

Corfu Summer Institute
on Elementary Particle Physics and Gravity
2016



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<http://physics.ntua.gr/corfu2016>

Neutrino Mass Matrix and The Sign of Universe's Baryon Asymmetry

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arXiv:1604.03315

CorfuSI2016 @ Corfu, Greece, September 10, 2016

Plan of my talk

- 1 Introduction**
- 2 Neutrino Mass matrix with Occam 's Razor**
- 3 The Sign of Universe's Baryon Asymmetry**
- 4 Numerical Results**
- 5 Summary**

1 Introduction

$$\delta_{CP} = -90^\circ ?$$

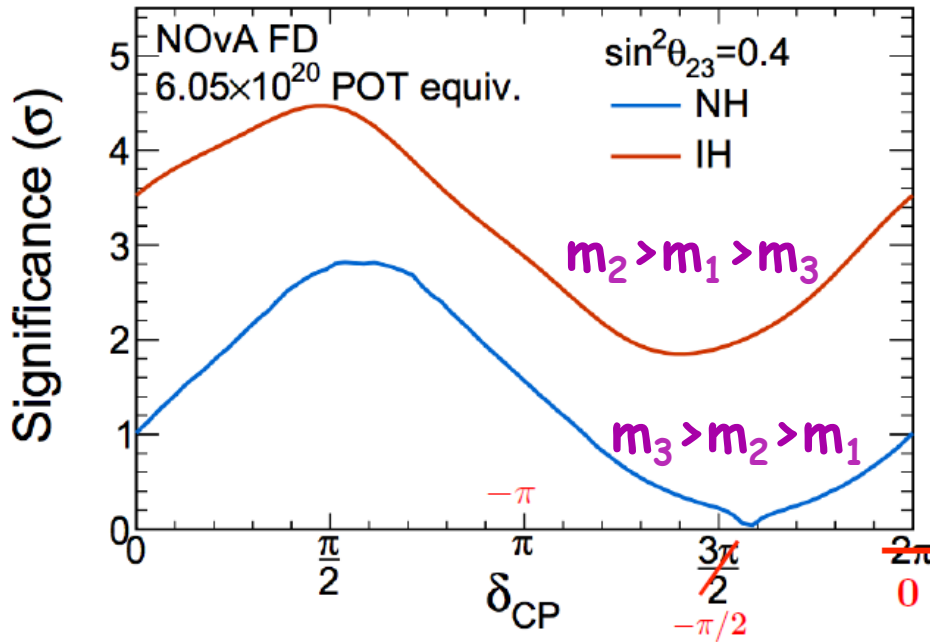
NOvA

ICHEP2016

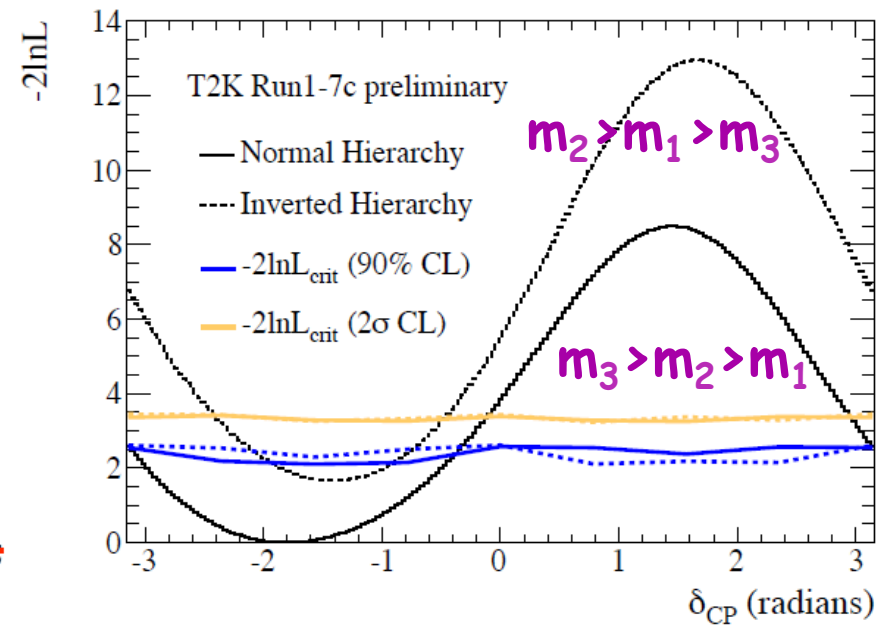
T2K

NOvA Preliminary

Measurement (Data)



J. Bian [NOvA Collaboration]
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K. Iwamoto [T2K Collaboration]
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Normal Hierarchy of neutrino masses is favoured ? m₃ > m₂ > m₁

Parameterization of mixing angles and CP violating phase

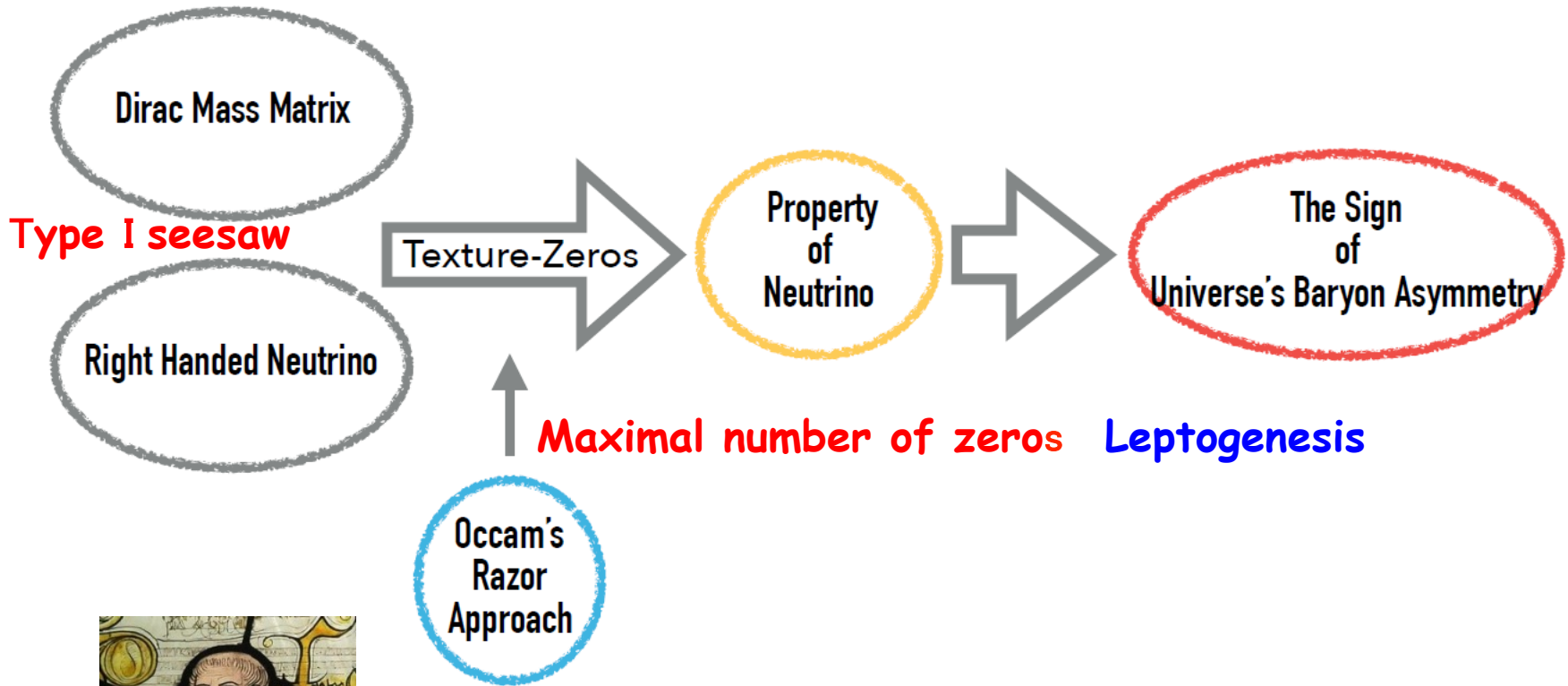
Lepton mixing matrix (PMNS)

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}c_{13} \end{pmatrix}$$

$$\times \{ \text{Exp}[i\alpha], \text{Exp}[i\beta], 1 \}$$

Diagonal Majorana Phases matrix

Our Framework of Predictions for CP violation



William of Ockham

“Entities should not be multiplied unnecessarily.”

2 Neutrino Mass matrix with Occam's Razor

The Occam's Razor Approach *The first attempt* K. Harigaya, M. Ibe, T. T. Yanagida
Phys. Rev. D 86, 013002

Lepton mass term : $\bar{l}_L M_E e_R + \bar{l}_L m_D N + \frac{1}{2} \overline{N^C} M_R N + h.c.$

1. **Diagonal base** M_E M_R
2. **Impose Zeros to components of** m_D .

5 physical values are observed by experiments

$$\Delta m_{\text{sol}}^2 \quad \Delta m_{\text{atm}}^2 \quad \theta_{12} \quad \theta_{23} \quad \theta_{13}$$

NOW

Prune parameters in neutrino sector to be #5.

They discussed two generations of M_R (m_D is 3×2 matrix)

OCCAM'S RAZOR

RESULTS

They predicted the δ_{CP}
and discovered that hierarchy of neutrino is IH only.

Case A

Case B

$$m_{\nu D} = \begin{pmatrix} 0 & * & * \\ * & 0 & * \end{pmatrix}_{RL}, \quad m_{\nu D} = \begin{pmatrix} * & * & 0 \\ 0 & * & * \end{pmatrix}_{RL}$$

Asterisk is complex.

$$M_R = \begin{pmatrix} M_1 & 0 \\ 0 & M_2 \end{pmatrix}_{RR} \quad m_3=0$$

Three phases are removed away ! **only one phase remains !**

After seesaw, neutrino mass matrix is given by 5 parameters .

Inverted neutrino mass hierarchy !

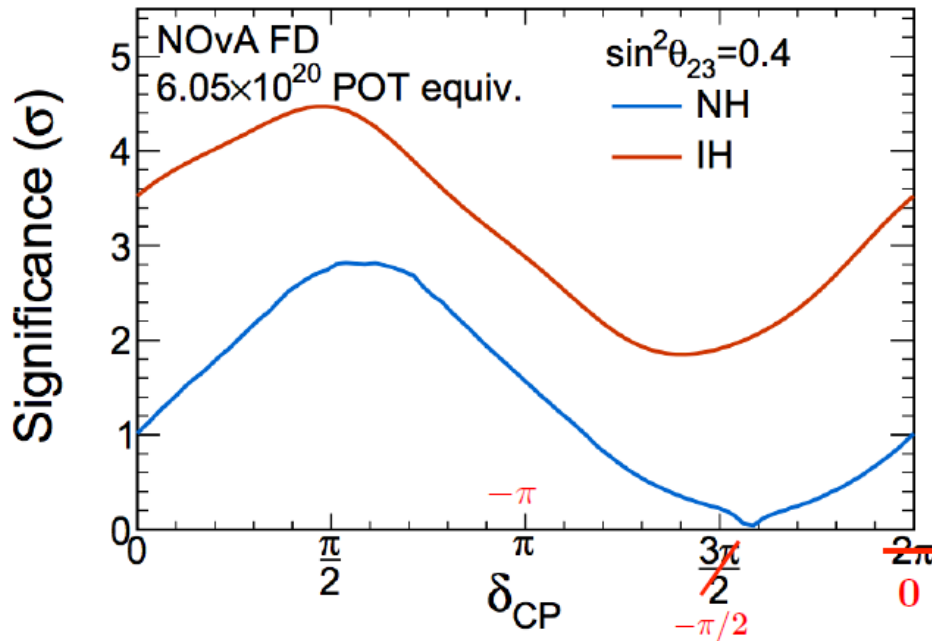
Observed Baryon Asymmetry can be explained by the leptogenesis signs[δ_{cp}] > 0 for Case A and signs[δ_{cp}] < 0 for Case B

One phase controls both δ_{cp} and Baryon Asymmetry !

Normal Hierarchy !! of neutrino masses is favoured

NOvA

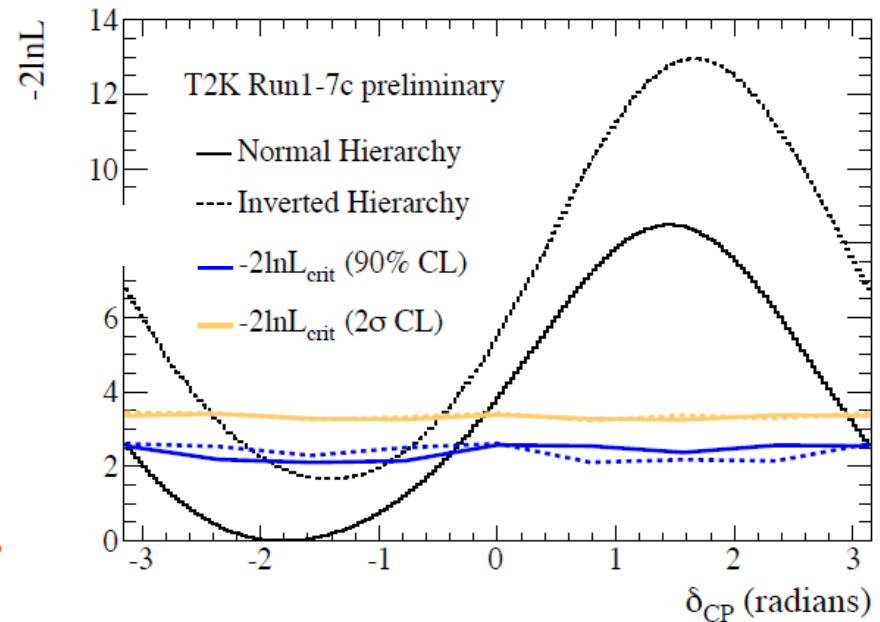
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The Occam's Razor Approach to realize NH

We discuss three generations of M_R

- 1. Diagonal base M_E M_R (m_D and M_R are 3×3 matrices)
Add 1 complex parameter (2 real parameters).
Total number of parameters is 7 now.**

Experiments will observe 7 physical values

$$\Delta m_{\text{sol}}^2 \quad \Delta m_{\text{atm}}^2 \quad \theta_{12} \quad \theta_{23} \quad \theta_{13} \quad \delta_{CP} \quad m_{ee}$$

In the Future

- 2. Impose Zeros to components of m_D .
Four-Zeros Dirac mass matrix
is the maximal number of zeros.**

(Our set up) OCCAM'S RAZOR

Minimal textures with NH

G.C.Branco, D.Emmanuel-Costa, M.N.Rebelo and P.Roy
Phys. Rev. D 77 (2008) 053011 [arXiv:0712.0774 [hep-ph]]

4 zeros textures of Dirac neutrino mass matrix

with three Right-handed Majorana Neutrinos

Example

$$m_{\nu D} = \begin{pmatrix} 0 & * & 0 \\ * & 0 & * \\ 0 & * & * \end{pmatrix}_{LR}, \quad M_R = \begin{pmatrix} M_1 & 0 & 0 \\ 0 & M_2 & 0 \\ 0 & 0 & M_3 \end{pmatrix}_{RR}, \quad m_E = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix}_{LR}$$

Asterisk is complex.

M_1, M_2, M_3 are taken to be real and positive.

5 complex parameters in Dirac neutrino mass matrix

3 real parameters in M_R

3 phases are absorbed by 3 left-handed neutrino fields!

2 phases remains, they are so called right-handed Majorana phases!

8 real parameters and 2 phases: 10 parameters

Parametrization

$$m_D = \begin{pmatrix} 0 & A & 0 \\ A' & 0 & B \\ 0 & B' & C \end{pmatrix}_{LR} \quad M_R = M_0 \begin{pmatrix} \frac{1}{k_1} e^{-i\phi_A} & 0 & 0 \\ 0 & \frac{1}{k_2} e^{-i\phi_B} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



after the see-saw mechanism

$$m_\nu = m_D M_R^{-1} m_D^T$$

$$= m_D \begin{pmatrix} k'_1 & 0 & 0 \\ 0 & k'_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} K_1 e^{i\phi_A} & 0 & 0 \\ 0 & K_2 e^{i\phi_B} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} k'_1 & 0 & 0 \\ 0 & k'_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} m_D^T M_0^{-1}$$

$$\rightarrow = \begin{pmatrix} 0 & A & 0 \\ A' & 0 & B \\ 0 & B' & C \end{pmatrix} \begin{pmatrix} k'_1 & 0 & 0 \\ 0 & k'_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & \frac{A k'_2}{0} & 0 \\ \frac{A' k'_1}{0} & 0 & \frac{B}{C} \\ 0 & \frac{B' k'_2}{C} & C \end{pmatrix}$$

Without loss of generality

Symmetric mass matrix

$$A' k'_1 = A k'_2 = a$$

$$B' k'_2 = B = b$$

M_0 is absorbed into a, b, C

7 parameters: a, b, C, K_1 , K_2 , Φ_A , Φ_B

We can rewrite as:

For example,

So called Fritzsche type mass matrix

$$m_D = \begin{pmatrix} 0 & ae^{i\phi_B/2} & 0 \\ ae^{i\phi_A/2} & 0 & b \\ 0 & be^{i\phi_B/2} & c \end{pmatrix} \quad M_R = M_0 \begin{pmatrix} \frac{1}{K_1} & 0 & 0 \\ 0 & \frac{1}{K_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

See-Saw mechanism

$$m_\nu = \frac{1}{M_0} \begin{pmatrix} a^2 K_2 e^{i\phi_B} & 0 & ab K_2 e^{i\phi_B} \\ 0 & a^2 K_1 e^{i\phi_A} + b^2 & bc \\ ab K_2 e^{i\phi_B} & bc & b^2 K_2 e^{i\phi_B} + c^2 \end{pmatrix}$$

(1,2)=(2,1)
entries are zero !

These matrices give Jarlskog invariant and δ_{CP}

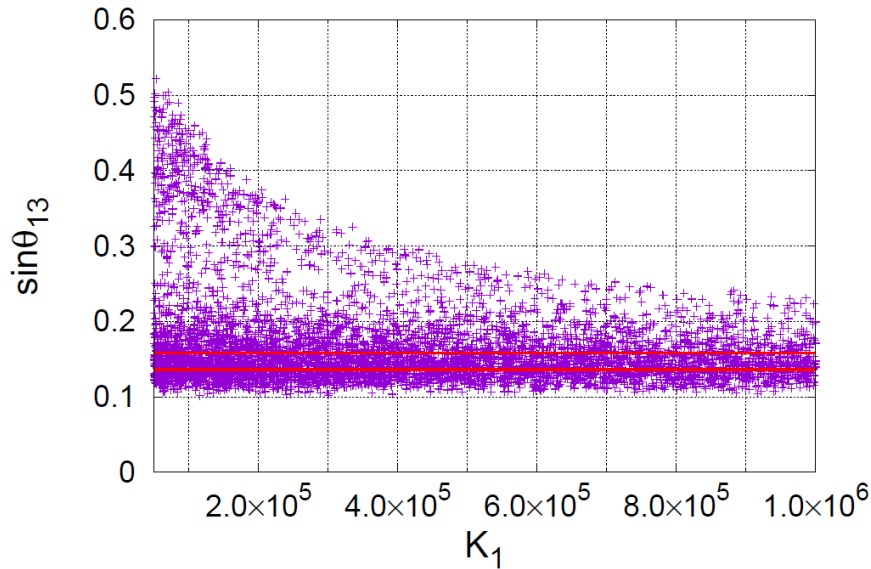
$$J_{CP} \simeq \frac{a^4 b^4 c^2 K_1 K_2^3 \{a^2 \sin(\phi_A - \phi_B) + b^2 \sin(\phi_A + \phi_B)\}}{(\Delta m_{atm}^2)^2 \Delta m_{sol}^2}$$

$$\sin \delta_{CP} = J_{CP} / (s_{23} c_{23} s_{12} c_{12} s_{13} c_{13}^2)$$

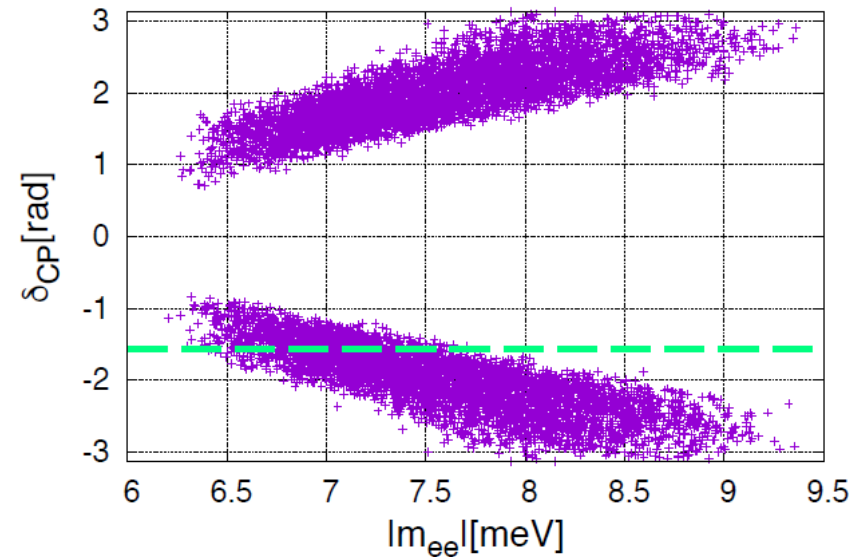
Φ_A, Φ_B are related to δ_{CP}

Since (1,2) entry of m_ν is zero, we have predictions:

$$0 = c_{12}c_{13}(-s_{12}c_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}})e^{2i\alpha}m_1 + s_{12}c_{13}(c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}})e^{2i\beta}m_2 + s_{13}s_{23}c_{13}e^{-i\delta_{CP}}m_3$$



$$K_1 = M_3/M_1$$



$$\langle m_{ee} \rangle = U_{e1}^2 m_1 + U_{e2}^2 m_2 + U_{e3}^2 m_3$$

No crucial predictions at present

3 The Sign of Universe's Baryon Asymmetry

Φ_A, Φ_B are related to Leptogenesis !

Leptogenesis

M. Fukugita, T. Yanagida, *Phys. Lett. B* 174(1986) 45.

$$\begin{aligned} \epsilon &= \frac{\Gamma(N_1 \rightarrow l\phi) - \Gamma(N_1 \rightarrow \bar{l}\bar{\phi})}{\Gamma(N_1 \rightarrow l\phi) + \Gamma(N_1 \rightarrow \bar{l}\bar{\phi})} \\ &= -\frac{1}{8\pi} \frac{1}{v^2} \frac{1}{(m_D^\dagger m_D)_{11}} \sum_i \text{Im} \left[\left\{ (m_D^\dagger m_D)_{i1} \right\}^2 \right] f\left(\frac{M_i^2}{M_1^2}\right) \end{aligned}$$

For example,

$$m_D = \begin{pmatrix} 0 & ae^{i\phi_B/2} & 0 \\ ae^{i\phi_A/2} & 0 & b \\ 0 & be^{i\phi_B/2} & c \end{pmatrix}$$

$$m_D^\dagger m_D = \begin{pmatrix} a^2 & * & * \\ 0 & * & * \\ abe^{i\phi_A/2} & * & * \end{pmatrix}$$

Suppose $M_1 \ll M_2, M_3$

$$\epsilon \approx -\frac{1}{8\pi} \frac{1}{v^2} b^2 \frac{M_3}{M_1} \sin\phi_A$$

Lepton number violation transfers baryon number violation by Sphaleron process.

$$Y_B = -\frac{28}{79} Y_L \propto -\epsilon \propto \sin\phi_A \quad \left(Y_B \equiv \frac{n_B - n_{\bar{B}}}{s} \right)$$

Can we determine The Sign of Universe's Baryon Asymmetry ?

Physics Letters B 548 (2002) 119–121

www.elsevier.com

Cosmological sign of neutrino CP violation

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**Right Handed Neutrino
with two generations**

$$\mathcal{L} = \frac{1}{2}(N_1, N_2) \begin{pmatrix} M_1 & 0 \\ 0 & M_2 \end{pmatrix} \begin{pmatrix} N_1 \\ N_2 \end{pmatrix} + (N_1, N_2) \begin{pmatrix} a & a' & 0 \\ 0 & b & b' \end{pmatrix} \begin{pmatrix} l_1 \\ l_2 \\ l_3 \end{pmatrix} H + \text{h.c.}, \quad (1)$$

$$D = \begin{pmatrix} a & 0 & a' \\ 0 & b & b' \end{pmatrix}$$

$$B \propto \xi_H = (\text{Im } DD^\dagger)_{12}^2 = \text{Im}(a'b)^2 \\ = +Y^2 a^2 b^2 \sin 2\delta$$

One phase

$$a, b, M_1, M_2, Y > 0$$

$$\theta_{13} = 0$$

$$B > 0$$

therefore $\delta > 0$

Our Challenge !

4 zero textures with normal mass hierarchy

**We introduced 7 physical parameters.
If 7 physical values are exactly determined,
there are no degree of freedom in the matrix!!**

$$\Delta m_{\text{sol}}^2 \quad \Delta m_{\text{atm}}^2 \quad \theta_{12} \quad \theta_{23} \quad \theta_{13} \quad \delta_{CP} \quad m_{ee}$$

$$Y_B \propto \sin\phi_A$$

Can present data constrain $\sin\phi_A$?

4 Numerical Results

Input 5 data (Global Analysis Results)

M. C. Gonzalez-Garcia, M. Maltoni and T. Schwetz, arXiv:1512.06856

$$\Delta m_{\text{atm}}^2 = 2.457 \pm 0.047 \times 10^{-3} \text{eV}^2, \quad \Delta m_{\text{sol}}^2 = 7.50_{-0.17}^{+0.19} \times 10^{-5} \text{eV}^2,$$

$$\sin^2 \theta_{12} = 0.304_{-0.012}^{+0.013}, \quad \sin^2 \theta_{23} = 0.452_{-0.028}^{+0.052}, \quad \sin^2 \theta_{13} = 0.0218 \pm 0.0010$$

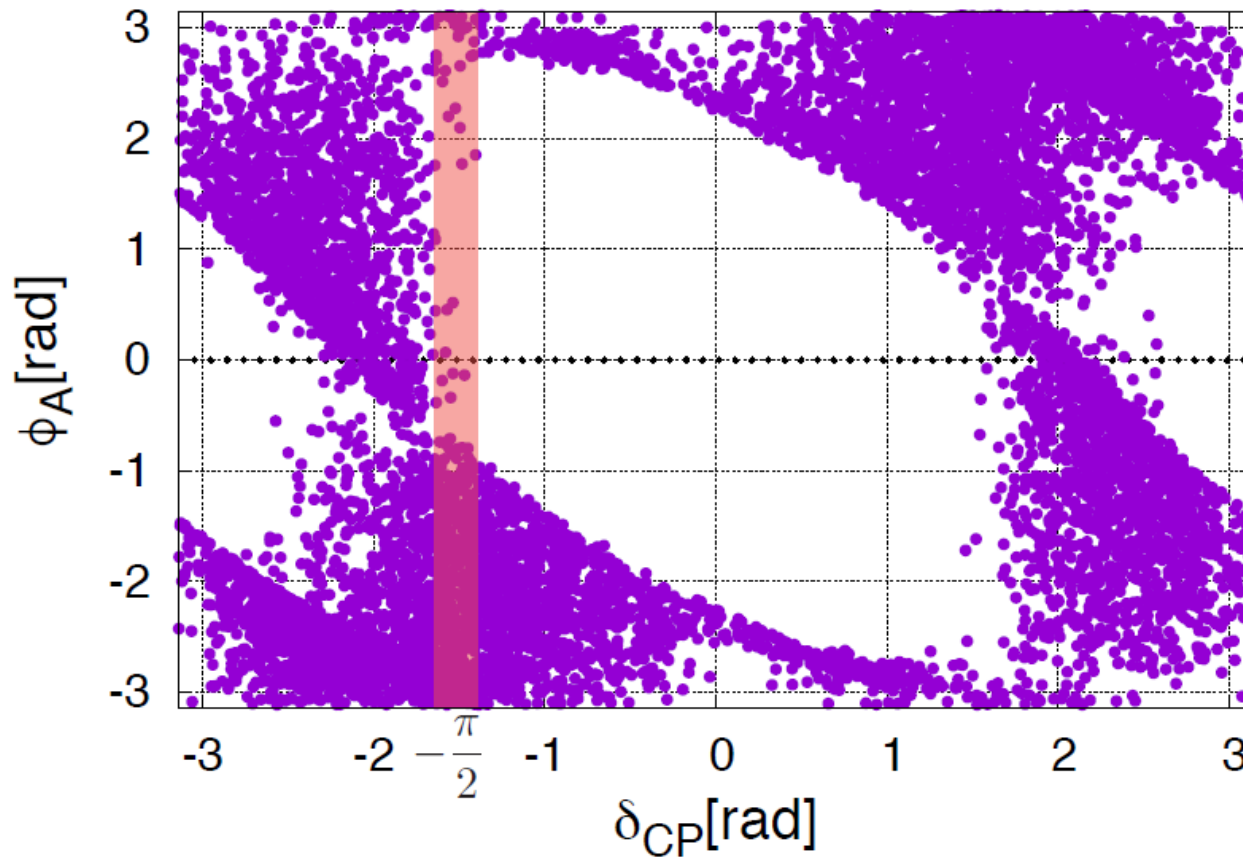
90% C.L.

The Sign of Universe's Baryon Asymmetry

$$m_D = \begin{pmatrix} 0 & ae^{i\phi_B/2} & 0 \\ ae^{i\phi_A/2} & 0 & b \\ 0 & be^{i\phi_B/2} & c \end{pmatrix}$$

Suppose $M_1 \ll M_2, M_3$

$$Y_B \propto +\sin\phi_A$$



However, there are many four zeros textures !

Classified by

G.C.Branco, D.Emmanuel-Costa, M.N.Rebelo and P.Roy

“Four Zero Neutrino Yukawa Textures in the Minimal Seesaw Framework”

Phys. Rev. D 77 (2008) 053011 [arXiv:0712.0774 [hep-ph]]

$m_i \neq 0$ and Four-Zero Dirac mass matrices are 72 patterns !

18 textures $(m_\nu)_{12} = (m_\nu)_{21} = 0$

18 textures $(m_\nu)_{13} = (m_\nu)_{31} = 0$

18 textures $(m_\nu)_{23} = (m_\nu)_{32} = 0 \Rightarrow$ **degenerate neutrino masses**

6 textures $|(m_\nu)_{11} (m_\nu)_{23}| - |(m_\nu)_{21} (m_\nu)_{13}| = \arg \{ (m_\nu)_{11} (m_\nu)_{23} (m_\nu)_{21}^* (m_\nu)_{13}^* \} = 0$

6 textures $|(m_\nu)_{22} (m_\nu)_{13}| - |(m_\nu)_{12} (m_\nu)_{23}| = \arg \{ (m_\nu)_{22} (m_\nu)_{13} (m_\nu)_{12}^* (m_\nu)_{23}^* \} = 0$

6 textures $|(m_\nu)_{33} (m_\nu)_{12}| - |(m_\nu)_{13} (m_\nu)_{32}| = \arg \{ (m_\nu)_{33} (m_\nu)_{12} (m_\nu)_{13}^* (m_\nu)_{32}^* \} = 0$

There are relations between δ_{CP} and the phase of leptogenesis in the framework of four zeros textures:

S. Choubey, W. Rodejohann and P. Roy

“Phenomenological consequences of four zero neutrino Yukawa textures”

Nucl. Phys. B 808 (2009) 272, Erratum: [Nucl. Phys. B **818** (2009) 136]

[arXiv:0807.4289 [hep-ph]]

Suppose $M_1 \ll M_2, M_3$

Among 54 patterns,

the Dirac neutrino mass matrices determining a sign of BAU by one phase with normal hierarchy of neutrino masses are:

24 textures !

$$\text{Im} \left[\left\{ (m_D^\dagger m_D)_{i1} \right\}^2 \right] = 0 \text{ for } i=2 \text{ or } 3$$

$$\begin{pmatrix} 0 & a_2 & 0 \\ b_1 & 0 & b_3 \\ 0 & c_2 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} a_1 & 0 & 0 \\ 0 & b_2 & b_3 \\ c_1 & c_2 & 0 \end{pmatrix}$$

$$\begin{pmatrix} a_1 & 0 & 0 \\ 0 & b_2 & b_3 \\ c_1 & 0 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & a_3 \\ b_1 & b_2 & 0 \\ 0 & c_2 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} 0 & a_2 & a_3 \\ b_1 & 0 & 0 \\ c_1 & 0 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} 0 & a_2 & a_3 \\ b_1 & 0 & 0 \\ c_1 & c_2 & 0 \end{pmatrix}$$

$$\begin{pmatrix} a_1 & a_2 & 0 \\ 0 & 0 & b_3 \\ 0 & c_2 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} a_1 & 0 & a_3 \\ 0 & b_2 & 0 \\ 0 & c_2 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} 0 & a_2 & 0 \\ 0 & b_2 & b_3 \\ c_1 & 0 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} a_1 & 0 & 0 \\ b_1 & b_2 & 0 \\ 0 & c_2 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} a_1 & 0 & 0 \\ b_1 & 0 & b_3 \\ 0 & c_2 & c_3 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & a_3 \\ 0 & b_2 & b_3 \\ c_1 & c_2 & 0 \end{pmatrix}$$

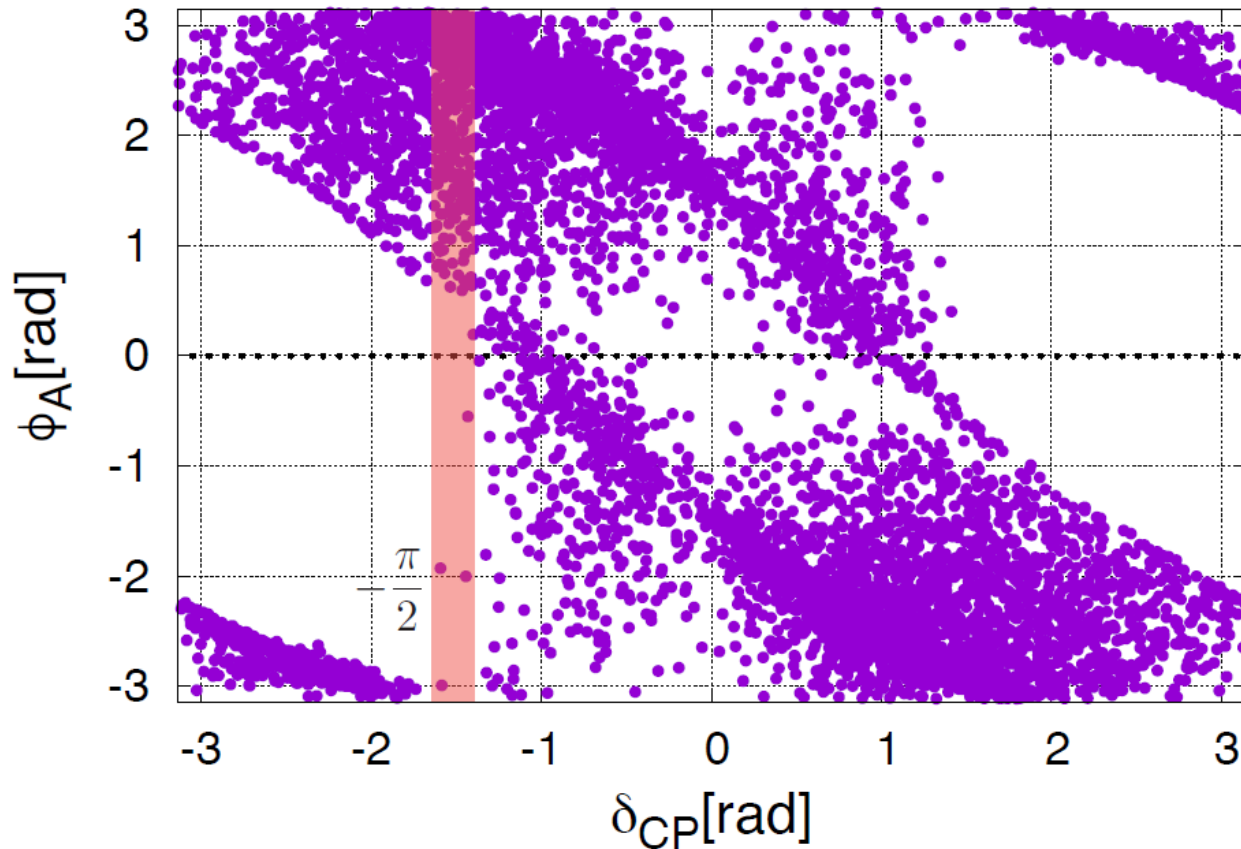
etc.

The Sign of Universe's Baryon Asymmetry

$$m_D = \begin{pmatrix} 0 & a_2 e^{i\phi_B/2} & 0 \\ 0 & b_2 e^{i\phi_B/2} & b_3 \\ c_1 e^{i\phi_A/2} & 0 & c_3 \end{pmatrix}_{LR}$$

Suppose $M_1 \ll M_2, M_3$

$$Y_B \propto +\sin\phi_A$$

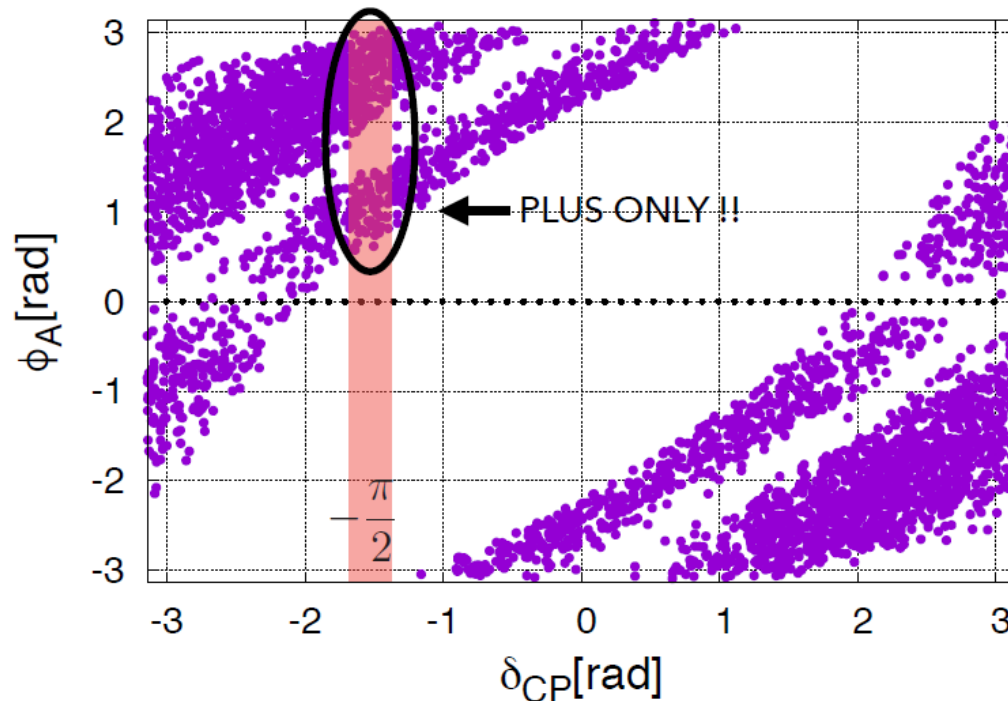


The Sign of Universe's Baryon Asymmetry

Suppose $M_1 \ll M_2, M_3$

$$Y_B \propto +\sin\phi_A$$

$$m_D = \begin{pmatrix} 0 & a_2 e^{i\phi_B/2} & a_3 \\ b_1 e^{i\phi_A/2} & 0 & 0 \\ c_1 e^{i\phi_A/2} & 0 & c_3 \end{pmatrix}_{LR}$$



constraint by

$$(\Delta m_{\text{sol}}^2, \Delta m_{\text{atm}}^2, \theta_{12}, \theta_{23}, \theta_{13})$$

in 90% C.L.

If δ_{CP} will be fixed close to $-\pi/2$,

The matrix is candidate of determining the sign of Universe's Baryon Asymmetry!!

However,
the hierarchy of the right-handed neutrino masses are not specified.

Let us impose Froggatt-Nielsen mechanism to specify M_R hierarchy.

Consider the case :

$$m_{\nu D} = \begin{pmatrix} 0 & a_2 & a_3 \\ b_1 & 0 & 0 \\ c_1 & 0 & c_3 \end{pmatrix}_{LR}, \quad M_R = M_0 \begin{pmatrix} K_1^{-1} & 0 & 0 \\ 0 & K_2^{-1} & 0 \\ 0 & 0 & 1 \end{pmatrix}_{RR}$$

FN charges: L (L_1, L_2, L_3), R ($R_1, R_2, 0$) with $R_1 > R_2 > 0$

$$m_{\nu D} = \begin{pmatrix} 0 & \lambda^{L_1+R_2} & \lambda^{L_1} \\ \lambda^{L_2+R_1} & 0 & 0 \\ \lambda^{L_3+R_1} & 0 & \lambda^{L_3} \end{pmatrix}_{LR}, \quad M_R = M_0 \begin{pmatrix} \lambda^{2R_1} & 0 & 0 \\ 0 & \lambda^{2R_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}_{RR}$$

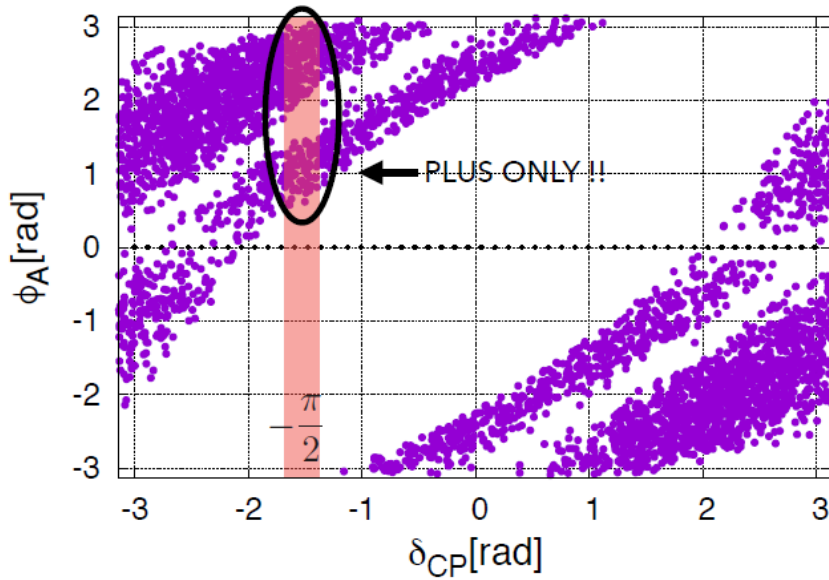
If we take following K_1 and K_2 , we can adjust FN framework.

$$K_1 = (c_3/c_1)^2 = \lambda^{-2R_1} \quad K_2 = (a_3/a_2)^2 = \lambda^{-2R_2}$$

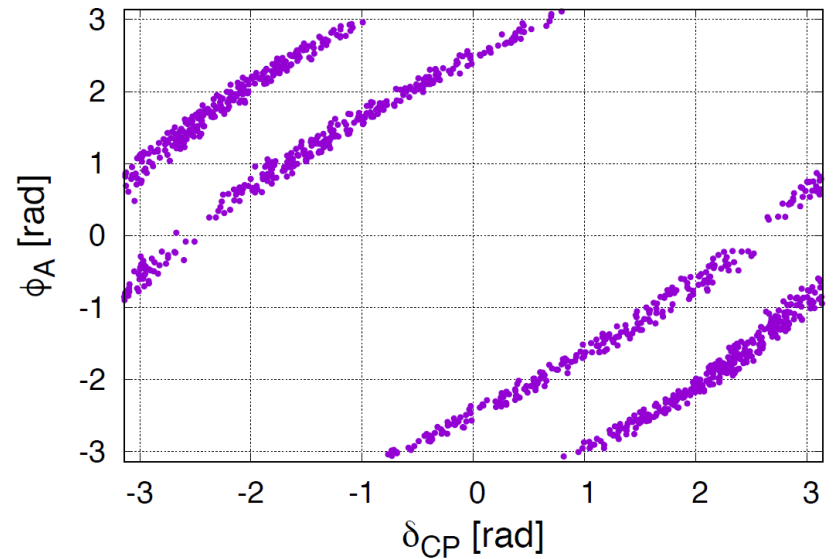
Let us allow error-bar of 50% in these relations !

Prediction in Froggatt-Nielsen Framework

without FN



with FN



In progress

5 Summary

Occam's Razor imposes 4 zeros in the Dirac neutrino mass matrix with 3 Right-handed Majorana neutrinos.

7 parameters in the neutrino mass matrix m_ν with NH

Two CP violating phases appear !

Φ_A, Φ_B are related to δ_{CP} and Leptogenesis

Some textures for Dirac neutrino mass matrix with 4 zeros are successful to predict the sign of Universe's Baryon Asymmetry.

Five zeros cannot explain the experimental data of the neutrino mixing !

More than four zero textures

$$m_D = \begin{pmatrix} 0 & A & 0 \\ A' & 0 & B \\ 0 & B' & C \end{pmatrix}$$

$$M_R = M_0 \begin{pmatrix} \frac{1}{k_1} e^{-i\phi_A} & 0 & 0 \\ 0 & \frac{1}{k_2} e^{-i\phi_B} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

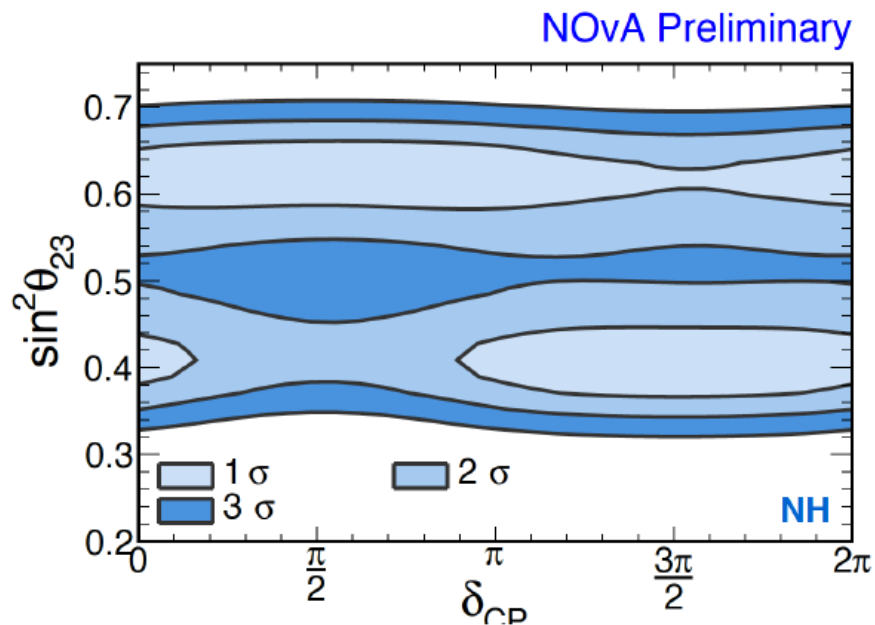
► Imposing more zeros?

if) $C = 0$ (5th Zeros)

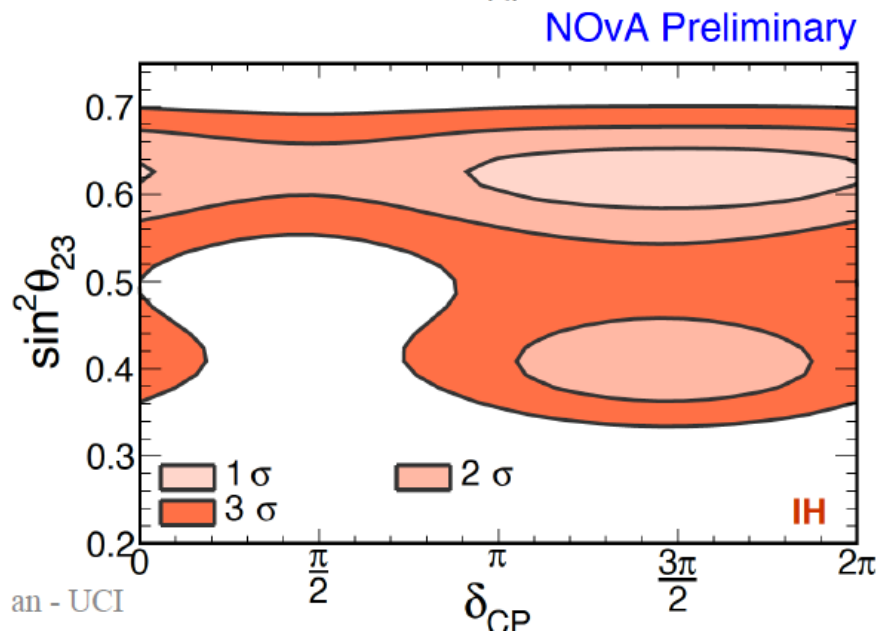
$$m_\nu = \frac{1}{M_0} \begin{pmatrix} A^2 k_2 e^{i\phi_B} & 0 & AB' k_2 e^{i\phi_B} \\ 0 & A'^2 k_1 e^{i\phi_A} + B^2 & BC \\ AB' k_2 e^{i\phi_B} & BC & B'^2 k_2 e^{i\phi_B} + C^2 \end{pmatrix}$$

θ_{12} and θ_{23} become zero !!

NOvA



NH

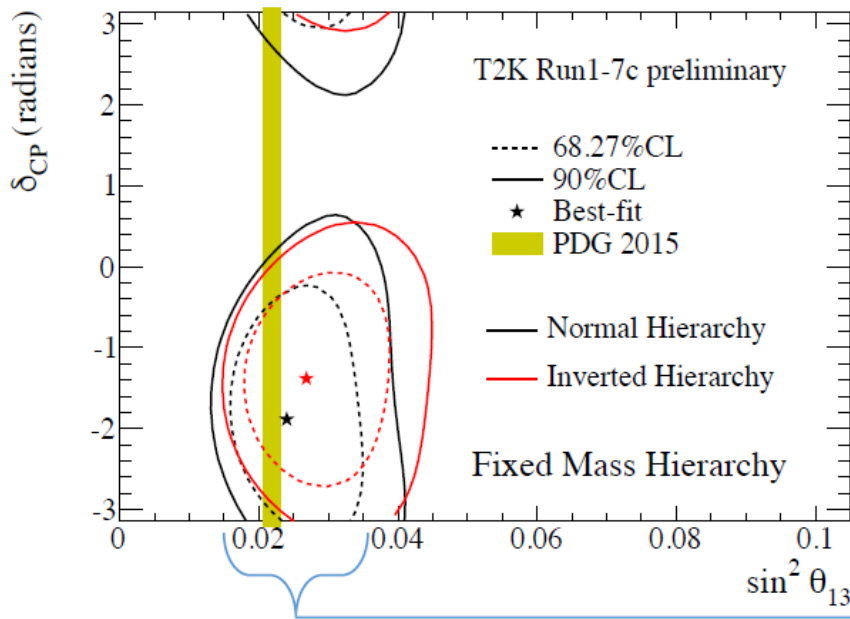


IH

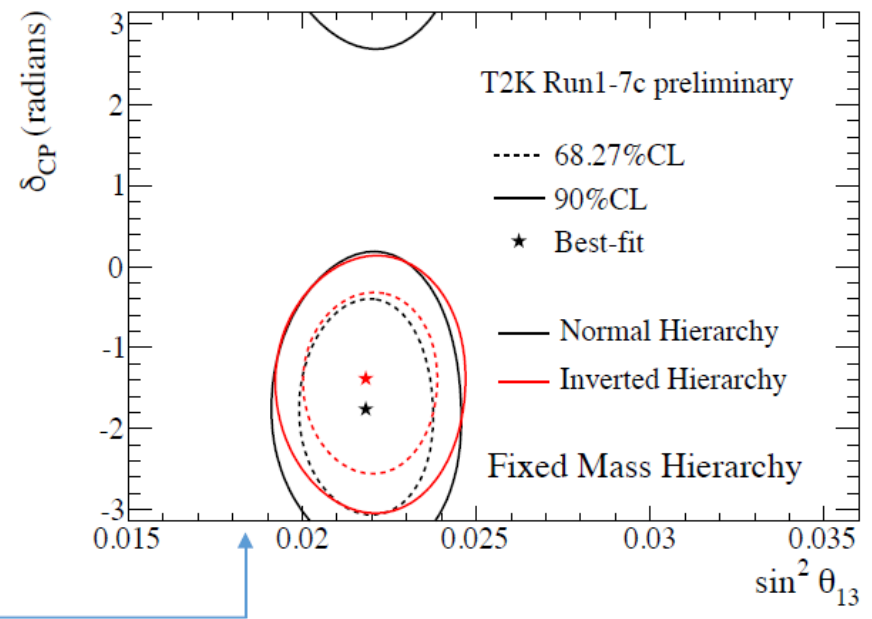
θ_{13} and δ_{cp}

T2K

T2K-Only



T2K Result with Reactor Constraint ($\sin^2 2\theta_{13} = 0.085 \pm 0.005$)



- T2K-only result consistent with the reactor measurement
- Favors the $\delta_{cp} \sim -\frac{\pi}{2}$ region