

# Membrane Matrix models and non-perturbative checks of AdS/CFT

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Based on work with Veselin Filev [arXiv:1506.01366]

I will review the formulation of membranes as matrix models and then present some results based on this formulation.

# From Membranes to Matrices

Hoppe in his Ph.D. thesis, with advisor Goldstone, recast the membrane action into a gauge theory of the area-preserving transformations of the membrane surface and then used a matrix regularisation to quantise the model. See [arXiv:hep-th/0002016].

The Membrane action

$$S = -\frac{T}{2} \int d^3\sigma (\sqrt{-h} (h^{\alpha\beta} \partial_\alpha X^\mu \partial_\beta X^\nu \eta_{\mu\nu} - \Lambda))$$

Choose  $\Lambda = 1$  (rescale  $X^a$  and  $T$ ), and for membranes topology  $\mathbb{R} \times \Sigma$  use the gauge  $h_{0i} = 0$  and  $h_{00} = -\frac{4}{\rho} \det(h_{ij})$ .

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$$S = \frac{T\rho}{4} \int dt \left( \dot{X}^\mu \dot{X}^\nu \eta_{\mu\nu} - \frac{4}{\rho^2} \{X^\mu, X^\nu\}^2 \right)$$

and the constraints become

$$\dot{X}^\mu \partial_i X_\mu = 0 \implies \{\dot{X}^\mu, X_\mu\} = 0$$

$$\text{and} \quad \dot{X}^\mu \dot{X}_\mu = -\frac{2}{\rho^2} \{X^\mu, X^\nu\} \{X_\mu, X_\nu\}.$$

Using lightcone coordinates with  $X^\pm = (X^0 \pm X^{D-1})/\sqrt{2}$  with  $X^+ = \tau$  we can solve the constraint for  $\dot{X}^-$  and Legendre transform to the Hamiltonian to find

$$S = -T \int \sqrt{-G} \longrightarrow H = \int \left( \frac{1}{\rho T} P^a P^a + \frac{T}{2\rho} \{X^a, X^b\}^2 \right)$$

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Hoppe then introduced matrix regularisation of the membrane and supermembrane, by treating the membrane as a phase space and quantising it. In this scheme functions on the membrane world-volume at fixed time,  $f(\sigma^1, \sigma^2)$  are replaced by  $N \times N$  matrices,  $f \rightarrow F$ , with the matrices providing a discrete approximation to the corresponding functions. This is very familiar for those familiar with fuzzy spaces.

The Hamiltonian

$$H = -\frac{1}{2}\nabla^2 - \frac{1}{4}\sum_{i,j=1}^p \text{Tr}[X^i, X^j]^2$$

describes a quantised “fuzzy” relativistic membrane in  $p + 1$  dimensions.

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The Euclidean finite temperature action for the model is

$$S_b = \frac{1}{g^2} \int_0^\beta dt \operatorname{tr} \left\{ \frac{1}{2} (\mathcal{D}_t X^i)^2 - \frac{1}{4} [X^i, X^j]^2 \right\} .$$

where  $\mathcal{D}_t X^i = \partial_t X^i + [A, X^i]$  and  $\beta$ , the period of the  $S^1$ , is the inverse temperature.

It is also the high temperature limit of 1 + 1 dimensional  $\mathcal{N} = 8$  supersymmetric Yang-Mills on  $\mathbf{R} \times S^1$  where  $\beta$ , the period of a spatial  $S^1$  and now **not the inverse temperature**. The fermions drop out due to their anti-periodic boundary conditions at finite temperature.

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# The Supermembrane

A supermembrane action can only be formulated in  $d = 4, 5, 7$  and 11 dimensional space-times was applied

## Matrix formulation

“On the Quantum Mechanics of Supermembranes,” B. de Wit, J. Hoppe and H. Nicolai, Nucl. Phys. B **305** (1988) 545.

## Demise

“The Supermembrane Is Unstable,” B. de Wit, M. Luscher and H. Nicolai, Nucl. Phys. B **320** (1989) 135. and then  
“Supermembranes: A Fond Farewell?,” B. de Wit and H. Nicolai, DESY-89-143.

## Revival

“M theory as a matrix model: A Conjecture,” T. Banks, W. Fischler, S. H. Shenker and L. Susskind, Phys. Rev. D **55** (1997) 5112 [hep-th/9610043].



# The BFSS model

Matrix supermembranes propagating in  $p + 2$  dimensions coincide with  $p + 1$ -dim  $SU(N)$  Super Yang-Mills theory dimensionally reduced to 1-dim (only time dependence)

They can be formulated for  $p = 2, 3, 5, 9$

The BFSS model is the  $p = 9$  case; it also describes a system of  $N$  interacting D0 branes.



# Hamiltonian Formulation

The 16 supercharges

$$Q_\beta = \text{Tr}\left(\frac{1}{2}\Theta_\alpha\gamma_{\alpha\beta}^a P_a + \frac{i}{4}\Theta_\alpha\gamma_{\alpha\beta}^{ab}[X_a, X_b]\right)$$

$$\{Q_\alpha, Q_\beta\} = \delta_{\alpha\beta}\mathcal{H} + \gamma_{\alpha\beta}^a \text{Tr}(X^a J)$$

give the Hamiltonian:

$$\mathcal{H} = \text{Tr}\left(\frac{1}{2}P^a P^a - \frac{1}{4}[X^a, X^b]^2 + \frac{1}{2}\Theta^T \gamma^a [\Theta, X^a]\right)$$

where  $J$  is the generator of  $SU(N)$  and is zero on physical states

$$J = i[P^b, X^b] + \Theta_\alpha \Theta_\alpha - \delta_{\alpha\alpha} \frac{N^2 - 1}{2N}$$

The 16 fermionic matrices  $\Theta_\alpha = \Theta_{\alpha A} t^A$  are quantised as

$$\Theta_{\alpha A}, \Theta_{\beta B} = 2\delta_{\alpha\beta}\delta_{AB}$$

The  $\Theta_{\alpha A}$  are  $2^{8(N^2-1)}$  and the Fermionic Hilbert space is

$$\mathcal{H}^F = \mathcal{H}_{256} \otimes \cdots \otimes \mathcal{H}_{256}$$

with  $\mathcal{H}_{256} = \mathbf{44} \oplus \mathbf{84} \oplus \mathbf{128}$  suggestive of the graviton, anti-symmetric tensor and gravitino of 11 –  $d$  SUGRA.

For an attempt to find the ground state see: J. Hoppe et al  
arXiv:0809.5270

# Lagrangian formulation

The easiest way to obtain the BFSS matrix model is via dimensional reduction of ten dimensional supersymmetric Yang-Mills theory down to one dimension. The resulting reduced ten dimensional action is given by

$$S_M = \frac{1}{g^2} \int dt \text{Tr} \left\{ \frac{1}{2} (\mathcal{D}_0 X^i)^2 + \frac{1}{4} [X^i, X^j]^2 - \frac{i}{2} \Psi^T C_{10} \Gamma^0 D_0 \Psi + \frac{1}{2} \Psi^T C_{10} \Gamma^i [X^i, \Psi] \right\},$$

where  $\Psi$  is a thirty two component Majorana–Weyl spinor,  $\Gamma^\mu$  are ten dimensional gamma matrices and  $C_{10}$  is the charge conjugation matrix satisfying  $C_{10} \Gamma^\mu C_{10}^{-1} = -\Gamma^{\mu T}$ .

# The AdS/CFT dual geometry

Since the model describes the dynamics of  $D0$  branes AdS/CFT gives predictions for the strong regime of the theory.

The bosonic action for eleven-dimensional supergravity is given by

$$S_{11D} = \frac{1}{2\kappa_{11}^2} \int [\sqrt{-g}R - \frac{1}{2}F_4 \wedge *F_4 - \frac{1}{6}A_3 \wedge F_4 \wedge F_4]$$

where  $2\kappa_{11}^2 = 16\pi G_N^{11} = \frac{(2\pi l_p)^9}{2\pi}$ .

The equations of motion of this system are

$$R_{MN} - \frac{1}{2}g_{MN}R = \frac{1}{2}F_{MN}^2 - \frac{1}{4}g_{MN}|F_4|^2 \quad (1)$$

$$d * F_4 + \frac{1}{2}F_4 \wedge F_4 = 0, \quad dF_4 = 0. \quad (2)$$

Then dimensionally reducing an  $S^1$  gives us IIA supergravity. The reduction involves

$$g_{MN}^{11} dx^M dx^N = e^{-\frac{2}{3}\Phi} g_{mn}^{10} dx^m dx^n + e^{\frac{4}{3}\Phi} (dx_{10} + C_m dx^m)^2 \quad (3)$$

$$A_{10mn} dx^m \wedge dx^n = \frac{B_2}{2\pi R} \quad A_{lmn} dx^l \wedge dx^m \wedge dx^n = C_3 \quad (4)$$

and where the constant giving the string coupling has been removed from the dilaton. Then with  $2\kappa_0^2 g_s^2 = \frac{2\kappa_{11}^2}{2\pi R}$  where  $R$  is the radius of the  $X_{10}$  circle on which the compactification is done one obtains the IIA supergravity action.

The leading  $\alpha' = l_s^2$  "low" energy effective field theory on the dual gravity side is given by IIA supergravity the bosonic part of whose action is given in the string frame by

$$S_{IIA} = \frac{1}{2\kappa_0^2 g_s^2} \int d^{10}x \sqrt{-g} \left\{ e^{-2\Phi} \left[ R + 4|d\phi|^2 - \frac{1}{12}|H_3|^2 - \frac{1}{4}|G_2|^2 - \frac{1}{48}|G_4|^2 \right] \right\}$$

where

$$H_3 = dB_2, \quad G_2 = dC_1, \quad G_4 = dC_3 + H_3 \wedge C_1$$

Eleven dimensional supergravity is the natural strong coupling limit of the IIA superstring. The fields  $(\phi, g_{mn}, B_{mn})$  are from the  $NS \otimes NS$  sector of the IIA string while the fields  $(C_1, C_3)$  are from the  $R \otimes R$  sector.

The relevant solution to eleven dimensional supergravity for the dual geometry to the BFSS model corresponds to  $N$  coincident  $D0$  branes in the IIA theory. It is given by

$$ds^2 = -H^{-1}dt^2 + dr^2 + r^2d\Omega_8^2 + H(dx_{10} - Cdt)^2$$

with  $A_3 = 0$

The one-form is given by  $C = H^{-1} - 1$  and  $H = 1 + \frac{\alpha_0 N}{r^7}$  where  $\alpha_0 = (2\pi)^2 14\pi g_s l_s^7$ .

$$ds^2 = \alpha' \left( -\frac{F}{\sqrt{H}} dt^2 + \frac{\sqrt{H}}{F} dU^2 + \sqrt{H} U^2 d\Omega_8 \right)$$

$H(U) = \frac{240\pi^5 \lambda}{U^7}$  and the black hole time dilation factor

$F(U) = 1 - \frac{U_0^7}{U^7}$  with  $U_0 = 240\pi^5 \alpha'^5 \lambda$ . The temperature

$$\frac{T}{\lambda^{1/3}} = \frac{1}{4\pi\lambda^{1/3}} H^{-1/2} F'(U_0) = \frac{7}{2^4 15^{1/2} \pi^{7/2}} \left( \frac{U_0}{\lambda^{1/3}} \right)^{5/2}.$$

From black hole entropy to AdS prediction for the Energy

$$S = \frac{A}{4G_N} \sim \left( \frac{T}{\lambda^{1/3}} \right)^{9/2} \implies \frac{E}{\lambda N^2} \sim \left( \frac{T}{\lambda^{1/3}} \right)^{14/5}$$



The observables that we focus on

$$E/N^2 = \left\langle -\frac{3}{4N\beta} \int_0^\beta dt \operatorname{Tr} ([X^i, X^j]^2) \right\rangle ,$$

$$\langle R^2 \rangle = \left\langle \frac{1}{N\beta} \int_0^\beta dt \operatorname{Tr} (X^i)^2 \right\rangle ,$$

$$\langle |P| \rangle = \left\langle \left| \frac{1}{N} \operatorname{Tr} U \right| \right\rangle ,$$

$$U \equiv \mathcal{P} \exp \left( i \int_0^\beta dt A_0(t) \right) .$$

# Non-perturbative study via lattice simulations

Discretise time to  $t_n = an$ , ( $n = 0, \dots, \Lambda - 1$ ),  $a = \beta/\Lambda$ , and periodic boundary conditions  $t_\Lambda = \Lambda a = \beta \equiv 0$ .

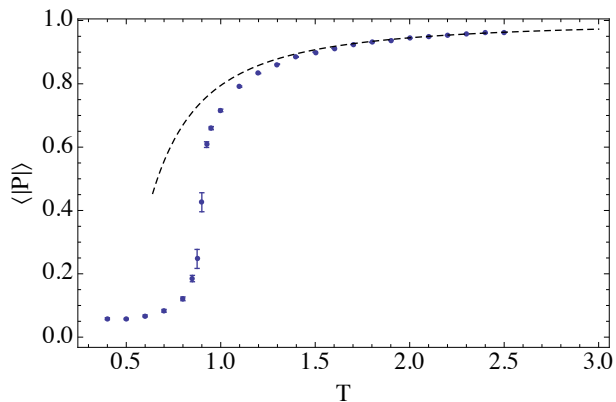
$$\mathcal{D}_t \rightarrow \frac{U_{n,n+1} X_{n+1}^i U_{n+1,n} - X_n^i}{a}, \quad U_{n+1,n} = U_{n,n+1}^\dagger$$

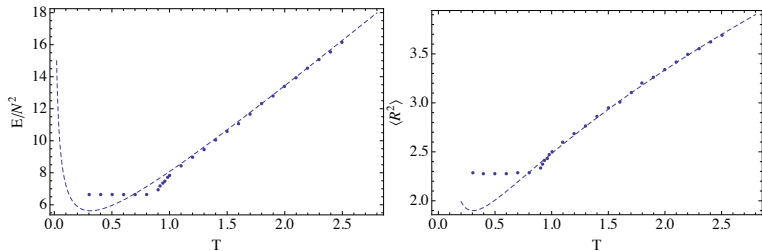
where  $U_{n,n+1} = \mathcal{P} \exp \left[ i \int_{na}^{(n+1)a} dt A(t) \right]$  parallel transport.

Discretised Goldstone Hoppe regulated bosonic membrane action:

$$S_b = N \sum_{n=0}^{\Lambda-1} \text{tr} \left\{ -\frac{1}{a} X_n^i U_{n,n+1} X_{n+1}^i U_{n,n+1}^\dagger + \frac{1}{a} (X_n^i)^2 - \frac{a}{4} [X_n^i, X_n^j]^2 \right\},$$

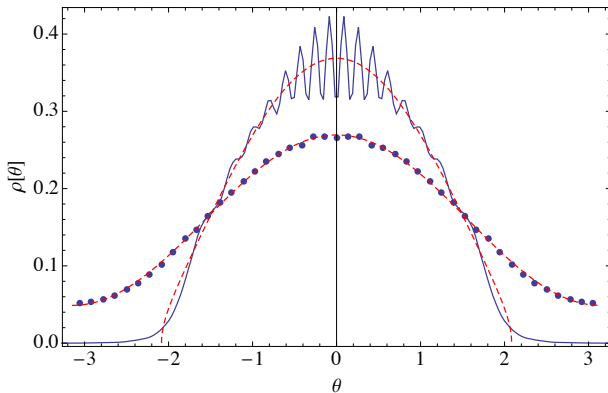
# Polyakov loop





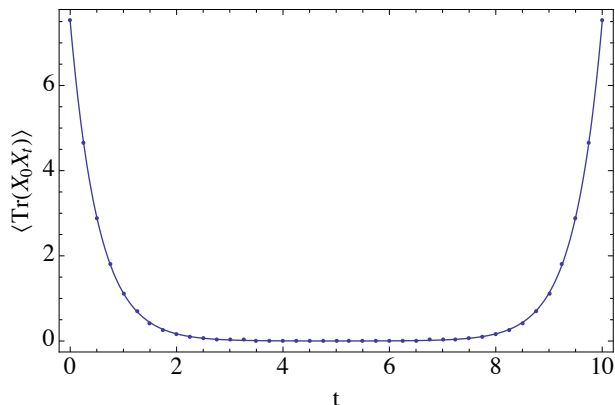
Plots of the scaled energy  $E/N^2$  and the “extent of space”  $\langle R^2 \rangle$  as functions of the temperature. The dashed curves correspond to the high temperature expansion. One can see that near  $T \approx 0.9$  the plots suggest the existence of a second order phase transition. The energy and temperature in the plots are in units of  $\lambda^{1/3}$ .

# The eigenvalue distribution of the holonomy



Plots of the distribution of the holonomy  $P$  for temperatures  $T = 0.900$  (the gapped phase) and  $T = .9006$  (the ungapped phase). The plots are for size  $N = 16$  and lattice spacing  $a \approx 0.05$ . The dashed curves correspond to fits to the Gross-Witten gapped and untapped distributions.

# Correlation function



The correlator  $\langle \text{Tr}(X^1(0)X^1(t)) \rangle$  for  $N = 30$ ,  $\beta = 10$  and lattice spacing  $a = 0.25$ . Fitting to  $A(e^{-mt} + e^{-m(\beta-t)})$   
 $\implies m = E_1 - E_0 \approx (1.90 \pm .01) \lambda^{1/3}$

The effective dynamics of the Bosonic membrane is given by the action

$$S_{\text{eff}} \approx N \int_{-\infty}^{\infty} dt \text{Tr} \left( \frac{1}{2} \dot{X}^2 - \frac{1}{2} m^2 X^2 \right)$$

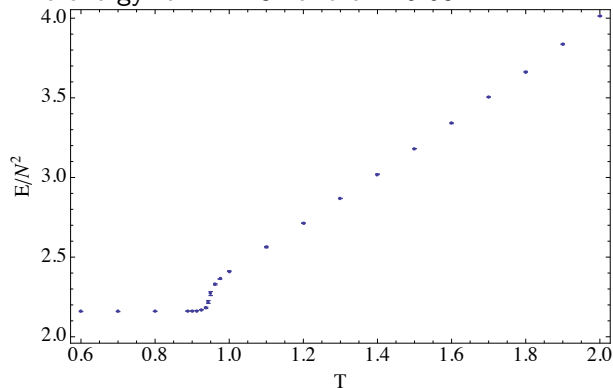
One can derive this using a large  $1/p$  expansion which to leading order in large  $p$  gives the Euclidean finite temperature action

$$S_b = N \int_0^{\beta} dt \text{Tr} \left( \frac{1}{2} (\mathcal{D}_t X)^2 + \frac{p^{2/3}}{2} X^2 \right)$$

This model can of course be solved analytically.

# The gauged Gaussian model has a phase transition:

The energy for  $N = 32$  and  $a = 0.05$ .



The gauge field that is responsible for the phase transition. At low temperatures the eigenvalues of  $A_0$  are uniformly distributed but at high temperatures it becomes another matrix whose eigenvalues have a Wigner distribution.



A detailed  $1/D$  analysis of the membrane model is given in  
G. Mandal, M. Mahato and T. Morita, JHEP **1002** (2010) 034  
[arXiv:0910.4526]. Numerical studies were performed in  
N. Kawahara, J. Nishimura and S. Takeuchi, JHEP **0710** (2007)  
097 [arXiv:0706.3517 ]  
and refined in  
T. Azuma, T. Morita and S. Takeuchi, Phys. Rev. Lett. **113**  
(2014) 091603 [arXiv:1403.7764 [hep-th]]

The bosonic relativistic membrane has a mass gap and at low temperatures is very well described by a system of oscillators.

The result is that the relativistic bosonic membrane has only Planck mass excitations!

# Adding Fermions – Lattice implementation

the fermionic part of the action:

$$S_f = \frac{1}{2g^2} \int d\tau \operatorname{tr} \left\{ \psi^\alpha C_{9\alpha\beta} \mathcal{D}_\tau \psi^\beta - \psi^\alpha (C_9 \gamma^i)_{\alpha\beta} [X^i, \psi^\beta] \right\} . \quad (5)$$

We begin by splitting the fermions into two eight component fermions:  $\psi = (\psi_1, \psi_2)$  and defining the forward and backward derivatives  $D_\pm$ :

$$\begin{aligned} (\mathcal{D}_- W)_n &= (W_n - U_{n,n-1} W_{n-1} U_{n-1,n})/a , \\ (\mathcal{D}_+ W)_n &= (U_{n,n+1} W_{n+1} U_{n+1,n} - W_n)/a . \end{aligned} \quad (6)$$

The discretised kinetic term takes the form:

$$\begin{aligned}
 S_f^{\text{kin}} &= \frac{1}{2g^2} \int d\tau \text{tr} \left( \psi^\alpha C_{9\alpha\beta} \mathcal{D}_\tau \psi^\beta \right) \\
 &= \frac{a}{2g^2} \sum_{n=0}^{\Lambda-1} \text{tr} \left\{ \psi_{1,n}^T (\mathcal{D}_- \psi_2)_n + \psi_{2,n}^T (\mathcal{D}_+ \psi_1)_n \right\} \\
 &= \frac{1}{g^2} \text{tr} \left\{ - \sum_{n=0}^{\Lambda-1} \psi_{2,n}^T \psi_{1,n} + \sum_{n=0}^{\Lambda-2} \psi_{2,n}^T U_{n,n+1} \psi_{1,n+1} U_{n+1,n} \right. \\
 &\quad \left. \pm \psi_{2,\Lambda-1}^T U_{\Lambda-1,0} \psi_{1,0} U_{0,\Lambda-1} \right\} .
 \end{aligned}$$

The  $\pm$  gives periodic/anti-periodic boundary conditions.

In a static gauge the holonomy is concentrated on a single link:

$$S_f^{\text{kin}} = \frac{1}{g^2} \text{tr} \left\{ - \sum_{n=0}^{\Lambda-1} \psi_{2,n}^T \psi_{1,n} + \sum_{n=0}^{\Lambda-2} \psi_{2,n}^T \psi_{1,n+1} \pm \psi_{2,\Lambda-1}^T D \psi_{1,0} D^\dagger \right\} .$$

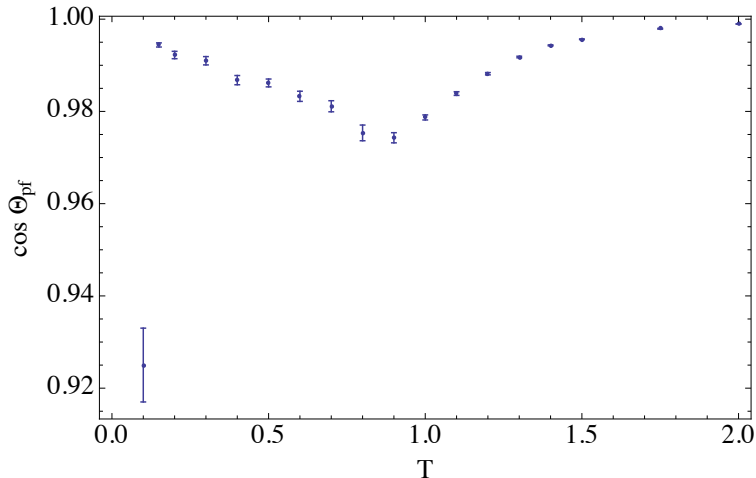
## Discretised BFSS model:

$$\begin{aligned}
 S_{BFSS}^{Lattice} = N \operatorname{tr} & \left\{ -\frac{1}{a} \sum_{n=0}^{\Lambda-2} X_n^i X_{n+1}^i - \frac{1}{a} X_{\Lambda-1}^i D X_0^i D^\dagger \right. \\
 & + \sum_{n=0}^{\Lambda-1} \left( \frac{1}{a} (X_n^i)^2 - \frac{a}{4} [X_n^i, X_n^j]^2 \right) \\
 & - \sum_{n=0}^{\Lambda-1} \psi_{2,n}^T \psi_{1,n} + \sum_{n=0}^{\Lambda-2} \psi_{2,n}^T \psi_{1,n+1} \pm \psi_{2,\Lambda-1}^T D \psi_{1,0} D^\dagger \\
 & \left. - a \sum_{n=0}^{\Lambda-1} \psi_{\alpha,n} (C_9 \gamma^i)_{\alpha\beta} [X_n^i, \psi_{\beta,n}] \right\}
 \end{aligned}$$

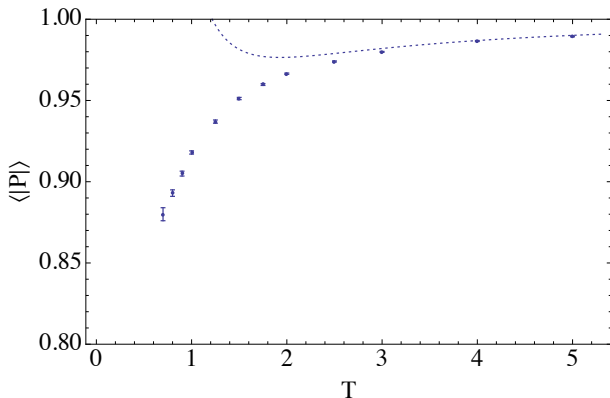
$X_n^i$  traceless  $N \times N$  Hermitian matrices,

$\psi_{\alpha,n}$  traceless  $N \times N$  Hermitian matrices with Grassmann entries.

$D = \operatorname{diag}\{e^{i\theta_1}, \dots, e^{i\theta_N}\},$

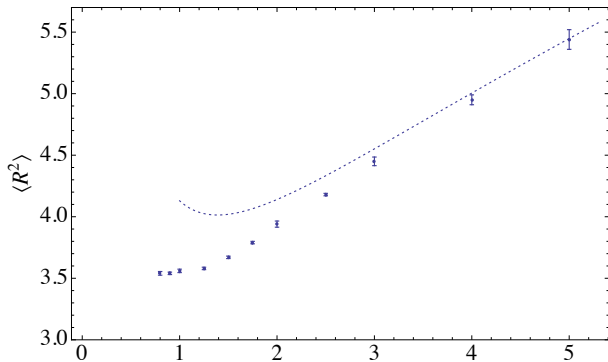


The pfaffian phase for  $N = 3$  and  $\Lambda = 4$ . The phase remains small for all temperature, but drops at very low temperatures. We believe that this is a lattice effect and is not present in the continuum limit.



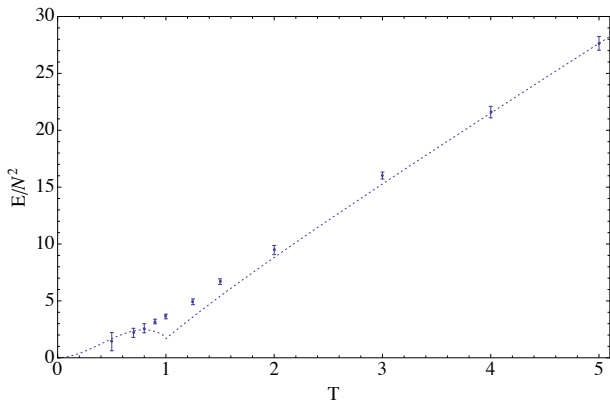
The average Polyakov loop  $\langle |P| \rangle$ .

All dashed curves represent the predictions of the high temperature expansion of N. Kawahara, J. Nishimura and S. Takeuchi, [arXiv:0710.2188]



$$\langle R^2 \rangle = \left\langle \frac{1}{N\beta} \int_0^\beta dt \text{Tr} (X^i)^2 \right\rangle^T$$
 which measures the extent of the eigenvalues of  $X^i$ .





The internal energy from simulations of  $8 \leq N \leq 14$  and  $8 \leq \Lambda \leq 16$ .

The data seems to converge on the low temperature prediction of the AdS/CFT correspondence—especially when  $1/\alpha'$  corrections are included.

Our results agrees with other groups:

S. Catterall and T. Wiseman, Phys. Rev. D **78** (2008) 041502  
[arXiv:0803.4273 [hep-th]]

K. N. Anagnostopoulos, M. Hanada, J. Nishimura and S. Takeuchi,  
Phys. Rev. Lett. **100** (2008) 021601 [arXiv:0707.4454 [hep-th]].

D. Kadoh and S. Kamata, arXiv:1503.08499 [hep-lat].

V. G. Filev and D. O'Connor, arXiv:1506.01366 [hep-th].

# The Berkooz Douglas model

M. Berkooz and M. R. Douglas, [hep-th/9610236].

M. Van Raamsdonk, [hep-th/0112081].

Describes  $D0 - D4$  systems. The IKKT version describes a  $D(-1) - D3$  system see M. Van Raamsdonk, [hep-th/0305145].

The more general framework involves  $Dp - D(p + 4)$  systems.

Add new bosonic degrees of freedom  $\Phi_\alpha$  as two complex  $N \times N_f$  matrices

$$S_\Phi^E = \frac{1}{g^2} \int_0^\beta d\tau \operatorname{tr} \left( D_\tau \bar{\Phi}^\rho D_\tau \Phi_\rho - \bar{\Phi}^\alpha (\sigma^A)_\alpha^\beta J_{ab}^A [X^a, X^b] \Phi_\beta - \frac{1}{2} \bar{\Phi}^\alpha \Phi_\beta \bar{\Phi}^\beta \Phi_\alpha + \bar{\Phi}^\alpha \Phi_\alpha \bar{\Phi}^\beta \Phi_\beta \right) .$$

$J^A$  are  $SU(2)$  generators

$SO(4)$  generators  $(L_{ab})_{cd} = i(\delta_{ad}\delta_{bc} - \delta_{ac}\delta_{bd})$

$$J_{ab}^A = \frac{1}{2}(L_{A4})_{ab} + \frac{1}{4}\varepsilon^{ABC}(L_{BC})_{ab} , \quad K_{ab}^A = -\frac{1}{2}(L_{A4})_{ab} + \frac{1}{4}\varepsilon^{ABC}(L_{BC})_{ab}$$

$$S_\chi = \frac{1}{g^2} \int \text{tr} \left( i\chi^\dagger D_0 \chi + \bar{\chi} \gamma^a X^a \chi + \sqrt{2} i \varepsilon_{\alpha\beta} \bar{\chi} \lambda_\alpha \Phi_\beta - \sqrt{2} i \varepsilon_{\alpha\beta} \bar{\Phi}^\alpha \bar{\lambda}_\beta \chi \right) .$$

where  $\lambda_\alpha = P_\alpha^\nu \psi_\nu$ .

The full model is

$$S_{BD} = S_{BFSS} + S_\Phi + S_\chi .$$

The lattice discretisation is again delicate but works and simulations are in progress.

# Conclusions

- Bosonic membranes when quantised are massive  $m \simeq p^{1/3}l_p$ .
- Supersymmetric membranes are highly non-trivial with infra-red divergences. Appear to be consistent with AdS/CFT and the interpretation as a non-perturbative formulation of  $M$ -theory is promising.
- Keep tuned!

Thank you for your attention!