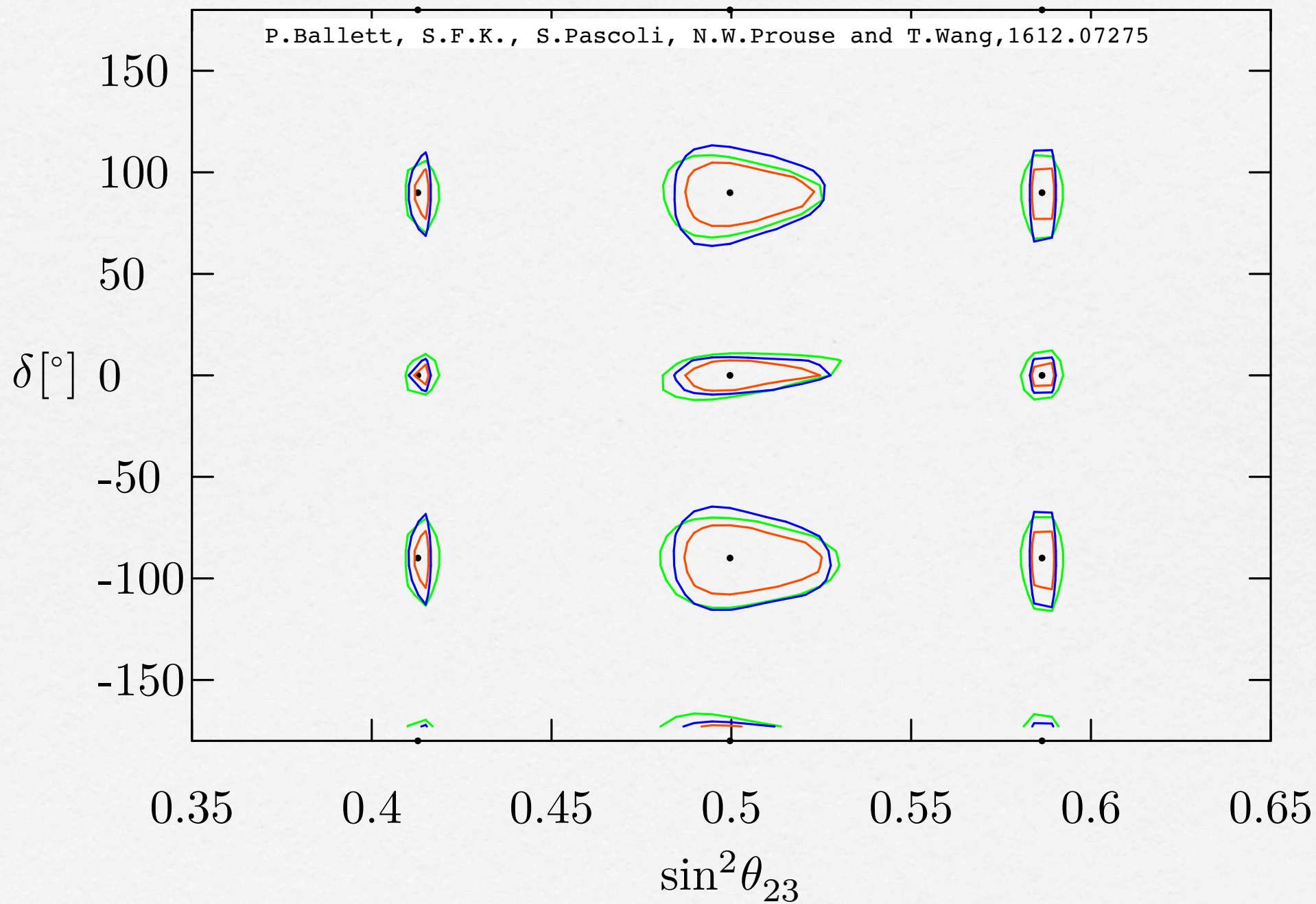
$L = 295\text{km}$



Narrow Band Beam (off-axis)
Water detector

Precision measurements

DUNE — T2HK — DUNE+T2HK —



**1 sigma
contours
in future**

Parameters

Neutrino Oscillation Experiments

Δm_{21}^2

KamLAND ($\bar{\nu}_e \rightarrow \bar{\nu}_e$)²¹

Δm_{31}^2

T2K ($\nu_\mu \rightarrow \nu_\mu$)²²

MINOS ($\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu, \nu_\mu \rightarrow \nu_\mu$)²³

solar neutrinos ($\nu_e \rightarrow \nu_e$)

θ_{12}

Borexino²⁴, SNO^{25,26},

Super-Kamionkande I-IV²⁷

θ_{13}

Daya Bay ($\bar{\nu}_e \rightarrow \bar{\nu}_e$)²⁸

RENO ($\bar{\nu}_e \rightarrow \bar{\nu}_e$)²⁹

atmospheric neutrinos

θ_{23}

($\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu, \nu_\mu \rightarrow \nu_\mu$)

Super-Kamiokande I-IV³⁰

δ

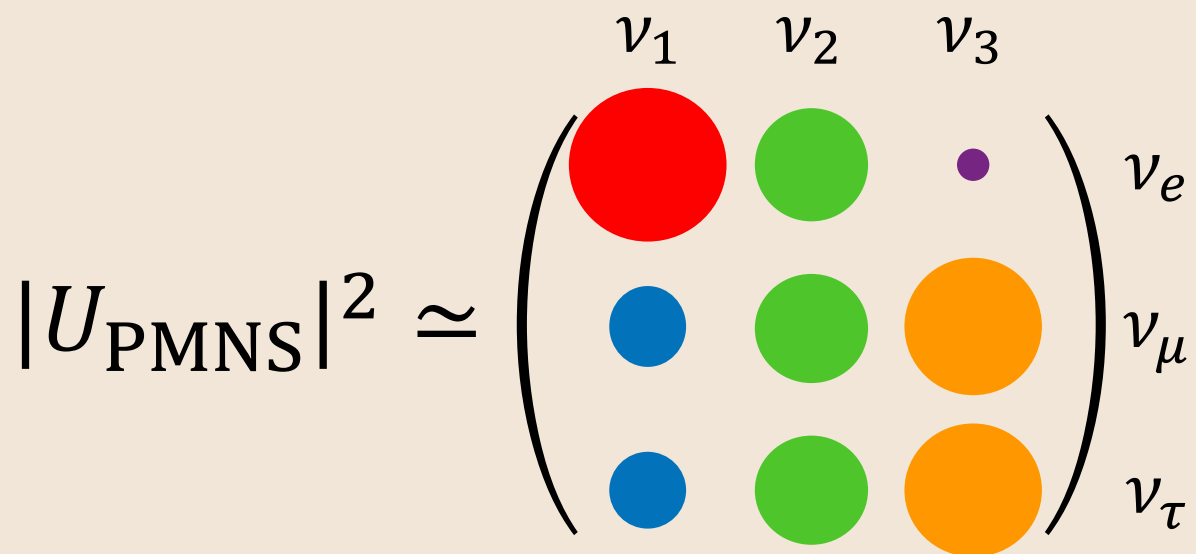
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NuFIT 4.1 (2019)		Normal Ordering (best fit)	
		bf $\pm 1\sigma$	3σ range
without SK atmospheric data	$\sin^2 \theta_{12}$	$0.310^{+0.013}_{-0.012}$	$0.275 \rightarrow 0.350$
	$\theta_{12}/^\circ$	$33.82^{+0.78}_{-0.76}$	$31.61 \rightarrow 36.27$
	$\sin^2 \theta_{23}$	$0.558^{+0.020}_{-0.033}$	$0.427 \rightarrow 0.609$
	$\theta_{23}/^\circ$	$48.3^{+1.1}_{-1.9}$	$40.8 \rightarrow 51.3$
	$\sin^2 \theta_{13}$	$0.02241^{+0.00066}_{-0.00065}$	$0.02046 \rightarrow 0.02440$
	$\theta_{13}/^\circ$	$8.61^{+0.13}_{-0.13}$	$8.22 \rightarrow 8.99$
	$\delta_{\text{CP}}/^\circ$	222^{+38}_{-28}	$141 \rightarrow 370$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.39^{+0.21}_{-0.20}$	$6.79 \rightarrow 8.01$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.523^{+0.032}_{-0.030}$	$+2.432 \rightarrow +2.618$

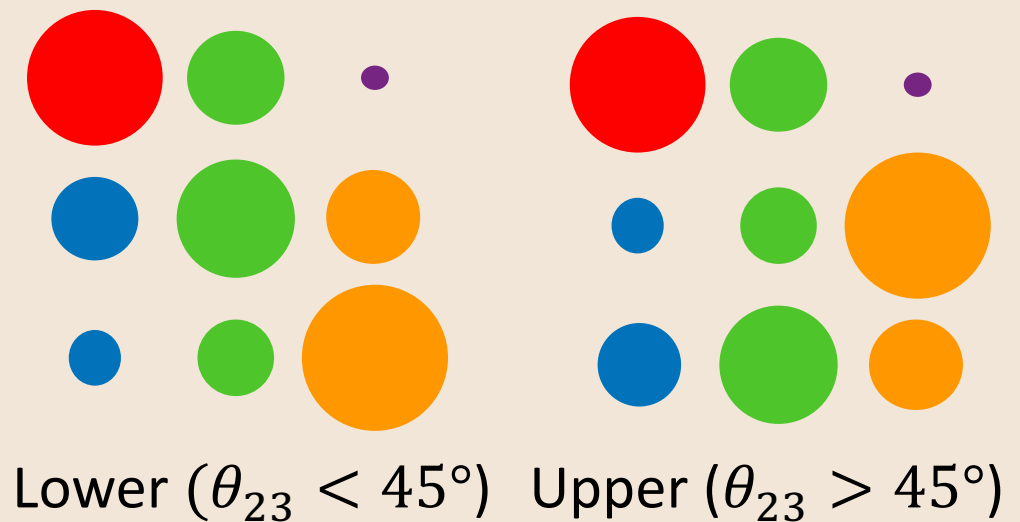
Inverted Ordering ($\Delta\chi^2 = 6.2$)

Open questions for neutrino mixing

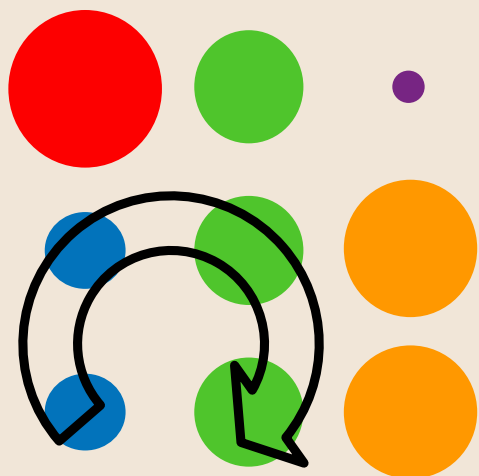
Phill Litchfield



Octant degeneracy



CP Violation

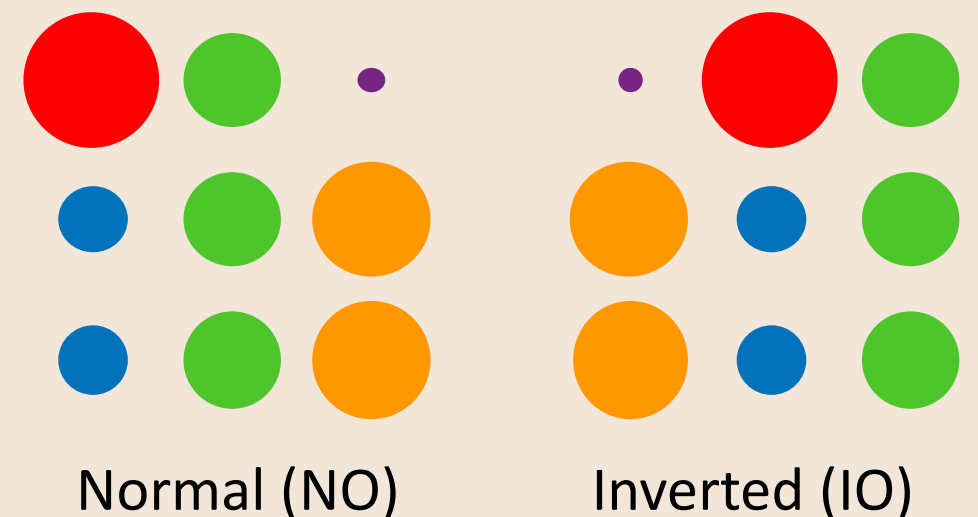


Complex mixing of these 4 elements causes

$$P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$$

Key parameter: δ_{CP}

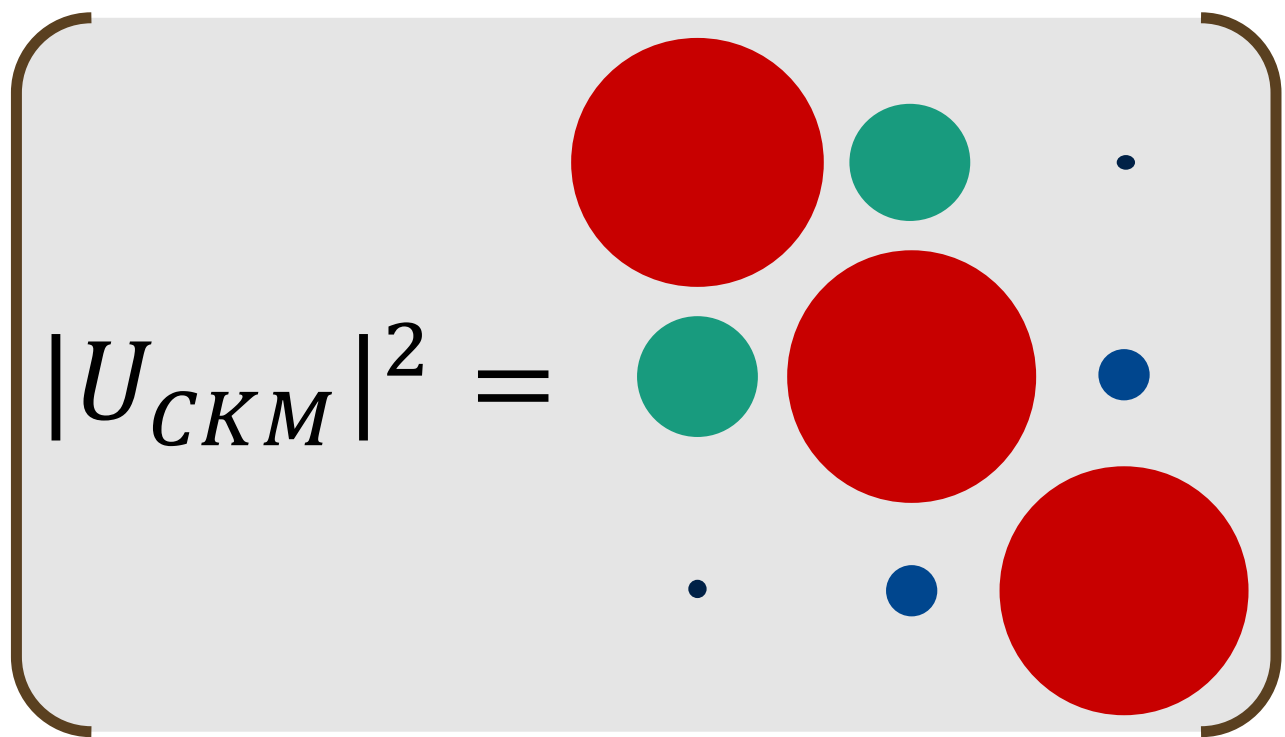
Mass Ordering (Hierarchy)



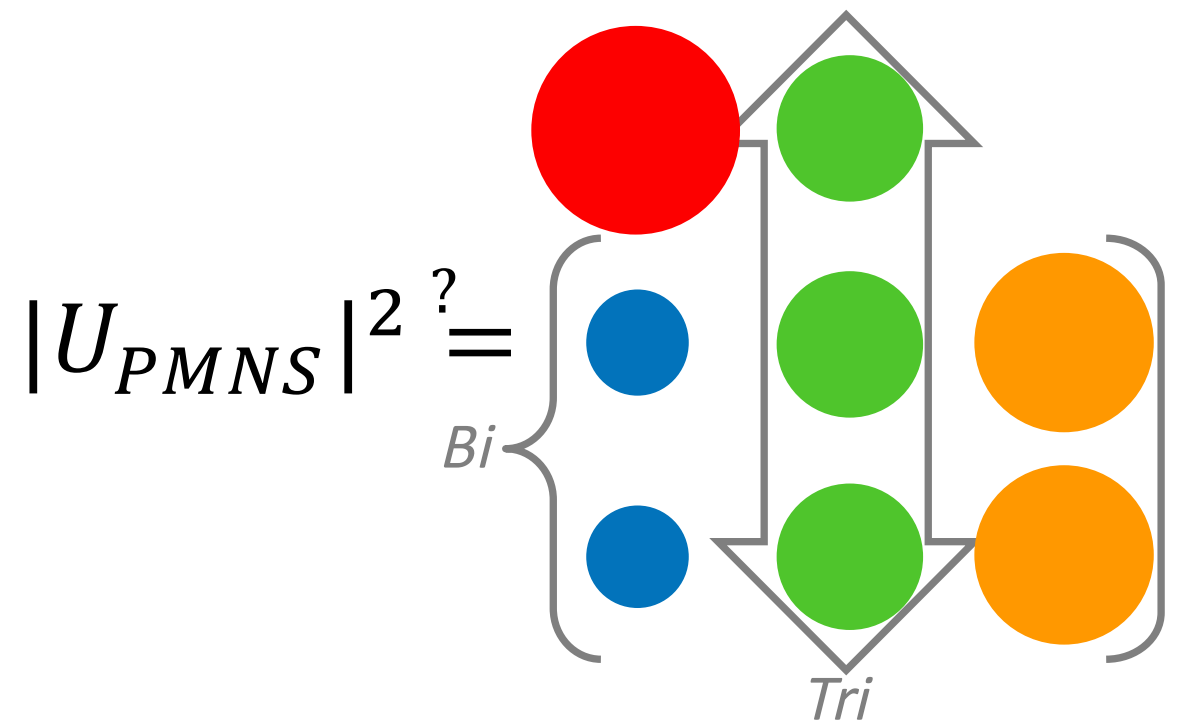
CKM vs Tri-bimaximal Mixing

Phill Litchfield

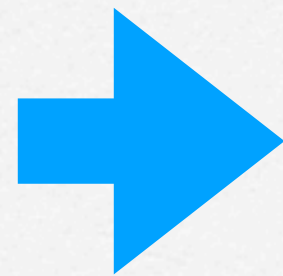
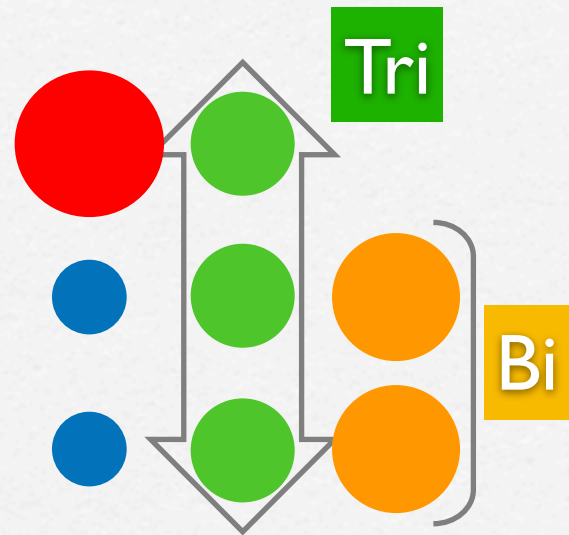
CKM Matrix



Tri-bimaximal Mixing



Tri-Bimaximal Mixing



$$\begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\sin \theta_{12} = \frac{1}{\sqrt{3}}$$

Allowed at
3 sigma

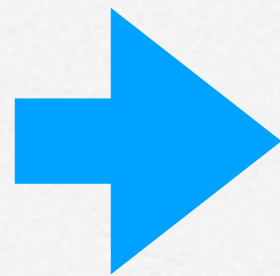
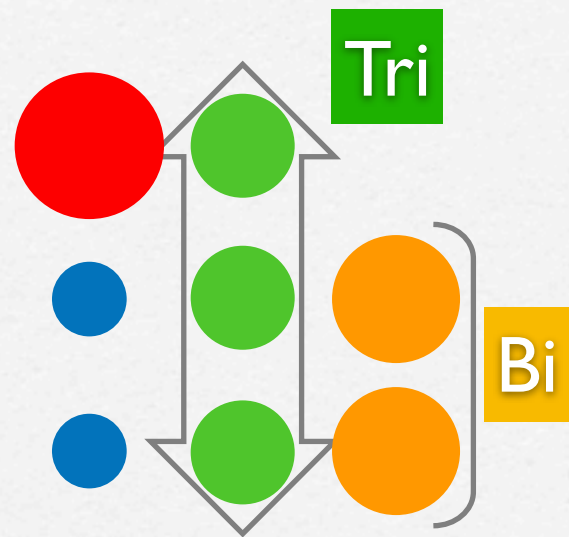
$$\sin \theta_{23} = \frac{1}{\sqrt{2}}$$

Allowed at
3 sigma

$$\sin \theta_{13} = 0$$

Excluded
at many sigma

Tri-Bimaximal Mixing



$$\begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\sin \theta_{12} = \frac{1}{\sqrt{3}}$$

Allowed at
3 sigma

$$\sin \theta_{23} = \frac{1}{\sqrt{2}}$$

Allowed at
3 sigma

$$\sin \theta_{13} = 0$$

Excluded
at many sigma

NuFIT 4.1 (2019)

Best Fit Preferences:

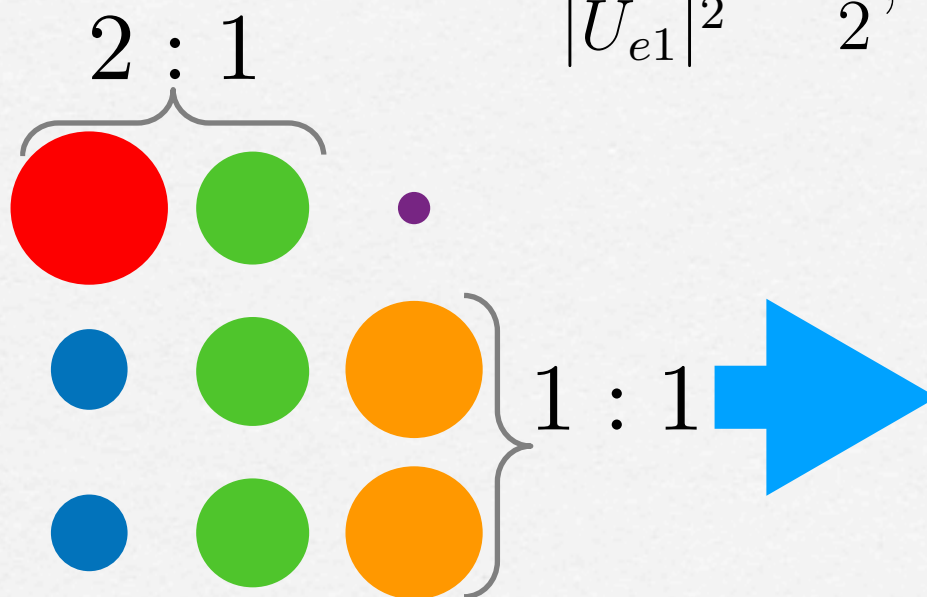
$$s_{12}^2 < \frac{1}{3}$$

$$s_{23}^2 > \frac{1}{2}$$

$$s_{13}^2 = 0.02241 \pm 0.00065$$

Tri-Bimaximal-Reactor

$$\frac{|U_{e2}|^2}{|U_{e1}|^2} = \frac{1}{2}, \quad \frac{|U_{\mu 3}|^2}{|U_{\tau 3}|^2} = 1.$$



$$\begin{pmatrix} \sqrt{\frac{2}{3}}(1 - \frac{1}{4}\lambda^2) & \frac{1}{\sqrt{3}}(1 - \frac{1}{4}\lambda^2) & \frac{1}{\sqrt{2}}\lambda e^{-i\delta} \\ -\frac{1}{\sqrt{6}}(1 + \lambda e^{i\delta}) & \frac{1}{\sqrt{3}}(1 - \frac{1}{2}\lambda e^{i\delta}) & \frac{1}{\sqrt{2}}(1 - \frac{1}{4}\lambda^2) \\ \frac{1}{\sqrt{6}}(1 - \lambda e^{i\delta}) & -\frac{1}{\sqrt{3}}(1 + \frac{1}{2}\lambda e^{i\delta}) & \frac{1}{\sqrt{2}}(1 - \frac{1}{4}\lambda^2) \end{pmatrix}$$

$$\sin \theta_{12} = \frac{1}{\sqrt{3}}$$

Allowed at
3 sigma

$$\sin \theta_{23} = \frac{1}{\sqrt{2}}$$

Allowed at
3 sigma

$$\sin \theta_{13} = \frac{\lambda}{\sqrt{2}}$$

Allowed ✓

Charged lepton corrections

Charged lepton rotation

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}^e & s_{12}^e e^{-i\delta_{12}^e} & 0 \\ -s_{12}^e e^{i\delta_{12}^e} & c_{12}^e & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Tri-bimaximal

$$= \begin{pmatrix} \dots & \dots & \frac{s_{12}^e}{\sqrt{2}} e^{-i\delta_{12}^e} \\ \dots & \dots & \frac{c_{12}^e}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

- Reactor angle generated
- Third row unchanged implies sum rules

Sum rules first derived and studied in: SFK hep-ph/0506297; S.Antusch, SFK hep-ph/0508044; S.Antusch, P.Huber, S.F.K and T.Schwetz, hep-ph/0702286; S.Antusch, S.F.K., M.Malinsky, 0711.4727
More recent detailed phenomenological analyses:

D.Marzocca, S.T.Petcov, A.Romanino and M.C.Sevilla, 1302.0423; S.T.Petcov 1405.6006;

P.Ballett, S.F.King, C.Luhn, S.Pascoli and M.A.Schmidt, 1410.7573

I.Girardi, S.T.Petcov and A.V.Titov, 1410.8056, 1504.00658, 1504.02402, 1605.04172, ...

For asymmetric texture without sum rule see: M.H.Rahat, P.Ramond, B.Xu, 1805.10684

Charged lepton corrections

Charged lepton rotation

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}^e & s_{12}^e e^{-i\delta_{12}^e} & 0 \\ -s_{12}^e e^{i\delta_{12}^e} & c_{12}^e & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Tri-bimaximal

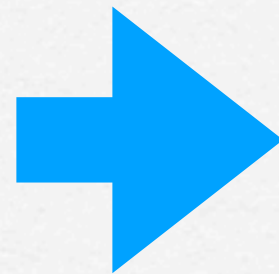
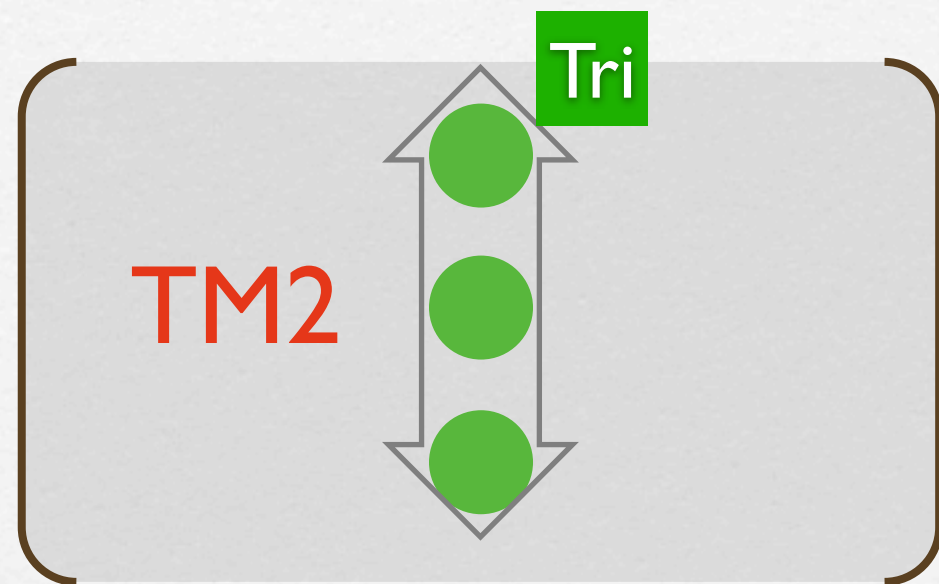
$$= \begin{pmatrix} \dots & \dots & \frac{s_{12}^e}{\sqrt{2}} e^{-i\delta_{12}^e} \\ \dots & \dots & \frac{c_{12}^e}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} \rightarrow s_{13} = \frac{s_{12}^e}{\sqrt{2}} \quad \text{Suggests } \theta_{12}^e \approx \theta_C$$

$$\rightarrow c_{23} c_{13} = \frac{1}{\sqrt{2}} \rightarrow s_{23}^2 < \frac{1}{2} \quad \text{Not best fit}$$

$$\frac{|U_{\tau 1}|}{|U_{\tau 2}|} = \frac{|s_{12} s_{23} - c_{12} s_{13} c_{23} e^{i\delta}|}{|-c_{12} s_{23} - s_{12} s_{13} c_{23} e^{i\delta}|} = \frac{1}{\sqrt{2}} \rightarrow \cos \delta = \frac{t_{23} s_{12}^2 + s_{13}^2 c_{12}^2 / t_{23} - \frac{1}{3}(t_{23} + s_{13}^2 / t_{23})}{\sin 2\theta_{12} s_{13}}$$

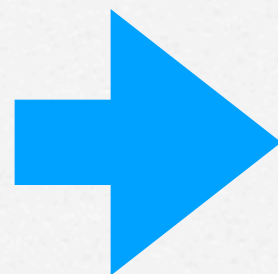
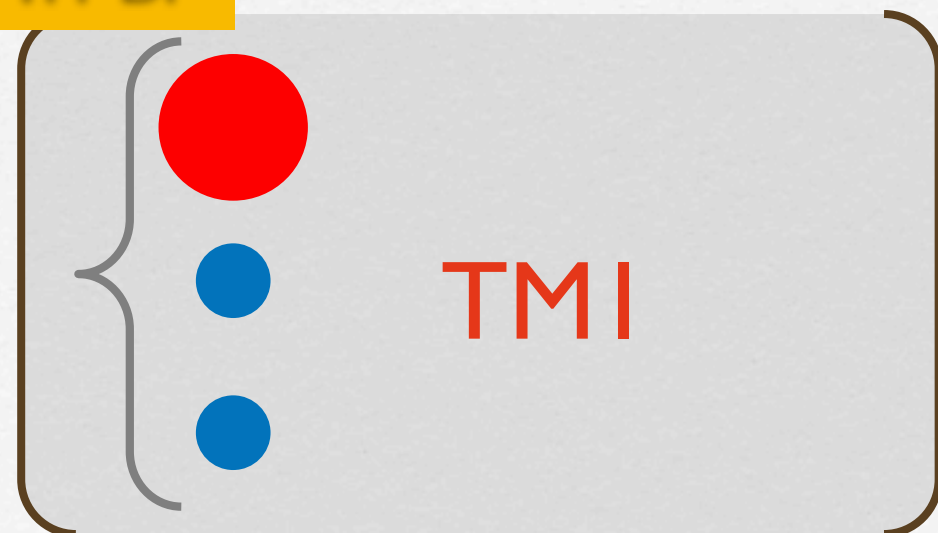
Tri-maximal Mixing

C.H.Albright and W.Rodejohann, 0812.0436; C.H.Albright, A.Dueck and W.Rodejohann, 1004.2798



$$U_{\text{TM2}} \approx \begin{pmatrix} - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \\ - & -\frac{1}{\sqrt{3}} & - \end{pmatrix}$$

Tri-Bi



$$U_{\text{TM1}} \approx \begin{pmatrix} \sqrt{\frac{2}{3}} & - & - \\ -\frac{1}{\sqrt{6}} & - & - \\ \frac{1}{\sqrt{6}} & - & - \end{pmatrix}$$

Tri-maximal Mixing

C.H.Albright and W.Rodejohann, 0812.0436; C.H.Albright, A.Dueck and W.Rodejohann, 1004.2798

Not best fit

$$U_{\text{TM2}} \approx \begin{pmatrix} - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \end{pmatrix}$$

$\rightarrow |U_{e2}| = s_{12}c_{13} = \sqrt{\frac{1}{3}} \rightarrow s_{12}^2 > \frac{1}{3}$
 $\rightarrow |U_{\mu 2}| = |c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta}| = \sqrt{\frac{1}{3}}$
 $\rightarrow |U_{\tau 2}| = |-c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta}| = \sqrt{\frac{1}{3}}$
 $\rightarrow \cos \delta = \frac{2c_{13} \cot 2\theta_{23} \cot 2\theta_{13}}{\sqrt{2 - 3s_{13}^2}}$

Tri-maximal Mixing

C.H.Albright and W.Rodejohann, 0812.0436; C.H.Albright, A.Dueck and W.Rodejohann, 1004.2798

$U_{\text{TM2}} \approx \begin{pmatrix} - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \end{pmatrix}$

$|U_{e2}| = s_{12}c_{13} = \sqrt{\frac{1}{3}} \rightarrow s_{12}^2 > \frac{1}{3}$ **Not best fit**
 $|U_{\mu 2}| = |c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta}| = \sqrt{\frac{1}{3}}$
 $|U_{\tau 2}| = |-c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta}| = \sqrt{\frac{1}{3}}$

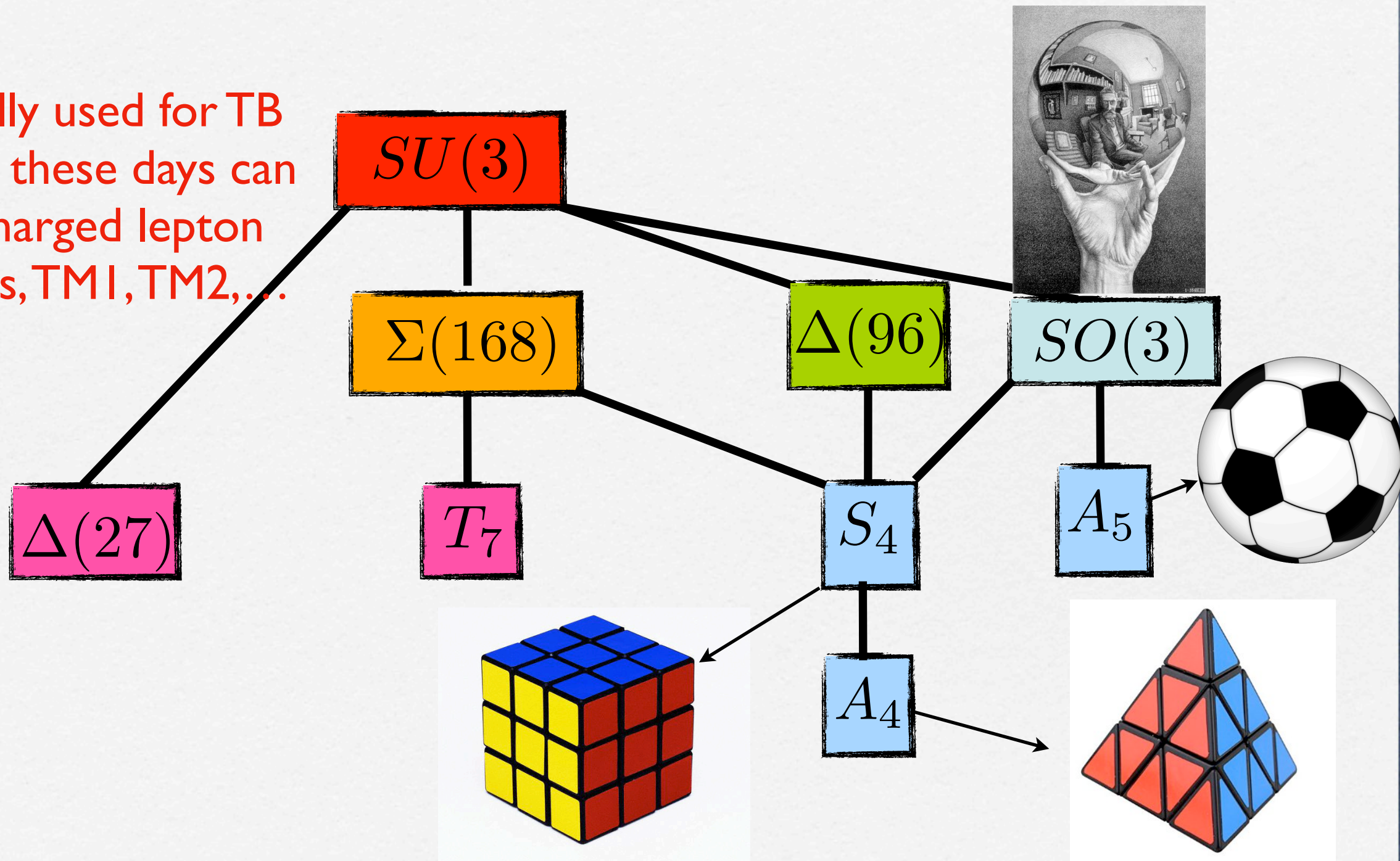
$U_{\text{TM1}} \approx \begin{pmatrix} \sqrt{\frac{2}{3}} & - & - \\ -\frac{1}{\sqrt{6}} & - & - \\ \frac{1}{\sqrt{6}} & - & - \end{pmatrix}$

$|U_{e1}| = c_{12}c_{13} = \sqrt{\frac{2}{3}} \rightarrow s_{12}^2 < \frac{1}{3}$ **Best fit**
 $|U_{\mu 1}| = |-s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta}| = \sqrt{\frac{1}{6}}$
 $|U_{\tau 1}| = |s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta}| = \sqrt{\frac{1}{6}}$

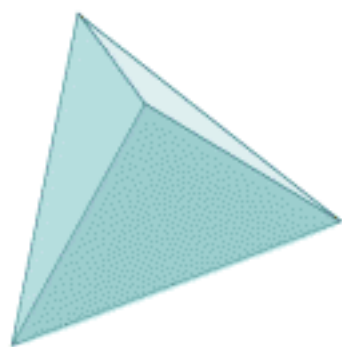
$\cos \delta = \frac{2c_{13} \cot 2\theta_{23} \cot 2\theta_{13}}{\sqrt{2 - 3s_{13}^2}}$
 $\cos \delta = -\frac{\cot 2\theta_{23}(1 - 5s_{13}^2)}{2\sqrt{2}s_{13}\sqrt{1 - 3s_{13}^2}}$

Family Symmetry

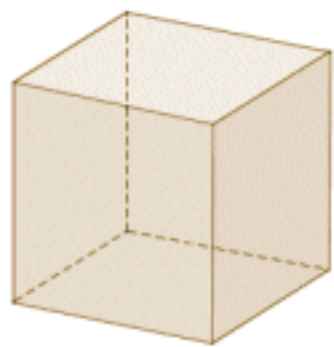
Traditionally used for TB mixing, but these days can explain charged lepton corrections, TMI, TM2,...



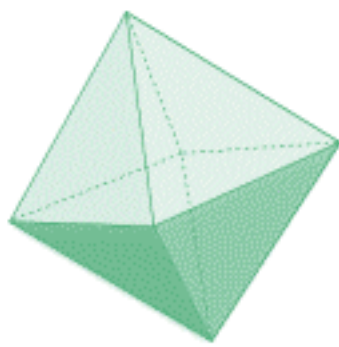
Platonic Solids



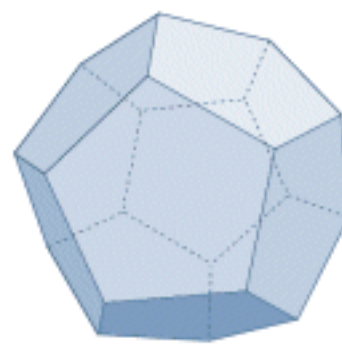
Tetrahedron



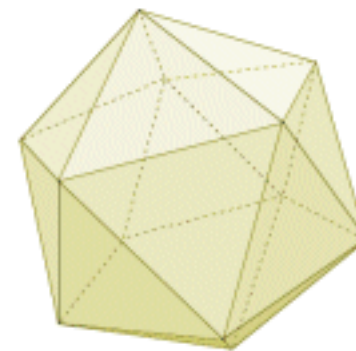
Hexahedron



Octahedron



Dodecahedron



Icosahedron

solid	faces	vertices	Plato	Group
tetrahedron	4	4	fire	A_4
octahedron	8	6	air	S_4
icosahedron	20	12	water	A_5
hexahedron	6	8	earth	S_4
dodecahedron	12	20	?	A_5

Plato's fire
 A_4 can explain
 Tri-bimaximal
 Mixing

E.Ma and G.Rajasekaran,
 hep-ph/0106291;

K.S.Babu, E.Ma, J.W.F.Valle,
 hep-ph/0206292;

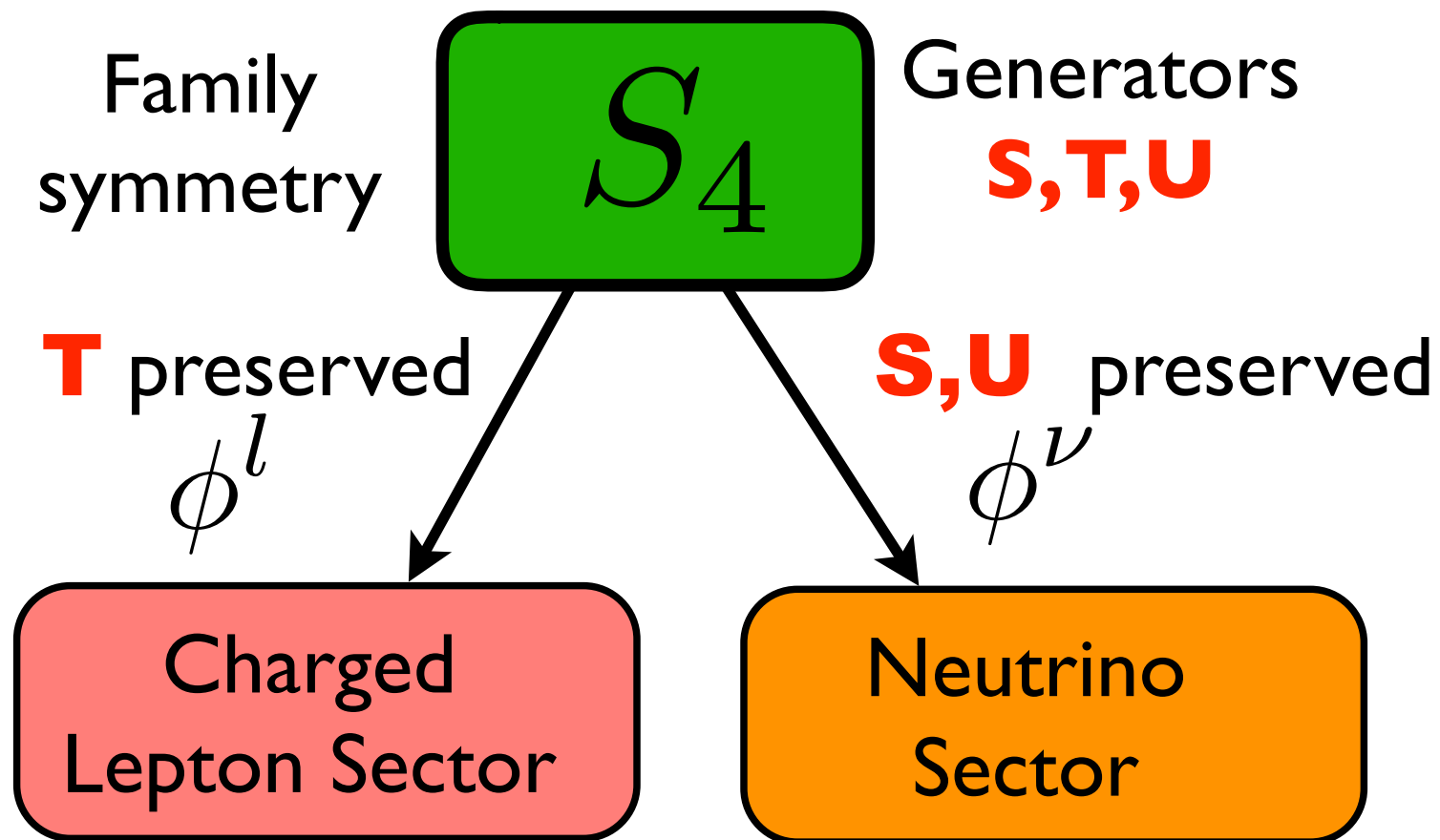
G.Altarelli and F.Feruglio,
 hep-ph/0504165, hep-ph/0512103

A₄ and S₄ Group Theory

S ₄	A ₄	S	T	U
1, 1'	1	1	1	±1
2	$\begin{pmatrix} 1'' \\ 1' \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} \omega & 0 \\ 0 & \omega^2 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$
3, 3'	3	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$	$\mp \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

Diagonalised by TB matrix

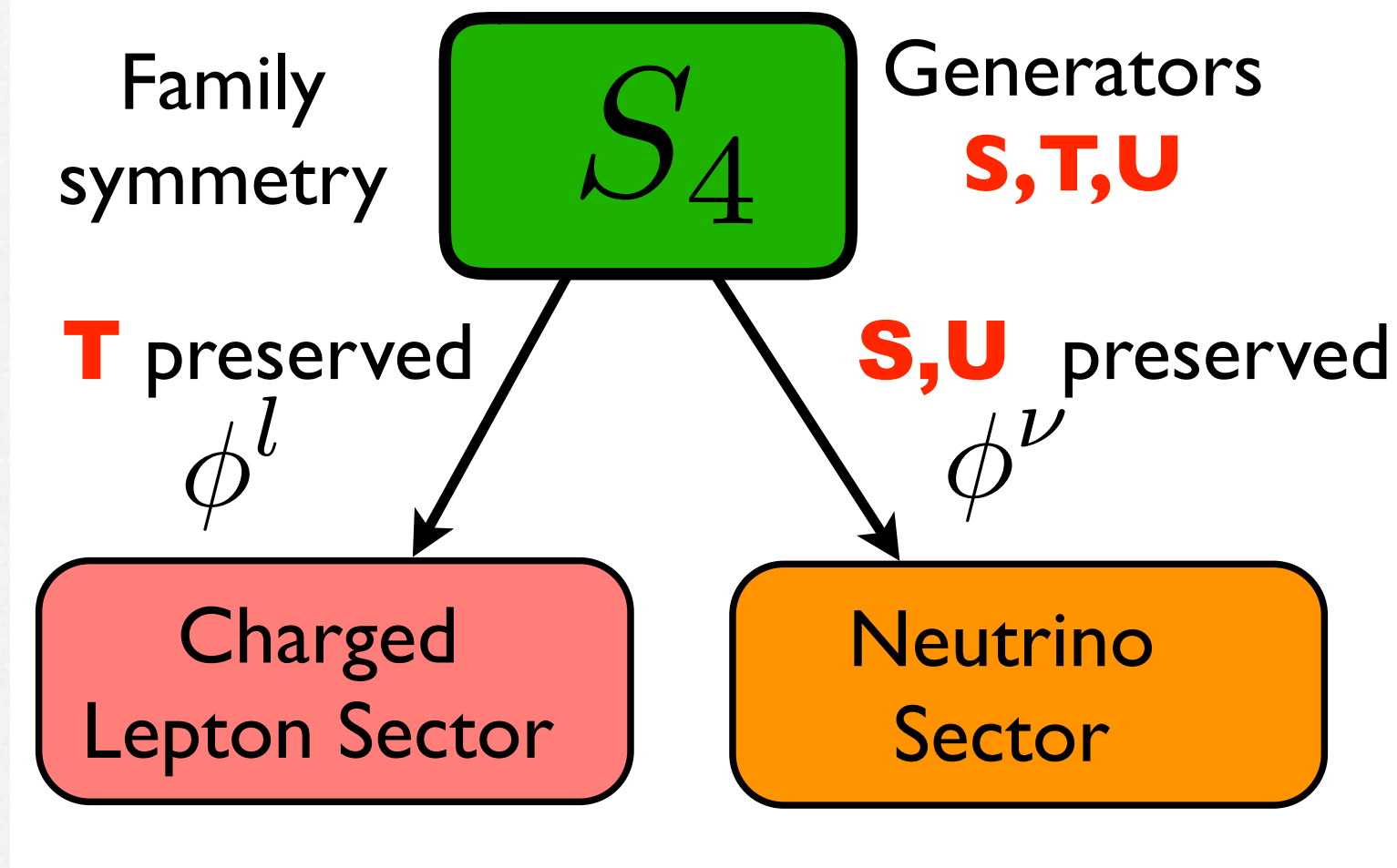
Tri-bimaximal mixing from S_4



S.F.K., C.Luhn,
1301.1340

Tri-bimaximal mixing from S_4

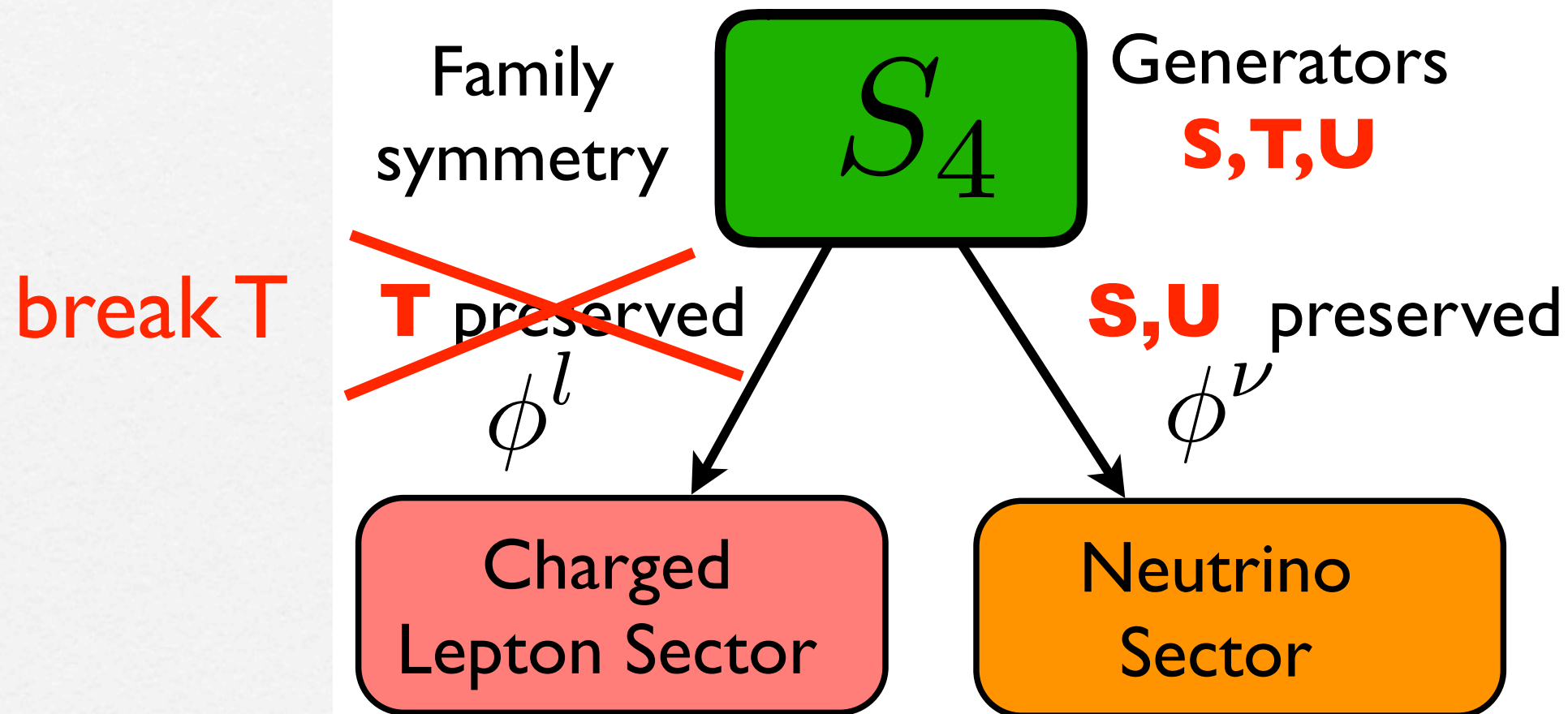
S.F.K., C.Luhn,
1301.1340



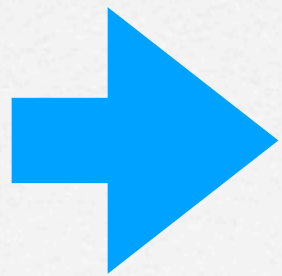
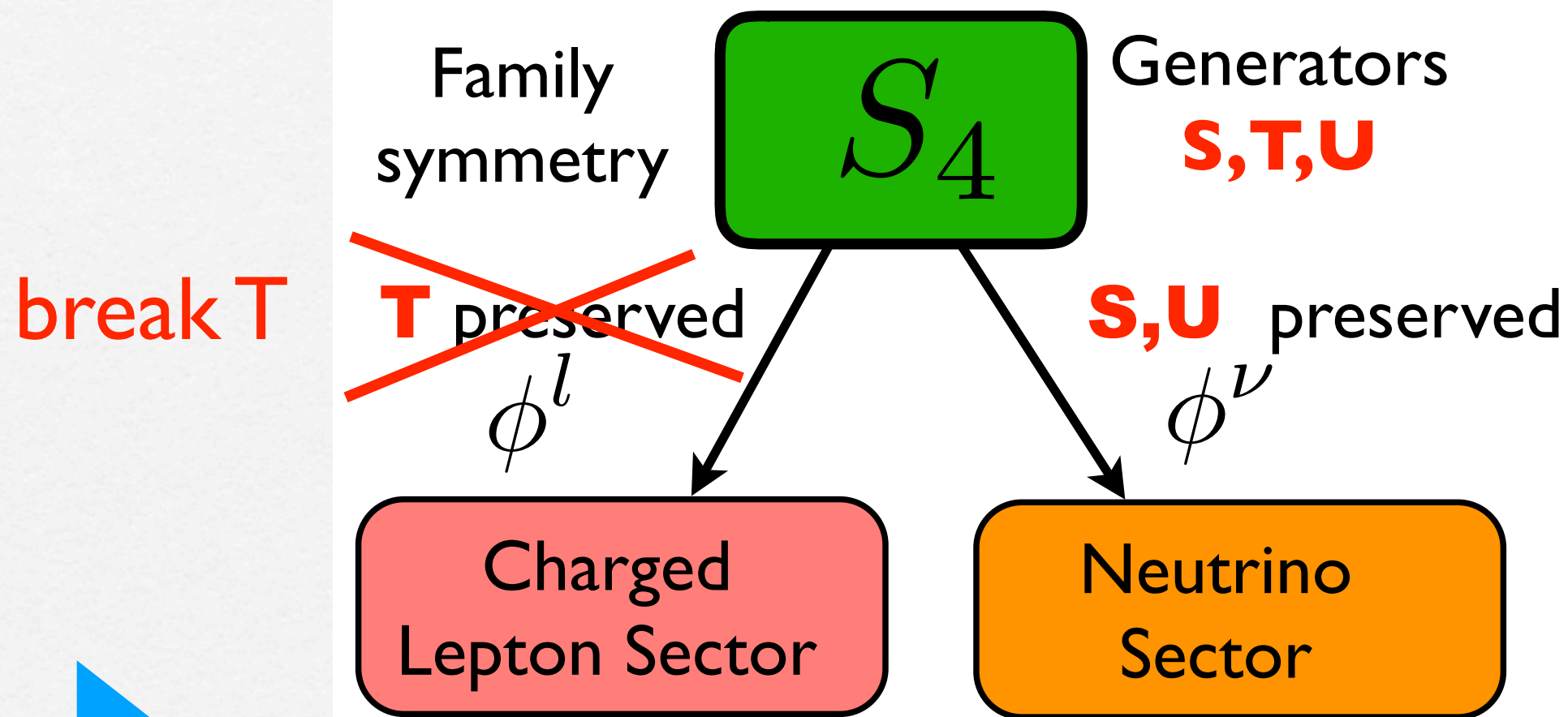
➔
$$\begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

TB mixing
excluded
so need to
break S, T, U

Tri-bimaximal mixing from S_4



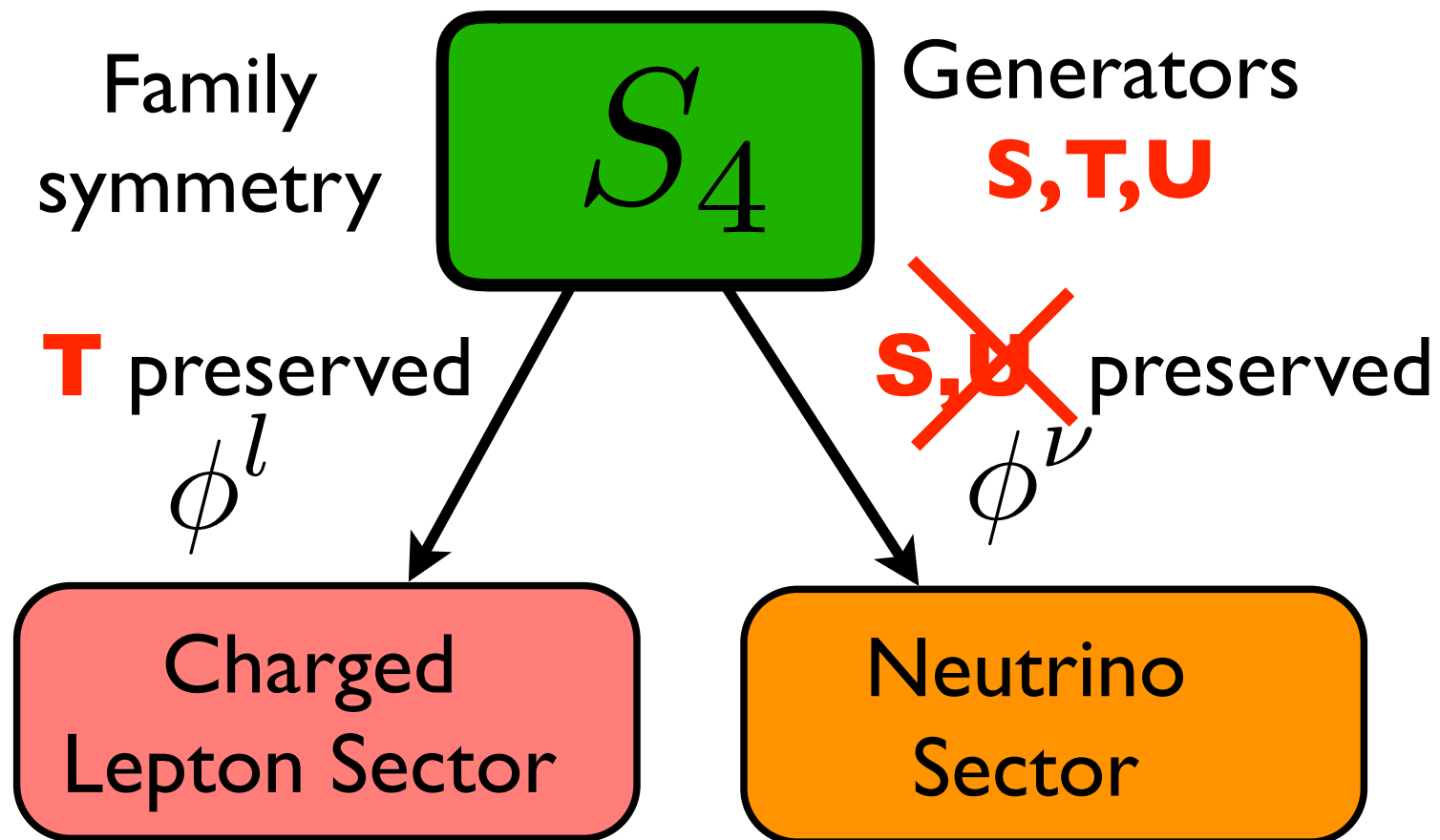
Tri-bimaximal mixing from S_4



$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}^e & s_{12}^e e^{-i\delta_{12}^e} & 0 \\ -s_{12}^e e^{i\delta_{12}^e} & c_{12}^e & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Charged lepton rotation

Tri-bimaximal mixing from S_4

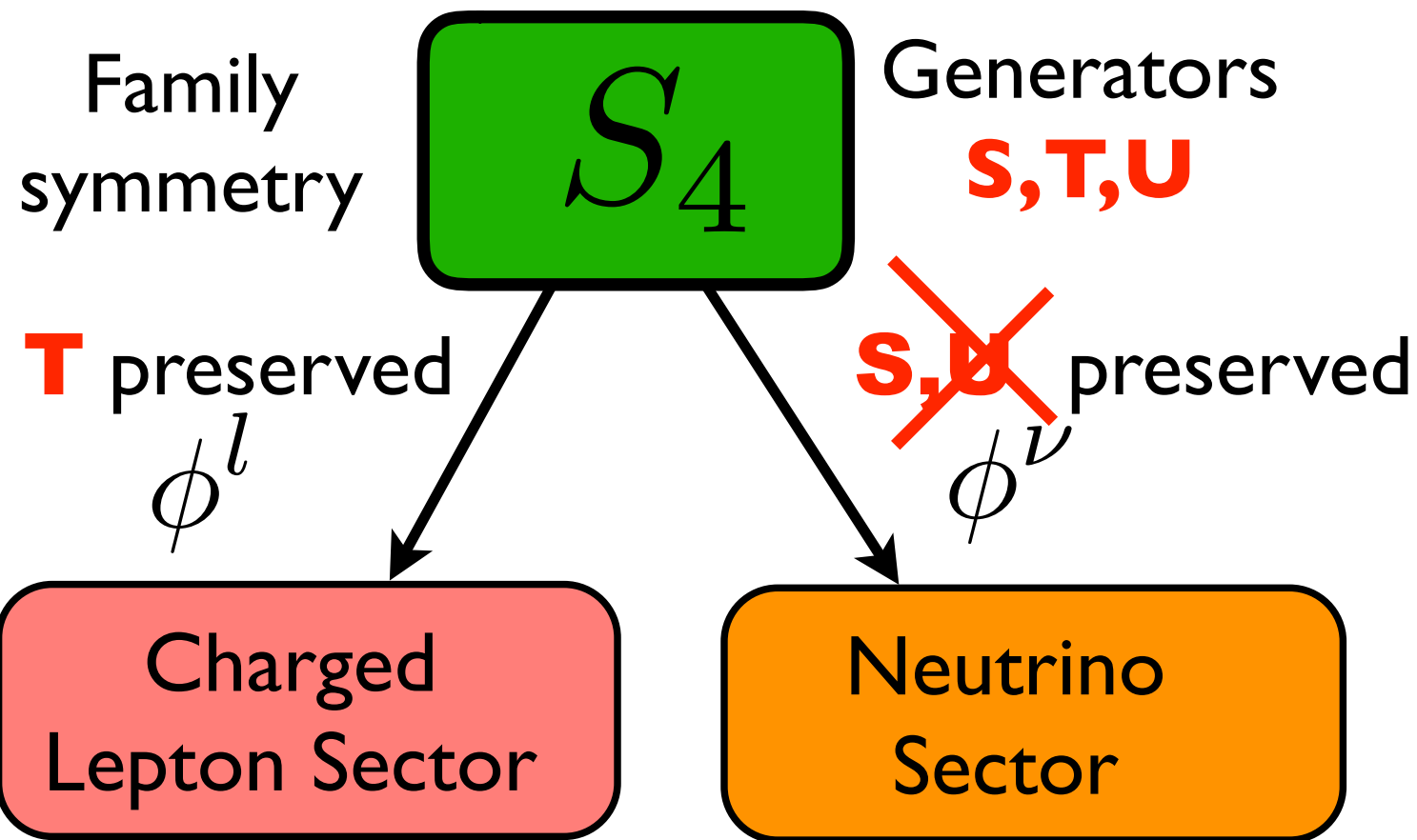


S.F.K., C.Luhn,
1301.1340

Y.Shimizu, M.Tanimoto,
A.Watanabe, 1105.2929;
S.F.K., C.Luhn, 1107.5332

break U

Tri-bimaximal mixing from S_4



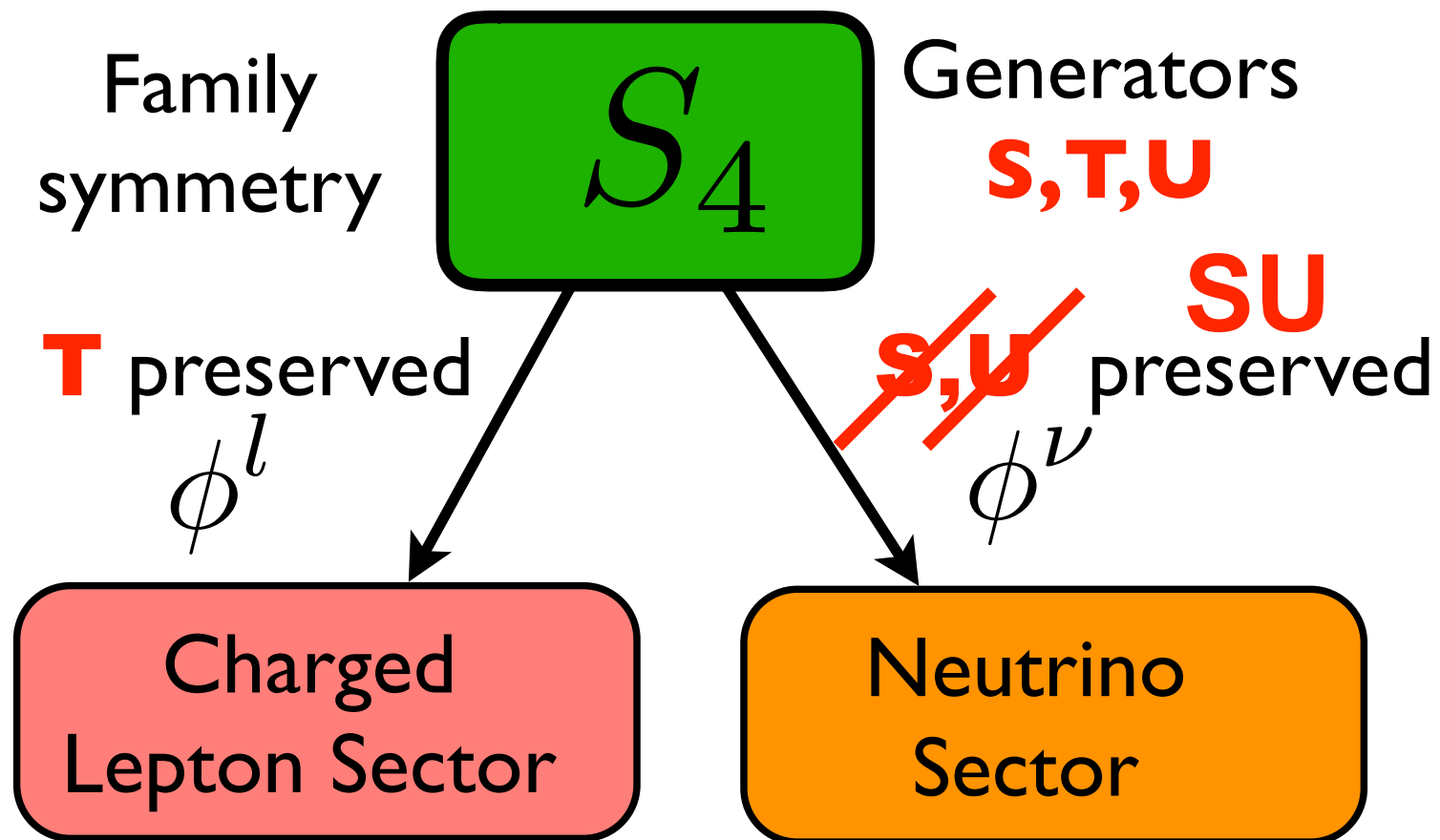
S.F.K., C.Luhn,
1301.1340

Y.Shimizu, M.Tanimoto,
A.Watanabe, 1105.2929;
S.F.K., C.Luhn, 1107.5332

$$U_{\text{TM2}} \approx \begin{pmatrix} - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \\ - & -\frac{1}{\sqrt{3}} & - \end{pmatrix}$$

TM2 as A_4
with just
S and T

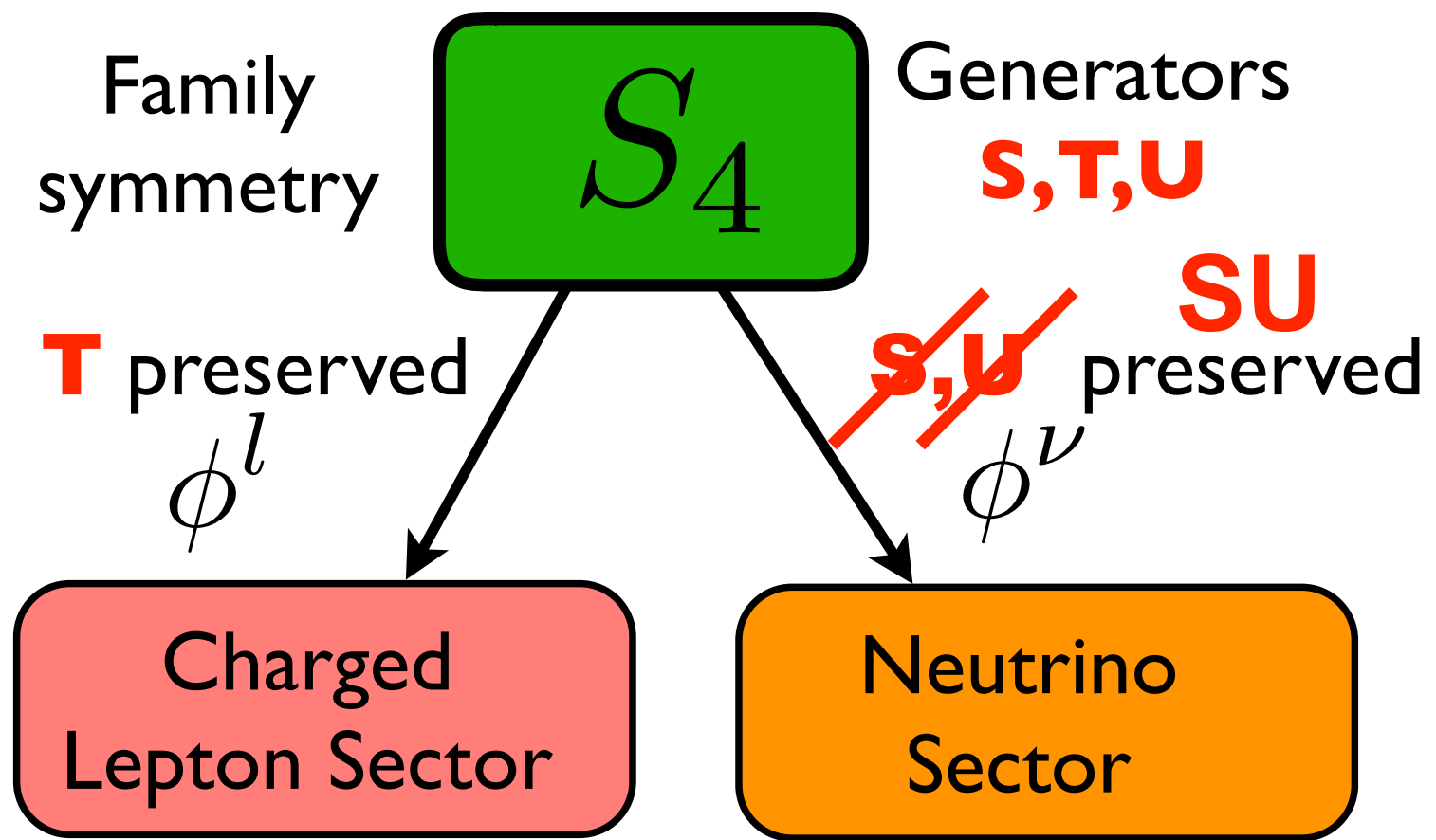
Tri-bimaximal mixing from S_4



S.F.K., C.Luhn,
1301.1340

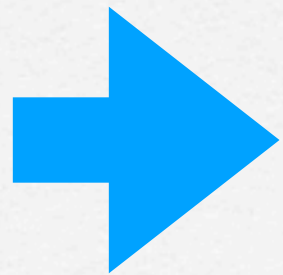
break S, U
separately
preserve SU

Tri-bimaximal mixing from S_4



S.F.K., C.Luhn,
1301.1340

break S, U
separately
preserve SU

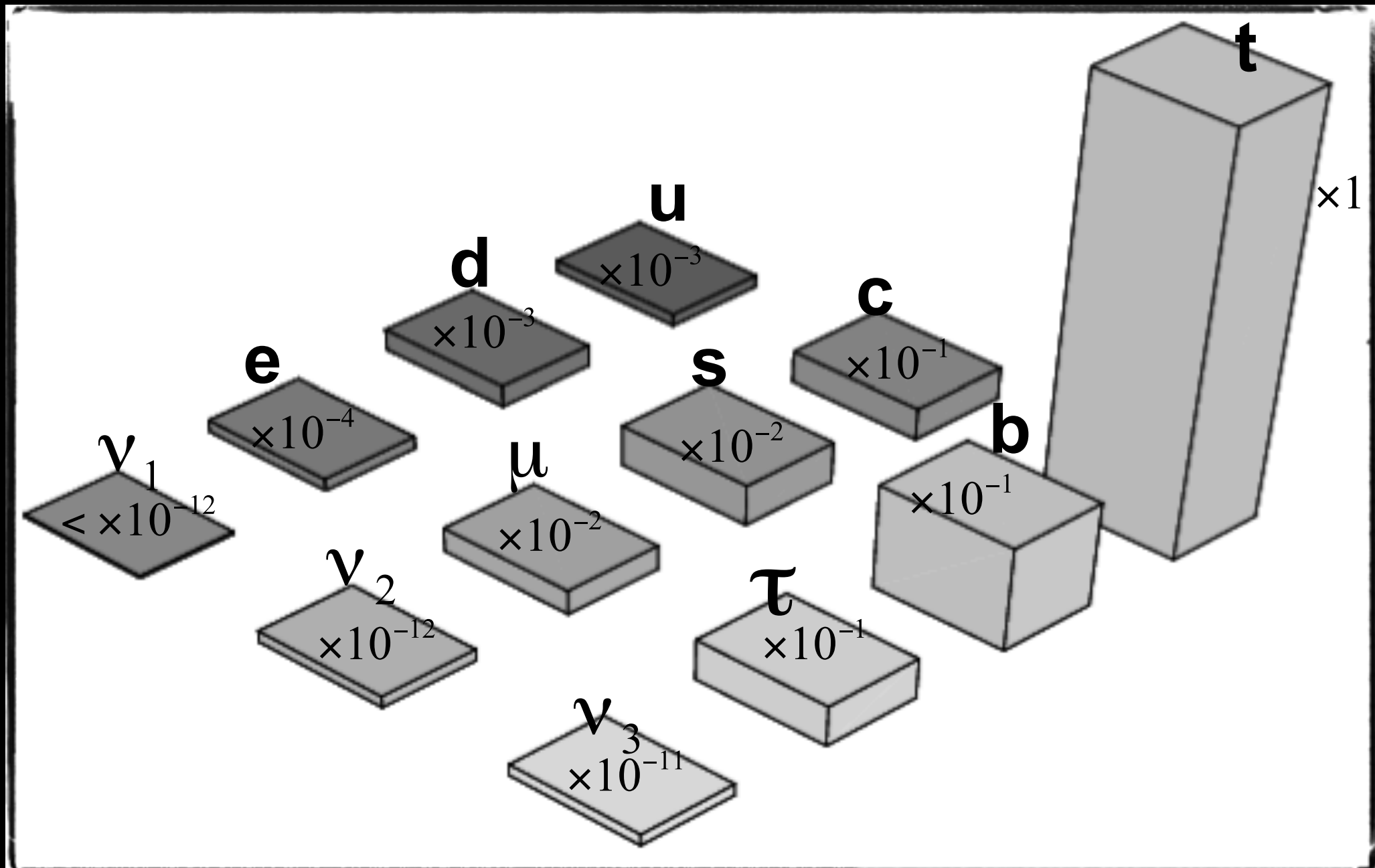


$$U_{\text{TM1}} \approx \begin{pmatrix} \sqrt{\frac{2}{3}} & - & - \\ -\frac{1}{\sqrt{6}} & - & - \\ \frac{1}{\sqrt{6}} & - & - \end{pmatrix}$$

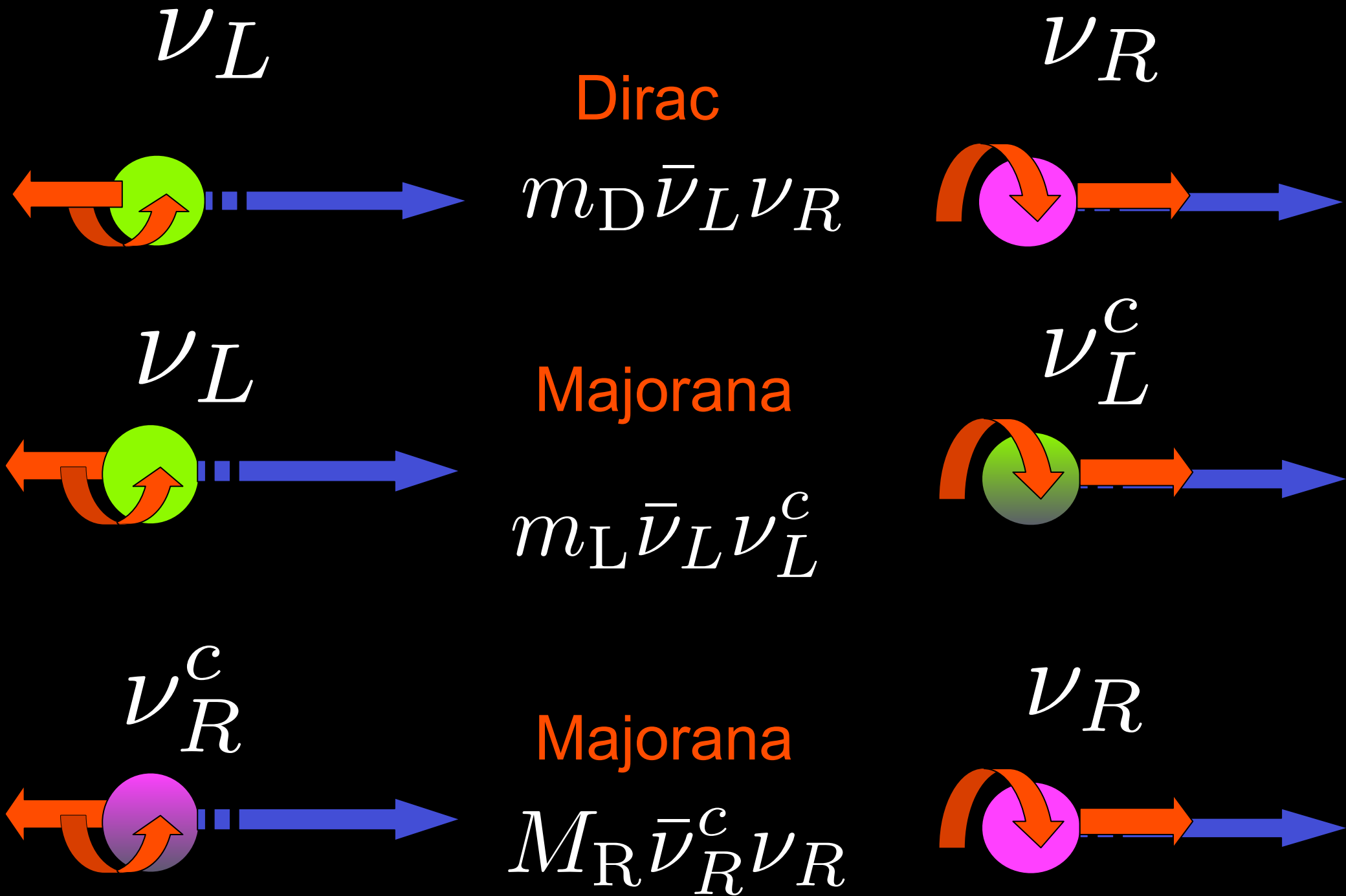
TMI with
 SU and T

D.Hernandez and A.Y.Smirnov
1204.0445, 1212.2149, 1304.7738;
C.Luhn, 1306.2358
S.F.K., C.Luhn, 1607.05276

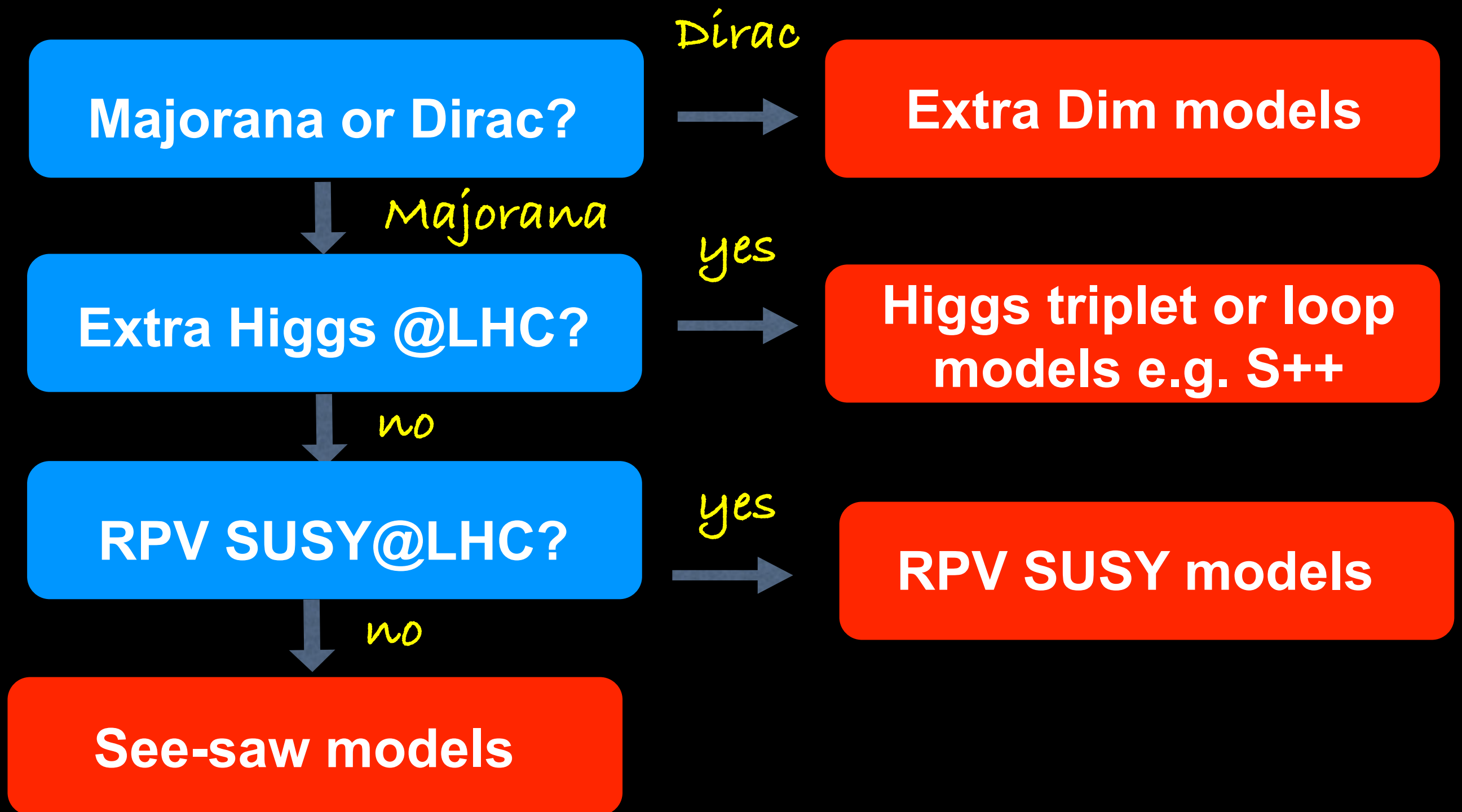
Why nu mass small?



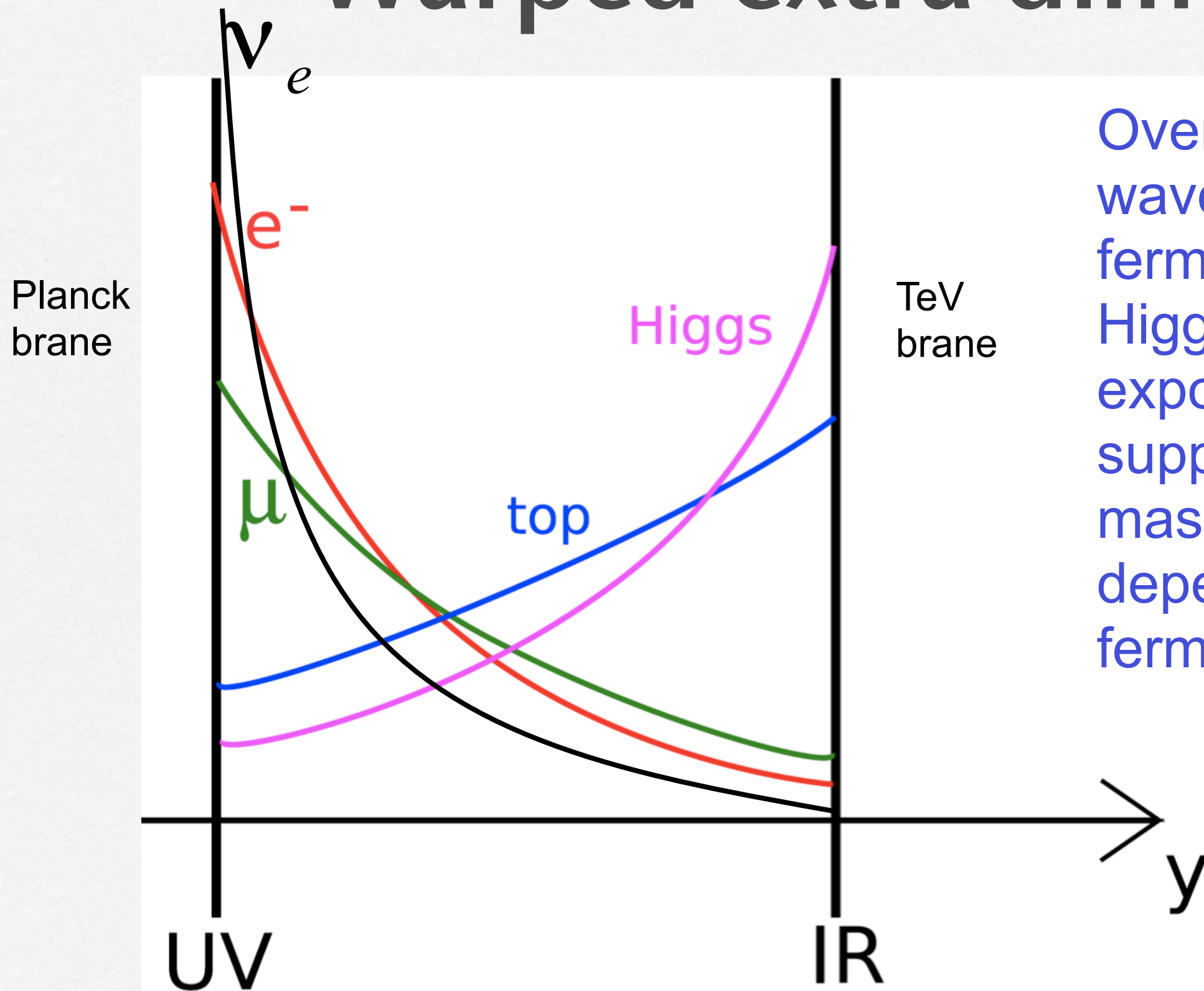
Dirac or Majorana?



Roadmap of neutrino mass

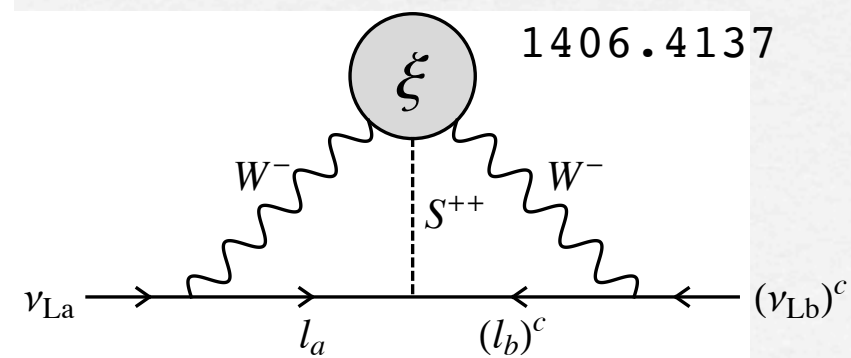
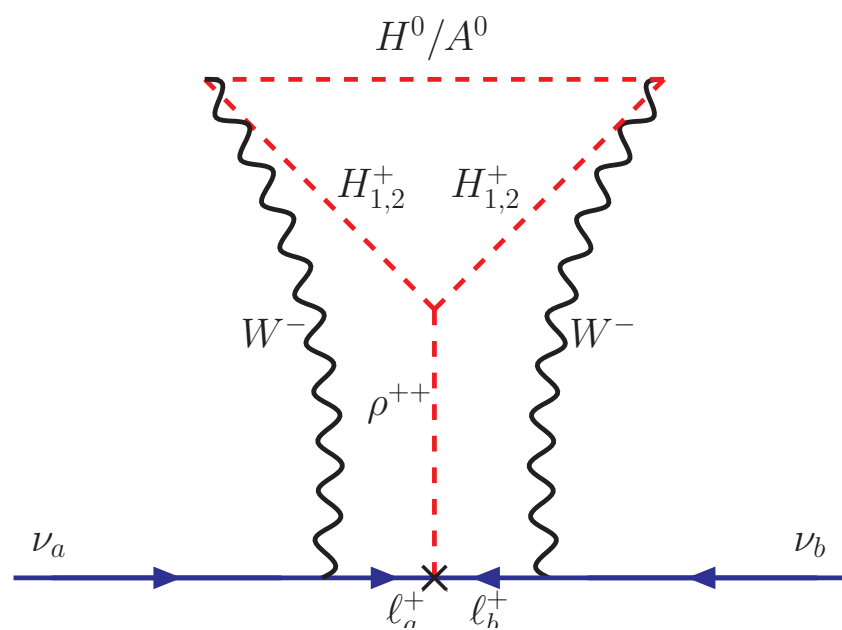
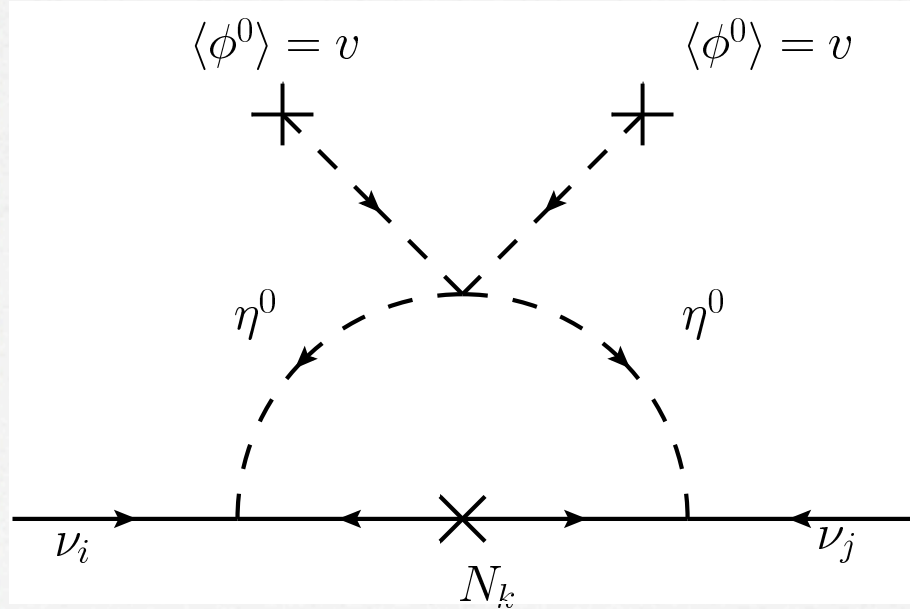
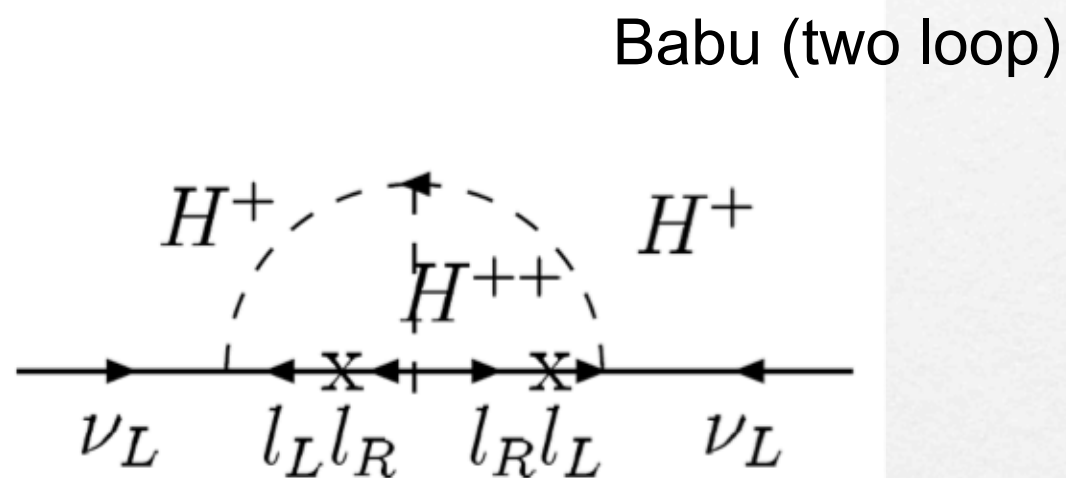
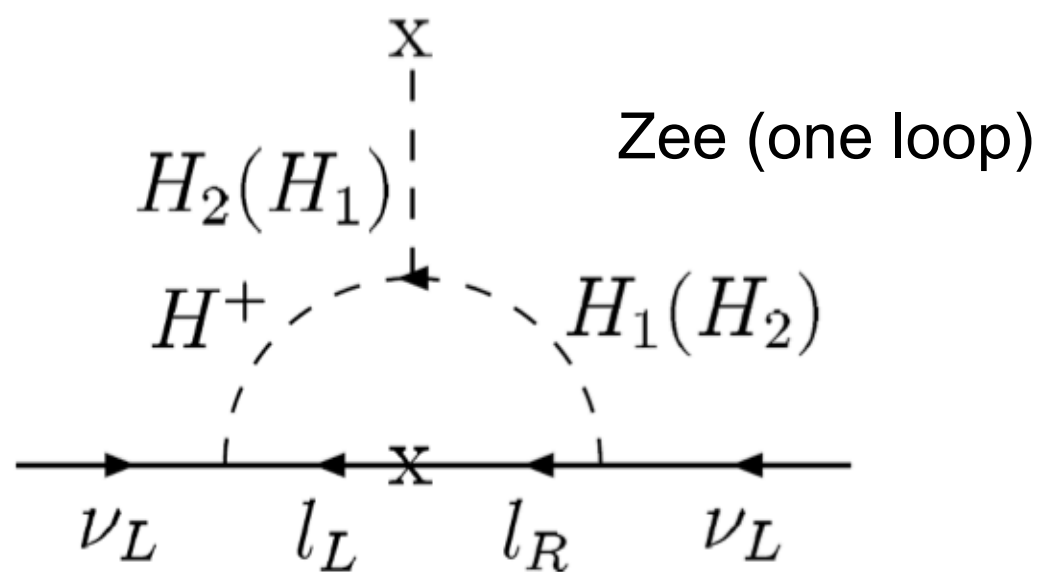


Warped extra dimensions



Overlap
wavefunction of
fermions with
Higgs gives
exponentially
suppressed Dirac
masses,
depending on the
fermion profiles

Loop Models of Neutrino Mass



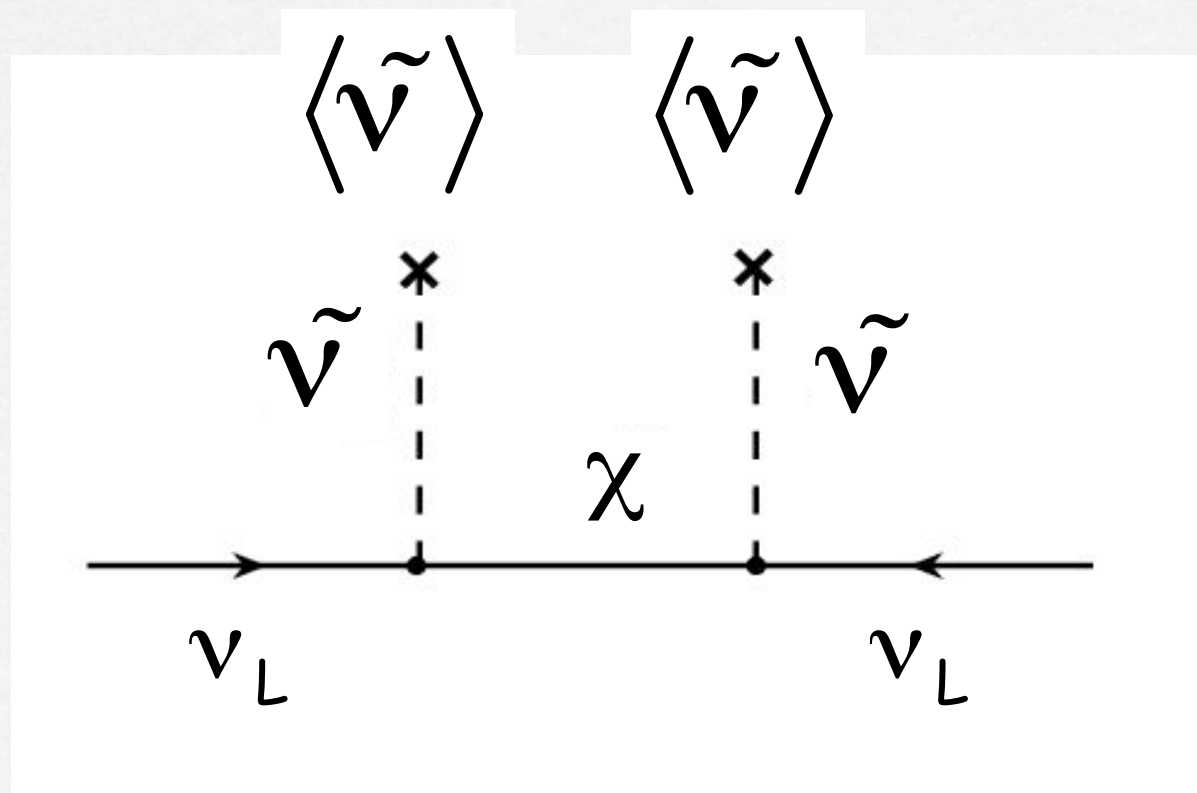
Scotogenic model

Cocktail model

Effective theory

R-Parity Violating SUSY

- Majorana masses can be generated via RPV SUSY
- Scalar partners of lepton doublets (slepton doublets) have same quantum numbers as Higgs doublets
- If R-parity is violated then sneutrinos may get (small) VEVs inducing a mixing between neutrinos and neutralinos χ

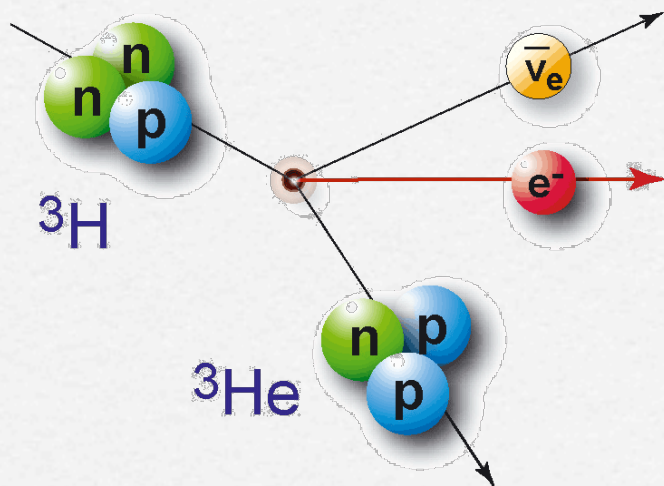


$$m_{LL}^{\nu} \approx \frac{\langle \tilde{\nu} \rangle^2}{M_{\chi}} \approx \frac{\text{MeV}^2}{\text{TeV}} \approx eV$$

Experimental determination of neutrino mass

Majorana only
(no signal if Dirac)

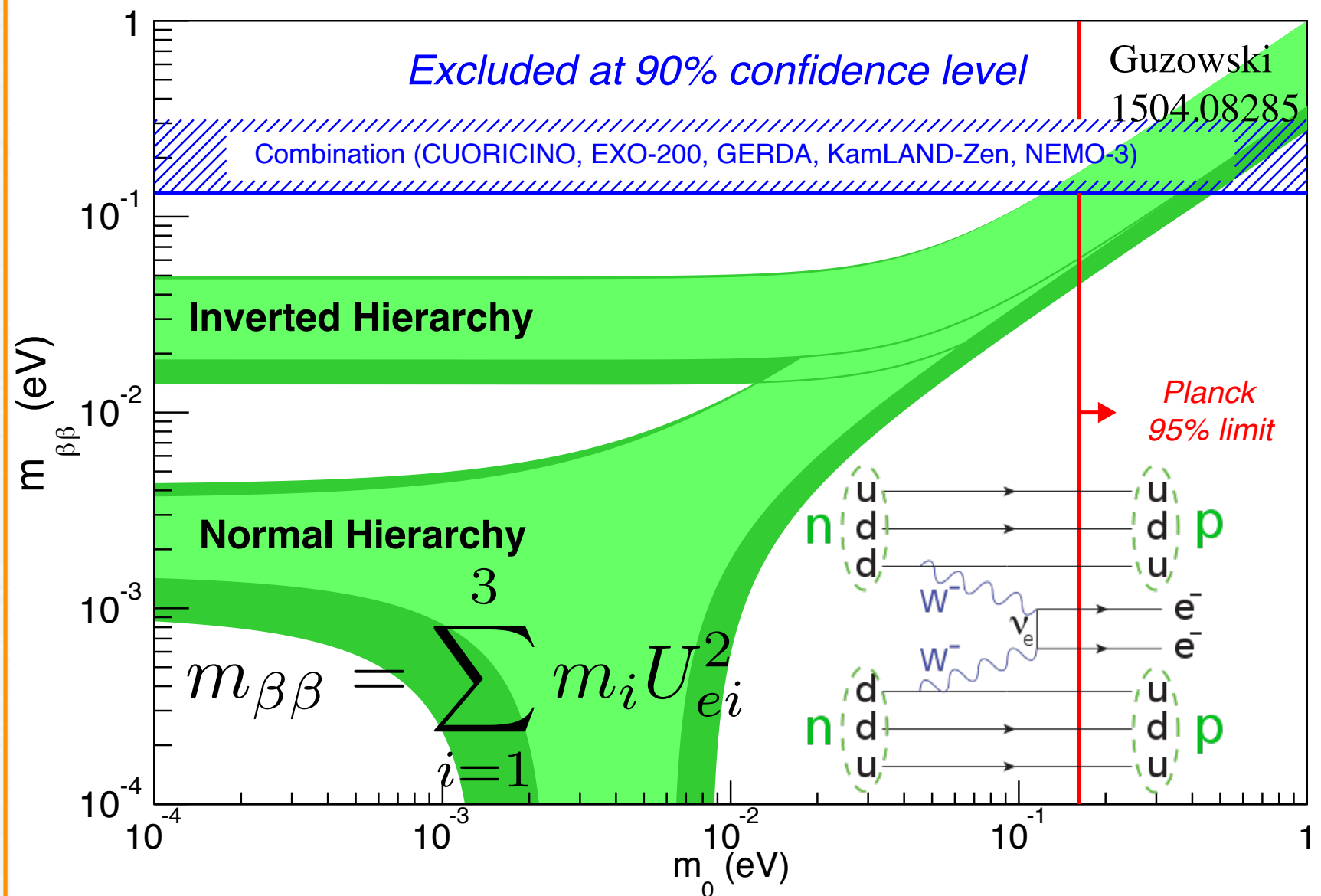
Tritium beta decay



$$m_{\nu_e}^2 = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$$

Present Mainz < 2.2 eV
KATRIN ~0.35eV

Neutrinoless double beta decay



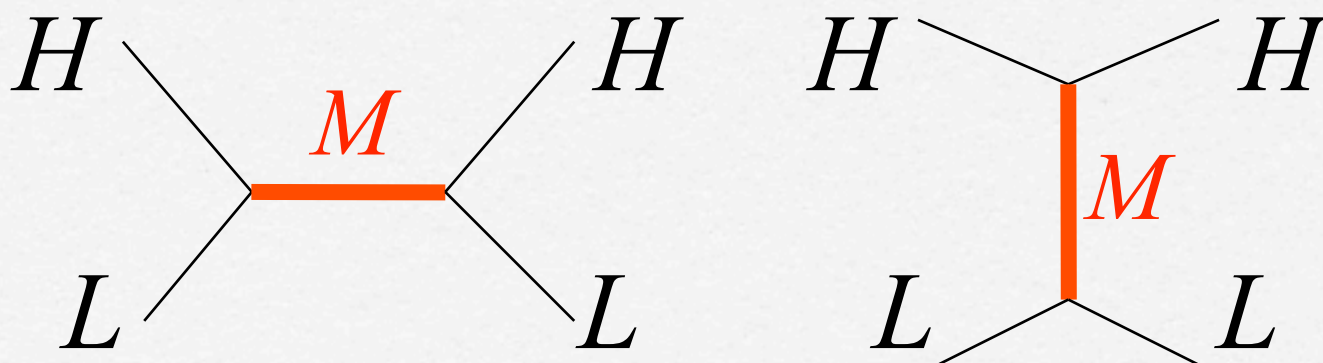
Is Majorana mass renormalisable?

Renormalisable $\Delta L = 2$ operator $\lambda_\nu LL\Delta$ where Δ is light Higgs triplet with $VEV < 8\text{GeV}$ from ρ parameter

Non-renormalisable $\Delta L = 2$ operator $\frac{\lambda_\nu}{M} LLHH = \frac{\lambda_\nu}{M} \langle H^0 \rangle^2 \bar{\nu}_{eL} \nu_{eL}^c$ Weinberg

This is nice because it gives naturally small Majorana neutrino masses $m_{LL} \sim \langle H^0 \rangle^2 / M$ where M is some high energy scale

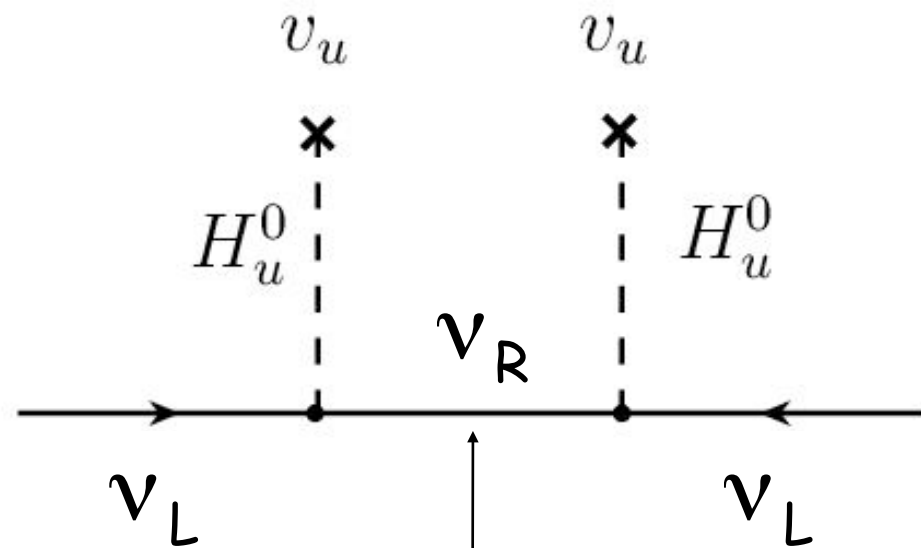
The high mass scale can be associated with some heavy particle of mass M being exchanged (can be singlet or triplet)



See-saw mechanisms

Type Ia see-saw mechanism

P. Minkowski (1977), Gell-Mann, Glashow, Mohapatra, Ramond, Senjanovic, Slanski, Yanagida (1979/1980), Schechter and Valle (1980)...



$$M_{RR} \bar{\nu}_R \nu_R^c$$

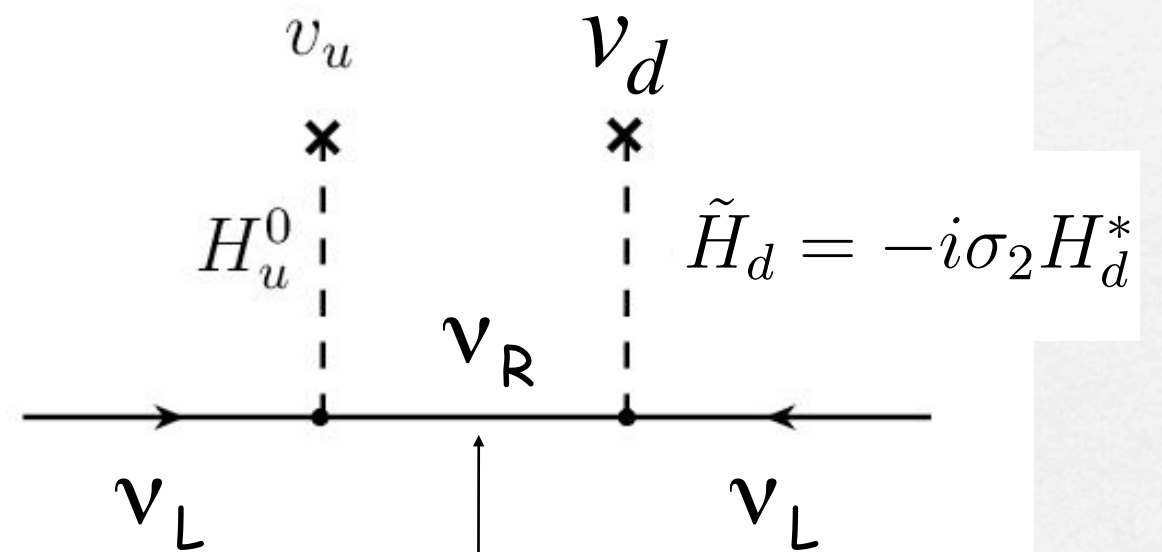
$$m_{LL}^I \approx -m_{LR} M_{RR}^{-1} m_{LR}^T$$

Type Ia

Type Ib see-saw mechanism

Hernandez-Garcia and SFK 1903.01474

More details - see backup



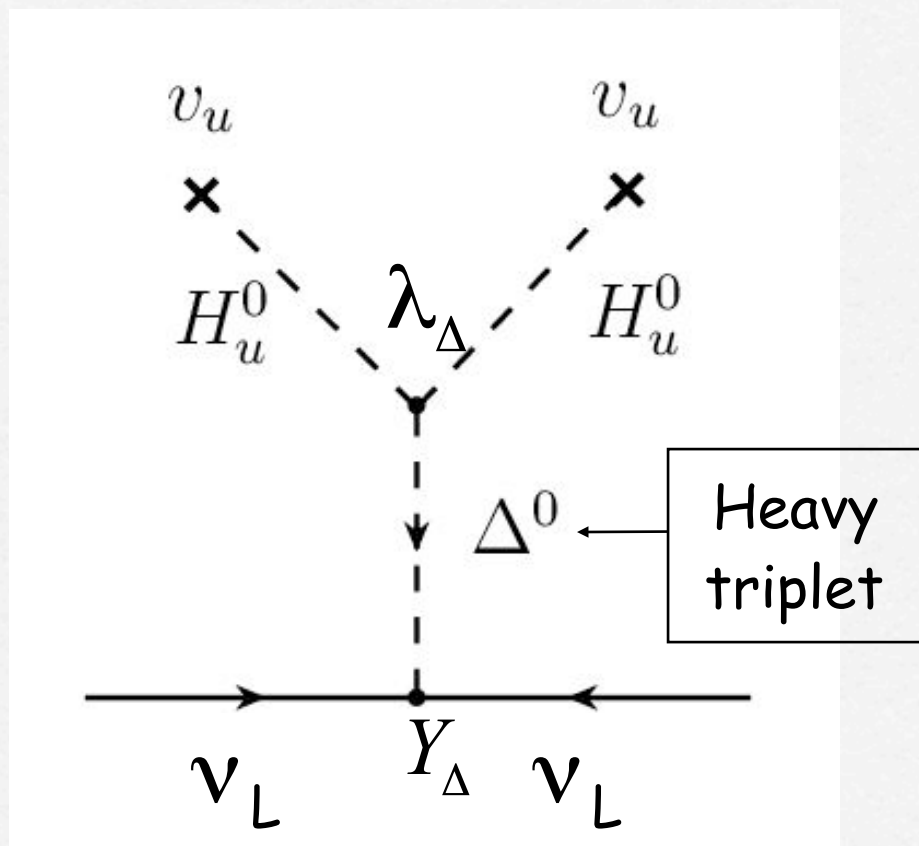
$$M_{RR} \bar{\nu}_R \nu_R^c$$

$$m_{LL}^{Ib} = -m_{LR1} M_{RR}^{-1} m_{LR2}^T$$

Type Ib

Type II see-saw mechanism (SUSY)

Lazarides, Magg, Mohapatra, Senjanovic,
Shafi, Wetterich, Schechter and Valle...



$$m_{LL}^{II} \approx \lambda_\Delta Y_\Delta \frac{v_u^2}{M_\Delta}$$

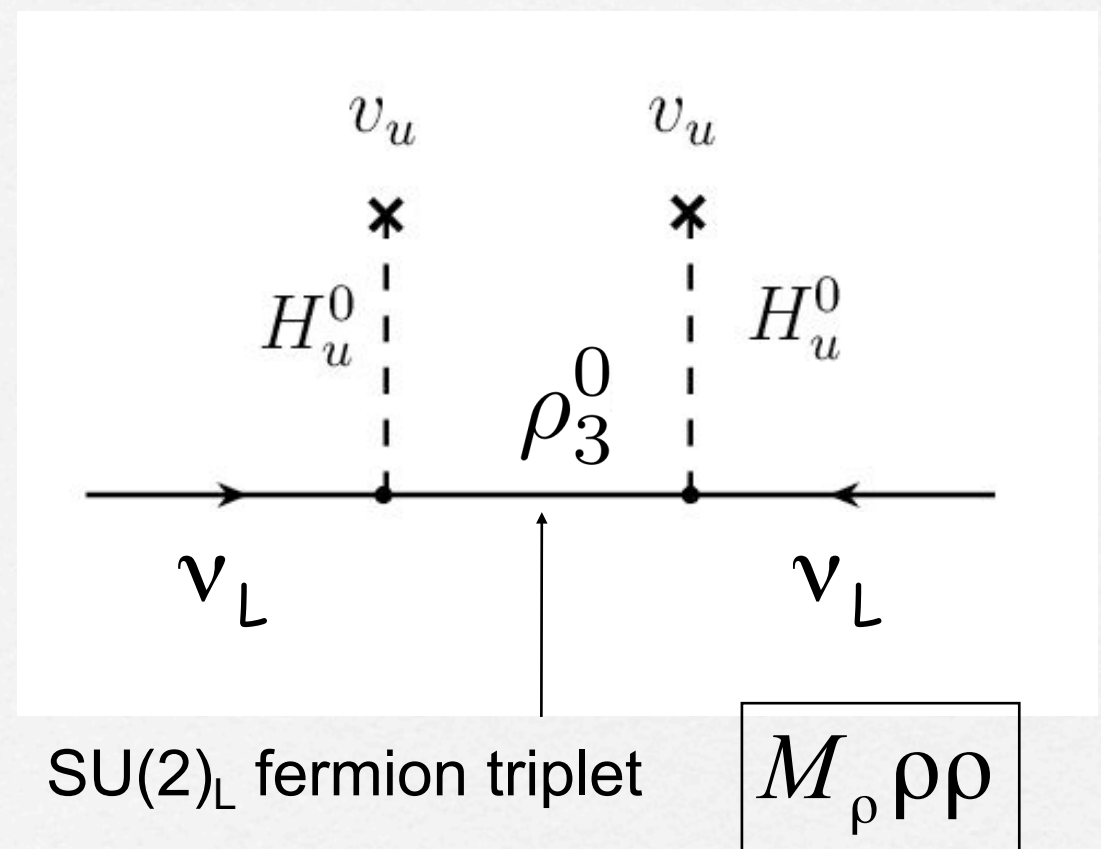
Type II

Type III see-saw mechanism

Foot, Lew, He, Joshi; Ma...

Supersymmetric adjoint SU(5)

Perez et al; Cooper, SFK, Luhn,...



SU(2)_L fermion triplet

$$M_\rho \rho \rho$$

$$m_{LL}^{III} \approx -m_{LR} M_\rho^{-1} m_{LR}^T$$

Type III

See-saw w/extra singlets S

Inverse see-saw

Wyler, Wolfenstein; Mohapatra, Valle

$$\begin{pmatrix} 0 & M_D & 0 \\ M_D^T & 0 & M \\ 0 & M^T & \mu \end{pmatrix} \quad M \approx \text{TeV} \rightarrow \text{LHC}$$

$$M_\nu = M_D M^{T^{-1}} \mu M^{-1} M_D^T$$

Minimal example - see backup

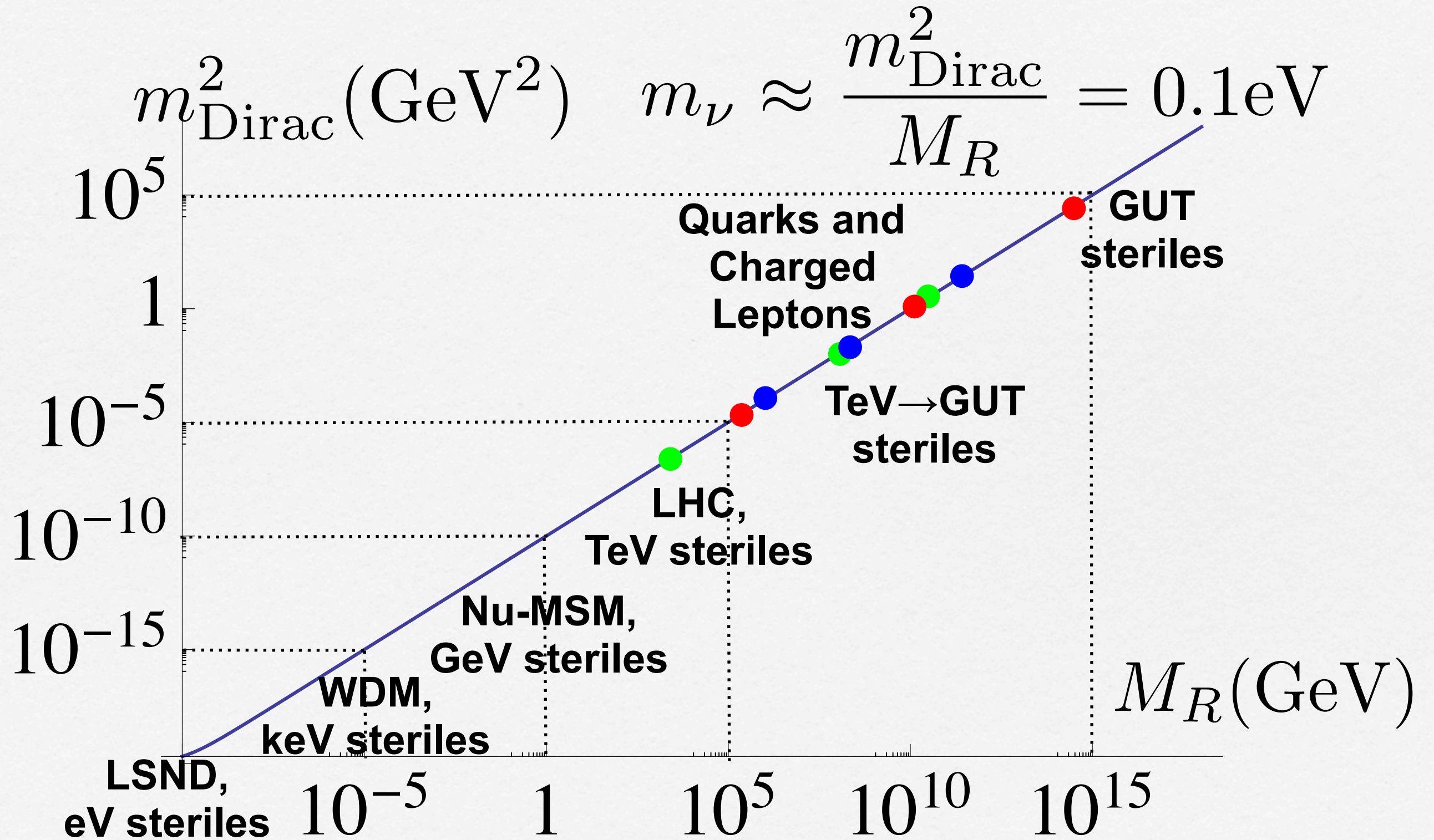
Linear see-saw

$$\begin{pmatrix} 0 & M_D & M_L \\ M_D^T & 0 & M \\ M_L^T & M^T & 0 \end{pmatrix} \quad \text{Malinsky, Romao, Valle}$$

$$M_\nu = M_D (M_L M^{-1})^T + (M_L M^{-1}) M_D^T$$

LFV predictions

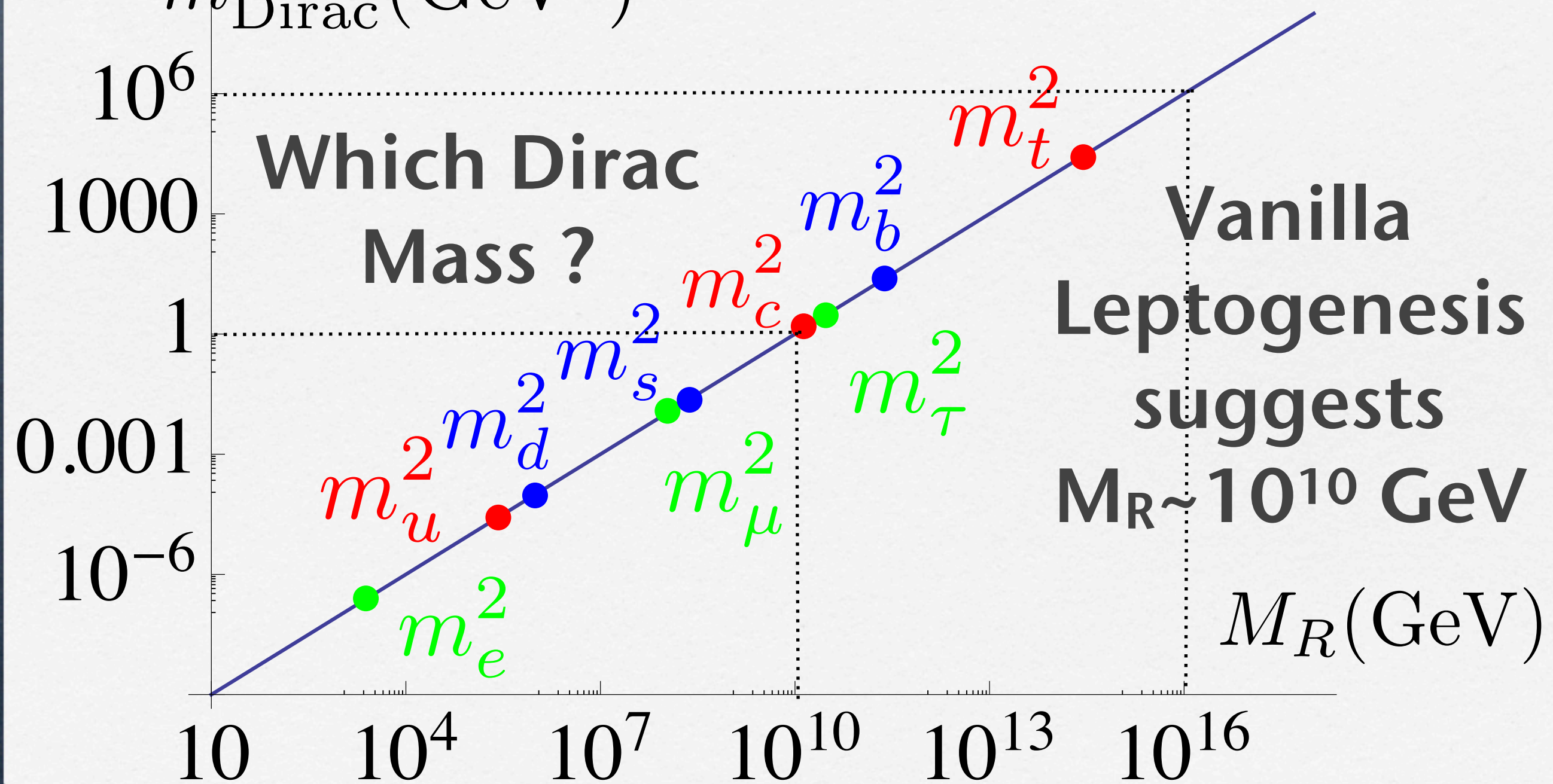
RHN masses in Type Ia Seesaw



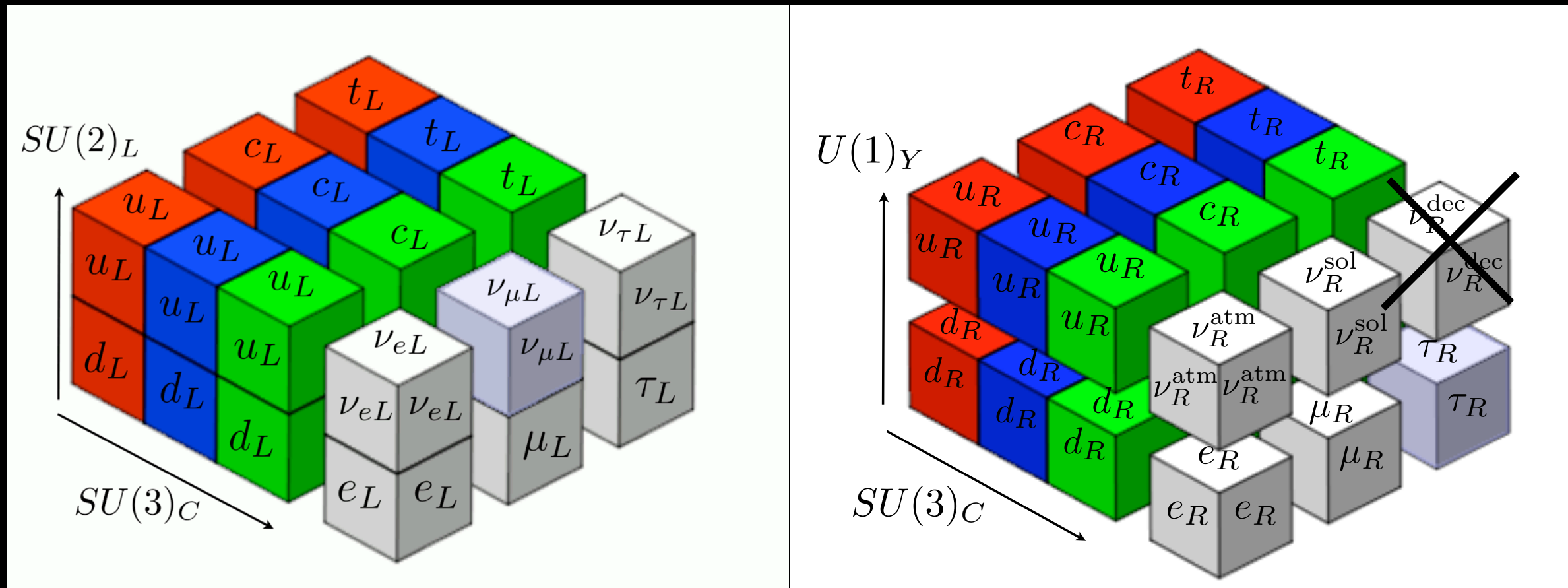
Classic seesaw:

$$m_\nu \approx \frac{m_{\text{Dirac}}^2}{M_R} = 0.1\text{eV}$$

$m_{\text{Dirac}}^2 (\text{GeV}^2)$



Minimal Type Ia seesaw



Type Ia seesaw with two RHNs
 Either one Dirac texture zero (NO)
 Or two Dirac texture zeros (IO)

S.F.K, hep-ph/9912492

S.F.K, hep-ph/0204360

Frampton, Glashow,
 Yanagida, hep-ph/0208157

Littlest Seesaw

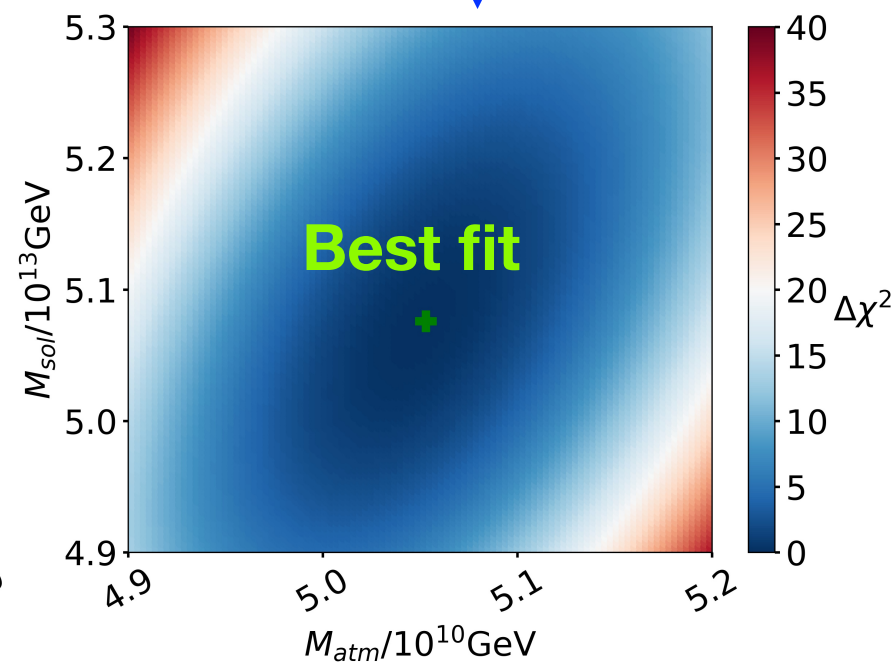
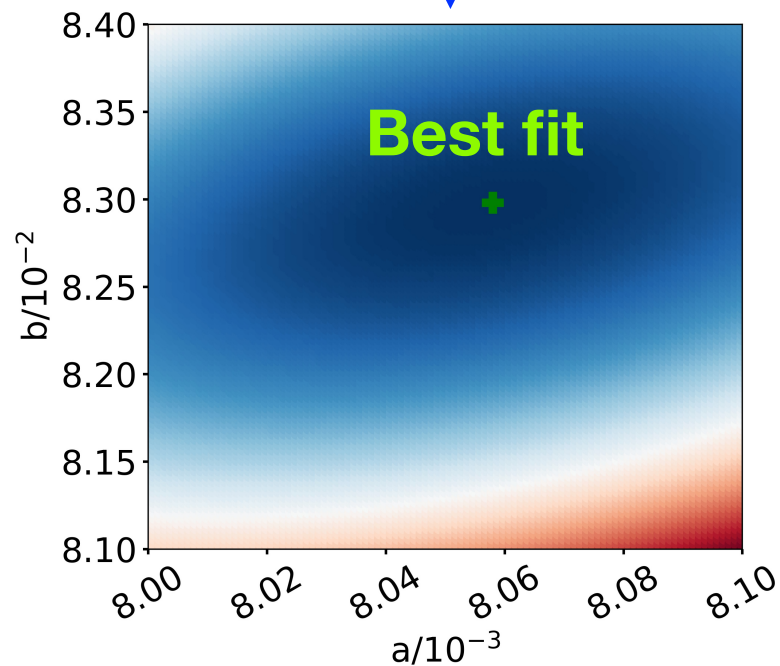
Dirac texture zero

From Type Ia with S4 (backup)

$$Y^\nu = \begin{pmatrix} 0 & be^{i\pi/3} \\ a & 3be^{i\pi/3} \\ a & be^{i\pi/3} \end{pmatrix}$$

$$M_R = \begin{pmatrix} M_{\text{atm}} & 0 \\ 0 & M_{\text{sol}} \end{pmatrix}$$

4 real input parameters



Describes:

3 neutrino masses ($m_1=0$),
3 mixing angles,
1 Dirac CP phase,
2 Majorana phases (1 zero)
1 BAU parameter Y_B
= 10 observables
of which 7 are constrained

Predictions

1 σ range

$\theta_{12}/^\circ$	34.254 \rightarrow 34.350
$\theta_{13}/^\circ$	8.370 \rightarrow 8.803
$\theta_{23}/^\circ$	45.405 \rightarrow 45.834
$\Delta m_{12}^2/10^{-5} \text{ eV}^2$	7.030 \rightarrow 7.673
$\Delta m_{31}^2/10^{-3} \text{ eV}^2$	2.434 \rightarrow 2.561
$\delta/^\circ$	-88.284 \rightarrow -86.568
$Y_B/10^{-10}$	0.839 \rightarrow 0.881

- **Fit includes effects of RG corrections**
- **Determines the RHN masses!**

Also predicts NO and $m_1=0$

Littlest Seesaw

Seesaw formula $M_\nu = m_D M_R^{-1} m_D^T \longrightarrow (M_\nu)_{ij} \nu_{iL}^c \nu_{jL}^c = (M_\nu^*)_{ij} \nu_{iL} \nu_{jL}$

Case I: $M_\nu^I = \omega m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_s \begin{pmatrix} 1 & 3 & 1 \\ 3 & 9 & 3 \\ 1 & 3 & 1 \end{pmatrix}$

Fits neutrino
data with
 $m_a/m_s = 10$

Case II: $M_\nu^{II} = \omega^2 m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_s \begin{pmatrix} 1 & 1 & 3 \\ 1 & 1 & 3 \\ 3 & 3 & 9 \end{pmatrix}$

$$\omega = e^{i2\pi/3}$$

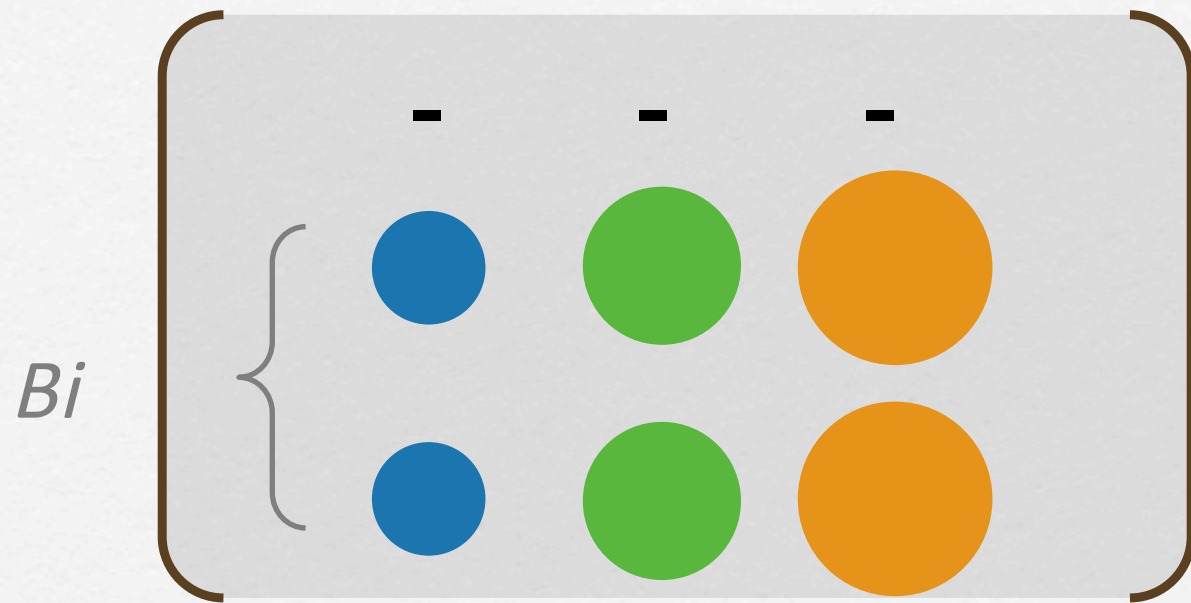
Special case $m_a/m_s = 1$ gives **Littlest mu-tau seesaw**

Case I: $M_\nu = m_s \begin{pmatrix} 1 & 3 & 1 \\ 3 & 9 + 11\omega & 3 + 11\omega \\ 1 & 3 + 11\omega & 1 + 11\omega \end{pmatrix},$

Case II: $M_\nu = m_s \begin{pmatrix} 1 & 1 & 3 \\ 1 & 1 + 11\omega^2 & 3 + 11\omega^2 \\ 3 & 3 + 11\omega^2 & 9 + 11\omega^2 \end{pmatrix}.$

How can this be since
it looks nothing like
mu-tau symmetry?

Mu-Tau Symmetry $\nu_\mu \leftrightarrow \nu_\tau^*$



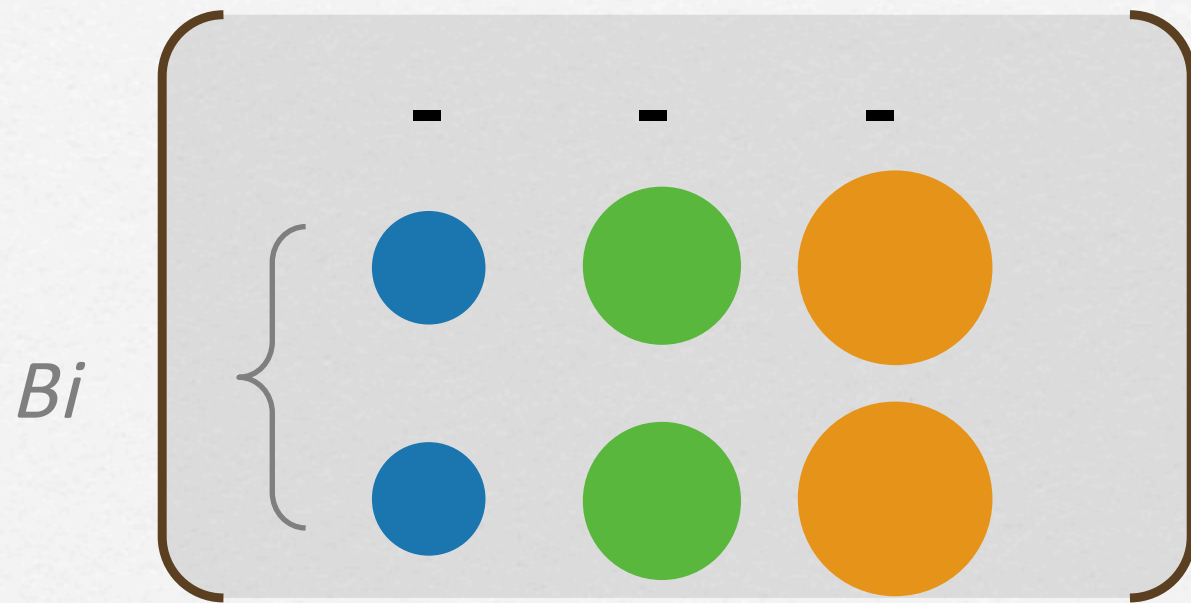
Basic Idea:

Two rows have
equal magnitudes

Z.z.Xing and S.Zhou, 0804.3512

→ $\theta_{13} \neq 0, \quad \theta_{23} = 45^\circ, \quad \delta_{\text{CP}} = \pm 90^\circ$

Mu-Tau Symmetry $\nu_\mu \leftrightarrow \nu_\tau^*$



Basic Idea:

Two rows have
equal magnitudes

Z.z.Xing and S.Zhou, 0804.3512

$\rightarrow \theta_{13} \neq 0, \quad \theta_{23} = 45^\circ, \quad \delta_{CP} = \pm 90^\circ$

$$V_0 = \begin{pmatrix} |V_{e1}| & |V_{e2}| & |V_{e3}| \\ V_{\mu 1} & V_{\mu 2} & V_{\mu 3} \\ V_{\mu 1}^* & V_{\mu 2}^* & V_{\mu 3}^* \end{pmatrix}$$

Generalisation of:
Mu-tau reflection
symmetry

P.F.Harrison and W.G.Scott, hep-ph/0210197

Mu-Tau Symmetry $\nu_\mu \leftrightarrow \nu_\tau^*$

Mu-tau reflection symmetric Majorana mass matrix:

$$H_\nu = M_\nu^\dagger M_\nu = \begin{pmatrix} A & D & D^* \\ D^* & B & C^* \\ D & C & B \end{pmatrix}$$

P.F.Harrison and W.G.Scott, hep-ph/0210197



Mu-Tau Symmetry $\nu_\mu \leftrightarrow \nu_\tau^*$

Mu-tau reflection symmetric Majorana mass matrix:

$$H_\nu = M_\nu^\dagger M_\nu = \begin{pmatrix} A & D & D^* \\ D^* & B & C^* \\ D & C & B \end{pmatrix}$$

P.F.Harrison and W.G.Scott, hep-ph/0210197

Can arise from:

$$M_\nu = \begin{pmatrix} a & d & d^* \\ d & c & b \\ d^* & b & c^* \end{pmatrix}$$

W.Grimus and L.Lavoura, hep-ph/0305309



More general examples:

H.J.He, W.Rodejohann and X.J.Xu, 1507.03541

A.S.Joshi and K.M.Patel, 1507.01235

Littlest Mu-Tau Seesaw $\nu_\mu \leftrightarrow \nu_\tau^*$

S.F.K. and C.C.Nishi, 1807.00023

$$M_\nu = m_s \begin{pmatrix} 1 & 1 & 3 \\ 1 & 1 + 11\omega^2 & 3 + 11\omega^2 \\ 3 & 3 + 11\omega^2 & 9 + 11\omega^2 \end{pmatrix}$$

$$\omega = e^{i2\pi/3}$$

unequal

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$$\omega = e^{i2\pi/3}$$

unequal



$$H_\nu = M_\nu^\dagger M_\nu = 11 |m_s|^2 \begin{pmatrix} 1 & -1 - 2i\sqrt{3} & 1 - 2i\sqrt{3} \\ -1 + 2i\sqrt{3} & 19 & 17 + 4i\sqrt{3} \\ 1 + 2i\sqrt{3} & 17 - 4i\sqrt{3} & 19 \end{pmatrix} \text{equal}$$

Littlest Mu-Tau Seesaw $\nu_\mu \leftrightarrow \nu_\tau^*$

S.F.K. and C.C.Nishi, 1807.00023

$$M_\nu = m_s \begin{pmatrix} 1 & 1 & 3 \\ 1 & 1 + 11\omega^2 & 3 + 11\omega^2 \\ 3 & 3 + 11\omega^2 & 9 + 11\omega^2 \end{pmatrix}$$

$$\omega = e^{i2\pi/3}$$

unequal

$$H_\nu = M_\nu^\dagger M_\nu = 11 |m_s|^2 \begin{pmatrix} 1 & -1 - 2i\sqrt{3} & 1 - 2i\sqrt{3} \\ -1 + 2i\sqrt{3} & 19 & 17 + 4i\sqrt{3} \\ 1 + 2i\sqrt{3} & 17 - 4i\sqrt{3} & 19 \end{pmatrix} \text{equal}$$

$$U = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{c_+}{\sqrt{6}} & \frac{c_-}{\sqrt{6}} \\ \frac{1}{\sqrt{6}} & -\frac{c_+}{\sqrt{6}} - i\frac{c_-}{2} & -\frac{c_-}{\sqrt{6}} + i\frac{c_+}{2} \\ \frac{1}{\sqrt{6}} & -\frac{c_+}{\sqrt{6}} + i\frac{c_-}{2} & -\frac{c_-}{\sqrt{6}} - i\frac{c_+}{2} \end{pmatrix}$$

TMI

Mu-tau reflection symmetry

$$c_\pm = \sqrt{1 \pm \frac{11}{3\sqrt{17}}}$$

Littlest mu-tau seesaw

$$m_1 = 0$$

$$U = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{c_+}{\sqrt{6}} & \frac{c_-}{\sqrt{6}} \\ \frac{1}{\sqrt{6}} & -\frac{c_+}{\sqrt{6}} - i\frac{c_-}{2} & -\frac{c_-}{\sqrt{6}} + i\frac{c_+}{2} \\ \frac{1}{\sqrt{6}} & -\frac{c_+}{\sqrt{6}} + i\frac{c_-}{2} & -\frac{c_-}{\sqrt{6}} - i\frac{c_+}{2} \end{pmatrix}$$

$$c_{\pm} = \sqrt{1 \pm \frac{11}{3\sqrt{17}}}$$

Renormalisation Group Corrections

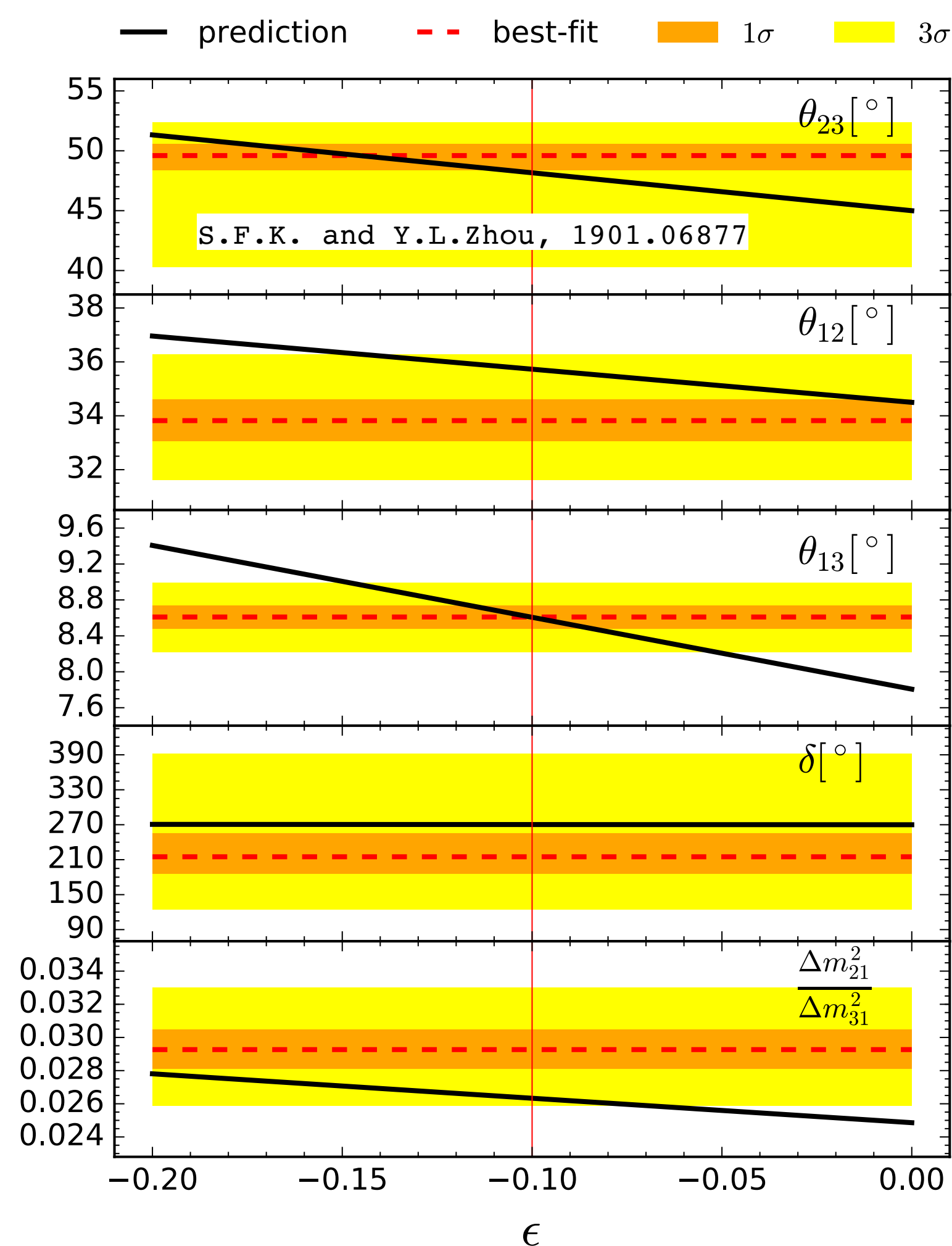
$$\theta_{13} \approx 7.807^\circ - 8.000^\circ \epsilon,$$

$$\theta_{12} \approx 34.50^\circ - 12.30^\circ \epsilon,$$

$$\theta_{23} \approx 45.00^\circ - 31.64^\circ \epsilon,$$

$$\delta \approx 270.00^\circ + 3.23^\circ \epsilon,$$

$$\Delta m_{21}^2 / \Delta m_{31}^2 \approx 0.0247 - 0.0147\epsilon$$



Conclusions

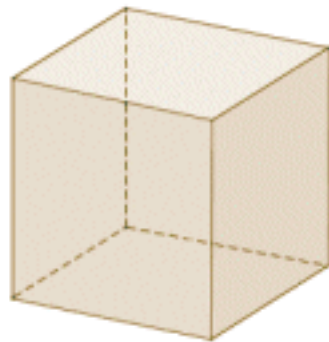
- Most parameters well measured in oscillation experiments...but...CP phase, octant, ordering?
Also: Dirac or Majorana? Absolute masses?
- TB mixing explained by S_4 ...excluded by reactor angle...but... S_4 violations allow: charged lepton corrections, or TM1, TM2, with testable sum rules
- Mu-tau symmetry predicts $\theta_{23} = 45^\circ$, $\delta = -90^\circ$
Littlest mu-tau seesaw...one parameter...wow!
- Origin of Plato's symmetry? - see backup slides

Backup slides

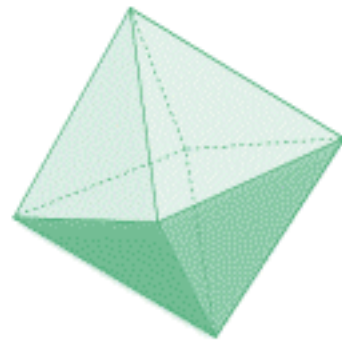
Origin of Plato's symmetry?



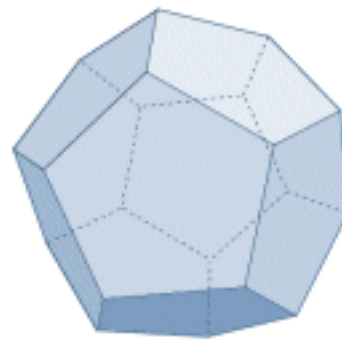
Tetrahedron



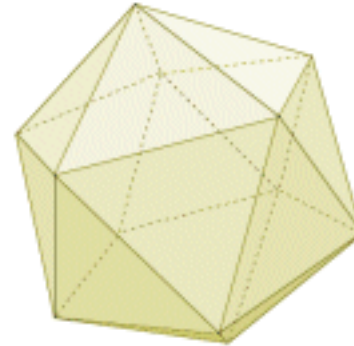
Hexahedron



Octahedron



Dodecahedron



Icosahedron

solid	faces	vertices	Plato	Group
tetrahedron	4	4	fire	A_4
octahedron	8	6	air	S_4
icosahedron	20	12	water	A_5
hexahedron	6	8	earth	S_4
dodecahedron	12	20	?	A_5

- Two possibilities:
- From gauge group e.g. $SU(3)$ or $SO(3)$
 - From extra dimensions e.g. string theory

Origin of Plato's symmetry?

Possibility 1:

Y.Koide, 0705.2275; T.Banks and N.Seiberg, 1011.5120;

Y.L.Wu, 1203.2382; A.Merle and R.Zwicky, 1110.4891;

B.L.Rachlin and T.W.Kephart, 1702.08073; C. Luhn, 1101.2417;

S.F.K. and Ye-Ling Zhou, 1809.10292

Break $SO(3)$ using large Higgs reps

irrep	<u>1</u>	<u>3</u>	<u>5</u>	<u>7</u>
subgroups	$SO(3)$	$SO(2)$	$Z_2 \times Z_2$	1
		$SO(3)$	$SO(2)$	A_4
			$SO(3)$	Z_3
				D_4
				$SO(2)$
				$SO(3)$

Origin of Plato's symmetry?

Possibility 1:

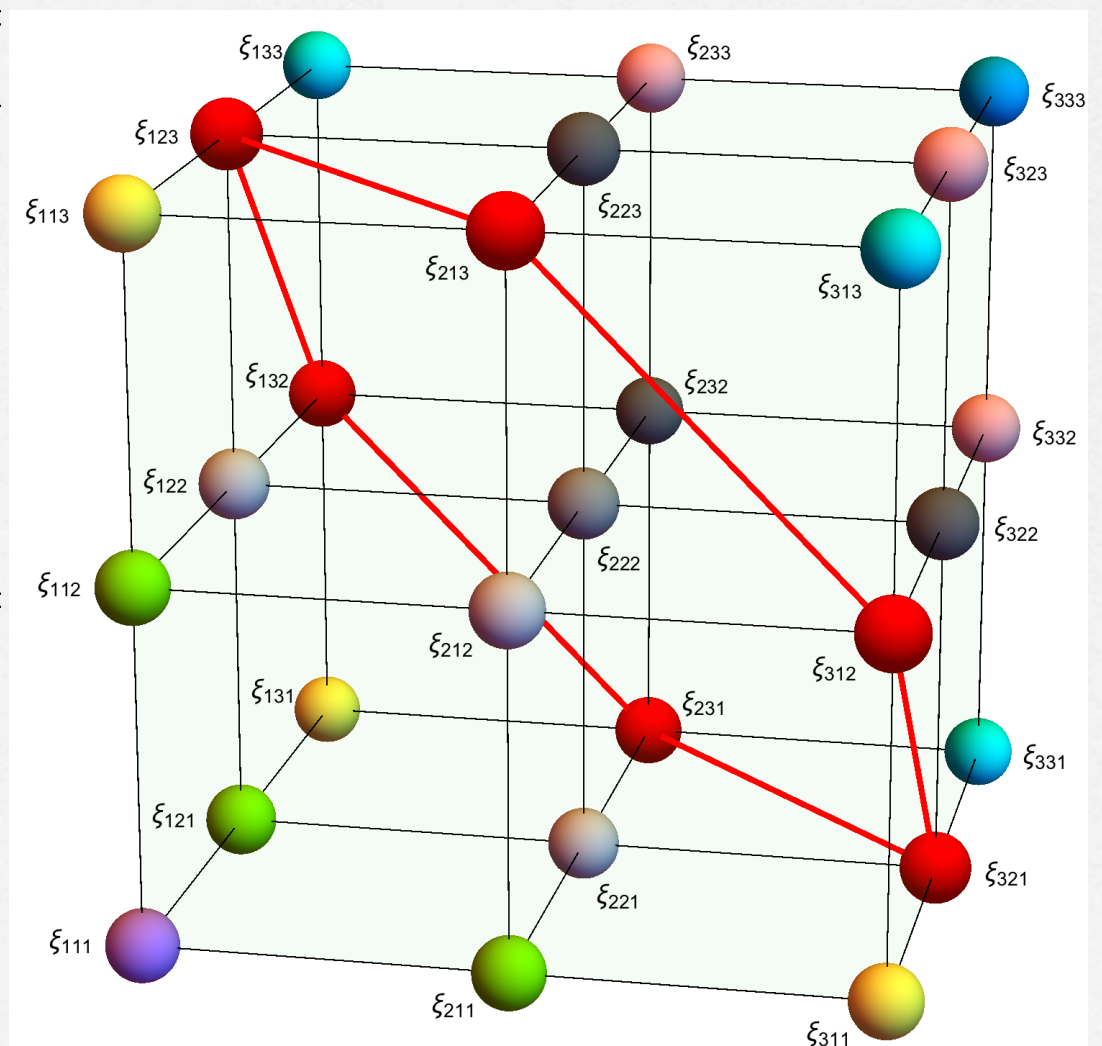
Y.Koide, 0705.2275; T.Banks and N.Seiberg, 1011.5120;
 Y.L.Wu, 1203.2382; A.Merle and R.Zwicky, 1110.4891;
 B.L.Rachlin and T.W.Kephart, 1702.08073; C. Luhn, 1101.2417;
 S.F.K. and Ye-Ling Zhou, 1809.10292

Break $SO(3)$ using large Higgs reps E.g. 7-plet

irrep	<u>1</u>	<u>3</u>	<u>5</u>	<u>7</u>
subgroups	$SO(3)$	$SO(2)$ $SO(3)$	$Z_2 \times Z_2$ $SO(2)$ $SO(3)$	1 A_4 Z_3 D_4 $SO(2)$ $SO(3)$

A_4 preserving direction of **7-plet** VEV

$$\langle \xi_{123} \rangle \equiv \frac{v_\xi}{\sqrt{6}}, \quad \langle \xi_{111} \rangle = \langle \xi_{112} \rangle = \langle \xi_{113} \rangle = \langle \xi_{133} \rangle = \langle \xi_{233} \rangle = \langle \xi_{333} \rangle = 0$$



Possibility 2: Extra dimensions (string theory)

G. Altarelli and F. Feruglio, hep-ph/0512103

R. de Adelhart Toorop, F. Feruglio and C. Hagendorf, 1112.1340

F. Feruglio, 1706.08749; J. C. Criado and F. Feruglio, 1807.01125; J. T. Penedo and S. T. Petcov 1806.11040;

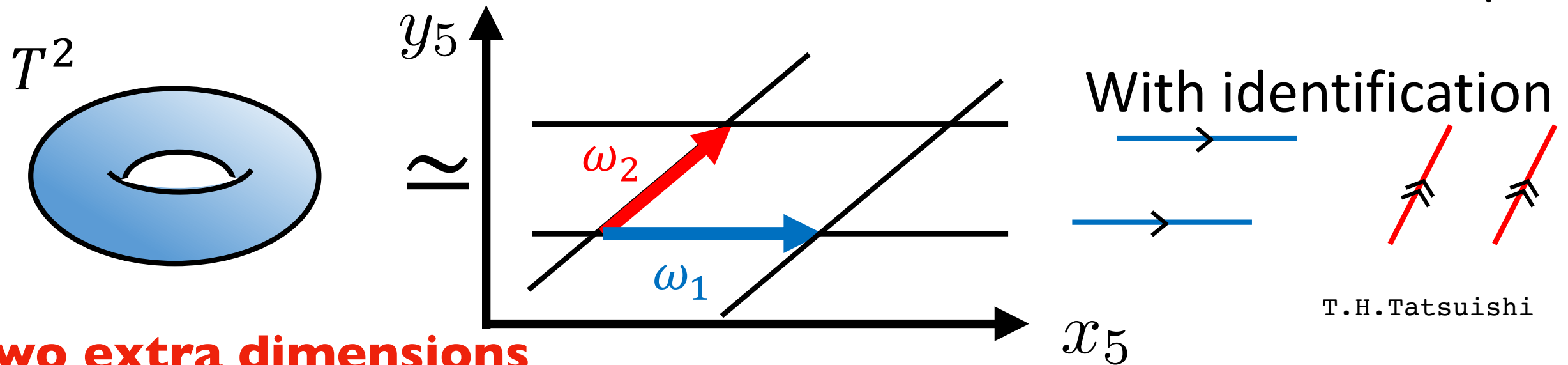
P. P. Novichkov, J. T. Penedo, S. T. Petcov and A. V. Titov, 1811.04933, 1812.02158;

T. Kobayashi, K. Tanaka and T. H. Tatsuishi, 1803.10391; F. de Anda, S. F. K., E. Perdomo, 1812.05620

T. Kobayashi, N. Omoto, Y. Shimizu, K. Takagi, M. Tanimoto and T. H. Tatsuishi, 1808.03012;

G. J. Ding, S. F. King and X. G. Liu, 1903.12588

The structure of a torus $T^2 \simeq$ The structure of a lattice on \mathbb{C} -plane



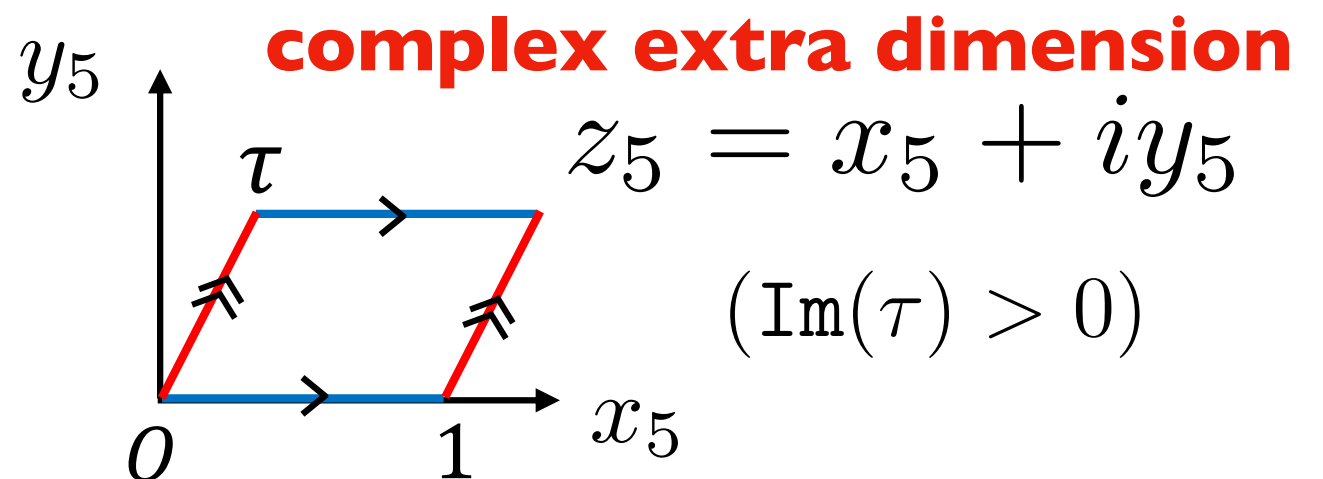
T.H. Tatsuishi

**two extra dimensions
compactified on torus**

Without loss of generality,

$$(\omega_1, \omega_2) \rightarrow \left(1, \frac{\omega_2}{\omega_1}\right) \equiv (1, \tau)$$

modulus



Possibility 2: Extra dimensions (string theory)

There are two independent lattice invariant transformations.

Modular Symmetry $SL(2, \mathbb{Z})$:

$$\tau \rightarrow (a\tau + b)/(c\tau + d)$$

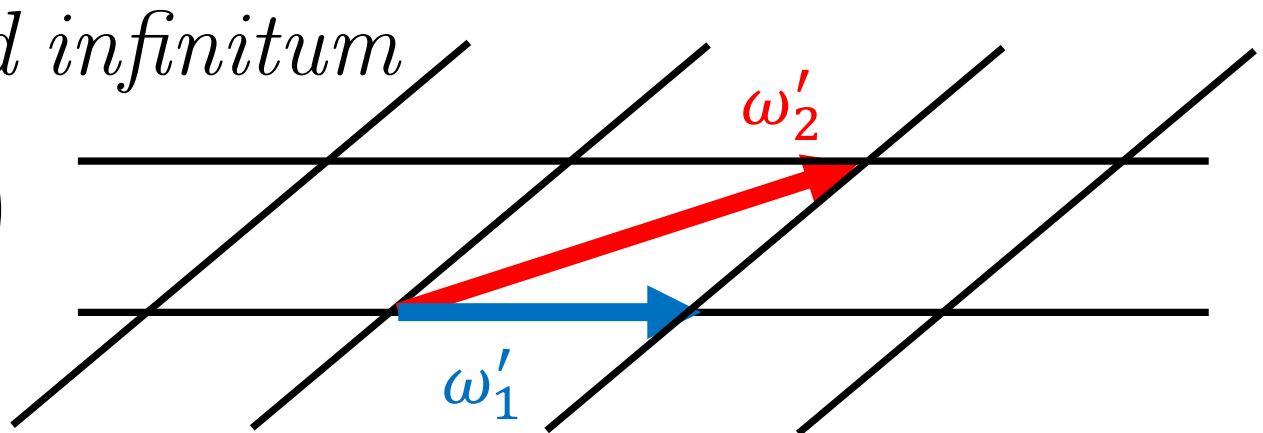
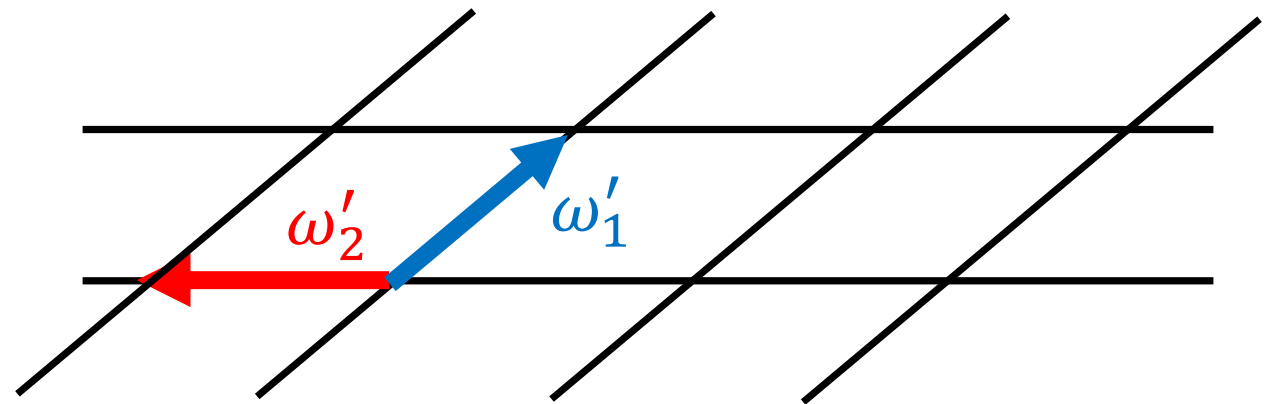
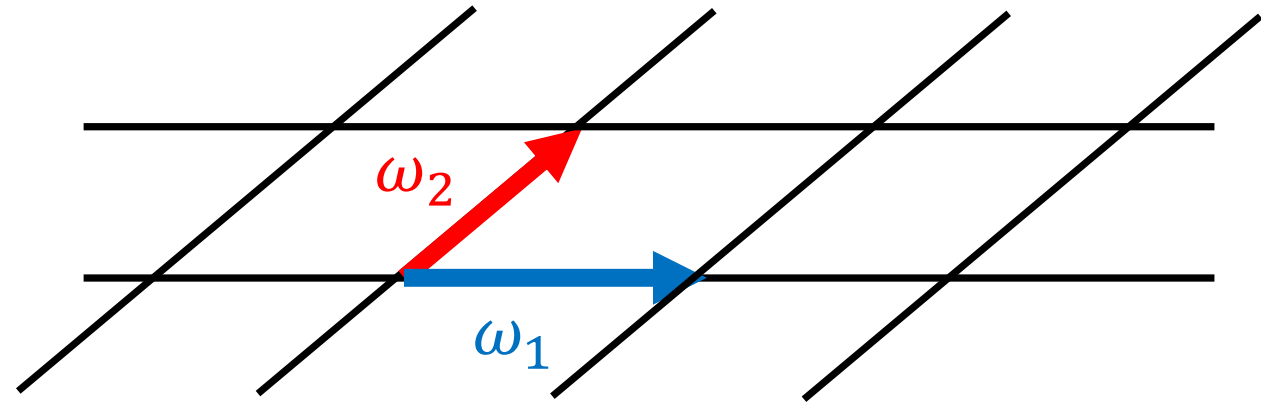
S -transformation $\tau \rightarrow -1/\tau$

$$\begin{pmatrix} \omega'_1 \\ \omega'_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} = \begin{pmatrix} \omega_2 \\ -\omega_1 \end{pmatrix}$$

T -transformation $\tau \rightarrow \tau + 1$ *ad infinitum*

$$\begin{pmatrix} \omega'_1 \\ \omega'_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix} = \begin{pmatrix} \omega_1 \\ \omega_2 + \omega_1 \end{pmatrix}$$

where $S^2, (ST)^3 = I$ (infinite)



S, T are generators of A_4 if $T^3 = I$, S_4 if $T^4 = I$, A_5 if $T^5 = I, \dots$

Flavour symmetries may be identified as finite subgroups of the infinite modular group

Modular Forms

Yukawa couplings involving twisted states whose modular weights do not add up to zero are modular forms

Level 3 Weight 2
acts as A4 triplet:

$$Y = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix} = \begin{pmatrix} 1 + 12q + 36q^2 + 12q^3 + 84q^4 + 72q^5 + \dots \\ -6q^{1/3}(1 + 7q + 8q^2 + 18q^3 + 14q^4 + \dots) \\ -18q^{2/3}(1 + 2q + 5q^2 + 4q^3 + 8q^4 + \dots) \end{pmatrix}$$

$$q \equiv e^{i2\pi\tau} \leftarrow \text{free modulus} \quad \tau = \frac{\omega_2}{\omega_1}$$

Weinberg operator

$$\frac{1}{\Lambda} \left(H_u H_u \quad LL \quad \underbrace{Y}_{\text{A}_4: \quad 3 \quad 3 \quad 3} \right) \rightarrow m_\nu = \begin{pmatrix} 2Y_1 & -Y_3 & -Y_2 \\ -Y_3 & 2Y_2 & -Y_1 \\ -Y_2 & -Y_1 & 2Y_3 \end{pmatrix} \frac{v_u^2}{\Lambda}$$

A4 Modular Symmetry

Models		mass matrices	assignment	weight		
			$\rho_{E_{1,2,3}^c}$	$k_{E_{1,2,3}^c}$	k_L	k_{N^c}
Weinberg operator	$\mathcal{A}1$	$W1, C1$	$1, 1, 1$	$1, 3, 5$	1	—
	$\mathcal{A}2$	$W1, C2$	$1', 1', 1'$	$1, 3, 5$	1	—
	$\mathcal{A}3$	$W1, C3$	$1'', 1'', 1''$	$1, 3, 5$	1	—
	$\mathcal{A}4$	$W1, C4$	$1, 1, 1'$	$1, 3, 1$	1	—
	$\mathcal{A}5$	$W1, C5$	$1, 1, 1''$	$1, 3, 1$	1	—
	$\mathcal{A}6$	$W1, C6$	$1', 1', 1$	$1, 3, 1$	1	—
	$\mathcal{A}7$	$W1, C7$	$1'', 1'', 1;$	$1, 3, 1$	1	—
	$\mathcal{A}8$	$W1, C8$	$1'', 1'', 1'$	$1, 3, 1$	1	—
	$\mathcal{A}9$	$W1, C9$	$1', 1', 1''$	$1, 3, 1$	1	—
	$\mathcal{A}10$	$W1, C10$	$1, 1'', 1'$	$1, 1, 1$	1	—
Type I see-saw	$\mathcal{B}1(\mathcal{C}1)[\mathcal{D}1]$	$S1(S2)[S3], C1$	$1, 1, 1$	$0(3)[1], 2(5)[3], 4(7)[5]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}2(\mathcal{C}2)[\mathcal{D}2]$	$S1(S2)[S3], C2$	$1', 1', 1'$	$0(3)[1], 2(5)[3], 4(7)[5]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}3(\mathcal{C}3)[\mathcal{D}3]$	$S1(S2)[S3], C3$	$1'', 1'', 1''$	$0(3)[1], 2(5)[3], 4(7)[5]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}4(\mathcal{C}4)[\mathcal{D}4]$	$S1(S2)[S3], C4$	$1, 1, 1'$	$0(3)[1], 2(5)[3], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}5(\mathcal{C}5)[\mathcal{D}5]$	$S1(S2)[S3], C5$	$1, 1, 1''$	$0(3)[1], 2(5)[3], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}6(\mathcal{C}6)[\mathcal{D}6]$	$S1(S2)[S3], C6$	$1', 1', 1$	$0(3)[1], 2(5)[3], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}7(\mathcal{C}7)[\mathcal{D}7]$	$S1(S2)[S3], C7$	$1', 1', 1''$	$0(3)[1], 2(5)[3], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}8(\mathcal{C}8)[\mathcal{D}8]$	$S1(S2)[S3], C8$	$1'', 1'', 1$	$0(3)[1], 2(5)[3], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}9(\mathcal{C}9)[\mathcal{D}9]$	$S1(S2)[S3], C9$	$1'', 1'', 1'$	$0(3)[1], 2(5)[3], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$
	$\mathcal{B}10(\mathcal{C}10)[\mathcal{D}10]$	$S1(S2)[S3], C10$	$1, 1'', 1'$	$0(3)[1], 0(3)[1], 0(3)[1]$	$2(-1)[1]$	$0(1)[1]$

Comprehensive study of 40 simplest cases without flavons

Minimal Models:

Models	Ordering		Models	Ordering		Models	Ordering		Models	Ordering	
	NO	IO		NO	IO		NO	IO		NO	IO
$\mathcal{A}1$	✗	✗	$\mathcal{B}1$	✓	✓	$\mathcal{C}1$	✗	✗	$\mathcal{D}1$	✓	✓
$\mathcal{A}2$	✗	✗	$\mathcal{B}2$	✓	✓	$\mathcal{C}2$	✗	✗	$\mathcal{D}2$	✓	✓
$\mathcal{A}3$	✗	✗	$\mathcal{B}3$	✓	✓	$\mathcal{C}3$	✗	✗	$\mathcal{D}3$	✓	✓
$\mathcal{A}4$	✗	✗	$\mathcal{B}4$	✗	✗	$\mathcal{C}4$	✗	✗	$\mathcal{D}4$	✗	✓
$\mathcal{A}5$	✗	✗	$\mathcal{B}5$	✗	✗	$\mathcal{C}5$	✗	✗	$\mathcal{D}5$	✓	✗
$\mathcal{A}6$	✗	✗	$\mathcal{B}6$	✗	✓	$\mathcal{C}6$	✗	✗	$\mathcal{D}6$	✓	✗
$\mathcal{A}7$	✗	✗	$\mathcal{B}7$	✗	✗	$\mathcal{C}7$	✗	✗	$\mathcal{D}7$	✓	✓
$\mathcal{A}8$	✗	✗	$\mathcal{B}8$	✗	✗	$\mathcal{C}8$	✗	✗	$\mathcal{D}8$	✓	✓
$\mathcal{A}9$	✗	✗	$\mathcal{B}9$	✓	✓	$\mathcal{C}9$	✗	✗	$\mathcal{D}9$	✓	✓
$\mathcal{A}10$	✗	✗	$\mathcal{B}10$	✓	✓	$\mathcal{C}10$	✗	✗	$\mathcal{D}10$	✓	✓

$$\mathcal{B}_9, \mathcal{B}_{10}, \mathcal{D}_5 \sim \mathcal{D}_{10}$$

8 inputs for 12 observables (6 lepton masses, 6 PMNS)

Large ν mass, δCP

A5 Modular Symmetry

Models		mass matrices	assignment	weight		
			$(\rho_{Ec}, \rho_L, \rho_{Nc})$	$k_{E_{1,2,3}}$	k_L	k_{Nc}
With flavons	A1	W1	$(\mathbf{1}, \mathbf{3}, -)$	—	1	—
	A2	W2	$(\mathbf{1}, \mathbf{3}', -)$	—	1	—
	A3	S1	$(\mathbf{1}, \mathbf{3}, \mathbf{3})$	—	2	0
	A4	S2	$(\mathbf{1}, \mathbf{3}, \mathbf{3})$	—	-1	1
	A5	S3	$(\mathbf{1}, \mathbf{3}', \mathbf{3})$	—	2	0
	A6	S4	$(\mathbf{1}, \mathbf{3}, \mathbf{3}')$	—	2	0
	A7	S5	$(\mathbf{1}, \mathbf{3}', \mathbf{3}')$	—	2	0
	A8	S6	$(\mathbf{1}, \mathbf{3}', \mathbf{3}')$	—	-1	1
Without flavons	B1	C1, W1	$(\mathbf{1}, \mathbf{3}, -)$	1, 3, 5	1	—
	B2	C2, W2	$(\mathbf{1}, \mathbf{3}', -)$	1, 3, 5	1	—
	B3	C1, S1	$(\mathbf{1}, \mathbf{3}, \mathbf{3})$	0, 2, 4	2	0
	B4	C1, S2	$(\mathbf{1}, \mathbf{3}, \mathbf{3})$	3, 5, 7	-1	1
	B5	C2, S3	$(\mathbf{1}, \mathbf{3}', \mathbf{3})$	0, 2, 4	2	0
	B6	C1, S4	$(\mathbf{1}, \mathbf{3}, \mathbf{3}')$	0, 2, 4	2	0
	B7	C2, S5	$(\mathbf{1}, \mathbf{3}', \mathbf{3}')$	0, 2, 4	2	0
	B8	C2, S6	$(\mathbf{1}, \mathbf{3}', \mathbf{3}')$	3, 5, 7	-1	1

Comprehensive study of simplest cases with and without flavons

Results very dependent on **free modulus**

Models		free input parameters p_i	overall factors
With flavons	A1, A2	$\{\text{Re } \tau, \text{Im } \tau\}$	v_u^2/Λ
	A4, A5, A6, A8	$\{\text{Re } \tau, \text{Im } \tau\}$	$g^2 v_u^2/\Lambda$
	A3, A7	$\{\text{Re } \tau, \text{Im } \tau, g_1/g_2 , \text{Arg}(g_1/g_2)\}$	$g_2^2 v_u^2/\Lambda$
Without flavons	B1, B2	$\{\text{Re } \tau, \text{Im } \tau, \beta/\alpha, \gamma_1/\alpha, \gamma_2/\alpha , \text{Arg}(\gamma_2/\alpha)\}$	$\alpha v_d, v_u^2/\Lambda$
	B4, B5, B6, B8	$\{\text{Re } \tau, \text{Im } \tau, \beta/\alpha, \gamma_1/\alpha, \gamma_2/\alpha , \text{Arg}(\gamma_2/\alpha)\}$	$\alpha v_d, g^2 v_u^2/\Lambda$
	B3, B7	$\{\text{Re } \tau, \text{Im } \tau, \beta/\alpha, \gamma_1/\alpha, \gamma_2/\alpha , \text{Arg}(\gamma_2/\alpha), g_1/g_2 , \text{Arg}(g_1/g_2)\}$	$\alpha v_d, g_2^2 v_u^2/\Lambda$

$$\tau = \frac{\omega_2}{\omega_1}$$

Modular Symmetry and orbifolds

Consider a **finite** modular symmetry

$$\bar{\Gamma}_M \simeq \{S, T | S^2 = (ST)^3 = T^M = \mathbb{I}\} / \{\pm 1\}$$

Represented by the modular transformations (level $M > 2$)

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T_{(M)} = \begin{pmatrix} e^{-2i\pi/M} & 0 \\ 1 & e^{2i\pi/M} \end{pmatrix}$$

We show that for the orbifold T^2/\mathbb{Z}_2
 the **fixed points** are only invariant for a particular
 level $M=3$ and **fixed modulus** $\omega = e^{i2\pi/3}$

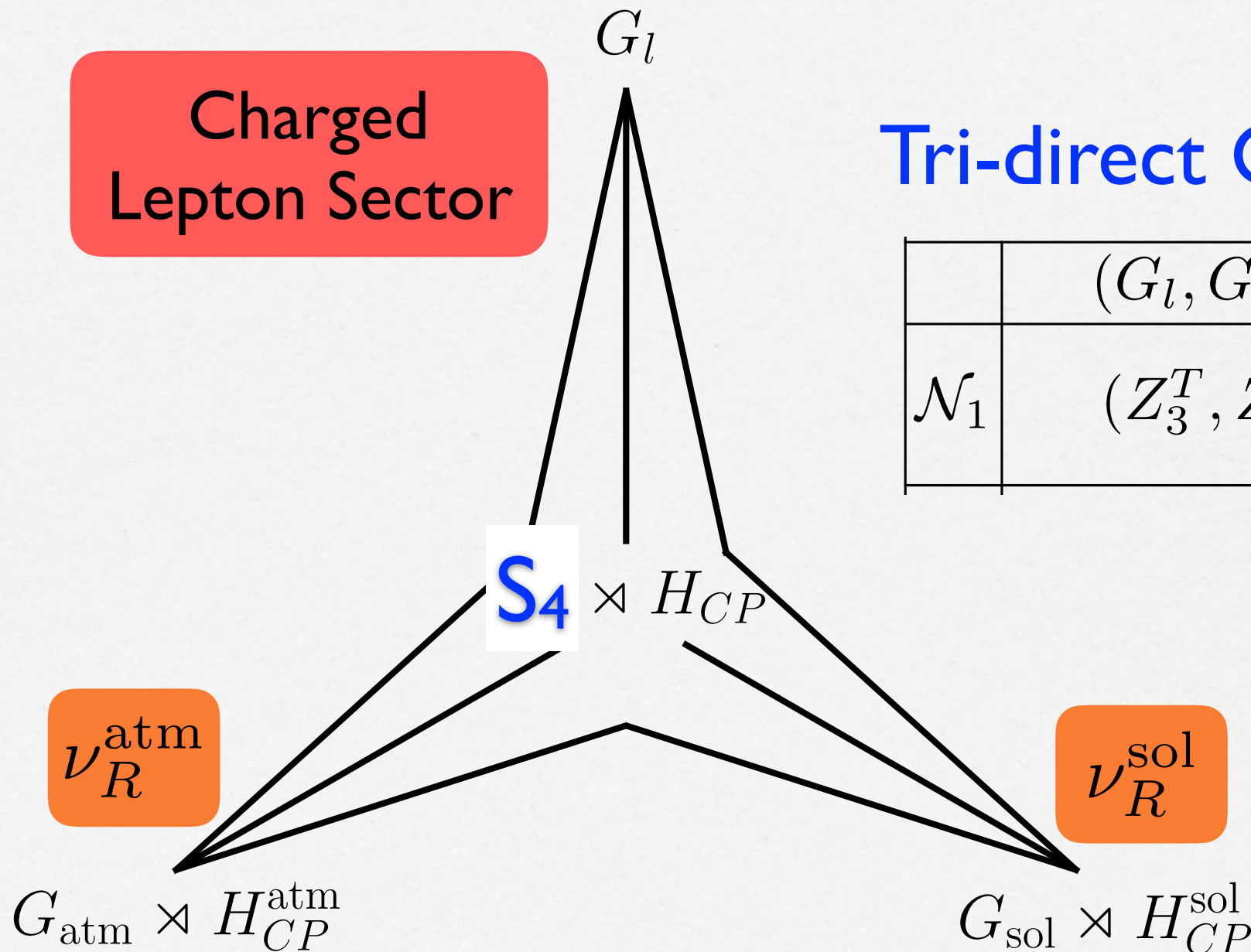
$$\bar{\Gamma}_3 = A_4 \text{ with } \tau = \omega \text{ or } \tau = \omega + 1.$$

Littlest Seesaw from S_4

Charged
Lepton Sector

Tri-direct CP with S_4

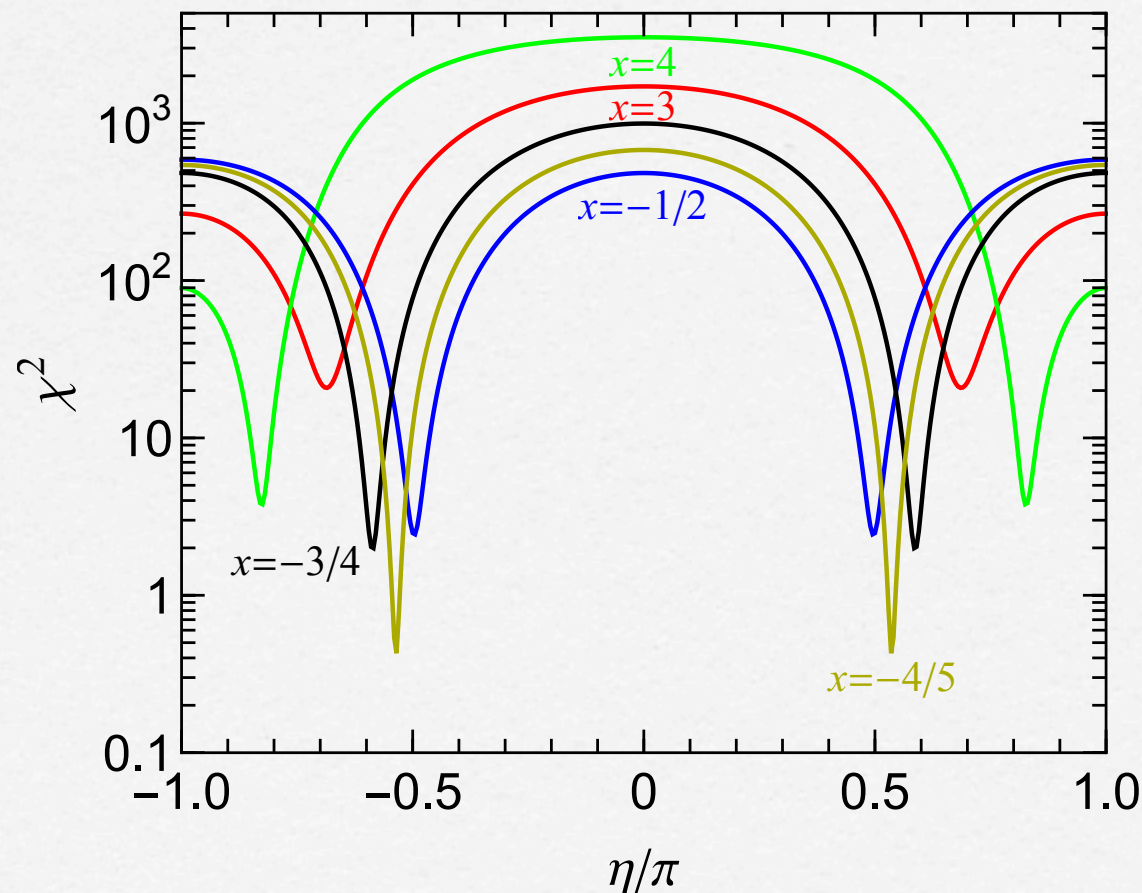
	$(G_l, G_{\text{atm}}, G_{\text{sol}})$
\mathcal{N}_1	(Z_3^T, Z_2^U, Z_2^{SU})



Littlest Seesaw from S_4

Tri-direct CP with S_4 gives the structure

$$m_\nu = m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} + m_s e^{i\eta} \begin{pmatrix} 1 & 2-x & x \\ 2-x & (x-2)^2 & (2-x)x \\ x & (2-x)x & x^2 \end{pmatrix} \quad \begin{array}{l} \text{TMI} \\ \text{NO} \\ m_1=0 \end{array}$$



Original Littlest Seesaw

$$(x, \eta) = (3, 2\pi/3), (-1, -2\pi/3)$$

$$\sin^2 \theta_{23} \approx 0.5 \quad \delta_{CP} \approx -\pi/2$$

New Littlest Seesaw

$$(x, \eta) = (-1/2, -\pi/2)$$

$$0.593 \leq \sin^2 \theta_{23} \leq 0.609$$

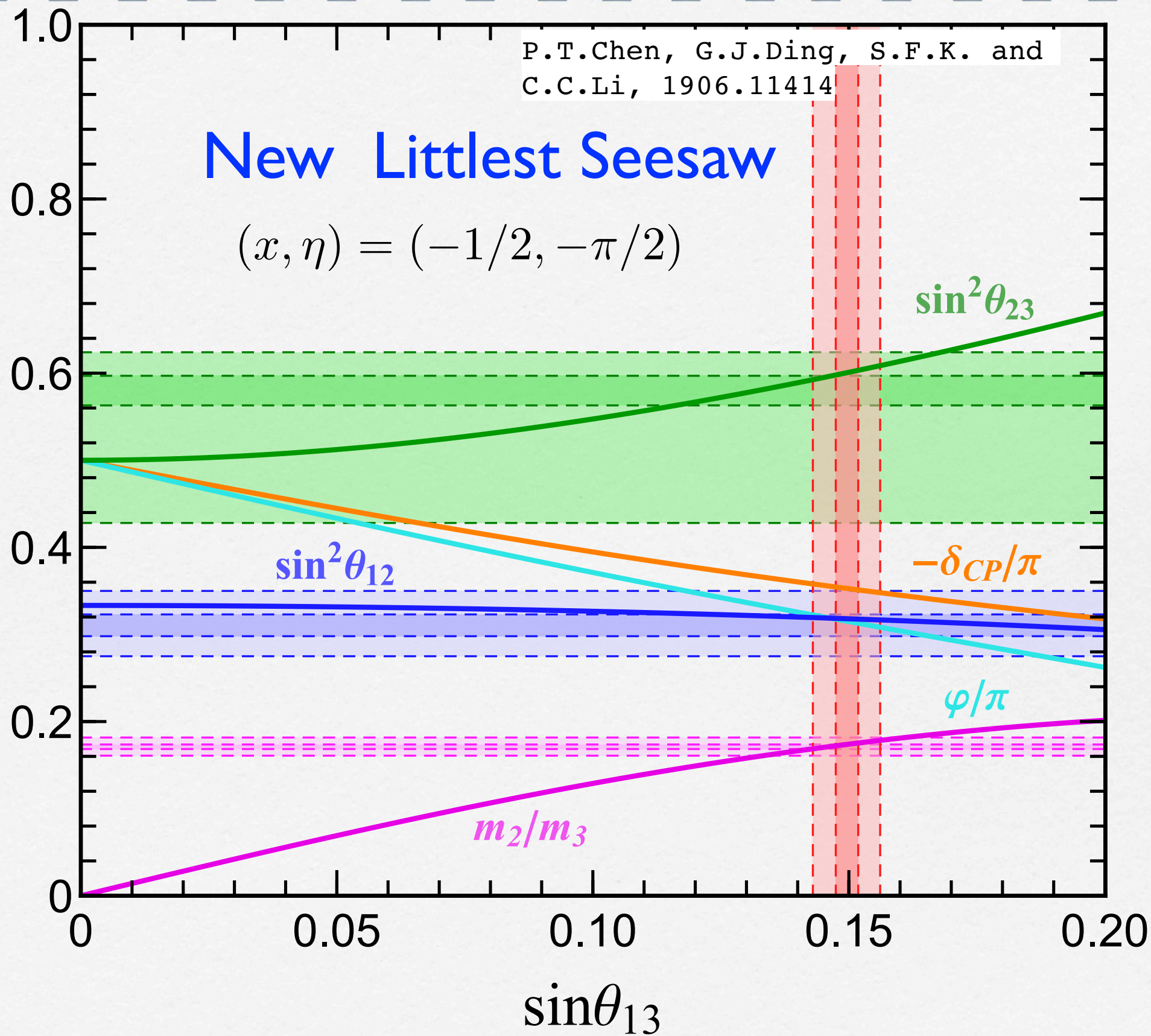
$$-0.358 \leq \delta_{CP}/\pi \leq -0.348$$

UO

P.T.Chen, G.J.Ding, S.F.K. and
C.C.Li, 1906.11414

New Littlest Seesaw

$$(x, \eta) = (-1/2, -\pi/2)$$



P.T.Chen, G.J.Ding, S.F.K.
and C.C.Li, 1906.11414

SM

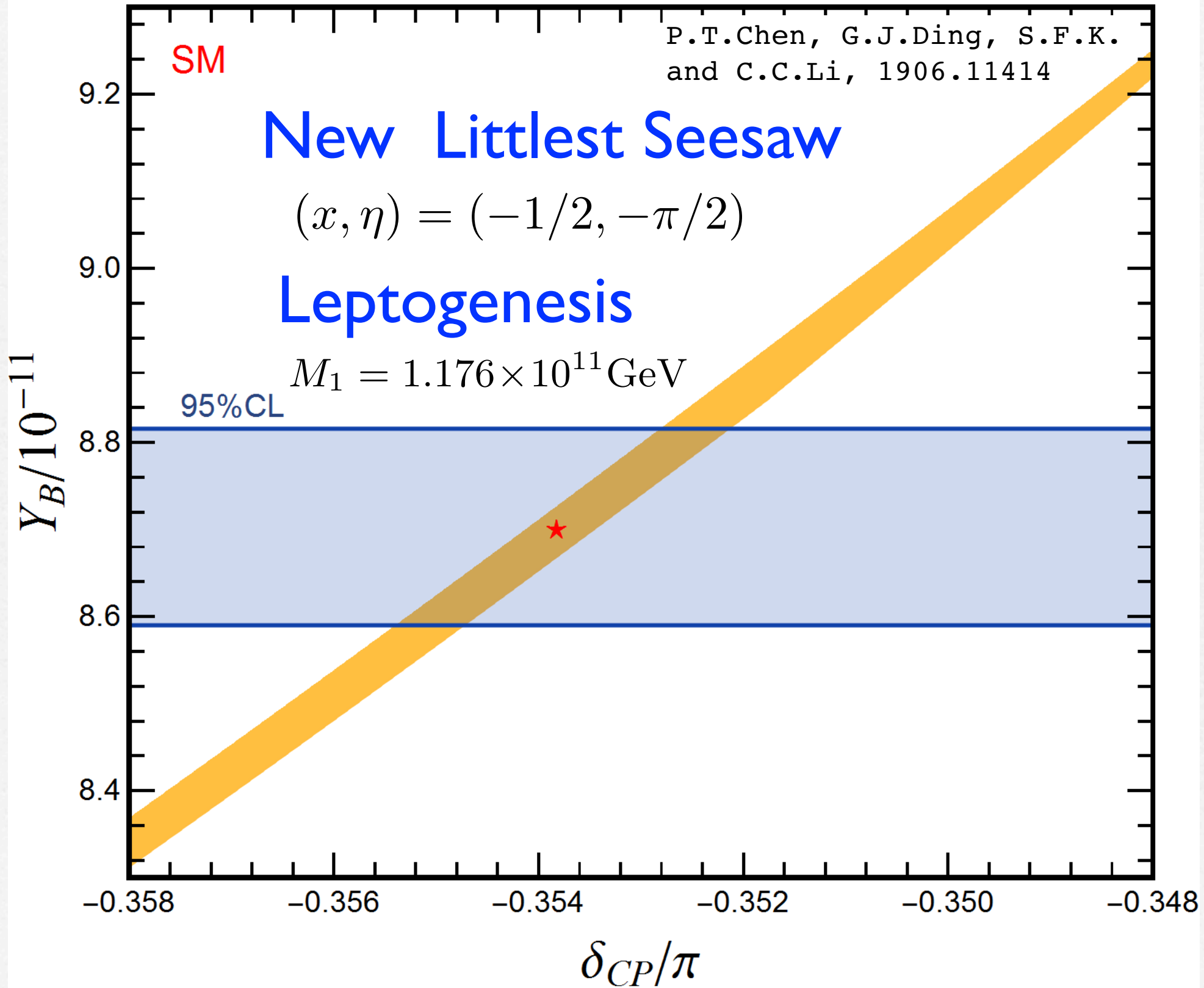
New Littlest Seesaw

$$(x, \eta) = (-1/2, -\pi/2)$$

Leptogenesis

$$M_1 = 1.176 \times 10^{11} \text{ GeV}$$

95%CL



Littlest Inverse Seesaw

Another
Possibility

$$M_\nu = \begin{pmatrix} 0_{3 \times 3} & m_D & 0_{3 \times 2} \\ m_D^T & 0_{2 \times 2} & M \\ 0_{2 \times 3} & M^T & \mu \end{pmatrix}$$

cLFV, collider...

Talk by Antusch

$$m_D \sim \begin{pmatrix} 0 & b \\ a & 3b \\ a & b \end{pmatrix},$$

$$M \sim \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

$$\mu \sim \begin{pmatrix} 1 & 0 \\ 0 & \omega \end{pmatrix},$$

$$\omega = e^{\frac{2\pi i}{3}}.$$

$$m_\nu = -m_D (M^T)^{-1} \mu M^{-1} m_D^T \quad \text{Talk by Valle}$$

Same low
energy matrix

$$m_\nu = m_{\nu a} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_{\nu b} \omega \begin{pmatrix} 1 & 3 & 1 \\ 3 & 9 & 3 \\ 1 & 3 & 1 \end{pmatrix}$$

Minimal Type Ib seesaw

Z'

Field	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	$U(1)'$
Q_i	3	2	1/6	0
u_i^c	$\bar{\mathbf{3}}$	1	-2/3	0
d_i^c	$\bar{\mathbf{3}}$	1	1/3	0
L_i	1	2	-1/2	0
e_i^c	1	1	1	0
ν^c	1	1	0	1
$\bar{\nu}^c$	1	1	0	-1
ϕ	1	1	0	1
H_u	1	2	1/2	-1
H_d	1	2	-1/2	-1

$$y_i^\nu H_u L_i \nu^c + \epsilon_1 y_i^{\nu'} \tilde{H}_d L_i \bar{\nu}^c$$

Assume
Hd
couplings
small

$$M^\nu = \begin{matrix} & \nu_1 & \nu_2 & \nu_3 & \nu^c & \bar{\nu}^c \\ \nu_1 & \left(\begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array} \right) & & & y_1^\nu v & \epsilon_1 y_1^{\nu'} v' \\ \nu_2 & & \left(\begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array} \right) & & y_2^\nu v & \epsilon_1 y_2^{\nu'} v' \\ \nu_3 & & & \left(\begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array} \right) & y_3^\nu v & \epsilon_1 y_3^{\nu'} v' \\ \nu^c & y_1^\nu v & y_2^\nu v & y_3^\nu v & 0 & M^\nu \\ \bar{\nu}^c & \epsilon_1 y_1^{\nu'} v' & \epsilon_1 y_2^{\nu'} v' & \epsilon_1 y_3^{\nu'} v' & M^\nu & 0 \end{matrix}$$

Light effective neutrino matrix

$$\hat{m}_{ij} = \frac{\epsilon_1 v v'}{M^\nu} \left(y_i^\nu y_j^{\nu'} + y_i^{\nu'} y_j^\nu \right)$$

Unitarity violation due to large y

$$\eta_{ij} = \frac{1}{2M^{\nu 2}} \left(v^2 y_i^{\nu*} y_j^\nu + \epsilon_1^2 v'^2 y_i^{\nu'*} y_j^{\nu'} \right) \simeq \frac{v^2}{2M^{\nu 2}} y_i^{\nu*} y_j^\nu$$

Minimal Type Ib seesaw

