

A manifestly scale-invariant regularization  
and quantum effective operators

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- Outline

- **Introduction:** Scale invariance as a solution to the hierarchy problem
- **Problem:** Usual regularizations of quantum corrections break scale invariance
- **Goal:** Study implications of a special, scale-invariant regularization.
- **Implications:** New corrections to scalar potential beyond Coleman-Weinberg.
- **Applications:** the scalar potential in SM + dilaton.

- Introduction

- One approach to hierarchy problem: scale invariance ( $x \rightarrow \rho x, \phi \rightarrow \rho^d \phi$ ): forbids (higgs) mass terms
- the real world is not scale invariant  $\Rightarrow$  this symmetry must be broken.
  - at classical level: one can start with a scale invariant L
  - at quantum level?  $\rightarrow$  the need for a subtraction/renormalization scale ( $\mu$ )

Cutoff schemes:  $\ln \Lambda/m_Z = \ln \Lambda/\mu + \ln \mu/m_Z, \quad (\Lambda \rightarrow \infty).$

DR scheme:  $\lambda_\phi = \mu^{2\epsilon} \left[ \lambda_\phi^{(r)} + \sum_n a_n/\epsilon^n \right], \quad (\epsilon \rightarrow 0)$

$\Rightarrow$  At quantum level: scale symmetry is broken explicitly by:

a dimensionful scale (cutoff, Pauli Villars) or a dimensionful coupling (DR scheme).

- **Problem:** in theories with scale/conformal symmetry: **regularization (DR, etc...)** breaks explicitly the very symmetry one wants to study at quantum level!
  - impact, particularly in non-renormalizable case, and for the hierarchy problem
  - usual (naive?) argument: “DR breaks scale symmetry more **softly**” (\*)

[Bardeen 1995]

⇒ **Solution:** replace  $\mu \rightarrow f(\text{dilaton: } \sigma)$ .

[Deser 1970, Englert 1976, Shaposhnikov 2009]

Evanescent power  $\mu^{2\epsilon}$  in the last equation ⇒ need  $\langle \sigma \rangle \neq 0 \rightarrow$  **spontaneous** breaking of scale invariance!

**Goal:** study its implications.

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(\*) if the DR breaking of scale symmetry were indeed soft the result should be similar to spontaneous breaking of scale symmetry - see later

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- Scale invariance at classical level

$\mathcal{L}$  of two real scalar fields:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - V(\phi, \sigma)$$

An example:

$$V = \frac{\lambda_\phi}{4} \phi^4 + \frac{\lambda_m}{2} \phi^2 \sigma^2 + \frac{\lambda_\sigma}{4} \sigma^4$$

Extremum:  $\langle \phi \rangle [\lambda_\phi \langle \phi \rangle^2 + \lambda_m \langle \sigma \rangle^2] = 0$ ,  $\langle \sigma \rangle [\lambda_m \langle \phi \rangle^2 + \lambda_\sigma \langle \sigma \rangle^2] = 0$ ,

a) The ground state is  $\langle \sigma \rangle = 0$ ,  $\langle \phi \rangle = 0$  and both fields are massless.

b) IF  $\langle \sigma \rangle \neq 0$  a solution, then  $\langle \phi \rangle \neq 0$ ; a non-trivial ground state exists if  $\lambda_m^2 = \lambda_\phi \lambda_\sigma$ ;  $\lambda_m < 0$ .

$$\frac{\langle \phi \rangle^2}{\langle \sigma \rangle^2} = -\frac{\lambda_m}{\lambda_\phi}, \quad \Rightarrow \quad V = \frac{\lambda_\phi}{4} \left( \phi^2 + \frac{\lambda_m}{\lambda_\phi} \sigma^2 \right)^2$$

$\Rightarrow$  Spontaneous breaking of scale symmetry  $\Rightarrow$  EWSB at tree-level, with a vanishing cosmo constant

- Scale invariance at classical level

$\mathcal{L}$  of two real scalar fields:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - V(\phi, \sigma)$$

An example:

[Kobakhidze et al 2007, 2014]

$$V = \frac{\lambda_\phi}{4} \phi^4 + \frac{\lambda_m}{2} \phi^2 \sigma^2 + \frac{\lambda_\sigma}{4} \sigma^4$$

The mass eigenstates:

$$m_{\tilde{\phi}}^2 = 2 \lambda_\phi (1 - \lambda_m / \lambda_\phi) \langle \phi \rangle^2 = -2 \lambda_m (1 - \lambda_m / \lambda_\phi) \langle \sigma \rangle^2$$

$$m_\sigma = 0$$

$\Rightarrow \sigma$ : Goldstone mode of scale invariance (dilaton).

[Shaposhnikov et al 2009, Ross et al 2014]

Expect:  $\langle \sigma \rangle \sim M_{\text{Planck}} \Rightarrow$  To ensure a hierarchy  $m_{\tilde{\phi}} \sim \langle \phi \rangle \sim \mathcal{O}(100)$  GeV, one tunes classically  $\lambda_m$  :

$$\langle \phi \rangle \ll \langle \sigma \rangle \quad \text{if} \quad \lambda_\phi \gg |\lambda_m| \gg \lambda_\sigma, \quad \lambda_m^2 = \lambda_\phi \lambda_\sigma$$

$\lambda_m \sim 1/\langle \sigma \rangle^2$ ,  $\lambda_\sigma \sim 1/\langle \sigma \rangle^4$ . At quantum level: is extra tuning needed?

- Scale invariance at quantum level

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - V(\phi, \sigma)$$

- DR:  $d = 4 - 2\epsilon$ :  $[\mathcal{L}] = d$ ,  $[\lambda] = [V^{(4)}] = d - 4(d-2)/2 = 4 - d \Rightarrow \lambda \rightarrow \mu^{4-d} \lambda$ .

A scale invariant regularization:  $\mu \rightarrow \mu(\phi, \sigma)$ . Then  $V \rightarrow \tilde{V} \equiv \mu(\phi, \sigma)^{4-d} V$

$$\begin{aligned} U &= \tilde{V} - \frac{i}{2} \int \frac{d^d p}{(2\pi)^d} \text{Tr} \ln [p^2 - \tilde{M}^2(\phi, \sigma) + i\epsilon], & (\tilde{M}^2)_{\alpha\beta} &= \frac{\partial^2 \tilde{V}}{\partial \alpha \partial \beta} \equiv \tilde{V}_{\alpha\beta}, \\ &= \tilde{V} - \frac{1}{64\pi^2} \sum_{s=\phi, \sigma} \tilde{M}_s^4 \left[ \frac{2}{4-d} - \ln \tilde{M}_s^2 / \kappa \right], & (M^2)_{\alpha\beta} &= V_{\alpha\beta}; \quad \alpha, \beta = \phi, \sigma. \end{aligned}$$

$$(\tilde{M}^2)_{\alpha\beta} = \mu^{4-d} \left[ (M^2)_{\alpha\beta} + (4-d) \mu^{-2} N_{\alpha\beta} \right],$$

$$\sum_{s=\phi, \sigma} \tilde{M}_s^4 = \mu^{2(4-d)} \left[ \text{Tr} M^4 + 2 (4-d) \mu^{-2} \text{Tr} (M^2 N) \right],$$

$$N_{\alpha\beta} \equiv \mu (\mu_\alpha V_\beta + \mu_\beta V_\alpha) + (\mu \mu_{\alpha\beta} - \mu_\alpha \mu_\beta) V,$$

$\Rightarrow$  “Evanescant” corrections to  $(M^2)_{\alpha\beta}$  bring finite quantum corrections to  $U$ , due to  $(4-d) \times \frac{2}{(4-d)}$ .

- The scale-invariant one-loop potential

$$U(\phi, \sigma) = V(\phi, \sigma) + \frac{1}{64\pi^2} \left\{ \sum_{s=\phi, \sigma} M_s^4(\phi, \sigma) \left[ \ln \frac{M_s^2(\phi, \sigma)}{\mu^2(\phi, \sigma)} - \frac{3}{2} \right] + \Delta U(\phi, \sigma) \right\}$$

$$\Delta U = \frac{-4}{\mu^2} \left\{ V \left[ (\mu\mu_{\phi\phi} - \mu_\phi^2) V_{\phi\phi} + 2(\mu\mu_{\phi\sigma} - \mu_\phi\mu_\sigma) V_{\phi\sigma} + (\mu\mu_{\sigma\sigma} - \mu_\sigma^2) V_{\sigma\sigma} \right] \right.$$

$$\left. + 2\mu (\mu_\phi V_{\phi\phi} + \mu_\sigma V_{\phi\sigma}) V_\phi + 2\mu (\mu_\phi V_{\phi\sigma} + \mu_\sigma V_{\sigma\sigma}) V_\sigma \right\}, \quad \mu_\alpha = \frac{\partial \mu}{\partial \alpha}, \quad \mu_{\alpha\beta} = \frac{\partial^2 \mu}{\partial \alpha \partial \beta},$$

with  $\alpha, \beta = \phi, \sigma$ . If  $\mu = \mu(\sigma)$  only:

$$\Delta U = \frac{-4}{\mu(\sigma)^2} \left\{ 2\sigma (V_\sigma V_{\sigma\sigma} + V_\phi V_{\phi\sigma}) - V V_{\sigma\sigma} \right\}$$

If  $\mu = \text{constant}$ ,  $\Delta U = 0$ . On tree-level ground state:  $\Delta U = 0$ .

$\Rightarrow \Delta U$ : new, one-loop finite correction, beyond the Coleman-Weinberg term.



- **The scale-invariant one-loop potential.** Minimal case:  $\mu = z \sigma$ ,  $z$ : constant.  $[\mu = z \sigma^{2/(d-2)}]$

$$\Delta U = \frac{\lambda_\phi \lambda_m \phi^6}{\sigma^2} - (16\lambda_\phi \lambda_m + 6\lambda_m^2 - 3\lambda_\phi \lambda_\sigma) \phi^4 - (16\lambda_m + 25\lambda_\sigma) \lambda_m \phi^2 \sigma^2 - 21\lambda_\sigma^2 \sigma^4$$

- $\Delta U$  contains higher dimensional operators. It is independent of the subtraction **parameter**  $z$ !
- total  $U$  is unstable if  $\lambda_m < 0$ , due to  $\lambda_m \phi^6 / \sigma^2 < 0$ ! Higher orders can stabilize it.
- if  $\lambda_m^2 = \lambda_\phi \lambda_\sigma$ ,  $\lambda_m < 0$  for tree-level EWSB, then:

$$\Delta U = \frac{\lambda_m}{\lambda_\phi} \left( \frac{\phi^2}{\sigma^2} + \frac{\lambda_m}{\lambda_\phi} \right) \left( \lambda_\phi^2 \phi^4 - 4\lambda_\phi (4\lambda_\phi + \lambda_m) \phi^2 \sigma^2 - 21\lambda_m^2 \sigma^4 \right)$$

- $U$  can be Taylor expanded:  $\sigma = \langle \sigma \rangle + \delta\sigma$ ,  $\delta\sigma =$  quantum fluctuation
- spectrum at quantum level: massive  $\phi$  and a massless dilaton  $\sigma$  (Goldstone) - flat direction
- can only predict the ratio  $\langle \phi \rangle / \langle \sigma \rangle$ .

$\Rightarrow$  Potential unstable under quantum fluctuations. Higher orders may stabilize it.

$\Rightarrow$  Quantum effective operators present, with known, finite coefficient, independent of  $z$ .

- **Minimizing the one-loop  $U$ :**  $\lambda_\phi \gg |\lambda_m| \gg \lambda_\sigma$ , and  $\mu = z\sigma$ . (\*)

$$U = \frac{\lambda_\phi}{4}\phi^4 + \frac{\lambda_m}{2}\phi^2\sigma^2 + \frac{\lambda_\sigma}{4}\sigma^4 + \frac{1}{64\pi^2} \left\{ \sum_{s=1,2} M_s^4 \left[ \ln \frac{M_s^2}{z^2\sigma^2} - \frac{3}{2} \right] + \lambda_\phi\lambda_m\frac{\phi^6}{\sigma^2} - (16\lambda_\phi\lambda_m + 6\lambda_m^2 - 3\lambda_\phi\lambda_\sigma)\phi^4 - 16\lambda_m^2\phi^2\sigma^2 \right\} + \mathcal{O}(\lambda_m^3)$$

$$\text{min: } \rho \equiv \frac{\langle\phi\rangle^2}{\langle\sigma\rangle^2} = -\frac{\lambda_m}{\lambda_\phi} \left[ 1 - \frac{6\lambda_\phi}{64\pi^2} (4 \ln 3\lambda_\phi - 17/3) \right] + \mathcal{O}(\lambda_m^2)$$

$$m_{\tilde{\phi}}^2 = (U_{\phi\phi} + U_{\sigma\sigma})_{\text{min}}; \quad \delta m_{\tilde{\phi}}^2 = \frac{1}{64\pi^2} (\Delta U_{\phi\phi} + \Delta U_{\sigma\sigma})_{\text{min}}$$

$$\delta m_{\tilde{\phi}}^2 = \frac{-\langle\sigma\rangle^2}{32\pi^2} \left[ 4\lambda_m^2(4 + 13\rho) + 18\lambda_\sigma(7\lambda_\sigma - \lambda_\phi\rho) + \lambda_m[25\lambda_\sigma(1+\rho) - 3\lambda_\phi\rho(-32 + 5\rho + \rho^2)] \right] \sim \lambda_m^2 \langle\sigma\rangle^2$$

- fixing the dimensionless subtraction parameter: take  $z = \langle\phi\rangle/\langle\sigma\rangle \Rightarrow \mu = \langle\phi\rangle$ , as usual.

$\Rightarrow$  No tuning needed beyond (\*) to keep  $\delta m_{\tilde{\phi}}^2 \ll \langle\sigma\rangle^2$ . No dangerous  $\lambda_\phi\langle\sigma\rangle^2$ . may hold to all orders

$\Rightarrow$  Callan-Symanzik:  $z dU/dz = 0$ .

[see related work of C. Tamarit 2014]

- Restrictions on other expressions for  $\mu(\sigma, \phi)$ :

Adding a term:  $\Delta\mathcal{L}_G = -\frac{1}{2}(\xi_\phi \phi^2 + \xi_\sigma \sigma^2) R$ , needed in some models to generate the Planck scale

[Shaposhnikov et al 2009]

$$\mu = z (\xi_\phi \phi^2 + \xi_\sigma \sigma^2)^{1/2}$$

Then: 
$$\Delta U = -(\xi_\phi \phi^2 + \xi_\sigma \sigma^2)^{-2} \left[ (21 \lambda_\phi \xi_\phi + \lambda_m \xi_\sigma) \xi_\phi \lambda_\phi \phi^8 + (21 \lambda_\sigma \xi_\sigma + \lambda_m \xi_\phi) \xi_\sigma \lambda_\sigma \sigma^8 + \dots \right]$$

$\Rightarrow$  negative coefficients of  $\phi^8, \sigma^8$  for  $\lambda_m^2 = \lambda_\phi \lambda_\sigma$ .  $U$  unstable at large fields.

$$\Delta U \Big|_{\lambda_m=0} = -3 \lambda_\phi^2 \xi_\phi \phi^6 \left[ 9 \xi_\sigma \sigma^2 + 7 \xi_\phi \phi^2 \right] (\xi_\phi \phi^2 + \xi_\sigma \sigma^2)^{-2}$$

$\Rightarrow$  in the classical decoupling limit: non-decoupling quantum effects, unless  $\langle \sigma \rangle \rightarrow \infty$

More general case of:  $\mu(\phi, \sigma) = z \sigma \exp [h(\phi/\sigma)]$  - similar conclusion.

$\Rightarrow$  The form of  $\mu(\phi, \sigma)$  is restricted to avoid such non-decoupling effects  $\Rightarrow$  Minimal  $\mu = \mu(\sigma)$  only!

- Summary

- scale invariance often used to address the hierarchy problem but all regularizations break **explicitly** the symmetry one wants to study at quantum level.

⇒ we studied a scale-invariant regularization, with **spontaneous** breaking of this symmetry.

Implications:

⇒ One-loop scale invariant scalar potential  $U$ .

⇒  $\Delta U$ : new correction to  $U$ , beyond Coleman-Weinberg term (“evanescent” origin).

⇒  $\Delta U$ : independent of subtraction parameter;

⇒  $\Delta U \sim \phi^6/\sigma^2$  finite, effective operator(s), destabilize  $U$  at large  $\phi$ .

⇒ mass correction to  $\phi$  under control at one-loop (no extra tuning needed).

⇒ next: study the scalar potential for scale invariant SM (+ dilaton). Non-renormalizability?

⇒ applications to theories in which preserving scale invariance at loop level needed (CFT's, ....)

- Scale invariant Standard Model one-loop potential:

$$\tilde{V} = \mu^{4-d}V, \quad V = \lambda_\phi |H|^4 + \lambda_m |H|^2 \sigma^2 + \frac{\lambda_\sigma}{4} \sigma^4; \quad H = (0, \phi)/\sqrt{2}.$$

$$M_G^2 = \lambda_\phi \phi^2 + \lambda_m \sigma^2, \quad M_\phi^2, M_\sigma^2$$

$$M_W^2 = \frac{1}{4} g^2 \phi^2, \quad M_Z^2 = \frac{1}{4} (g^2 + g'^2) \phi^2, \quad M_t^2 = \frac{1}{2} y_t^2 \phi^2.$$

$$U = \frac{\lambda_\phi}{4} \phi^4 + \frac{\lambda_m}{2} \phi^2 \sigma^2 + \frac{\lambda_\sigma}{4} \sigma^4 + \frac{1}{64\pi^2} \left\{ \frac{3}{2} (\lambda_\phi \phi^2 + \lambda_m \sigma^2)^2 \left[ \ln \frac{\lambda_\sigma \phi^2 + \lambda_m \sigma^2}{z^2 \sigma^2} - \frac{3}{2} \right] \right.$$

$$+ \lambda_\phi \lambda_m \frac{\phi^6}{\sigma^2} - (16\lambda_\phi \lambda_m + 6\lambda_m^2 - 3\lambda_\phi \lambda_\sigma) \phi^4 - (16\lambda_m + 25\lambda_\sigma) \lambda_m \phi^2 \sigma^2 - 21\lambda_\sigma^2 \sigma^4$$

$$+ \sum_{s=\phi, \sigma} M_s^4 \ln \frac{M_s^2}{z^2 \sigma^2} - \frac{3}{2} \left[ (9\lambda_\phi^2 + \lambda_m^2) \phi^4 + 2\lambda_m (3\lambda_\phi + 4\lambda_m + 3\lambda_\sigma) \phi^2 \sigma^2 + (\lambda_m^2 + 9\lambda_\sigma^2) \sigma^4 \right]$$

$$\left. + \frac{3}{8} g^4 \phi^4 \left[ \ln \frac{g^2 \phi^2}{4z^2 \sigma^2} - \frac{5}{6} \right] + \frac{3}{16} (g^2 + g'^2)^2 \phi^4 \left[ \ln \frac{g^2 \phi^2}{4z^2 \sigma^2} - \frac{5}{6} \right] - 3\phi^4 y_t^4 \left[ \ln \frac{\phi^2 y_t^2}{2z^2 \sigma^2} - \frac{3}{2} \right] \right\}$$

- Phenomenology?