

Matrix model description of gauge theory (and gravity?)

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FWF



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Motivation

- aim: quantum theory of fundamental interactions incl. gravity
- classical geometry breaks down at Planck scale,
expect **quantum structure of space-time**
how?
- { quantize gravity d.o.f.
quantize other, pre-geometric dof: “emergent gravity”
(this talk)

emergent NC geometry

- need **models** with $\left\{ \begin{array}{l} \text{admit } \text{dynamical} \text{ geometry} \\ \text{well-behaved under } \text{quantization} \\ \approx \text{QFT on } \text{quantum} \text{ geometries} \end{array} \right.$



Matrix Models

NC gauge theory dynamical geometry

simple, far-reaching, pre-geometric

good properties of string theory, clear-cut definition

- generic feature: UV/IR mixing
 → **ONE model** singled out: $\mathcal{N} = 4$ SYM \equiv IKKT model

Matrix Models as fundamental theory

1996: BFSS model, IKTT model proposed as
non-perturbative definition of M-theory / IIB string theory

focus on IKKT:

Ishibashi, Kawai, Kitazawa, Tsuchiya 1996

$$S[X, \Psi] = -\text{Tr} \left([X^a, X^b][X^{a'}, X^{b'}] \eta_{aa'} \eta_{bb'} + \bar{\Psi} \gamma_a [X^a, \Psi] \right)$$

$$X^a = X^{a\dagger} \in \text{Mat}(N, \mathbb{C}), \quad a = 0, \dots, 9 \quad (N \rightarrow \infty)$$

gauge symmetry $X^a \rightarrow UX^aU^{-1}$, $SO(9, 1)$, SUSY

- { 1) nonpert. def. of IIB string theory (on \mathbb{R}^{10}) (IKKT)
- { 2) $\mathcal{N} = 4$ SUSY Yang-Mills gauge thy. on “*noncommutative*” \mathbb{R}_θ^4

governs **simultaneously** (quantum) space(time) & physics on it
geometry, gauge theory, ... **emerge**
no need to invent new math!

quantization of matrix model:

$$Z = \int dX^a d\Psi e^{-S[X, \Psi]}$$

$$\langle \text{Tr}([X, X] \dots) \text{Tr}(\dots) \rangle = \frac{1}{Z} \int dX^a d\Psi \text{Tr}([X, X] \dots) \text{Tr}(\dots) e^{-S[X, \Psi]}$$

gauge invariant, non-perturbative

- integral well-def in Euclidean case [Krauth, Staudacher 1998](#)
- proposal for regularization in Minkowski case
→ "Monte-Carlo" studies: [Kim, Nishimura, Tsuchiya arXiv:1108.1540](#) ff
evidence for "expanding universe" behavior, 3+1 dimensions
- includes integral over geometries!
- new techniques:
eigenvalue distribution \leftrightarrow renormalization, phase trans.
[H.S. hep-th/0501174](#), [A. Polychronakos arXiv:1306.6645](#), [Tekel arXiv:1407.4061](#)
RG analysis ([Grosse-Wulkenhaar](#)), multiscale analysis ([Rivasseau, ...](#))

perturbative approach:

- choose background solution (e.g. \mathbb{R}_θ^4)
- **fluctuations** around \mathbb{R}_θ^4 :
 - NC gauge theory, Filk rules, (non-)planar diagrams, ...
- most models: strong UV/IR mixing, non-renormaliz.
- ONE model well-behaved (perturbatively finite ?!):
 $\mathcal{N} = 4$ NC SYM on $\mathbb{R}_\theta^4 \Leftrightarrow$ (IKKT) model, in 9+1 dimensions

physical meaning of X^a : **quantized embedding function**

$$X^a \sim x^a : \mathcal{M} \hookrightarrow \mathbb{R}^{10}$$

consistent with:

- spectrum of X^a ... possible locations in x^a - directions
 $[X^a, X^b] \neq 0 \Rightarrow$ non-locality, uncertainty
- $\langle X^a \rangle$ for optimally localized states \cong coherent states

quantized Poisson (symplectic) manifolds

$(\mathcal{M}, \theta^{\mu\nu}(x))$... $2n$ -dimensional manifold with Poisson structure

Its **quantization** \mathcal{M}_θ is NC algebra such that

$$\mathcal{Q}: \mathcal{C}(\mathcal{M}) \rightarrow \mathcal{A} \subset \text{End}(\mathcal{H})$$

such that

$$\mathcal{Q}(f)\mathcal{Q}(g) = \mathcal{Q}(fg) + \mathcal{O}(\theta)$$

$$[\mathcal{Q}(f), \mathcal{Q}(g)] = \mathcal{Q}(i\{f, g\}) + \mathcal{O}(\theta^2)$$

$$\Phi = \mathcal{Q}(\phi) \in \text{End}(\mathcal{H}) \sim \text{quantized function } \phi(x) \text{ on } \mathcal{M}$$

semi-class:

$$(2\pi)^n \text{Tr } \mathcal{Q}(\phi) \sim \int \omega^n \phi(x)$$

in particular:

$$X^a \sim x^a: \mathcal{M} \hookrightarrow \mathbb{R}^{10}$$

Example: the fuzzy sphere S_N^2

classical S^2 :
$$\left. \begin{aligned} x^a : S^2 &\hookrightarrow \mathbb{R}^3 \\ x^a x^a &= 1 \end{aligned} \right\} \Rightarrow \mathcal{A} = C^\infty(S^2)$$

fuzzy sphere S_N^2 :

(Hoppe, Madore)

algebra $\mathcal{A} = \text{Mat}(N, \mathbb{C})$... alg. of functions on S_N^2

$SO(3)$ action:

$$\begin{aligned} \mathfrak{su}(2) \times \mathcal{A} &\rightarrow \mathcal{A} \\ (J^a, \phi) &\mapsto [\pi_N(J^a), \phi] \end{aligned}$$

decompose $\mathcal{A} = \text{Mat}(N, \mathbb{C})$ into irreps of $SO(3)$:

$$\begin{aligned} \mathcal{A} = \text{Mat}(N, \mathbb{C}) &\cong (N) \otimes (\bar{N}) = (1) \oplus (3) \oplus \dots \oplus (2N-1) \\ &= \{\hat{Y}_0^0\} \oplus \{\hat{Y}_m^1\} \oplus \dots \oplus \{\hat{Y}_m^{N-1}\}. \end{aligned}$$

... fuzzy spherical harmonics; **UV cutoff**

$$X^a = \pi_N(J^a), \quad X^a X^a = R^2 \mathbf{1}$$

basic solutions of M.M: branes

e.o.m.: $\delta S = 0 \Leftrightarrow$

$$\square_X X^b \equiv [X_a, [X^a, X^b]] = 0$$

(assume $\Psi = 0$)

basic solutions: (allow $N \rightarrow \infty$)

- flat “branes” \mathbb{R}_{θ}^{2n} embedded in \mathbb{R}^{10}

$$X^a = \begin{pmatrix} X^\mu \\ c^j \mathbf{1} \end{pmatrix}, \quad \mu = 1, \dots, 2n$$

$$[X^\mu, X^\nu] = i\theta^{\mu\nu} \mathbf{1}$$

“Moyal-Weyl quantum plane”

... **quantized** symplectic space $(\mathbb{R}^{2n}, \omega)$

$$\omega = \frac{1}{2} \theta_{\mu\nu}^{-1} dx^\mu dx^\nu$$

... Heisenberg algebra, interpreted as **space of functions** on \mathbb{R}_{θ}^4

uncertainty relations $\Delta X^\mu \Delta X^\nu \geq |\theta^{\mu\nu}|$

Weyl quantization $e^{ik_\mu X^\mu} \leftrightarrow e^{ik_\mu X^\mu}$



embedding recovered from optimally localized states:

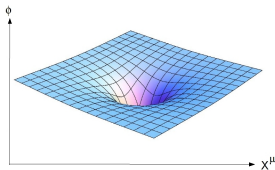
coherent states $|p\rangle$

$$\begin{aligned}\mathcal{M} &= \{x^a = \langle p|X^a|p\rangle\} = \mathbb{R}^{2n} \subset \mathbb{R}^{10} \\ \langle p|\sum(\Delta X^\mu)^2|p\rangle &\approx |\theta| \approx \min\end{aligned}$$

- generic (curved) branes $\mathcal{M}^{2n} = \text{“deformed” } \mathbb{R}_\theta^{2n}$

$$X^a \sim x^a = \begin{pmatrix} x^\mu \\ \phi(x^\mu) \end{pmatrix} : \mathcal{M}^{2n} \hookrightarrow \mathbb{R}^{10}$$

... quantized embedding map



$(\mathcal{M}^{2n}, \omega)$... quantized symplectic manifold embedded in \mathbb{R}^{10}

$$\omega = \frac{1}{2} \theta_{\mu\nu}^{-1}(x) dx^\mu dx^\nu$$

fluctuations

$$X^a = \bar{X}^a + \mathcal{A}^a(X)$$

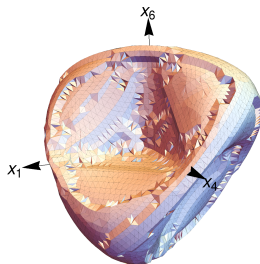
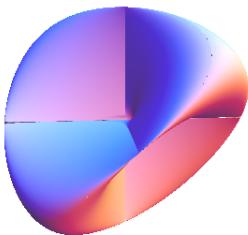
describe NC gauge theory, dynamical eff. metric

~ D-brane with B -field in string thy, open string metric

less trivial examples:

- **squashed** fuzzy $\mathbb{C}P^2_N$ (self-intersecting brane)

$$X_a = \pi_{(N,0)}(T_a) \sim x^a : \mathbb{C}P^2 \hookrightarrow \mathbb{R}^8 \xrightarrow{\Pi} \mathbb{R}^6 \quad \dots \text{SU}(3) \text{ ladder op's}$$



H.S., J. Zahn arXiv:1409.1440

H.S., L. Schneiderbauer

quantized symplectic manifold, **degenerate embedding**

⇒ strings connecting sheets, **stringy geometry**

stabilized in M.M. e.g. by cubic potential



- degenerate solutions: fuzzy S_N^4

$$X_a = \hat{\Gamma}_a = c_\alpha^\dagger (\Gamma_a)_{\beta}^{\alpha} c^\beta \quad \text{on} \quad (\mathbb{C}^4)^{\otimes sN} \quad (\Gamma_a \dots SO(5) \text{ Clifford})$$

$$X_a X_a = R^2 \mathbf{1}$$

Castellino, Lee, Taylor hep-th/9712105; Ramgoolam, ...

in fact $S_N^4 = \mathbb{C}P_N^3/S^2$

Medina, O'Connor hep-th/0212170

$$[X_a, X_b] = M_{ab}, \quad [M_{ab}, X_c] = i(\delta_{ac} X_b - \delta_{bc} X_a), \quad \text{etc.}$$

fully covariant under $SO(5)$ (cf. Snyder space)

symplectic structure “averaged away” over fiber S^2

(cf. Doplicher Fredenhagen Roberts 1995)

fluctuations

$$X^a = \bar{X}^a + \mathcal{A}^a(X, M)$$

describe NC higher spin theory (?)

- degenerate solutions: fuzzy S_N^4

$$X_a = \hat{\Gamma}_a = c_\alpha^\dagger (\Gamma_a)_{\beta}^{\alpha} c^\beta \quad \text{on} \quad (\mathbb{C}^4)^{\otimes_S N} \quad (\Gamma_a \dots SO(5) \text{ Clifford})$$

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describe NC higher spin theory (?)

- classical manifolds as solution of IKKT:

let $(x^\mu, p_\mu = \nabla_\mu)$... phase space

$$X^a = \begin{pmatrix} p^\mu \\ 0 \end{pmatrix}$$

... commutative \mathbb{R}^n solutions:

$$[X^a, X^b] = 0$$

fluctuations

$$X^a = \bar{X}^a + \mathcal{A}^a(x, p)$$

describes some higher derivative / higher spin theory (?)

Hanada, Kawai, Kimura hep-th/0508211; ...

(\mathbb{R}^{2n} re-appears, unlike for NC branes!)

stacks of branes in M.M.

- assume $X_{(i)}^a$... solutions of e.o.m.

$$\rightarrow \text{new solution: } X^a = \begin{pmatrix} X_{(1)}^a \mathbf{1}_{n_1} & 0 \\ 0 & X_{(2)}^a \mathbf{1}_{n_2} \end{pmatrix}$$

... stacks of n_1 & n_2 coincident branes
breaks $U(N)$ to $U(n_1) \times U(n_2)$

- fermions may connect different branes

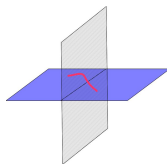
$$\Psi = \begin{pmatrix} 0 & \psi_{(12)} \\ \psi_{(21)} & 0 \end{pmatrix},$$

$\psi_{(12)}$ transform in bifundamental $(n_1) \otimes (\bar{n}_2)$ (= strings!)

- interaction between \mathbb{R}^{2n} branes consistent with IIB SUGRA
(quantum effect!) (IKKT 1997, Chepelev, Makeenko, Zarembo 1997,...)

→ can get close to particle physics

Chatzistavrakidis, Zoupanos, H.S.: arXiv:1107.0265; H.S.: arXiv:1504.05703 etc.



Part two: fluctuations on noncommutative branes

NC gauge theory \leftrightarrow geometric fluctuations

Claim A:

fluctuations on branes \rightarrow noncommutative gauge fields

Claim B:

$U(1)$ fluctuations on branes \rightarrow fluctuations of **geometry**, “gravity”

- both claims are correct
- 2nd interpretation more useful, explains UV/IR mixing in M.M.
- consistent with string theory
- physical relevance not yet clear

claim A:

fluctuations on a stack of n coincident \mathbb{R}_θ^4 branes in IKKT
 \rightarrow **noncommutative** $U(n)$ $\mathcal{N} = 4$ super-Yang-Mills on \mathbb{R}_θ^4

(Aoki, Ishibashi, Iso, Kawai, Kitazawa, Tada 1999)

sketch:

- background solution: stack of n coinciding \mathbb{R}_θ^4 branes

$$X^a = \begin{pmatrix} X^\mu \\ \phi^i \end{pmatrix} = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_n \\ 0 \end{pmatrix}, \quad \begin{array}{l} \mu = 0, \dots, 3 \\ i = 4, 5, \dots, 9 \end{array}$$

$[\bar{X}^\mu, \bar{X}^\nu] = i\theta^{\mu\nu}$... Heisenberg algebra, generate $\mathcal{A}_\theta \approx \text{End}(\mathcal{H})$

- add **fluctuations**:

$$X^a = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_n + \theta^{\mu\nu} A_\nu \\ \phi^i \end{pmatrix} \in \mathcal{A}_\theta \otimes \text{Mat}(n, \mathbb{C})$$

$$A_\mu = A_\mu(\bar{X}) = A_{\mu,\alpha}(\bar{X})\lambda_\alpha \in \text{End}(\mathcal{H}^n) \cong \mathcal{A}_\theta \otimes \text{Mat}(n, \mathbb{C})$$

define derivatives as inner derivations:

$$[\bar{X}^\mu, \phi(X)] =: i\theta^{\mu\nu} \partial_\nu \phi(X), \quad [\partial_\mu, \partial_\nu] = 0$$

thus

$$\begin{aligned} [X^\mu, \phi(X)] &= i\theta^{\mu\nu} D_\nu \phi(X), & D_\mu &= \partial_\mu + i[A_\mu, \cdot] \\ [X^\mu, X^\nu] &= i\theta^{\mu\nu} + i\theta^{\mu\mu'} \theta^{\nu\nu'} (\partial_{\mu'} A_{\nu'} - \partial_{\nu'} A_{\mu'} + [A_{\mu'}, A_{\nu'}]) \\ &= i\theta^{\mu\nu} + i\theta^{\mu\mu'} \theta^{\nu\nu'} F_{\mu'\nu'} \end{aligned}$$

$F_{\mu'\nu'}$... Yang-Mills field strength

$S = \text{Tr}([X^a, X^b][X_a, X_b])$ is gauge-invariant: $X^a \rightarrow U^{-1} X^a U$

→ tangential fluctuations $X^\mu = \bar{X}^\mu + \theta^{\mu\nu} A_\nu$ transform as
 $A_\mu \rightarrow U^{-1} A_\mu U + iU^{-1} \partial_\mu U$...u(n) gauge fields!

→ transversal fluctuations $\phi^i \rightarrow U^{-1} \phi^i U$...u(n) scalar fields!

insert in IKKT action:

$$\begin{aligned}
 S &= \Lambda_0^4 \text{Tr} \left([X^a, X^b][X_a, X_b] + \bar{\Psi} \Gamma_a [X^a, \Psi] \right) \\
 &= \int d^4x \sqrt{G} \text{tr}_n \left(\frac{1}{4g^2} (\mathcal{F}\mathcal{F})_G + \frac{1}{2} G^{\mu\nu} D_\mu \Phi^i D_\nu \Phi_i - \frac{1}{4} g^2 [\Phi^i, \Phi^j][\Phi_i, \Phi_j] \right. \\
 &\quad \left. + \bar{\psi} \tilde{\gamma}^\mu (i\partial_\mu + [\mathcal{A}_\mu, \cdot]) \psi + g \bar{\psi} \Gamma^i [\Phi_i, \psi] \right) + \int \rho \theta^{ab} \theta_{ab}
 \end{aligned}$$

where

$$\begin{aligned}
 G^{\mu\nu} &= \rho \theta^{\mu\nu'} \theta^{\nu\nu'} \eta_{\mu'\nu'}, & \rho &= \sqrt{|\theta^{-1}|} \\
 \tilde{\gamma}^\mu &= \rho^{1/2} \theta^{\nu\mu} \gamma_\nu, \\
 \frac{1}{4g^2} &= \frac{\Lambda_0^4}{(2\pi)^2} \rho^{-1}
 \end{aligned}$$

IKKT on stack of n branes $\rightarrow U(n)$ $\mathcal{N} = 4$ SYM coupled to $G^{\mu\nu}$

(cf. large N reduction !)

very simple & compelling origin of gauge theory
however, misleading for $U(1)$ sector:

- deformations of branes are obviously geometrical d.o.f.
- cannot disentangle $U(1)$ from $SU(n)$
because $U(1)$ is gravity sector!
- UV/IR mixing \rightarrow different physics

claim B:

fluctuations on a stack of n coincident \mathbb{R}_θ^4 branes in IKKT
 $U(1)_{\text{tr}} \rightarrow$ dynamical $G^{\mu\nu}(x)$, $SU(n)$ SYM coupled to $G^{\mu\nu}(x)$

H.S., JHEP 0712:049 (2007)

(review: JHEP 0902:044,(2009), Class.Quant.Grav. 27 (2010) 133001)

analogous for finite matrix geometries, $\mathcal{A} = \text{Mat}(N, \mathbb{C})$

explains UV/IR mixing (quantitatively!)

metric structure on branes:

fluctuations governed by **matrix Laplacian**

$$S[\varphi] = -\text{Tr} [X^a, \varphi][X^b, \varphi] \eta_{ab} = \text{Tr} \varphi \square \varphi$$

$$\square \varphi \equiv \eta_{ab} [X^a, [X^b, \varphi]]$$

encodes **metric!**

e.g. on S_N^2 :

$$\square \phi = \frac{1}{C_N} J^a J^a \phi$$

$SO(3)$ invariant

\Rightarrow

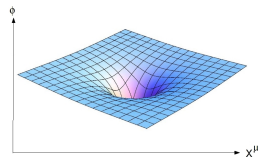
$$\square \hat{Y}_m^l = \frac{1}{C_N} l(l+1) \hat{Y}_m^l$$

spectrum identical with classical case $\Delta_g \phi = \frac{1}{\sqrt{|g|}} \partial_\mu (\sqrt{|g|} g^{\mu\nu} \partial_\nu \phi)$

\Rightarrow effective metric = round metric on S^2

geometry of generic NC branes:

$$X^a \sim x^a : \mathcal{M} \hookrightarrow \mathbb{R}^{10}$$



Lemma: assume $\dim \mathcal{M} > 2$. Then

$$\square f(X) \sim -\eta_{ab} \{x^a, \{x^b, f(x)\}\} = -e^\sigma \square_G f(x)$$

... Matrix Laplace- operator, effective metric

$$G^{\mu\nu}(x) = e^{-\sigma} \theta^{\mu\mu'}(x) \theta^{\nu\nu'}(x) g_{\mu'\nu'}(x) \quad \text{effective metric (cf. open string m.)}$$

$$g_{\mu\nu}(x) = \partial_\mu x^a \partial_\nu x^b \eta_{ab} \quad \text{induced metric on } \mathcal{M}_\theta^4 \text{ (cf. closed string m.)}$$

$$e^{-2\sigma} = \frac{|\theta_{\mu\nu}^{-1}|}{|g_{\mu\nu}|} \quad \text{(H.S. Nucl.Phys. B810 (2009))}$$

follows by coupling to scalar field φ :

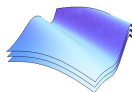
$$S[\varphi] = \text{Tr} [X^a, \varphi][X^b, \varphi] g_{ab}$$

$$\sim \int d^{2n}x \sqrt{|G|} G^{\mu\nu}(x) \partial_\mu \varphi \partial_\nu \varphi = \int d\varphi \wedge \star_G d\varphi$$

stack of coincident **curved** branes \rightarrow $su(n)$ gauge th

generic background branes

$$X^a = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_n \\ \bar{\phi}^i \otimes \mathbf{1}_n \end{pmatrix}$$



general CR $[\bar{X}^\mu, \bar{X}^\nu] = i\theta^{\mu\nu}(\bar{X})$

fluctuations:

$$X^a = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_N + \mathcal{A}^\mu \\ \bar{\phi}^i \otimes \mathbf{1}_N + \Phi^i \end{pmatrix}$$

$\mathcal{A}^\mu, \Phi^i \sim \mathbf{1}_n$ d.o.f. change background \bar{X}^a , **geometrical** d.o.f. $\theta^{\mu\nu}, g_{\mu\nu}$

write $\mathcal{A}^\mu = \theta^{\mu\nu} A_\nu$, note $[\bar{X}^\mu, f] \sim i\theta^{\mu\nu} \partial_\nu f$

$$\begin{aligned} [X^\mu, X^\nu] &= i\theta^{\mu\nu} + i\theta^{\mu\mu'}\theta^{\nu\nu'} (\partial_{\mu'} A_{\nu'} - \partial_{\nu'} A_{\mu'} + [A_{\mu'}, A_{\nu'}]) \\ &= i\theta^{\mu\nu} + i\theta^{\mu\mu'}\theta^{\nu\nu'} F_{\mu'\nu'} \quad \text{field strength} \end{aligned}$$

⇒ effective action on \mathcal{M}_θ^4 (semi-classical):

$$\begin{aligned}
 S &= \Lambda_0^4 \text{Tr} \left([X^a, X^b][X_a, X_b] + \bar{\Psi} \Gamma_a [X^a, \Psi] \right) \\
 &\sim \int d^4x \sqrt{G} \text{tr}_n \left(\frac{1}{4g^2} (\mathcal{F}\mathcal{F})_G + \frac{1}{2} (D\Phi^i D\Phi_i)_G - \frac{1}{4} g^2 [\Phi^i, \Phi^j][\Phi_i, \Phi_j] \right. \\
 &\quad \left. + \bar{\psi} \tilde{\gamma}^\mu (i\partial_\mu + [\mathcal{A}_\mu, \cdot]) \psi + g \bar{\psi} \Gamma^i [\Phi_i, \psi] \right) + \int 2\eta (\theta \wedge \theta + \text{tr}_n F \wedge F)
 \end{aligned}$$

where

$$\begin{aligned}
 G^{\mu\nu}(x) &= \rho \theta^{\mu\nu'}(x) \theta^{\nu\nu'}(x) g_{\mu'\nu'}(x), & \rho &= \sqrt{|\theta^{-1}|} \\
 \tilde{\gamma}^\mu(x) &= \rho^{1/2} \theta^{\nu\mu}(x) \gamma_\nu, & \eta &= Gg \\
 \frac{1}{4g^2} &= \frac{\Lambda_0^4}{(2\pi)^2} \rho^{-1}
 \end{aligned}$$

IKKT on stack of branes → $SU(n)$ $\mathcal{N} = 4$ SYM coupled to $G^{\mu\nu}$

dynamical $G^{\mu\nu}(x)$! (→ gravity ?!)

H.S., JHEP 0712:049 (2007), JHEP 0902:044,(2009), Class.Quant.Grav. 27 (2010)

fermions

Ψ ... \mathcal{A} - valued Majorana-Weyl spinor of $SO(9, 1)$

$$\begin{aligned}
 S[\Psi] &= \text{Tr} \bar{\Psi} \Gamma_a [X^a, \Psi] \equiv \text{Tr} \bar{\Psi} \not{D} \Psi \\
 &\sim \int d^4 x \sqrt{\theta^{-1}} \bar{\Psi} i \tilde{\gamma}^\mu (\partial_\mu + [A_\mu, \cdot]) \Psi,
 \end{aligned}$$

with

$$\begin{aligned}
 \tilde{\gamma}^\mu &= \rho^{1/2} \Gamma_a \theta^{\nu\mu} \partial_\nu X^a \\
 \{\tilde{\gamma}^\mu, \tilde{\gamma}^\nu\} &= 2 G^{\mu\nu}(x)
 \end{aligned}$$

Ψ decomposes into 4 Weyl fermions $\rightarrow \mathcal{N} = 4$ SYM

result:

- trace- $U(1)$ sector defines **geometry** $\mathcal{M}^{2n} \subset \mathbb{R}^{10}$
- $SU(n)$ **fluctuations** of matrices X^a, Ψ
 → gauge fields, scalar fields, fermions on \mathcal{M}^{2n} (**NOT** 10 dim!)

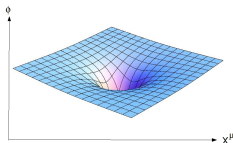
all fields couple to metric $G^{\mu\nu}(x)$
 determined by $\theta^{\mu\nu}(x)$, embedding
 dynamical \Rightarrow (“emergent”) **gravity**

matrix e.o.m $[X^a, [X^{a'}, X^b]]_{\eta aa'} = 0 \iff$

$$\square G X^a = 0, \quad \text{“minimal surface”}$$

$$\nabla^\mu (e^\sigma \theta_{\mu\nu}^{-1}) = e^{-\sigma} G_{\rho\nu} \theta^{\rho\mu} \partial_\mu \eta$$

$$\eta \sim G^{\mu\nu} g_{\mu\nu}$$



covariant formulation in semi-classical limit (H.S. Nucl.Phys. B810 (2009))

⇒ 2 interpretations for quantization:

$$Z = \int dX^a d\Psi e^{-S[X]-S[\Psi]}$$

- ① on \mathbb{R}_θ^4 : $X^\mu = \bar{X}^\mu + \bar{\theta}^{\mu\nu} A_\nu$, $\bar{X}^\mu \dots$ Moyal-Weyl
 → NC gauge theory on \mathbb{R}_θ^4 , UV/IR mixing in $U(1)$ sector

IKKT model: $\mathcal{N} = 4$ SYM, perturb. finite !(?)

- ② on $\mathcal{M}^4 \subset \mathbb{R}^{10}$: $U(1)$ absorbed in $\theta^{\mu\nu}(x)$, $g_{\mu\nu}$
 → quantized gravity, induced E-H. action

$$S_{eff} \sim \int d^4x \sqrt{|G|} (\Lambda^4 + c\Lambda_4^2 R[G] + \dots)$$

-
- explanation for UV/IR mixing & $U(1)$ entanglement
 - good quantization for theory with dynamical geometry

⇒ 2 interpretations for quantization:

$$Z = \int dX^a d\Psi e^{-S[X]-S[\Psi]}$$

- ① on \mathbb{R}_θ^4 : $X^\mu = \bar{X}^\mu + \bar{\theta}^{\mu\nu} A_\nu$, \bar{X}^μ ... Moyal-Weyl
 → NC gauge theory on \mathbb{R}_θ^4 , UV/IR mixing in $U(1)$ sector

IKKT model: $\mathcal{N} = 4$ SYM, perturb. finite !(?)

- ② on $\mathcal{M}^4 \subset \mathbb{R}^{10}$: $U(1)$ absorbed in $\theta^{\mu\nu}(x)$, $g_{\mu\nu}$
 → quantized gravity, induced E-H. action

$$S_{\text{eff}} \sim \int d^4x \sqrt{|G|} (\Lambda^4 + c\Lambda_4^2 R[G] + \dots)$$

-
- explanation for UV/IR mixing & $U(1)$ entanglement
 - good quantization for theory with dynamical geometry

semi-classical limit of UV/IR mixing:

interaction of two scalar field components

$$S_{int} \ni \text{Tr}([\phi_1, \phi_2][\phi_1, \phi_2]) = 2 \text{Tr}(\phi_1 \phi_2 \phi_1 \phi_2 - \phi_1^2 \phi_2^2)$$

integrate out $A \equiv \phi_2 \Rightarrow$ eff. action for $\phi \equiv \phi_1$

phase factors for non-planar diagrams, $e^{ikX} e^{ilX} = e^{ik\theta l} e^{ilX} e^{ikX}$

(planar – non-planar diagram) $\sim \Lambda^2 \left(1 - \frac{1}{1 - \frac{p^2 \Lambda^2}{\Lambda_{NC}^4}} \right)$



usual treatment: high UV cutoff $\Lambda \gg \Lambda_{NC}$

\Rightarrow IR divergence $\sim \frac{1}{p^2}$, accumulates

different limit: low UV cutoff $\frac{p^2 \Lambda^2}{\Lambda_{NC}^4} \ll 1$ (max. SUSY !)

$$\Lambda^2 \left(1 - \frac{1}{1 - \frac{p^2 \Lambda^2}{\Lambda_{NC}^4}} \right) = \frac{p^2 \Lambda^4}{\Lambda_{NC}^4} + O(p^4 \Lambda^6)$$

phase factors $[e^{ikX}, e^{i'lX}] = 2i \sin(\frac{k\theta l}{2}) e^{i(l+k)X}$ can be understood semi-classically:

$$[\phi, A] \sim \{\phi, A\} = \theta^{\mu\nu} \partial_\mu \phi \partial_\nu A$$

integrate out A

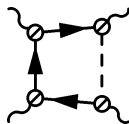
$$\langle [\phi, A][\phi, A] \rangle_A \sim \theta^{\mu\mu'} \theta^{\nu\nu'} \underbrace{(\partial_\mu A \partial_{\nu'} A)}_{\sim \Lambda^4 G_{\mu\nu}} \partial_{\mu'} \phi \partial_{\nu'} \phi$$

\Rightarrow 1-loop correction to kinetic term (**metric!**) of ϕ :

$$\delta S_{kin}[\phi] \ni \langle [A, \phi][A, \phi] \rangle_A \sim \Lambda^4 \theta^{\mu\mu'} \theta^{\nu\nu'} G_{\mu'\nu'} \partial_\mu \phi \partial_\nu \phi \sim \Lambda^4 g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi$$

careful treatment: 1-loop eff. action due to fermion loops:
(all terms of dim ≤ 6):

$$\begin{aligned}
 \Gamma_{\text{eff}} = & \frac{\Lambda^4}{\Lambda_{\text{NC}}^4} \int \frac{d^4x}{(2\pi)^2} \left(g^{\alpha\beta} D_\alpha \varphi^i D_\beta \varphi_i \right. \\
 & - \frac{1}{2} \Lambda_{\text{NC}}^4 (\bar{\theta}^{\mu\nu} F_{\nu\mu} \bar{\theta}^{\rho\sigma} F_{\sigma\rho} + (\bar{\theta}^{\sigma\sigma'} F_{\sigma\sigma'}) (F \bar{\theta} F \bar{\theta})) \\
 & \left. - 2 \bar{\theta}^{\nu\mu} F_{\mu\alpha} g^{\alpha\beta} \partial_\nu \varphi^i \partial_\beta \varphi_i + \frac{1}{2} (\bar{\theta}^{\mu\nu} F_{\mu\nu}) g^{\alpha\beta} \partial_\beta \varphi^i \partial_\alpha \varphi_i + \text{h.o.} \right) \\
 & + \frac{\Lambda^2}{\Lambda_{\text{NC}}^4} \int \frac{d^4x}{(2\pi)^2} \left(- \frac{11}{2} F_{\rho\eta} \square_g F_{\sigma\tau} \bar{G}^{\rho\sigma} \bar{G}^{\eta\tau} - 12 \square_g \varphi^i \square \varphi_i \right. \\
 & \left. + \frac{1}{2} \Lambda_{\text{NC}}^4 (\bar{\theta}^{\mu\nu} F_{\mu\nu}) \square_G (\bar{\theta}^{\rho\sigma} F_{\rho\sigma}) + \dots \right) \\
 & + \frac{\Lambda^6}{\Lambda_{\text{NC}}^8} \int \frac{d^4x}{(2\pi)^2} (\dots) + \dots
 \end{aligned}$$



(all of this is due to UV/IR mixing, low cutoff, $U(1)$ only)

(D. Blaschke, H.S., M. Wohlgenannt JHEP 1103 (2011))

summarized in effective generalized matrix model:

re-assemble effective action:
$$X^a = \begin{pmatrix} \bar{X}^\mu \\ 0 \end{pmatrix} + \begin{pmatrix} -\bar{\theta}^{\mu\nu} A_\nu \\ \phi^i \end{pmatrix}$$

$$\Gamma_L[X] = \text{Tr} \frac{L^4}{\sqrt{\frac{1}{2} H^2 - H^{ab} H_{ab} + \frac{1}{2} \mathcal{L}_{10,\text{curv}}[X] + \dots}} \sim \int d^4x \Lambda^4(x) \sqrt{g(x)}$$

$$\mathcal{L}_{10,\text{curv}}[X] = c_1 [X^c, H^{ab}] [X_c, H_{ab}] + c_2 H^{cd} [X_c, [X^a, X^b]] [X_d, [X_a, X_b]] + \dots$$

$$H^{ab} = [X^a, X^c] [X^b, X_c] + (a \leftrightarrow b), \quad H = H^{ab} \eta_{ab}$$

(D. Blaschke, H.S. M. Wohlgenannt arXiv:1012.4344)

$SO(D)$ manifest, broken by background (e.g. \mathbb{R}_θ^4)

\Rightarrow highly non-trivial predictions for (NC) gauge theory

expect generalization to nonabelian $\mathcal{N} = 4$ SYM: full $SO(9, 1)$!

effective generalized matrix model
= powerful new tool for (NC) gauge theory and (emergent) gravity

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higher-order terms, curvature

$$H^{ab} := \frac{1}{2} [[X^a, X^c], [X^b, X_c]]_+$$

$$T^{ab} := H^{ab} - \frac{1}{4} \eta^{ab} H, \quad H := H^{ab} \eta_{ab} = [X^c, X^d] [X_c, X_d],$$

$$\square X := [X^b, [X_b, X]]$$

result:

for 4-dim. $\mathcal{M} \subset \mathbb{R}^D$ with $g_{\mu\nu} = G_{\mu\nu}$:

$$\text{Tr} (2T^{ab} \square X_a \square X_b - T^{ab} \square H_{ab}) \sim \frac{2}{(2\pi)^2} \int d^4 x \sqrt{g} e^{2\sigma} R$$

$$\text{Tr} ([[X^a, X^c], [X_c, X^b]] [X_a, X_b] - 2 \square X^a \square X_a)$$

$$\sim \frac{1}{(2\pi)^2} \int d^4 x \sqrt{g} e^\sigma \left(\frac{1}{2} e^{-\sigma} \theta^{\mu\eta} \theta^{\rho\alpha} R_{\mu\eta\rho\alpha} - 2R + \partial^\mu \sigma \partial_\mu \sigma \right)$$

(Blaschke, H.S. arXiv:1003.4132)

(cf. Arnlind, Hoppe, Huisken arXiv:1001.2223)

\Rightarrow contains Einstein-Hilbert-type action from matrix model
pre-geometric origin, background indep.







gravity from $U(1)$ on branes ?

- + good quantum theory of geometry
- + E-H action induced
- + $\theta^{\mu\nu}$ invisible to scalar fields, gauge fields
- $\theta^{\mu\nu}$ couples to $U(1)$ d.o.f., **Lorentz-breaking effects**
 - $\Rightarrow R_{abcd}\theta^{ab}\theta^{cd} \in$ 1-loop induced action (D. Klammer H.S. 2009)
- + NC gauge field $F_{\mu\nu} \Rightarrow$ 2 propagating Ricci-flat dof
 - (Rivelles 2003; cf. Yang 2004)
- grav. field on \mathbb{R}^4 couples to **derivative** of $T_{\mu\nu}$ (class.)
- + non-deriv. coupling to $T_{\mu\nu}$ in presence of extrinsic curvature
 - (H.S. 2009 ff)

maybe better: *covariant quantum spaces* e.g. S_N^4 , manifest Lorentz/
Euclidean inv.

summary, conclusion

- matrix-models $\text{Tr}[X^a, X^b][X^{a'}, X^{b'}] \eta_{aa'} \eta_{bb'} + \text{fermions}$
 - **dynamical NC branes** \leftrightarrow "emergent" geometry, **gravity?**
- fluctuations of matrices \rightarrow gauge theory on brane
all ingredients for physics
- rich solutions of IKKT model with $\mathcal{M}^4 \times \mathcal{K}$
building blocks for intersecting branes (\rightarrow standard model ?)
- need better understanding of quantum effects
 - perturbative: effective quantum matrix model action
 - new, adapted methods:
eigenvalue distribution, localization, ...
- identify appropriate background
- ... **very rich model, more to be discovered**

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