

Exact Results in Susy QFT from Six Dimensions

Daniel L. Jafferis

Harvard University

Workshop on Quantum Fields
and Strings

Corfu

20 Sept, 2014

Clay Cordova, D.J.
1305.2886, 1305.2891 and
work in progress

- Motivations – supersymmetric partition functions and quantum field theories from 6 dimensions.
- 6d supersymmetric backgrounds.
- Reduction to 5d super-Yang-Mills.
- Emergent gauge symmetry from supersymmetry, Toda theory from CS on an interval.

Exact results from SUSY

- Operators: chiral ring, F-terms
- States: index of BPS 1-particle states
- Partition functions: Nekrasov instanton partition function, superconformal index, sphere partition functions

Localization

- Supersymmetric path integrals are invariant under deformation by Q variations.
- Can (sometimes) use this to reduce to an integral over supersymmetric configurations.
- Also implies agreement between UV and IR calculations (ie. one can use a Lagrangian or 6d description).

Supersymmetry in curved space

- How can one determine curvature couplings such that susy is preserved?
- Couple to off-shell supergravity, putting the theory in the geometry of interest, and taking M_{Pl} to infinity. Certain background fields in addition to the metric must be turned on to preserve supersymmetry.

Supersymmetric background fields

- Twisting involves turning on background R-gauge fields.
- Much more general possibilities – off-shell backgrounds including all fields of the gravity multiplet. One may give up reflection positivity.

Spheres

- Any conformal field theory can be put on the sphere – it is conformal to flat space.
- Characterizes the number of degrees of freedom of the CFT – it gives rg inequalities.
- (Sometimes) localization implies that the CFT answer equals that from a susy but nonconformal background.

Squashings

- Often exist supersymmetric squashed sphere backgrounds and supersymmetric mass deformations.
- Derivatives w.r.t. to those parameters give certain n-point functions in flat space of operators in the stress tensor and current multiplets.

Localizing the path integral

- In Euclidean path integrals, the meaning of supersymmetry is that the expectation values of $Q(\cdot)$ vanish.
- This can be used to show that the full partition function localizes to an integral over Q -fixed configurations. There is a 1-loop determinant from integrating out the other modes.

[Witten]

$$S_{loc} = \{Q, V\}, \quad [Q^2, V] = 0$$

$$Z(t) = \int \prod d\Phi e^{-S - tS_{loc}}$$

$$\frac{d}{dt} Z = - \int \prod d\Phi e^{-S - tS_{loc}} \{Q, V\} = 0$$

Reality property

- One needs to find a V such that δV is bounded from below. The standard choice is

$$V = \sum \psi(\delta\psi)^*$$

- Works if $[(Q^2)^*, Q] = 0$.
- One can do the Q^2 collective integral first, then the Q collective Grassmann integral. It vanishes away from fixed points.

(2,0) theory in six dimensions

- Labeled by ADE “gauge group”, $su(N)$ version describes the dynamics of multiple M5 branes.
- No marginal or relevant deformations, but believed to be a local CFT.
- Intrinsically strongly coupled, no known Lagrangian description.

(2,0) theory on a circle

- Compactifying on a circle flows in the IR to 5d $N=2$ YM with gauge group G .
- In 5d, Yang-Mills theory is IR free, and strongly coupled in the UV.
- Instanton-solitons are identified as the KK modes.
- At least for susy quantities – higher order operators in whatever is the exact 5d theory are plausibly Q-exact.

Compactifications

- On Riemann surfaces to 4d $N=2$ theories
- On 3-manifolds to 3d $N=2$ theories
- On 4-manifolds to 2d $(0,2)$ theories
- A new window on strongly coupled SCFTs in lower dimensions, some which lack Lagrangians.

Curious correspondences

- Observables such as the sphere partition function of the resulting $(6-d)$ dimensional SCFT are equal to the partition function of a particular theory on M_d .
- These 2d Toda and 3d noncompact CS theories are not supersymmetric, don't look like standard gauge theories...

[Alday Gaiotto Tachikawa, Terashima Yamazaki,
Dimofte Gaiotto Gukov]

4d Gaiotto theories

- Take 2 dimensions to be a small 2-manifold.
- To preserve some supersymmetry, one may take the normal directions to be in the cotangent bundle: $\mathbb{R}^{3,1} \times T^*\Sigma_2 \times \mathbb{R}^2$ is the 11d geometry.
- The IR 4d $N=2$ CFT only depends on the complex structure of Σ_2

Twisting on M_2 for 4d $N=2$

- One may preserve 8 supercharges upon compactification on a general 2-manifold by compensating the spin connection with a background R-gauge field.

$$\partial_\mu \epsilon^m + \frac{1}{4} \omega_\mu^{bc} \Gamma_{bc} \epsilon^m - \frac{1}{2} V_{\mu n}^m \epsilon^n = 0$$

$$SO(5)_R \times SO(5, 1) \supset SO(3)_R \times SO(2)_R \times SO(2) \times SO(3, 1)$$

- $SO(2)_R \times SO(2)$ is broken to the diagonal, resulting in 4d $N=2$ supersymmetry for a general metric on Σ_2 .

$$\mathcal{T}_g[\Sigma_2]$$

- Provides a new perspective on 4d QFTs.
- Can be constructed out of certain strongly interacting building blocks that lack Lagrangian descriptions, coupled by 4d gauge theories. Associated to pants decomposition of the Riemann surface.

S^4 partition function

- Computable from a supersymmetric Lagrangian using localization. Preserves nonconformal $OSp(2|4)$ supersymmetry.
- Results in an integral over the Coulomb branch of the square of the Nekrasov instanton partition function.

[Pestun]

$$Z = \int_{\text{Coulomb}} da e^{-\frac{8\pi^2 r^2}{g_{YM}^2} S_{\text{classical}}(a)} Z_{1\text{-loop}}(a) |Z_{\text{instanton}}(ia, \frac{1}{r}, \frac{1}{r})|^2$$

- Instantons are localized at the poles of the sphere, and are given exactly by Nekrasov's partition function in the Omega background in \mathbb{R}^4 .
- The epsilon parameters are set by the radius.
- One might also consider squashing the sphere.

Alday-Gaiotto-Tachikawa

- Nekrasov partition functions of 4d $N=2$ QFT in the Omega background are identified with Toda conformal blocks.
- The S^4 partition function is the Toda partition function. This becomes a correlation function when the Riemann surface has punctures.
- The epsilon parameters become the Toda parameter, b .

Liouville and Toda theory

- Liouville action is

$$S = \frac{1}{4\pi} \int d^2x \sqrt{g} (g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + (b + b^{-1}) R \phi + 4\pi e^{2b\phi})$$

- Toda is a ADE generalization of this. It has currents which form a W-algebra.

N M5 branes on a 3-manifold

- Take 3 dimensions to be a small 3-manifold.
- To preserve some supersymmetry, one may take the normal directions to be in the cotangent bundle: $\mathbb{R}^{2,1} \times T^*M_3 \times \mathbb{R}^2$ is the 11d geometry. $SO(3)_R \times SO(3)$ is broken to the diagonal, resulting in 3d $N=2$ supersymmetry.
- The IR 3d $N=2$ CFT is independent of the metric on M_3 , and has no flavor symmetries for compact hyperbolic manifolds.

Twisting on M_3 for 3d $N=2$

- One may preserve 4 supercharges upon compactification on a general 3-manifold by compensating the spin connection with a background R-gauge field.

$$\partial_\mu \epsilon^m + \frac{1}{4} \omega_\mu^{bc} \Gamma_{bc} \epsilon^m - \frac{1}{2} V_{\mu n}^m \epsilon^n = 0$$

$$SO(5)_R \times SO(5, 1) \supset SO(3)_R \times SO(2)_R \times SO(3) \times SO(2, 1)$$

$$(4, 4) \rightarrow (2, +1, 2, 2) \oplus (2, -1, 2, 2)$$

- $SO(3)_R \times SO(3)$ is broken to the diagonal, resulting in 3d $N=2$ supersymmetry.

$\mathcal{T}_g[M_3]$

- Provides a new perspective on 3d SCFTs.
- Abelian CSM Lagrangians have been constructed for some classes of examples, using tetrahedral decomposition.
[Dimofte Gaiotto Gukov, ...]
- Harder for compact 3-manifolds.

3-sphere partition function

- Characterizes the number of degrees of freedom of the CFT.
- Computable from a supersymmetric Lagrangian using localization. Preserves nonconformal $SU(2|1) \times SU(2)$ supersymmetry.

[Kapustin Willett Yaakov, D.J., Hama Hosomichi Lee]

Superconformal symmetries on S^3

- The conformal group in 3d is $USp(4) = SO(3,2)$.
- In Euclidean signature, one has the real form $USp(2,2) = SO(4,1)$.
- On S^3 , the $USp(2) \times USp(2) = SO(4)$ subgroup acts as rotations of the sphere.
- The $N = 2$ superconformal group is $OSp(2|4)$.
- The R -symmetry is $SO(2) = U(1)$.

Supersymmetry on the sphere

- The sphere possesses homogeneous Killing spinors, $\nabla_\mu \epsilon = \pm \frac{i}{2} \gamma_\mu \epsilon$. The associated generators square to isometries.
- It corresponds to keeping Q and S while throwing away \bar{Q} and \bar{S} of the superconformal algebra.
- Closely related to the 4d superconformal index on $S^3 \times \mathbb{R}$.

$\text{OSp}(2|2) \times \text{SU}(2)$

- The $\text{OSp}(2|2)$ subgroup of $\text{OSp}(2|4)$ does *not* contain any conformal transformations. The bosonic generators are the R -symmetry and $\text{SU}(2)_L$ isometries.

$$\{Q_A^i, Q_B^j\} = \delta^{ij} J_{AB} + i\epsilon_{AB}\epsilon_{ij}R$$

- Parity exchanges the two $\text{SU}(2)$ s and is broken by this choice.

$$\delta = \frac{1}{\sqrt{2}}(Q_1^1 + iQ_1^2), \quad \tilde{\delta} = \frac{1}{\sqrt{2}}(Q_2^1 - iQ_2^2)$$

Localization of CSM theories

$$S_{CS}^{\mathcal{N}=2} = \frac{k}{4\pi} \int (A \wedge dA + \frac{2}{3} A^3 - \bar{\chi}\chi + 2D\sigma)$$

Coupled to chiral multiplets with R charge q .

$$Z = \int \prod_{\text{Cartan}} \frac{d\sigma}{2\pi} \exp \left[\frac{i}{4\pi} k \text{tr} \sigma^2 \right] \text{Det}_{\text{Ad}} \left(\sinh \frac{b\sigma}{2} \sinh \frac{\sigma}{2b} \right) \\ \times \prod_{\substack{\text{chirals} \\ \text{in rep } R_i}} \text{Det}_{R_i} \left(s_b \left(\frac{i}{2} (b + b^{-1}) (1 - q_i) - \sigma \right) \right)$$

$$s_b(x) = \prod_{m,n \geq 0} \frac{mb + nb^{-1} + \frac{b+b^{-1}}{2} - ix}{mb + nb^{-1} + \frac{b+b^{-1}}{2} + ix}$$

3d-3d conjecture

- Terashima Yamazaki, Dimofte Gaiotto Gukov conjectured that the squashed S^3 partition function of the N M5 on M_3 theory is given by a noncompact CS partition function on M_3 with level determined by the squashing.
- How can this bosonic theory with emergent gauge symmetry arise from reduction of a susy gauge theory?

Complex Chern-Simons theory

$$\begin{aligned} S &= \frac{q}{8\pi} \int \text{Tr} (\mathcal{A} \wedge d\mathcal{A} + \frac{2}{3} \mathcal{A} \wedge \mathcal{A} \wedge \mathcal{A}) + \frac{\tilde{q}}{8\pi} \int \text{Tr} (\bar{\mathcal{A}} \wedge d\bar{\mathcal{A}} + \frac{2}{3} \bar{\mathcal{A}} \wedge \bar{\mathcal{A}} \wedge \bar{\mathcal{A}}) \\ &= \frac{k}{4\pi} \int \text{Tr} (A \wedge dA + \frac{2}{3} A^3 - X \wedge d_A X) + \frac{u}{2\pi} \int \text{Tr} (\frac{1}{3} X^3 - X \wedge F_A) \end{aligned}$$

- The Chern-Simons levels are $q = k + iu$ and $\tilde{q} = k - iu$
- Noncompact gauge symmetry $\mathcal{A} \rightarrow \mathcal{A} + d_A g$, for $g \in \mathfrak{g}_{\mathbb{C}}$

[Witten]

Reality properties

- Can't regulate with YM – wrong sign kinetic term. Subtle to define theory non-perturbatively.
- k is an integer so that e^{iS} is invariant under large gauge transformations.
- u is either real or pure imaginary to obtain a unitary theory. Path integral is oscillatory for real u .

Superconformal indices

- There are similar correspondences for the 3d and 4d superconformal index of these theories.

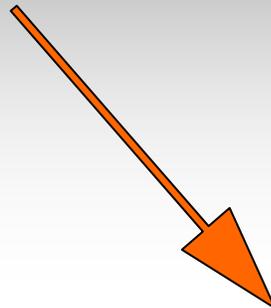
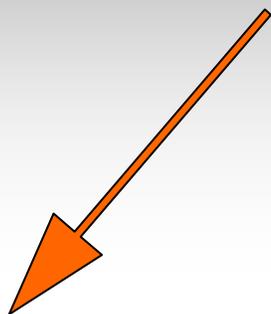
[Dimofte Gaiotto Gukov, Gadde Rastelli Razamat Yan]

- For $S^2 \times S^1$, it was shown by [Yagi](#) and [Sungjay Lee](#), [Yamazaki](#) using similar ideas that one obtains complex Chern-Simons with $k = 0$ and u pure imaginary.

Direct approach

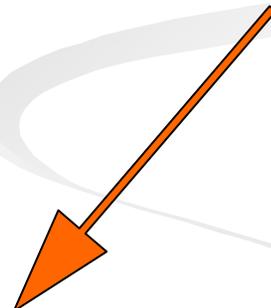
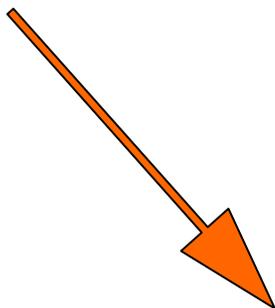
- Find the full 6d background $S \times M$ that preserves supersymmetry.
- Partition function is independent of the size of M due to supersymmetry.
- Reduce on S to find the theory on M .

M5 on $S^d \times M_{6-d}$



$\mathcal{T}_g[M_{6-d}]$ on S^d

? on M_{6-d}



partition function

A surprising feature

- The proposed theories are not supersymmetric, and not standard gauge theories!

5d intermediary

- Hard to proceed directly from six dimensions, since there is no Lagrangian of the (2,0) theory to reduce on the squashed sphere. After all, this is why the $\mathcal{T}_g[M]$ theories are interesting.
- Thus one wants to first find a circle isometry to obtain 5d YM in some background – then one can simply derive the theory on M .

(2,0) theory on a circle

- It has been suggested that 5d maximally supersymmetric Yang-Mills *defines* the 6d (2,0) theory, along the lines of asymptotic safety.

[Douglas, Lambert Papageorgakis Schmidt-Sommerfeld]

- On our context, the circle *is* small.
- Moreover higher order terms are presumably Q-exact. Would require the absence of certain corrections, due to new massive fields.

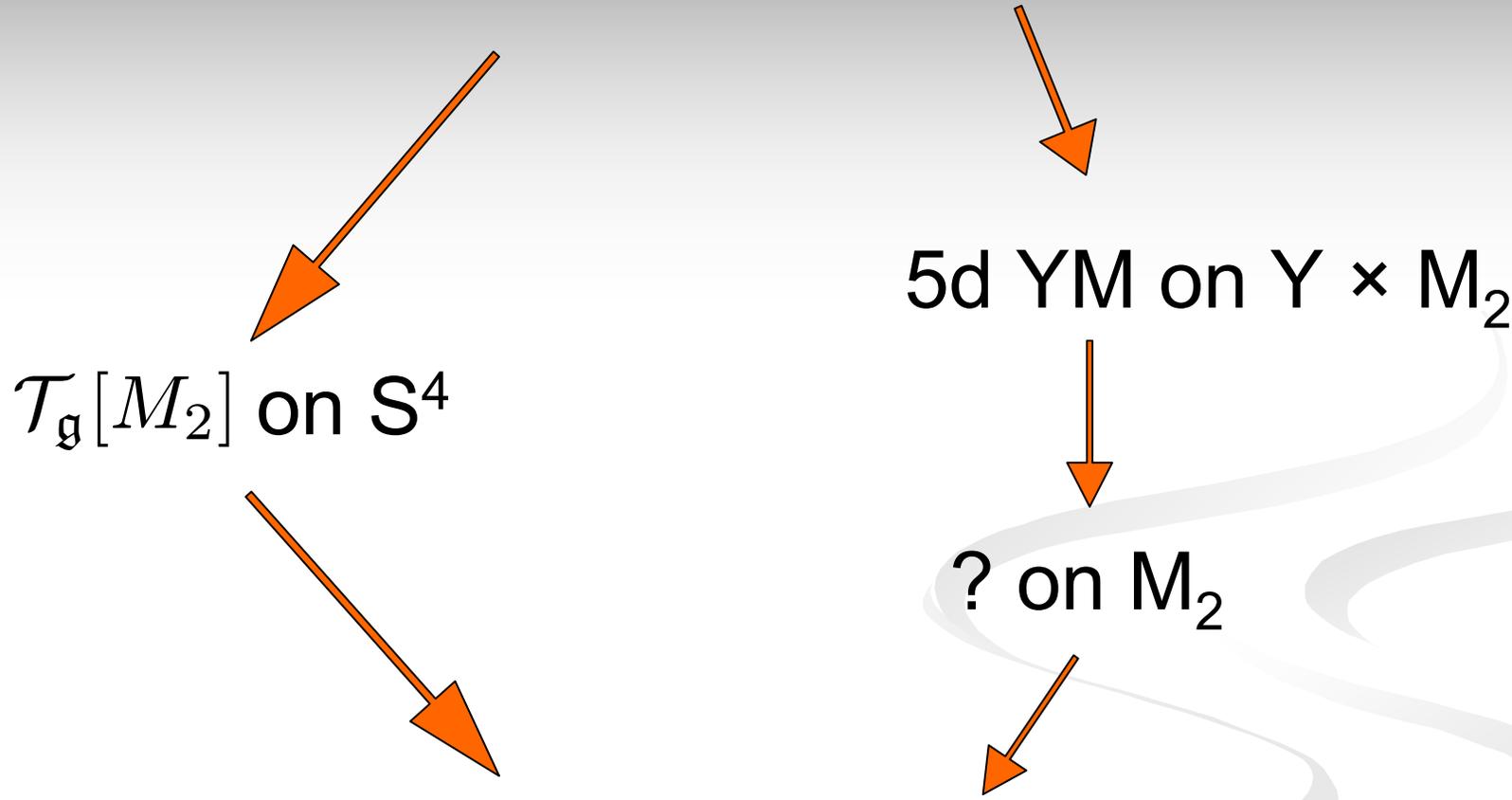
M5 on $S^4 \times M_2$

5d YM on $Y \times M_2$

$\mathcal{T}_g[M_2]$ on S^4

? on M_2

partition function



Turning the crank

- Need to find the full 6d background – it doesn't factorize.
- Pick a circle to reduce on supersymmetrically.
- For AGT, it shrinks somewhere, so b.c.s are required.
- Find the effective 3d or 2d theory – this is algorithmic.

3d-3d

- The full 6d background of $S^3_\ell \times M_3$ preserves 4 supersymmetries.
- The partition function doesn't depend on the overall size due to conformal invariance, and lack of anomalies in the 3-3 split.
- Metric deformations of M_3 are Q-exact.

Hopf reduction: 5d Yang-Mills

- One can reduce from S^3 to S^2 along the Hopf fiber.

$$ds^2 = \frac{r^2}{4} (d\theta^2 + \sin^2(\theta)d\phi^2) + r^2 (d\psi + \cos^2(\theta/2)d\phi)^2$$

- This results in 5d YM on S^2 with graviphoton flux and other background fields.
- Nonrenormalizable theory with $\frac{1}{g_{\text{YM}}^2} = \frac{1}{r}$, but here we actually want small r .

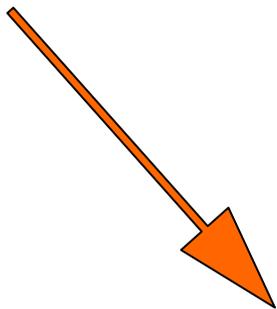
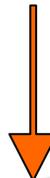
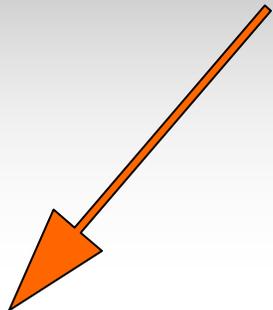
M5 on $S^3_\ell \times M_3$

5d YM on $S^2 \times M_3$

$\mathcal{T}_g[M_3]$ on S^3_ℓ

? on M_3

partition function



Background couplings

- One needs to find the complete 6d background in which supersymmetry is preserved. This involves twisting on the 3-manifold, but something else on the squashed sphere.
- Then reduce to five dimensional background, coupled to the dynamical maximally susy Yang-Mills Lagrangian theory.

Supersymmetry in curved space

- How can one determine curvature couplings such that susy is preserved?
- Couple to off-shell supergravity, putting the theory in the geometry of interest, and taking M_{Pl} to infinity. Certain background fields in addition to the metric must be turned on to preserve supersymmetry.

5d maximal supergravity

- Only interested here in the gravitino and dilatino supersymmetry variations, whose vanishing gives the conditions for preserving rigid susy.
- Can be obtained by reduction of 6d off-shell conformal sugra [[Bergshoeff Sezgin van Proeyen](#)]. Here the R symmetries are both $SO(5)$.
- Generalizes 5d $N=1$ supergravity of [[Kugo Ohashi](#)].

6d \rightarrow 5d, off-shell fields

Field	Interpretation	$sp(4)$	w
\underline{e}_{μ}^a	Metric	1	-1
\underline{V}_{μ}^{mn}	R Gauge Field	10	0
$\underline{T}_{\mu\nu\rho}^{mn}$	Auxiliary 3-form	5	-2
$\underline{D}^{mn,rs}$	Auxiliary scalar	14	2

Metric reduces to 5d as metric, graviphoton and dilaton. $\underline{e}_{\mu}^a = \begin{pmatrix} e_{\mu}^a & e_{\mu}^5 = \alpha^{-1} C_{\mu} \\ e_z^a = 0 & e_z^5 = \alpha^{-1} \end{pmatrix}$

$$\underline{V}_a^{mn} \rightarrow V_a^{mn}, a \neq 5, \quad \underline{V}_5^{mn} \equiv S^{mn}, \quad \underline{T}_{abc}^{mn} \rightarrow \underline{T}_{ab5}^{mn} \equiv T_{ab}^{mn}, \quad \underline{D}^{mn,rs} \rightarrow D^{mn,rs}$$

5d $N=2$ off-shell SUGRA

- A taste of the supersymmetry variations:

$$\begin{aligned}
 \delta\psi_a^m &= \mathcal{D}_a \epsilon^m + \frac{i}{2\alpha} [G_{ab} \Omega^{mn} - \alpha S^{mn} \eta_{ab}] \Gamma^b \epsilon_n + \frac{i}{8\alpha} [G^{bc} \Omega^{mn} - 4\alpha (T^{mn})^{bc}] \Gamma_{abc} \epsilon_n, \\
 \delta\chi_r^{mn} &= \left[T_{ab}^{mn} T_{cdrs} - \frac{1}{\alpha} T_{ab}^{mn} G_{cd} \Omega_{rs} + \frac{1}{12} \left(\mathcal{D}^e S_r^{[m} \delta_s^{n]} + \mathcal{D}_f T^{mnfe} \Omega_{rs} \right) \varepsilon_{abcd} \right] \Gamma^{abcd} \epsilon^s \\
 &+ \left[\frac{5}{2\alpha} T_{ab}^{mn} G^a{}_c \Omega_{rs} - 4 T_{ab}^{mn} T^a{}_{crs} + 2 T_{bc}^{mn} S_{rs} - S_p^{[m} T_{bc}^{n]p} \Omega_{rs} - R_{bcr}^{[m} \delta_s^{n]} \right. \\
 &+ \left. \frac{1}{2} \mathcal{D}_a T_{de}^{mn} \Omega_{rs} \varepsilon^{ade}{}_{bc} \right] \Gamma^{bc} \epsilon^s + \left[\frac{1}{\alpha} T_{ab}^{mn} G^{ab} \Omega_{rs} - 2 T_{ab}^{mn} T_{rs}^{ab} - \frac{4}{15} D_{rs}^{mn} \right] \epsilon^s - (\text{traces})
 \end{aligned}$$

5d Yang-Mills action

$$S_A = \frac{1}{8\pi^2} \int \text{Tr} \left(\alpha F \wedge *F + C \wedge F \wedge F \right),$$

$$S_\varphi = \frac{1}{32\pi^2} \int d^5x \sqrt{|g|} \alpha \text{Tr} \left(\mathcal{D}_a \varphi^{mn} \mathcal{D}^a \varphi_{mn} - 4\varphi^{mn} F_{ab} T_{mn}^{ab} - \varphi^{mn} (M_\varphi)_{mn}^{rs} \varphi_{rs} \right),$$

$$S_\rho = \frac{1}{32\pi^2} \int d^5x \sqrt{|g|} \alpha \text{Tr} \left(\rho_{m\gamma} i \mathcal{D}_\beta^\gamma \rho^{m\beta} + \rho_{m\gamma} (M_\rho)^{mn\gamma}{}_\beta \rho_n^\beta \right).$$

$$S_{int} = \frac{1}{32\pi^2} \int d^5x \sqrt{|g|} \alpha \text{Tr} \left(\rho_{m\alpha} [\varphi^{mn}, \rho_n^\alpha] - \frac{1}{4} [\varphi_{mn}, \varphi^{nr}] [\varphi_{rs}, \varphi^{sm}] - \frac{2}{3} S_{mn} \varphi^{mr} [\varphi^{ns}, \varphi_{rs}] \right).$$

- Note the CS term, the F-scalar mixing, and the cubic scalar potential induced by background fields.

Round sphere case

- Note that $H_3 \times S^3$ with equal radii is conformally flat.
- Thus the (2,0) theory can be put canonically on this space.
- $SO(3)_R$ twisting on H_3 leads to $S^3 \times R^3$.

Background sugra on $S^2 \times \mathbb{R}^3$

- For general squashing, all background fields are involved. In 5d, there is graviphoton flux on S^2 .

$$ds^2 = dx_0^2 + dx_1^2 + dx_2^2 + \left(\frac{r\ell}{2}\right)^2 (d\theta^2 + \sin^2(\theta)d\phi^2), \quad C = \cos^2(\theta/2)d\phi, \quad \alpha = 1/r$$

$$T_{\hat{A}\hat{B}\hat{C}} = t\varepsilon_{\hat{a}\hat{b}\hat{c}}, \quad V_{\hat{A}\hat{B}\hat{C}} = v\varepsilon_{\hat{a}\hat{b}\hat{c}}, \quad S_{\hat{A}\hat{B}} = s\varepsilon_{\hat{x}\hat{y}}, \quad D_{\hat{A}\hat{B}} = d\left(\delta_{\hat{a}\hat{b}} - \frac{3}{2}\delta_{\hat{x}\hat{y}}\right)$$

$$t = s = -\frac{\sqrt{1-\ell^2}}{2r\ell^2}, \quad v = -\frac{i}{2r\ell^2}, \quad d = \frac{3}{2r^2\ell^2} \left(1 + \frac{1}{\ell^2}\right)$$

Reduction on S^2

- In the limit of a small sphere, the light fields that survive the dimensional reduction are S^2 constant modes of the gauge field (along the 3-manifold) and the scalars, and particular modes of the fermions that transform in the 2 of the $SU(2)$ rotations of the sphere.
- The fermionic action is not diagonalized by the mass basis, so one must include some massive modes and integrate them out.

Gauge sector

- In 5d, the graviphoton induces a Chern-Simons term.

$$S_A = \frac{1}{8\pi^2} \int_{\mathbb{R}^3 \times S^2} (\alpha \operatorname{Tr}(F \wedge *F) + G \wedge CS(A))$$

- S^2 is simply connected, one just reduces to 3d.

$$S_A = \frac{r\ell^2}{8\pi} \int_{\mathbb{R}^3} \operatorname{Tr}(F \wedge *F) + \frac{1}{4\pi} \int_{\mathbb{R}^3} CS(A)$$

- The 3d YM term disappears in the $r \rightarrow 0$ limit.

Scalar action

- The 5 scalars decompose into $(3,1) + (1,2)$ under R-symmetry breaking $SO(5)$ to $SO(3) \times SO(2)$.
- The 5d sugra induced masses and those from the R gauge field covariant derivative cancel.

$$S_X = \frac{r\ell^2}{8\pi} \int d^3x \text{Tr} (\nabla_a X_b \nabla_a X_b) + \frac{1}{4\pi} \int d^3x i\varepsilon_{abc} \text{Tr} (X_a \nabla_b X_c - i\sqrt{1 - \ell^2} X_a F_{bc})$$

$$S_Y = \frac{r\ell^2}{8\pi} \int d^3x \text{Tr} (\nabla_a Y_z \nabla_a Y_z)$$

Fermion action

- Expanding the twisted fermions in terms of scalars and 1-forms in M_3 , a doublet of modes on S^2 , and keeping track of the $SO(2)_R$ index:

$$\rho^m = \varepsilon^{\alpha\hat{\alpha}} \lambda^{\sigma\hat{\sigma}} + (\gamma^a)^{\alpha\hat{\alpha}} \xi_a^{\sigma\hat{\sigma}}$$

$$S_{ferm} = \frac{1}{32\pi^2} \int d^3x \operatorname{Tr} \left[\left(\xi_a^{i\hat{i}} \varepsilon_{ij} B_{i\hat{j}} - e \tilde{\xi}_a^{i\hat{i}} B_{ij} \varepsilon_{i\hat{j}} \right) i \nabla_a \lambda^{j\hat{j}} \right. \\ \left. - \frac{i}{r\ell} \left(\xi_a^{i\hat{i}} \xi_a^{j\hat{j}} - \tilde{\xi}_a^{i\hat{i}} \tilde{\xi}_a^{j\hat{j}} \right) \varepsilon_{ij} B_{i\hat{j}} - \frac{4i}{r\ell^2(1+\ell)} \tilde{\lambda}^{i\hat{i}} \tilde{\lambda}^{j\hat{j}} \varepsilon_{ij} B_{i\hat{j}} \right]$$

$$B = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \varepsilon = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad e = \sqrt{\frac{1-\ell}{1+\ell}}$$

Non-abelian interactions

- These come from the standard quartic terms and Yukawa couplings, and the background induced cubic scalar potential.

$$S_{pot} = \frac{r\ell^2}{8\pi} \int d^3x \operatorname{Tr} \left(\frac{1}{2} [X_a, X_b][X_a, X_b] + [X_a, Y_z][X_a, Y_z] + \frac{1}{2} [Y_z, Y_w][Y_z, Y_w] \right) \\ + \frac{i\sqrt{1-\ell^2}}{12\pi} \int d^3x i\varepsilon_{abc} \operatorname{Tr} (X_a [X_b, X_c])$$

$$S_{yuk} = \frac{1}{32\pi^2} \int d^3x \operatorname{Tr} \left(\tilde{\xi}_a^{i\hat{i}} [X_a, \lambda^{j\hat{j}}] B_{ij} \varepsilon_{i\hat{i}j} - e \xi_a^{i\hat{i}} [X_a, \lambda^{j\hat{j}}] \varepsilon_{ij} B_{i\hat{i}j} + \left(\frac{2}{1+\ell} \right) \tilde{\lambda}^{i\hat{i}} [Y_z, \lambda^{j\hat{j}}] B_{ij} \kappa_{i\hat{i}j}^z \right)$$

Complex connection

- By changing the contour of integration of X , it is natural to define a complex 1-form,

$$\mathcal{A} = A + i X.$$

- The action looks almost invariant under a new symmetry, $A \rightarrow A - [X, g]$, $X \rightarrow X + dg + [A, g]$ except for the term $(D_{\mu}^A X^{\mu})^2$

Obtaining ghosts

- Integrating out the fermions whose mass diverges in the small sphere limit leads to a second order action for the 4 massless fermions.

$$S_\lambda = \frac{ir\ell^2}{64\pi^2(1+\ell)} \int d^3x \operatorname{Tr} \left(\nabla_a \lambda^{i\hat{i}} \nabla_a \lambda^{j\hat{j}} \varepsilon_{ij} B_{\hat{i}\hat{j}} + [X_a, \lambda^{i\hat{i}}][X_a, \lambda^{j\hat{j}}] \varepsilon_{ij} B_{\hat{i}\hat{j}} \right. \\ \left. - \frac{1}{2} [Y_z, \lambda^{i\hat{i}}][Y_w, \lambda^{j\hat{j}}] \left(\delta^{zw} \varepsilon_{ij} B_{\hat{i}\hat{j}} + i \varepsilon^{zw} \varepsilon_{ij} \varepsilon_{\hat{i}\hat{j}} \right) \right)$$

- Non-linear ghost action is Q-equivalent to a quadratic action.

Faddeev-Popov

- The Faddeev-Popov determinant for fixing the noncompact part of the gauge symmetry with the gauge fixing term $(D_\mu^A X^\mu)^2$ is precisely the fermionic determinant!

$$\delta(D_\mu X^\mu) = D_A^2 g + (\text{ad}_X)^2 g$$

- There are 4 rather than 2 fermions, and the doubling is exactly cancelled by the 1-loop determinant of the Y scalars.

Complex Chern-Simons

- Therefore,

$$Z_{S_\ell^3}[T_{\mathfrak{g}}(M_3)] = Z_{M_3}[CS_{\mathfrak{g}_C}(1, \sqrt{1 - \ell^2})]$$

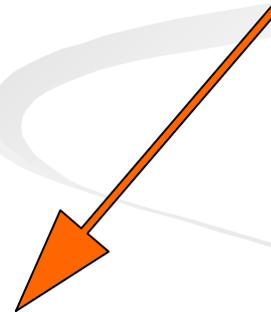
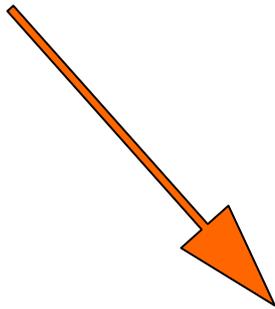
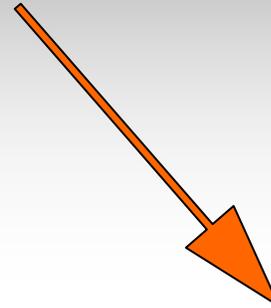
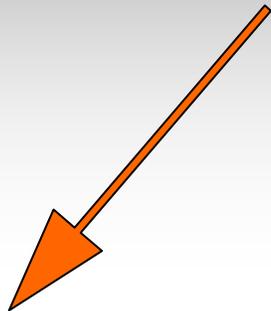
- Note that both branches of unitary reality conditions for the level u appear.

M5 on $S^4 \times M_2$

$\mathcal{T}_g[M_2]$ on S^4

? on M_2

partition function



Background couplings

- One needs to find the complete 6d background in which supersymmetry is preserved. This involves twisting on the 2-manifold, but something else on the 4-sphere.
- Then reduce to five dimensional background, coupled to the dynamical maximally susy Yang-Mills Lagrangian theory.

Round sphere case

- Note that $H_2 \times S^4$ with equal radii is conformally flat.
- Thus the $(2,0)$ theory can be put canonically on this space.
- $SO(2)_R$ twisting on H_2 leads to $S^4 \times R^2$.
- The flat space 2d theory is twisted!

Hopf reduction

- S^4 looks like S^3 fibered over an interval, and shrinking at the 2 ends.
- We pick the reduction circle to be the Hopf fiber of the S^3 . It has two fixed points on S^4 , and is compatible with Pestun's localizing supersymmetry.

5d background

- Given by the Riemann surface $\times S^2$ fibered over an interval, and shrinking at the ends.
- The dilaton varies and goes to 0 at the ends.
- The sphere carries one unit of graviphoton flux.
- Other background fields are needed for susy.

Weyl rescaling

- The 6d theory is Weyl invariant, so the partition function is independent of local Weyl rescalings of the background fields (up to overall anomaly factors).
- Use this to obtain $S^3 \times (\Sigma_2 \text{ warped over } \mathbb{R}^1)$ in 6d.
- In 5d now the dilaton constant, and the metric looks like $S^2 \times (\Sigma_2 \text{ warped over } \mathbb{R}^1)$.
- R-gauge equivalent to twisting on the 3d part.

Back to 3d-3d

- Here the 3-manifold is the Riemann surface warped over an interval. Only the b.c.s matter since the $SL(N, \mathbb{C})$ Chern-Simons theory is topological.

$SU(2) \times U(1)$ squashed S^4

- One can take the squashed 3-sphere times arbitrary 3-manifold background that we found before, specialize to a Riemann surface warped over an interval, and Weyl transform back.
- Results in a supersymmetric squashed 4-sphere background for 4d $N=2$ theories that preserves $SU(2) \times U(1)$, not just $U(1) \times U(1)$.

[Nosaka Terashima]

Boundary conditions

- The M-theory circle shrinks at the boundaries, so one expects a Nahm pole type boundary condition, of D4 branes ending on D6 branes.
- In the abelian case, the b.c. is determined by requiring the 6d fields to be smooth.

$$D_w X_i = \epsilon_{ijk} [X_j, X_k]$$

- The scalars have a Nahm pole,

$X_i \sim T_i/w$ sitting in an $SU(2)$ subalgebra,

$$[T_i, T_j] = \epsilon_{ijk} T_k$$

- The gauge field is adjusted to make the complex connection flat.

$$\mathcal{A} = iT_3 \frac{dw}{w} + T_+ \frac{dx_+}{w} + \dots$$

- The subleading terms contain the dynamical Toda fields. They come from modes of the 5d scalars localized at the poles of the 4 sphere.

CS boundary conditions

- After the Weyl rescaling and reduction to three dimensions, these become a pole type boundary conditions for the complex connection.
- It is exactly the Brown-Henneaux b.c. for euclidean AdS under the identification of $SL(2,C)$ CS with 3d gravity.

$$A = \omega \sim \frac{1}{2} \begin{pmatrix} 0 & -rd\bar{z} \\ rdz & 0 \end{pmatrix} \quad X = e \sim \frac{1}{2} \begin{pmatrix} dr/r & rd\bar{z} \\ -rdz & dr/r \end{pmatrix}$$

3d gravity and Toda

- Chern-Simons theory on a space with boundary results in a chiral WZW model on the boundary.

[Witten]

- Here there are two boundaries, so one ends up with the full CFT.

[Elitzer Moore Schwimmer Seiberg]

- The particular boundary conditions here set some of the currents to 0, truncating $SL(N, \mathbb{C})$ WZW to the Toda theory.

[Balog Feher Forgacs O'Raiartaigh Wipf;
Coussaert Henneaux van Driel]

Summary

- Gave a direct derivation of the Toda theory and $SL(N,C)$ CS theory from reduction of the $(2,0)$ theory on a 4-sphere and 3-sphere, rsp.
- These theories are already twisted in flat space.
- Fermions turned into Faddeev-Popov ghosts of an emergent gauge symmetry.
- Nahm b.c.s turned into Brown-Henneaux b.c.s in $SL(N,C)$ CS that resulted in Toda theory.