

CP violation in the scalar sector

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Footnote

- The first derivative of a potential (when set to zero) defines the vacuum expectation value(s)
- The second derivatives of a potential define a mass-squared matrix

“Problems”

- Vacuum: When many fields, get many coupled equations (cubic and trigonometric)
- Mass matrices: When many fields, get large matrices to diagonalize
- Degeneracies

Simpler approach

- Pick a vacuum of interest (must identify possibilities)
- Pick a mass spectrum of interest
- Construct potential
- Check consistency (positivity etc)

Advantages

- Control of physical content
- Linear equations!

End of footnote

Motivation for three Higgs doublets

Three fermion generations may suggest three doublets

Interesting scenario for dark matter

Possibility of having a discrete symmetry and still having spontaneous CP violation

Rich phenomenology

Motivation for imposing discrete symmetries

**Symmetries reduce the number of free parameters
leading to (testable) predictions**

Symmetries help to control HFCNC

Symmetries are needed to stabilise dark matter

Three $SU(2) \times U(1)$ -symmetric doublets

Most general potential has 46 parameters (counted by Olausen et al, 2011)

Consider S_3 symmetric potential

Basic papers:

- (a) Pakvasa & Sugawara, 1978
- (b) Derman, 1979
- (c) Kubo, Okada, Sakamaki, 2004

(a,c): irreducible reps, (b): reducible rep

Two “Frameworks”

May work with the
reducible representation (Derman) or the
irreducible representations (Pakvasa & Sugawara,
Das & Dey)

There is a linear map from one framework to the other

Reducible representation

$$\phi_1, \quad \phi_2, \quad \phi_3$$

$$\phi_i = \begin{pmatrix} \varphi_i^+ \\ (\rho_i + \eta_i + i\chi_i)/\sqrt{2} \end{pmatrix}, \quad i = 1, 2, 3$$

$$V = V_2 + V_4$$

$$V_2 = -\lambda \sum_i \phi_i^\dagger \phi_i + \frac{1}{2} \gamma \sum_{i < j} [\phi_i^\dagger \phi_j + \text{h.c.}],$$

$$\begin{aligned} V_4 = & A \sum_i (\phi_i^\dagger \phi_i)^2 + \sum_{i < j} \{ C(\phi_i^\dagger \phi_i)(\phi_j^\dagger \phi_j) + \bar{C}(\phi_i^\dagger \phi_j)(\phi_j^\dagger \phi_i) + \frac{1}{2} D[(\phi_i^\dagger \phi_j)^2 + \text{h.c.}] \} \\ & + \frac{1}{2} E_1 \sum_{i \neq j} [(\phi_i^\dagger \phi_i)(\phi_j^\dagger \phi_j) + \text{h.c.}] + \sum_{i \neq j \neq k \neq i, j < k} \{ \frac{1}{2} E_2 [(\phi_i^\dagger \phi_j)(\phi_k^\dagger \phi_i) + \text{h.c.}] \\ & + \frac{1}{2} E_3 [(\phi_i^\dagger \phi_i)(\phi_k^\dagger \phi_j) + \text{h.c.}] + \frac{1}{2} E_4 [(\phi_i^\dagger \phi_j)(\phi_i^\dagger \phi_k) + \text{h.c.}] \} \end{aligned}$$

10 parameters

Irreducible representations

$$\begin{pmatrix} h_1 \\ h_2 \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}}(\phi_1 - \phi_2) \\ \frac{1}{\sqrt{6}}(\phi_1 + \phi_2 - 2\phi_3) \end{pmatrix} \quad h_S = \frac{1}{\sqrt{3}}(\phi_1 + \phi_2 + \phi_3)$$

$$h_i = \begin{pmatrix} h_i^\dagger \\ (\textcolor{red}{w}_i + \tilde{\eta}_i + i\tilde{\chi}_i)/\sqrt{2} \end{pmatrix}, \quad i = 1, 2, \quad h_S = \begin{pmatrix} h_S^\dagger \\ (\textcolor{red}{w}_S + \tilde{\eta}_S + i\tilde{\chi}_S)/\sqrt{2} \end{pmatrix}$$

$$V_2 = \mu_0^2 h_S^\dagger h_S + \mu_1^2 (h_1^\dagger h_1 + h_2^\dagger h_2)$$

$$\begin{aligned} V_4 = & \lambda_1 (h_1^\dagger h_1 + h_2^\dagger h_2)^2 + \lambda_2 (h_1^\dagger h_2 - h_2^\dagger h_1)^2 + \lambda_3 [(h_1^\dagger h_1 - h_2^\dagger h_2)^2 + (h_1^\dagger h_2 + h_2^\dagger h_1)^2] \\ & + \lambda_4 [(h_S^\dagger h_1)(h_1^\dagger h_2 + h_2^\dagger h_1) + (h_S^\dagger h_2)(h_1^\dagger h_1 - h_2^\dagger h_2) + \text{h.c.}] + \lambda_5 (h_S^\dagger h_S)(h_1^\dagger h_1 + h_2^\dagger h_2) \\ & + \lambda_6 [(h_S^\dagger h_1)(h_1^\dagger h_S) + (h_S^\dagger h_2)(h_2^\dagger h_S)] + \lambda_7 [(h_S^\dagger h_1)(h_S^\dagger h_1) + (h_S^\dagger h_2)(h_S^\dagger h_2) + \text{h.c.}] \\ & + \lambda_8 (h_S^\dagger h_S)^2 \end{aligned}$$

10 parameters

Decomposition into these two irreducible representations

$$\begin{pmatrix} h_1 \\ h_2 \\ h_S \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{6}} & -\frac{2}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2 \\ \phi_3 \end{pmatrix}$$

This definition does not treat equally ϕ_1, ϕ_2, ϕ_3 , they could be interchanged

Notice similarity with tribimaximal mixing:

Harrison, Perkins and Scott, 1999

$$\begin{pmatrix} -\frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

This potential exhibits

$h_1 \rightarrow -h_1$ symmetry
but **not** $h_2 \rightarrow -h_2$

Equivalent doublet representation

$$\begin{pmatrix} \tilde{\chi}_1 \\ \tilde{\chi}_2 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} i & 1 \\ -i & 1 \end{pmatrix} \begin{pmatrix} h_1 \\ h_2 \end{pmatrix}$$

above symmetry becomes

$$\tilde{\chi}_1 \leftrightarrow \tilde{\chi}_2$$

In the irreducible-rep framework

the case $\lambda_4 = 0$

or, in the reducible-rep framework

$$4A - 2(C + \overline{C} + D) - E_1 + E_2 + E_3 + E_4 = 0$$

leads to a continuous SO(2) symmetry

$$\begin{pmatrix} h'_1 \\ h'_2 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} h_1 \\ h_2 \end{pmatrix}$$

Massless states!

At this stage, the two frameworks are equivalent

However, introducing Yukawa couplings, for example, in terms of

$$\phi_1, \quad \phi_2, \quad \phi_3$$

or

$$h_1, \quad h_2, \quad h_S$$

they would naturally be different

The vevs are related

$$w_1 = \frac{1}{\sqrt{2}}(\rho_1 - \rho_2)$$

$$w_2 = \frac{1}{\sqrt{6}}(\rho_1 + \rho_2 - 2\rho_3)$$

$$w_S = \frac{1}{\sqrt{3}}(\rho_1 + \rho_2 + \rho_3)$$

$$\rho_1 = \frac{1}{\sqrt{3}}w_S + \frac{1}{\sqrt{2}}w_1 + \frac{1}{\sqrt{6}}w_2$$

$$\rho_2 = \frac{1}{\sqrt{3}}w_S - \frac{1}{\sqrt{2}}w_1 + \frac{1}{\sqrt{6}}w_2$$

$$\rho_3 = \frac{1}{\sqrt{3}}w_S - \frac{\sqrt{2}}{\sqrt{3}}w_2$$

Vacua

Derivatives of potential wrt (complex) fields must vanish

Three complex derivatives = 0 or

Five real derivatives (3 moduli, 2 relative phases) = 0

The minimisation conditions must be consistent.

This is an important **constraint on the potential**.

May work in either framework

But a particular vacuum may look simpler in one framework than in the other.

Classical (real) vacua

The early literature focused on fermion masses and real vacua (no CPV):

Examples:

$$\rho_2 = \rho_3 \quad \text{Derman 1979}$$

$$w_1 = \sqrt{3}w_2 \quad \text{Das \& Dey 2014}$$

Complex vacua

Complex vacua may allow CP violation

Examples:

$$\text{C-0} \quad (\rho_1, \rho_2, \rho_3) = x(1, e^{2i\pi/3}, e^{-2i\pi/3}) \quad \Rightarrow w_S = 0$$

$$\text{C-I-a} \quad (\rho_1, \rho_2, \rho_3) = x(1, e^{i\tau}, e^{i\tau}) \quad \Rightarrow w_1 = \sqrt{3}w_2$$

$$\text{C-I-a1} \quad (\rho_1, \rho_2, \rho_3) = x(e^{-i\tau}, 1, 1) \quad \Rightarrow w_1 = \sqrt{3}w_2$$

$$\text{C-I-a2} \quad (\rho_1, \rho_2, \rho_3) = x(1, e^{-i\tau}, 1) \quad \Rightarrow w_1 = -\sqrt{3}w_2$$

$$\text{C-I-a3} \quad (\rho_1, \rho_2, \rho_3) = x(1, 1, e^{-i\tau}) \quad \Rightarrow w_1 = 0$$

C-I-a violates CP, C-0 does not

Complex vacua

Here, an overall phase rotation brings us from vacuum C-I-a to C-I-a1

$$\text{C-I-a} \xrightarrow{\exp(-i\tau)} \text{C-I-a1}$$

Next:

$$\text{C-I-a1} \xrightarrow{\rho_1 \leftrightarrow \rho_2} \text{C-I-a2} \xrightarrow{\rho_2 \leftrightarrow \rho_3} \text{C-I-a3}$$

These are all different names for one and the same vacuum

Complex vacua

Spontaneous CP violation



$$\text{C-0} \quad (\rho_1, \rho_2, \rho_3) = x(1, e^{2i\pi/3}, e^{-2i\pi/3}) \quad \Rightarrow w_S = 0$$

$$\text{C-I-a} \quad (\rho_1, \rho_2, \rho_3) = x(1, e^{i\tau}, e^{i\tau}) \quad \Rightarrow w_1 = \sqrt{3}w_2$$

$$\text{C-I-a1} \quad (\rho_1, \rho_2, \rho_3) = x(e^{-i\tau}, 1, 1) \quad \Rightarrow w_1 = \sqrt{3}w_2$$

$$\text{C-I-a2} \quad (\rho_1, \rho_2, \rho_3) = x(1, e^{-i\tau}, 1) \quad \Rightarrow w_1 = -\sqrt{3}w_2$$

$$\text{C-I-a3} \quad (\rho_1, \rho_2, \rho_3) = x(1, 1, e^{-i\tau}) \quad \Rightarrow w_1 = 0$$

C-I-a violates CP, C-0 does not

- Complex vevs are no guarantee for SCPV
- The symmetry of the Lagrangian could “hide” the complex conjugation

Example: C-0: $(\rho_1, \rho_2, \rho_3) = x(1, e^{2i\pi/3}, e^{-2i\pi/3})$

Complex conjugation:

$$x(1, e^{2i\pi/3}, e^{-2i\pi/3}) \Rightarrow x(1, e^{-2i\pi/3}, e^{2i\pi/3})$$

But the Lagrangian has a symmetry:

$$\phi_2 \leftrightarrow \phi_3 \quad \text{and} \quad \rho_2 \leftrightarrow \rho_3$$

which will undo the complex conjugation

Complex vacua

Complex vacua may allow CP violation

More:

$$\text{C-II-a} \quad (\rho_1, \rho_2, \rho_3) = \hat{\rho}, \hat{\rho}, \hat{\rho}' e^{i\tau} \quad \Rightarrow w_S = 0$$

$$\text{C-II-b} \quad (\rho_1, \rho_2, \rho_3) = \hat{\rho}, \hat{\rho}' e^{i\tau}, \hat{\rho} \quad \Rightarrow w_1 = -\sqrt{3} w_2$$

$$\text{C-II-c} \quad (\rho_1, \rho_2, \rho_3) = \hat{\rho}' e^{i\tau}, \hat{\rho}, \hat{\rho} \quad \Rightarrow w_1 = \sqrt{3} w_2$$

$$\text{C-III} \quad (\rho_1, \rho_2, \rho_3) = \hat{\rho}_1, \hat{\rho}_2 e^{i\tau_2}, \hat{\rho}_3 e^{i\tau_3} \quad \Rightarrow w_1, w_2, w_S$$

Complex vacua

Spontaneous CP violation



C-II-a	$(\rho_1, \rho_2, \rho_3) = \hat{\rho}, \hat{\rho}, \hat{\rho}' e^{i\tau}$	$\Rightarrow w_S = 0$
C-II-b	$(\rho_1, \rho_2, \rho_3) = \hat{\rho}, \hat{\rho}' e^{i\tau}, \hat{\rho}$	$\Rightarrow w_1 = -\sqrt{3} w_2$
C-II-c	$(\rho_1, \rho_2, \rho_3) = \hat{\rho}' e^{i\tau}, \hat{\rho}, \hat{\rho}$	$\Rightarrow w_1 = \sqrt{3} w_2$
C-III	$(\rho_1, \rho_2, \rho_3) = \hat{\rho}_1, \hat{\rho}_2 e^{i\tau_2}, \hat{\rho}_3 e^{i\tau_3}$	$\Rightarrow w_1, w_2, w_S$

Complex vacua $\lambda_4 = 0$

C-II-a $(w_1, w_2, w_S) = (0, \hat{w}e^{i\sigma}, \hat{w}_S) \Rightarrow (\rho, \rho, \rho')$

C-II-b $(w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, -\hat{w}e^{i\sigma}/\sqrt{3}, \hat{w}_S) \Rightarrow (\rho, \rho', \rho)$

C-II-c $(w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{i\sigma}/\sqrt{3}, \hat{w}_S) \Rightarrow (\rho', \rho, \rho)$

C-II-d $(w_1, w_2, w_S) = (\hat{w}_1e^{i\sigma_1}, \hat{w}_2e^{i\sigma_2}, 0) \Rightarrow (\rho_1, \rho_2, \rho_3)$

C-II-PS $(w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{-i\sigma}, \hat{w}_S) \Rightarrow (\rho_1, \rho_2, \rho_3)$

C-II-IN $(w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{i\sigma}, \hat{w}_S) \Rightarrow (\rho_1, \rho_2, \rho_3)$

C-III $(w_1, w_2, w_S) = (\hat{w}_1e^{i\sigma_1}, \hat{w}_2e^{i\sigma_2}, \hat{w}_S) \Rightarrow (\rho_1, \rho_2, \rho_3)$

Spontaneous CP violation

$$\text{C-II-a} \quad (w_1, w_2, w_S) = (0, \hat{w}e^{i\sigma}, \hat{w}_S) \Rightarrow (\rho, \rho, \rho')$$

$$\text{C-II-b} \quad (w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, -\hat{w}e^{i\sigma}/\sqrt{3}, \hat{w}_S) \Rightarrow (\rho, \rho', \rho)$$

$$\text{C-II-c} \quad (w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{i\sigma}/\sqrt{3}, \hat{w}_S) \Rightarrow (\rho', \rho, \rho)$$

$$\text{C-II-d} \quad (w_1, w_2, w_S) = (\hat{w}_1e^{i\sigma_1}, \hat{w}_2e^{i\sigma_2}, 0) \Rightarrow (\rho_1, \rho_2, \rho_3)$$

$$\text{C-II-PS} \quad (w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{-i\sigma}, \hat{w}_S) \Rightarrow (\rho_1, \rho_2, \rho_3)$$

$$\text{C-II-IN} \quad (w_1, w_2, w_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{i\sigma}, \hat{w}_S) \Rightarrow (\rho_1, \rho_2, \rho_3)$$

$$\text{C-III} \quad (w_1, w_2, w_S) = (\hat{w}_1e^{i\sigma_1}, \hat{w}_2e^{i\sigma_2}, \hat{w}_S) \Rightarrow (\rho_1, \rho_2, \rho_3)$$

Note that C-II-PS does not violate CP

$$(\textcolor{red}{w}_1, \textcolor{red}{w}_2, \textcolor{red}{w}_S) = (\hat{w}e^{i\sigma}, \hat{w}e^{-i\sigma}, \hat{w}_S) \xrightarrow{\text{c. c.}} (\hat{w}e^{-i\sigma}, \hat{w}e^{i\sigma}, \hat{w}_S)$$

When $\lambda_4 = 0$ have symmetry

$$h_1 \leftrightarrow h_2 \quad \text{and} \quad \textcolor{red}{w}_1 \leftrightarrow \textcolor{red}{w}_2$$

When $\lambda_4 = 0$

there are massless states

Add a soft $SO(2)$ -breaking term:

$$V \rightarrow V + \frac{1}{2}\nu^2(h_2^\dagger h_1 + h_1^\dagger h_2)$$

Vacuum conditions are changed

Our Aims

Determine whether Spontaneous CP violation in S_3 is compatible with a good inert dark matter candidate and what are the properties

Challenges include:

Determine necessary and sufficient vacuum stability conditions

Obey unitarity constraints for the potential

Obtain correct dark matter density

Concluding comments

- The S_3 -symmetric scalar sector is very rich
- Two different (equivalent) frameworks
- Spontaneous CP violation can take place
- Room for Dark Matter
- Next: Yukawa couplings