

Exact Results in Susy QFT from Six Dimensions

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Clay Cordova, D.J.
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work in progress

- Motivations – supersymmetric partition functions and quantum field theories from 6 dimensions.
- 6d supersymmetric backgrounds.
- Reduction to 5d super-Yang-Mills.
- Emergent gauge symmetry from supersymmetry, Toda theory from CS on an interval.

Exact results from SUSY

- Operators: chiral ring, F-terms
- States: index of BPS 1-particle states
- Partition functions: Nekrasov instanton partition function, superconformal index, sphere partition functions

Localization

- Supersymmetric path integrals are invariant under deformation by Q variations.
- Can (sometimes) use this to reduce to an integral over supersymmetric configurations.
- Also implies agreement between UV and IR calculations (ie. one can use a Lagrangian or 6d description).

Supersymmetry in curved space

- How can one determine curvature couplings such that susy is preserved?
- Couple to off-shell supergravity, putting the theory in the geometry of interest, and taking M_{Pl} to infinity. Certain background fields in addition to the metric must be turned on to preserve supersymmetry.

Supersymmetric background fields

- Twisting involves turning on background R-gauge fields.
- Much more general possibilities – off-shell backgrounds including all fields of the gravity multiplet. One may give up reflection positivity.

Spheres

- Any conformal field theory can be put on the sphere – it is conformal to flat space.
- Characterizes the number of degrees of freedom of the CFT – it gives rg inequalities.
- (Sometimes) localization implies that the CFT answer equals that from a susy but nonconformal background.

Squashings

- Often exist supersymmetric squashed sphere backgrounds and supersymmetric mass deformations.
- Derivatives w.r.t. to those parameters give certain n-point functions in flat space of operators in the stress tensor and current multiplets.

Localizing the path integral

- In Euclidean path integrals, the meaning of supersymmetry is that the expectation values of $Q(\cdot)$ vanish.
- This can be used to show that the full partition function localizes to an integral over Q -fixed configurations. There is a 1-loop determinant from integrating out the other modes.

[Witten]

$$S_{loc} = \{Q, V\}, \quad [Q^2, V] = 0$$

$$Z(t) = \int \prod d\Phi e^{-S - tS_{loc}}$$

$$\frac{d}{dt} Z = - \int \prod d\Phi e^{-S - tS_{loc}} \{Q, V\} = 0$$

Reality property

- One needs to find a V such that δV is bounded from below. The standard choice is

$$V = \sum \psi(\delta\psi)^*$$

- Works if $[(Q^2)^*, Q] = 0$.
- One can do the Q^2 collective integral first, then the Q collective Grassmann integral. It vanishes away from fixed points.

(2,0) theory in six dimensions

- Labeled by ADE “gauge group”, $su(N)$ version describes the dynamics of multiple M5 branes.
- No marginal or relevant deformations, but believed to be a local CFT.
- Intrinsically strongly coupled, no known Lagrangian description.

(2,0) theory on a circle

- Compactifying on a circle flows in the IR to 5d $N=2$ YM with gauge group G .
- In 5d, Yang-Mills theory is IR free, and strongly coupled in the UV.
- Instanton-solitons are identified as the KK modes.
- At least for susy quantities – higher order operators in whatever is the exact 5d theory are plausibly Q-exact.

Compactifications

- On Riemann surfaces to 4d $N=2$ theories
- On 3-manifolds to 3d $N=2$ theories
- On 4-manifolds to 2d $(0,2)$ theories
- A new window on strongly coupled SCFTs in lower dimensions, some which lack Lagrangians.

Curious correspondences

- Observables such as the sphere partition function of the resulting $(6-d)$ dimensional SCFT are equal to the partition function of a particular theory on M_d .
- These 2d Toda and 3d noncompact CS theories are not supersymmetric, don't look like standard gauge theories...

[Alday Gaiotto Tachikawa, Terashima Yamazaki,
Dimofte Gaiotto Gukov]

4d Gaiotto theories

- Take 2 dimensions to be a small 2-manifold.
- To preserve some supersymmetry, one may take the normal directions to be in the cotangent bundle: $\mathbb{R}^{3,1} \times T^*\Sigma_2 \times \mathbb{R}^2$ is the 11d geometry.
- The IR 4d $N=2$ CFT only depends on the complex structure of Σ_2

Twisting on M_2 for 4d $N=2$

- One may preserve 8 supercharges upon compactification on a general 2-manifold by compensating the spin connection with a background R-gauge field.

$$\partial_\mu \epsilon^m + \frac{1}{4} \omega_\mu^{bc} \Gamma_{bc} \epsilon^m - \frac{1}{2} V_{\mu n}^m \epsilon^n = 0$$

$$SO(5)_R \times SO(5, 1) \supset SO(3)_R \times SO(2)_R \times SO(2) \times SO(3, 1)$$

- $SO(2)_R \times SO(2)$ is broken to the diagonal, resulting in 4d $N=2$ supersymmetry for a general metric on Σ_2 .

$$\mathcal{T}_g[\Sigma_2]$$

- Provides a new perspective on 4d QFTs.
- Can be constructed out of certain strongly interacting building blocks that lack Lagrangian descriptions, coupled by 4d gauge theories. Associated to pants decomposition of the Riemann surface.

S^4 partition function

- Computable from a supersymmetric Lagrangian using localization. Preserves nonconformal $OSp(2|4)$ supersymmetry.
- Results in an integral over the Coulomb branch of the square of the Nekrasov instanton partition function.

[Pestun]

$$Z = \int_{\text{Coulomb}} da e^{-\frac{8\pi^2 r^2}{g_{YM}^2} S_{\text{classical}}(a)} Z_{1\text{-loop}}(a) |Z_{\text{instanton}}(ia, \frac{1}{r}, \frac{1}{r})|^2$$

- Instantons are localized at the poles of the sphere, and are given exactly by Nekrasov's partition function in the Omega background in \mathbb{R}^4 .
- The epsilon parameters are set by the radius.
- One might also consider squashing the sphere.

Alday-Gaiotto-Tachikawa

- Nekrasov partition functions of 4d $N=2$ QFT in the Omega background are identified with Toda conformal blocks.
- The S^4 partition function is the Toda partition function. This becomes a correlation function when the Riemann surface has punctures.
- The epsilon parameters become the Toda parameter, b .

Liouville and Toda theory

- Liouville action is

$$S = \frac{1}{4\pi} \int d^2x \sqrt{g} (g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + (b + b^{-1}) R \phi + 4\pi e^{2b\phi})$$

- Toda is a ADE generalization of this. It has currents which form a W-algebra.

N M5 branes on a 3-manifold

- Take 3 dimensions to be a small 3-manifold.
- To preserve some supersymmetry, one may take the normal directions to be in the cotangent bundle: $\mathbb{R}^{2,1} \times T^*M_3 \times \mathbb{R}^2$ is the 11d geometry. $SO(3)_R \times SO(3)$ is broken to the diagonal, resulting in 3d $N=2$ supersymmetry.
- The IR 3d $N=2$ CFT is independent of the metric on M_3 , and has no flavor symmetries for compact hyperbolic manifolds.

Twisting on M_3 for 3d $N=2$

- One may preserve 4 supercharges upon compactification on a general 3-manifold by compensating the spin connection with a background R-gauge field.

$$\partial_\mu \epsilon^m + \frac{1}{4} \omega_\mu^{bc} \Gamma_{bc} \epsilon^m - \frac{1}{2} V_{\mu n}^m \epsilon^n = 0$$

$$SO(5)_R \times SO(5, 1) \supset SO(3)_R \times SO(2)_R \times SO(3) \times SO(2, 1)$$

$$(4, 4) \rightarrow (2, +1, 2, 2) \oplus (2, -1, 2, 2)$$

- $SO(3)_R \times SO(3)$ is broken to the diagonal, resulting in 3d $N=2$ supersymmetry.

$\mathcal{T}_g[M_3]$

- Provides a new perspective on 3d SCFTs.
- Abelian CSM Lagrangians have been constructed for some classes of examples, using tetrahedral decomposition.
[Dimofte Gaiotto Gukov, ...]
- Harder for compact 3-manifolds.

3-sphere partition function

- Characterizes the number of degrees of freedom of the CFT.
- Computable from a supersymmetric Lagrangian using localization. Preserves nonconformal $SU(2|1) \times SU(2)$ supersymmetry.

[Kapustin Willett Yaakov, D.J., Hama Hosomichi Lee]

Superconformal symmetries on S^3

- The conformal group in 3d is $USp(4) = SO(3,2)$.
- In Euclidean signature, one has the real form $USp(2,2) = SO(4,1)$.
- On S^3 , the $USp(2) \times USp(2) = SO(4)$ subgroup acts as rotations of the sphere.
- The $N = 2$ superconformal group is $OSp(2|4)$.
- The R -symmetry is $SO(2) = U(1)$.

Supersymmetry on the sphere

- The sphere possesses homogeneous Killing spinors, $\nabla_\mu \epsilon = \pm \frac{i}{2} \gamma_\mu \epsilon$. The associated generators square to isometries.
- It corresponds to keeping Q and S while throwing away \bar{Q} and \bar{S} of the superconformal algebra.
- Closely related to the 4d superconformal index on $S^3 \times \mathbb{R}$.

$\text{OSp}(2|2) \times \text{SU}(2)$

- The $\text{OSp}(2|2)$ subgroup of $\text{OSp}(2|4)$ does *not* contain any conformal transformations. The bosonic generators are the R -symmetry and $\text{SU}(2)_L$ isometries.

$$\{Q_A^i, Q_B^j\} = \delta^{ij} J_{AB} + i\epsilon_{AB}\epsilon_{ij}R$$

- Parity exchanges the two $\text{SU}(2)$ s and is broken by this choice.

$$\delta = \frac{1}{\sqrt{2}}(Q_1^1 + iQ_1^2), \quad \tilde{\delta} = \frac{1}{\sqrt{2}}(Q_2^1 - iQ_2^2)$$

Localization of CSM theories

$$S_{CS}^{\mathcal{N}=2} = \frac{k}{4\pi} \int (A \wedge dA + \frac{2}{3} A^3 - \bar{\chi}\chi + 2D\sigma)$$

Coupled to chiral multiplets with R charge q .

$$Z = \int \prod_{\text{Cartan}} \frac{d\sigma}{2\pi} \exp \left[\frac{i}{4\pi} k \text{tr} \sigma^2 \right] \text{Det}_{\text{Ad}} \left(\sinh \frac{b\sigma}{2} \sinh \frac{\sigma}{2b} \right) \\ \times \prod_{\substack{\text{chirals} \\ \text{in rep } R_i}} \text{Det}_{R_i} \left(s_b \left(\frac{i}{2} (b + b^{-1}) (1 - q_i) - \sigma \right) \right)$$

$$s_b(x) = \prod_{m,n \geq 0} \frac{mb + nb^{-1} + \frac{b+b^{-1}}{2} - ix}{mb + nb^{-1} + \frac{b+b^{-1}}{2} + ix}$$

3d-3d conjecture

- Terashima Yamazaki, Dimofte Gaiotto Gukov conjectured that the squashed S^3 partition function of the N M5 on M_3 theory is given by a noncompact CS partition function on M_3 with level determined by the squashing.
- How can this bosonic theory with emergent gauge symmetry arise from reduction of a susy gauge theory?

Complex Chern-Simons theory

$$\begin{aligned} S &= \frac{q}{8\pi} \int \text{Tr} (\mathcal{A} \wedge d\mathcal{A} + \frac{2}{3} \mathcal{A} \wedge \mathcal{A} \wedge \mathcal{A}) + \frac{\tilde{q}}{8\pi} \int \text{Tr} (\bar{\mathcal{A}} \wedge d\bar{\mathcal{A}} + \frac{2}{3} \bar{\mathcal{A}} \wedge \bar{\mathcal{A}} \wedge \bar{\mathcal{A}}) \\ &= \frac{k}{4\pi} \int \text{Tr} (A \wedge dA + \frac{2}{3} A^3 - X \wedge d_A X) + \frac{u}{2\pi} \int \text{Tr} (\frac{1}{3} X^3 - X \wedge F_A) \end{aligned}$$

- The Chern-Simons levels are $q = k + iu$ and $\tilde{q} = k - iu$
- Noncompact gauge symmetry $\mathcal{A} \rightarrow \mathcal{A} + d_A g$, for $g \in \mathfrak{g}_{\mathbb{C}}$

[Witten]

Reality properties

- Can't regulate with YM – wrong sign kinetic term. Subtle to define theory non-perturbatively.
- k is an integer so that e^{iS} is invariant under large gauge transformations.
- u is either real or pure imaginary to obtain a unitary theory. Path integral is oscillatory for real u .

Superconformal indices

- There are similar correspondences for the 3d and 4d superconformal index of these theories.

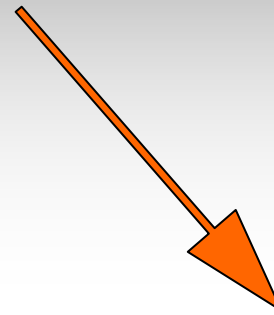
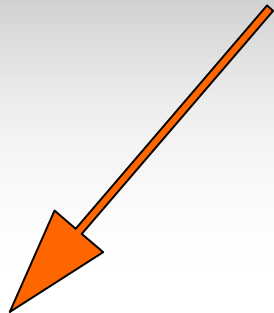
[Dimofte Gaiotto Gukov, Gadde Rastelli Razamat Yan]

- For $S^2 \times S^1$, it was shown by [Yagi](#) and [Sungjay Lee](#), [Yamazaki](#) using similar ideas that one obtains complex Chern-Simons with $k = 0$ and u pure imaginary.

Direct approach

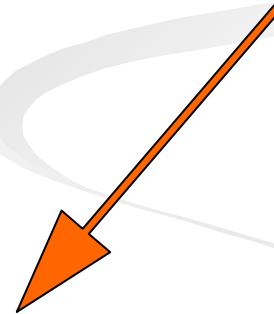
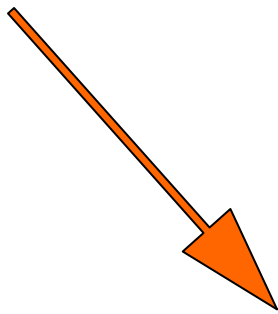
- Find the full 6d background $S \times M$ that preserves supersymmetry.
- Partition function is independent of the size of M due to supersymmetry.
- Reduce on S to find the theory on M .

M5 on $S^d \times M_{6-d}$



$\mathcal{T}_g[M_{6-d}]$ on S^d

? on M_{6-d}



partition function

A surprising feature

- The proposed theories are not supersymmetric, and not standard gauge theories!

5d intermediary

- Hard to proceed directly from six dimensions, since there is no Lagrangian of the (2,0) theory to reduce on the squashed sphere. After all, this is why the $\mathcal{T}_g[M]$ theories are interesting.
- Thus one wants to first find a circle isometry to obtain 5d YM in some background – then one can simply derive the theory on M .

(2,0) theory on a circle

- It has been suggested that 5d maximally supersymmetric Yang-Mills *defines* the 6d (2,0) theory, along the lines of asymptotic safety.

[Douglas, Lambert Papageorgakis Schmidt-Sommerfeld]

- On our context, the circle *is* small.
- Moreover higher order terms are presumably Q-exact. Would require the absence of certain corrections, due to new massive fields.

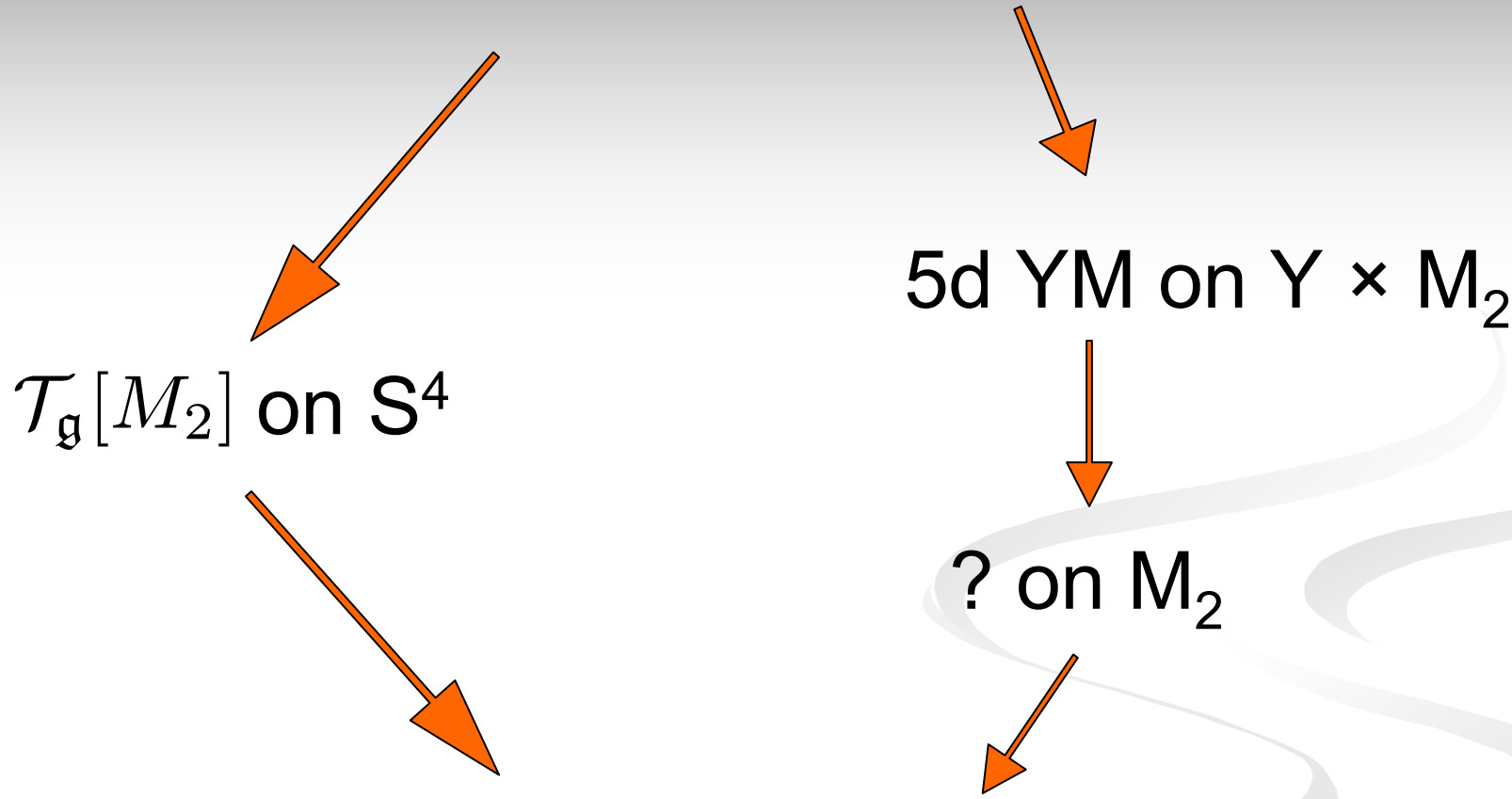
M5 on $S^4 \times M_2$

5d YM on $Y \times M_2$

$\mathcal{T}_g[M_2]$ on S^4

? on M_2

partition function



Turning the crank

- Need to find the full 6d background – it doesn't factorize.
- Pick a circle to reduce on supersymmetrically.
- For AGT, it shrinks somewhere, so b.c.s are required.
- Find the effective 3d or 2d theory – this is algorithmic.

3d-3d

- The full 6d background of $S^3_\ell \times M_3$ preserves 4 supersymmetries.
- The partition function doesn't depend on the overall size due to conformal invariance, and lack of anomalies in the 3-3 split.
- Metric deformations of M_3 are Q-exact.

Hopf reduction: 5d Yang-Mills

- One can reduce from S^3 to S^2 along the Hopf fiber.

$$ds^2 = \frac{r^2}{4} (d\theta^2 + \sin^2(\theta)d\phi^2) + r^2 (d\psi + \cos^2(\theta/2)d\phi)^2$$

- This results in 5d YM on S^2 with graviphoton flux and other background fields.
- Nonrenormalizable theory with $\frac{1}{g_{\text{YM}}^2} = \frac{1}{r}$, but here we actually want small r .

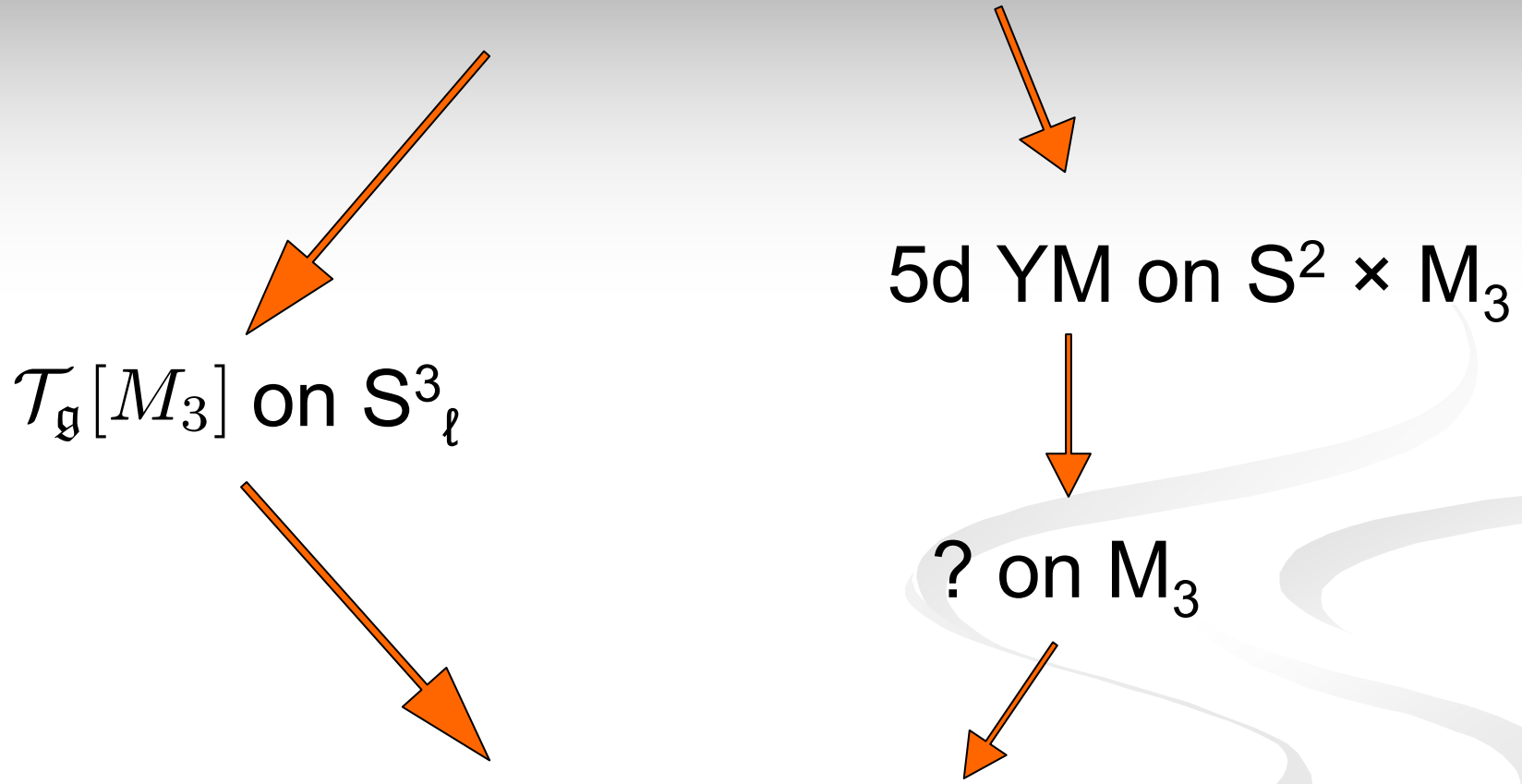
M5 on $S^3_\ell \times M_3$

5d YM on $S^2 \times M_3$

$\mathcal{T}_g[M_3]$ on S^3_ℓ

? on M_3

partition function



Background couplings

- One needs to find the complete 6d background in which supersymmetry is preserved. This involves twisting on the 3-manifold, but something else on the squashed sphere.
- Then reduce to five dimensional background, coupled to the dynamical maximally susy Yang-Mills Lagrangian theory.

Supersymmetry in curved space

- How can one determine curvature couplings such that susy is preserved?
- Couple to off-shell supergravity, putting the theory in the geometry of interest, and taking M_{Pl} to infinity. Certain background fields in addition to the metric must be turned on to preserve supersymmetry.

5d maximal supergravity

- Only interested here in the gravitino and dilatino supersymmetry variations, whose vanishing gives the conditions for preserving rigid susy.
- Can be obtained by reduction of 6d off-shell conformal sugra [[Bergshoeff Sezgin van Proeyen](#)]. Here the R symmetries are both $SO(5)$.
- Generalizes 5d $N=1$ supergravity of [[Kugo Ohashi](#)].

6d \rightarrow 5d, off-shell fields

Field	Interpretation	$sp(4)$	w
\underline{e}_{μ}^a	Metric	1	-1
\underline{V}_{μ}^{mn}	R Gauge Field	10	0
$\underline{T}_{\mu\nu\rho}^{mn}$	Auxiliary 3-form	5	-2
$\underline{D}^{mn,rs}$	Auxiliary scalar	14	2

Metric reduces to 5d as metric, graviphoton and dilaton. $\underline{e}_{\mu}^a = \begin{pmatrix} e_{\mu}^a & e_{\mu}^5 = \alpha^{-1} C_{\mu} \\ e_z^a = 0 & e_z^5 = \alpha^{-1} \end{pmatrix}$

$$\underline{V}_a^{mn} \rightarrow V_a^{mn}, a \neq 5, \quad \underline{V}_5^{mn} \equiv S^{mn}, \quad \underline{T}_{abc}^{mn} \rightarrow \underline{T}_{ab5}^{mn} \equiv T_{ab}^{mn}, \quad \underline{D}^{mn,rs} \rightarrow D^{mn,rs}$$

5d $N=2$ off-shell SUGRA

- A taste of the supersymmetry variations:

$$\begin{aligned}
 \delta\psi_a^m &= \mathcal{D}_a \epsilon^m + \frac{i}{2\alpha} [G_{ab} \Omega^{mn} - \alpha S^{mn} \eta_{ab}] \Gamma^b \epsilon_n + \frac{i}{8\alpha} [G^{bc} \Omega^{mn} - 4\alpha (T^{mn})^{bc}] \Gamma_{abc} \epsilon_n, \\
 \delta\chi_r^{mn} &= \left[T_{ab}^{mn} T_{cdrs} - \frac{1}{\alpha} T_{ab}^{mn} G_{cd} \Omega_{rs} + \frac{1}{12} \left(\mathcal{D}^e S_r^{[m} \delta_s^{n]} + \mathcal{D}_f T^{mnfe} \Omega_{rs} \right) \varepsilon_{abcd} \right] \Gamma^{abcd} \epsilon^s \\
 &+ \left[\frac{5}{2\alpha} T_{ab}^{mn} G^a{}_c \Omega_{rs} - 4 T_{ab}^{mn} T^a{}_{crs} + 2 T_{bc}^{mn} S_{rs} - S_p^{[m} T_{bc}^{n]p} \Omega_{rs} - R_{bcr}^{[m} \delta_s^{n]} \right. \\
 &+ \left. \frac{1}{2} \mathcal{D}_a T_{de}^{mn} \Omega_{rs} \varepsilon^{ade}{}_{bc} \right] \Gamma^{bc} \epsilon^s + \left[\frac{1}{\alpha} T_{ab}^{mn} G^{ab} \Omega_{rs} - 2 T_{ab}^{mn} T_{rs}^{ab} - \frac{4}{15} D_{rs}^{mn} \right] \epsilon^s - (\text{traces})
 \end{aligned}$$

5d Yang-Mills action

$$S_A = \frac{1}{8\pi^2} \int \text{Tr} \left(\alpha F \wedge *F + C \wedge F \wedge F \right),$$

$$S_\varphi = \frac{1}{32\pi^2} \int d^5x \sqrt{|g|} \alpha \text{Tr} \left(\mathcal{D}_a \varphi^{mn} \mathcal{D}^a \varphi_{mn} - 4\varphi^{mn} F_{ab} T_{mn}^{ab} - \varphi^{mn} (M_\varphi)_{mn}^{rs} \varphi_{rs} \right),$$

$$S_\rho = \frac{1}{32\pi^2} \int d^5x \sqrt{|g|} \alpha \text{Tr} \left(\rho_{m\gamma} i \mathcal{D}_\beta^\gamma \rho^{m\beta} + \rho_{m\gamma} (M_\rho)^{mn\gamma}{}_\beta \rho_n^\beta \right).$$

$$S_{int} = \frac{1}{32\pi^2} \int d^5x \sqrt{|g|} \alpha \text{Tr} \left(\rho_{m\alpha} [\varphi^{mn}, \rho_n^\alpha] - \frac{1}{4} [\varphi_{mn}, \varphi^{nr}] [\varphi_{rs}, \varphi^{sm}] - \frac{2}{3} S_{mn} \varphi^{mr} [\varphi^{ns}, \varphi_{rs}] \right).$$

- Note the CS term, the F-scalar mixing, and the cubic scalar potential induced by background fields.

Round sphere case

- Note that $H_3 \times S^3$ with equal radii is conformally flat.
- Thus the (2,0) theory can be put canonically on this space.
- $SO(3)_R$ twisting on H_3 leads to $S^3 \times R^3$.

Background sugra on $S^2 \times R^3$

- For general squashing, all background fields are involved. In 5d, there is graviphoton flux on S^2 .

$$ds^2 = dx_0^2 + dx_1^2 + dx_2^2 + \left(\frac{r\ell}{2}\right)^2 (d\theta^2 + \sin^2(\theta)d\phi^2), \quad C = \cos^2(\theta/2)d\phi, \quad \alpha = 1/r$$

$$T_{\hat{A}\hat{B}\hat{C}} = t\varepsilon_{\hat{a}\hat{b}\hat{c}}, \quad V_{\hat{A}\hat{B}\hat{C}} = v\varepsilon_{\hat{a}\hat{b}\hat{c}}, \quad S_{\hat{A}\hat{B}} = s\varepsilon_{\hat{x}\hat{y}}, \quad D_{\hat{A}\hat{B}} = d\left(\delta_{\hat{a}\hat{b}} - \frac{3}{2}\delta_{\hat{x}\hat{y}}\right)$$

$$t = s = -\frac{\sqrt{1-\ell^2}}{2r\ell^2}, \quad v = -\frac{i}{2r\ell^2}, \quad d = \frac{3}{2r^2\ell^2} \left(1 + \frac{1}{\ell^2}\right)$$

Reduction on S^2

- In the limit of a small sphere, the light fields that survive the dimensional reduction are S^2 constant modes of the gauge field (along the 3-manifold) and the scalars, and particular modes of the fermions that transform in the 2 of the $SU(2)$ rotations of the sphere.
- The fermionic action is not diagonalized by the mass basis, so one must include some massive modes and integrate them out.

Gauge sector

- In 5d, the graviphoton induces a Chern-Simons term.

$$S_A = \frac{1}{8\pi^2} \int_{\mathbb{R}^3 \times S^2} (\alpha \operatorname{Tr}(F \wedge *F) + G \wedge CS(A))$$

- S^2 is simply connected, one just reduces to 3d.

$$S_A = \frac{r\ell^2}{8\pi} \int_{\mathbb{R}^3} \operatorname{Tr}(F \wedge *F) + \frac{1}{4\pi} \int_{\mathbb{R}^3} CS(A)$$

- The 3d YM term disappears in the $r \rightarrow 0$ limit.

Scalar action

- The 5 scalars decompose into $(3,1) + (1,2)$ under R-symmetry breaking $SO(5)$ to $SO(3) \times SO(2)$.
- The 5d sugra induced masses and those from the R gauge field covariant derivative cancel.

$$S_X = \frac{r\ell^2}{8\pi} \int d^3x \text{Tr} (\nabla_a X_b \nabla_a X_b) + \frac{1}{4\pi} \int d^3x i\varepsilon_{abc} \text{Tr} (X_a \nabla_b X_c - i\sqrt{1-\ell^2} X_a F_{bc})$$

$$S_Y = \frac{r\ell^2}{8\pi} \int d^3x \text{Tr} (\nabla_a Y_z \nabla_a Y_z)$$

Fermion action

- Expanding the twisted fermions in terms of scalars and 1-forms in M_3 , a doublet of modes on S^2 , and keeping track of the $SO(2)_R$ index:

$$\rho^m = \varepsilon^{\alpha\hat{\alpha}} \lambda^{\sigma\hat{\sigma}} + (\gamma^a)^{\alpha\hat{\alpha}} \xi_a^{\sigma\hat{\sigma}}$$

$$S_{ferm} = \frac{1}{32\pi^2} \int d^3x \operatorname{Tr} \left[\left(\xi_a^{i\hat{i}} \varepsilon_{ij} B_{i\hat{j}} - e \tilde{\xi}_a^{i\hat{i}} B_{ij} \varepsilon_{i\hat{j}} \right) i \nabla_a \lambda^{j\hat{j}} \right. \\ \left. - \frac{i}{r\ell} \left(\xi_a^{i\hat{i}} \xi_a^{j\hat{j}} - \tilde{\xi}_a^{i\hat{i}} \tilde{\xi}_a^{j\hat{j}} \right) \varepsilon_{ij} B_{i\hat{j}} - \frac{4i}{r\ell^2(1+\ell)} \tilde{\lambda}^{i\hat{i}} \tilde{\lambda}^{j\hat{j}} \varepsilon_{ij} B_{i\hat{j}} \right]$$

$$B = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \varepsilon = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad e = \sqrt{\frac{1-\ell}{1+\ell}}$$

Non-abelian interactions

- These come from the standard quartic terms and Yukawa couplings, and the background induced cubic scalar potential.

$$S_{pot} = \frac{r\ell^2}{8\pi} \int d^3x \operatorname{Tr} \left(\frac{1}{2} [X_a, X_b][X_a, X_b] + [X_a, Y_z][X_a, Y_z] + \frac{1}{2} [Y_z, Y_w][Y_z, Y_w] \right) \\ + \frac{i\sqrt{1-\ell^2}}{12\pi} \int d^3x i\varepsilon_{abc} \operatorname{Tr} (X_a [X_b, X_c])$$

$$S_{yuk} = \frac{1}{32\pi^2} \int d^3x \operatorname{Tr} \left(\tilde{\xi}_a^{i\hat{i}} [X_a, \lambda^{j\hat{j}}] B_{ij} \varepsilon_{i\hat{i}j} - e \xi_a^{i\hat{i}} [X_a, \lambda^{j\hat{j}}] \varepsilon_{ij} B_{i\hat{i}j} + \left(\frac{2}{1+\ell} \right) \tilde{\lambda}^{i\hat{i}} [Y_z, \lambda^{j\hat{j}}] B_{ij} \kappa_{i\hat{i}j}^z \right)$$

Complex connection

- By changing the contour of integration of X , it is natural to define a complex 1-form,

$$\mathcal{A} = A + i X.$$

- The action looks almost invariant under a new symmetry, $A \rightarrow A - [X, g]$, $X \rightarrow X + dg + [A, g]$ except for the term $(D_{\mu}^A X^{\mu})^2$

Obtaining ghosts

- Integrating out the fermions whose mass diverges in the small sphere limit leads to a second order action for the 4 massless fermions.

$$S_\lambda = \frac{ir\ell^2}{64\pi^2(1+\ell)} \int d^3x \operatorname{Tr} \left(\nabla_a \lambda^{i\hat{i}} \nabla_a \lambda^{j\hat{j}} \varepsilon_{ij} B_{\hat{i}\hat{j}} + [X_a, \lambda^{i\hat{i}}][X_a, \lambda^{j\hat{j}}] \varepsilon_{ij} B_{\hat{i}\hat{j}} \right. \\ \left. - \frac{1}{2} [Y_z, \lambda^{i\hat{i}}][Y_w, \lambda^{j\hat{j}}] \left(\delta^{zw} \varepsilon_{ij} B_{\hat{i}\hat{j}} + i \varepsilon^{zw} \varepsilon_{ij} \varepsilon_{\hat{i}\hat{j}} \right) \right)$$

- Non-linear ghost action is Q-equivalent to a quadratic action.

Faddeev-Popov

- The Faddeev-Popov determinant for fixing the noncompact part of the gauge symmetry with the gauge fixing term $(D_\mu^A X^\mu)^2$ is precisely the fermionic determinant!

$$\delta(D_\mu X^\mu) = D_A^2 g + (\text{ad}_X)^2 g$$

- There are 4 rather than 2 fermions, and the doubling is exactly cancelled by the 1-loop determinant of the Y scalars.

Complex Chern-Simons

- Therefore,

$$Z_{S_\ell^3}[T_{\mathfrak{g}}(M_3)] = Z_{M_3}[CS_{\mathfrak{g}_C}(1, \sqrt{1 - \ell^2})]$$

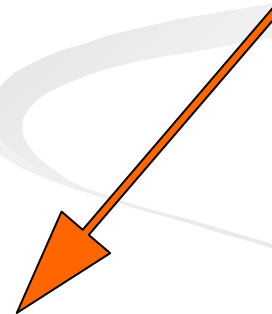
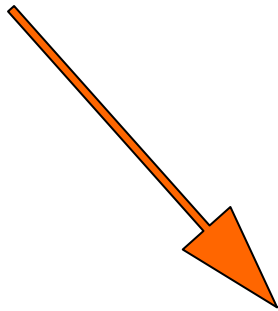
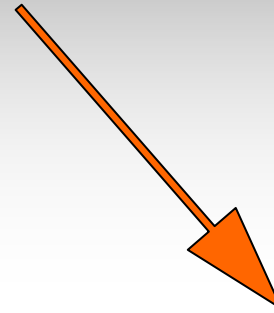
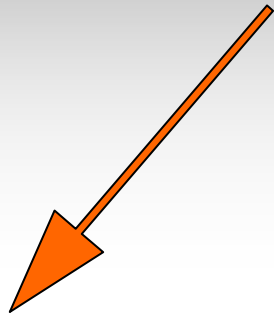
- Note that both branches of unitary reality conditions for the level u appear.

M5 on $S^4 \times M_2$

$\mathcal{T}_g[M_2]$ on S^4

? on M_2

partition function



Background couplings

- One needs to find the complete 6d background in which supersymmetry is preserved. This involves twisting on the 2-manifold, but something else on the 4-sphere.
- Then reduce to five dimensional background, coupled to the dynamical maximally susy Yang-Mills Lagrangian theory.

Round sphere case

- Note that $H_2 \times S^4$ with equal radii is conformally flat.
- Thus the $(2,0)$ theory can be put canonically on this space.
- $SO(2)_R$ twisting on H_2 leads to $S^4 \times R^2$.
- The flat space 2d theory is twisted!

Hopf reduction

- S^4 looks like S^3 fibered over an interval, and shrinking at the 2 ends.
- We pick the reduction circle to be the Hopf fiber of the S^3 . It has two fixed points on S^4 , and is compatible with Pestun's localizing supersymmetry.

5d background

- Given by the Riemann surface $\times S^2$ fibered over an interval, and shrinking at the ends.
- The dilaton varies and goes to 0 at the ends.
- The sphere carries one unit of graviphoton flux.
- Other background fields are needed for susy.

Weyl rescaling

- The 6d theory is Weyl invariant, so the partition function is independent of local Weyl rescalings of the background fields (up to overall anomaly factors).
- Use this to obtain $S^3 \times (\Sigma_2 \text{ warped over } \mathbb{R}^1)$ in 6d.
- In 5d now the dilaton constant, and the metric looks like $S^2 \times (\Sigma_2 \text{ warped over } \mathbb{R}^1)$.
- R-gauge equivalent to twisting on the 3d part.

Back to 3d-3d

- Here the 3-manifold is the Riemann surface warped over an interval. Only the b.c.s matter since the $SL(N, \mathbb{C})$ Chern-Simons theory is topological.

$SU(2) \times U(1)$ squashed S^4

- One can take the squashed 3-sphere times arbitrary 3-manifold background that we found before, specialize to a Riemann surface warped over an interval, and Weyl transform back.
- Results in a supersymmetric squashed 4-sphere background for 4d $N=2$ theories that preserves $SU(2) \times U(1)$, not just $U(1) \times U(1)$.

[Nosaka Terashima]

Boundary conditions

- The M-theory circle shrinks at the boundaries, so one expects a Nahm pole type boundary condition, of D4 branes ending on D6 branes.
- In the abelian case, the b.c. is determined by requiring the 6d fields to be smooth.

$$D_w X_i = \epsilon_{ijk} [X_j, X_k]$$

- The scalars have a Nahm pole,

$X_i \sim T_i/w$ sitting in an $SU(2)$ subalgebra,

$$[T_i, T_j] = \epsilon_{ijk} T_k$$

- The gauge field is adjusted to make the complex connection flat.

$$\mathcal{A} = iT_3 \frac{dw}{w} + T_+ \frac{dx_+}{w} + \dots$$

- The subleading terms contain the dynamical Toda fields. They come from modes of the 5d scalars localized at the poles of the 4 sphere.

CS boundary conditions

- After the Weyl rescaling and reduction to three dimensions, these become a pole type boundary conditions for the complex connection.
- It is exactly the Brown-Henneaux b.c. for euclidean AdS under the identification of $SL(2,C)$ CS with 3d gravity.

$$A = \omega \sim \frac{1}{2} \begin{pmatrix} 0 & -rd\bar{z} \\ rdz & 0 \end{pmatrix} \quad X = e \sim \frac{1}{2} \begin{pmatrix} dr/r & rd\bar{z} \\ -rdz & dr/r \end{pmatrix}$$

3d gravity and Toda

- Chern-Simons theory on a space with boundary results in a chiral WZW model on the boundary.

[Witten]

- Here there are two boundaries, so one ends up with the full CFT.

[Elitzer Moore Schwimmer Seiberg]

- The particular boundary conditions here set some of the currents to 0, truncating $SL(N, \mathbb{C})$ WZW to the Toda theory.

[Balog Feher Forgacs O'Raiheartaigh Wipf;
Coussaert Henneaux van Driel]

Summary

- Gave a direct derivation of the Toda theory and $SL(N,C)$ CS theory from reduction of the $(2,0)$ theory on a 4-sphere and 3-sphere, rsp.
- These theories are already twisted in flat space.
- Fermions turned into Faddeev-Popov ghosts of an emergent gauge symmetry.
- Nahm b.c.s turned into Brown-Henneaux b.c.s in $SL(N,C)$ CS that resulted in Toda theory.