Corfu', September '14

Neutrino Mass and Mixing (Theory)

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- Neutrino mass Dirac and/or Majorana? See-saw mechanism Sterile Neutrinos?
- 2 Models of neutrino mixing

from Anarchy to complete order



In the last ~15 years we have learnt a lot about v's [important fundamental physics issues involved]

- v's oscillate (no separate lepton number conservation)
- v's are massive (at least two of them)
- their masses are very small
- $^{\bullet}\Delta m^{2}_{ij}$ and mixing angles are measured with fair precision
- Theory: probably v's are Majorana particles [can explain small masses and large mixings]
- heavy v_R (see-saw mechanism, O_5) for light v masses
- an appealing picture: v's as probes of GUT's, baryogenesis thru leptogenesis....



Yet in spite of impressive progress important experimental open questions remain: Absolute scale of m²? Inverse or normal hierarchy? CP violation? Flavour symmetry? Sterile v's? DM?..

From the theoretical side, for v masses and mixings we do not have so far a compelling theoretical picture and many possibilities are still open.

Actually, also for quarks and charged leptons we do not have a theory of flavour that explains the observed spectrum, mixings and CP violation.

Thus v's are interesting because they can provide new clues on the flavour problem



 $P(v_e < v_\mu) = |< v_\mu(L)| v_e > |^2 = sin^2(2\theta) \cdot sin^2(\Delta m^2 L/4E)$

At a distance L, v_{μ} from μ^{-} decay can produce e⁻ via charged weak interact's





Evolution in vacuum and in matter

$$\Delta m^{2} = m_{2}^{2} - m_{1}^{2} > 0 \qquad v_{\mu} = -\sin\theta v_{1} + \sin\theta v_{2}$$

$$\Delta m^{2} = m_{2}^{2} - m_{1}^{2} > 0 \qquad v_{\mu} = -\sin\theta v_{1} + \cos\theta v_{2}$$

$$i \frac{d}{dt} \begin{bmatrix} v_{e} \\ v_{\mu} \end{bmatrix} = H_{eff} \begin{bmatrix} v_{e} \\ v_{\mu} \end{bmatrix} \qquad H_{eff} = \frac{\Delta m^{2}}{4E} \begin{bmatrix} -\cos 2\theta \sin 2\theta \\ \sin 2\theta \cos 2\theta \end{bmatrix}$$

In vacuum, for 2 flavours, apart from multiples of the identity

In matter CC int's on electrons introduce a flavour dep. (coherent forward scattering on electrons)

$$H_{eff} = \frac{\Delta m^2}{4E} \begin{bmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{bmatrix} + \begin{bmatrix} \sqrt{2}G_F N_e & 0 \\ 0 & 0 \end{bmatrix} \qquad \begin{array}{l} N_e: n. \text{ of } e \\ per \text{ unit } V \end{bmatrix}$$

The mixing angle is changed
$$\tan 2\theta_m = \frac{\tan 2\theta}{1 - \frac{2\sqrt{2}EG_F N_e}{\Delta m^2 \cos 2\theta}}$$

A resonance can appear (MSW)

Evidence for solar and atmosph. v oscillatn's confirmed on earth by K2K, KamLAND, MINOS...

 $\Delta m^{2} \text{ values:}$ $\Delta m^{2}_{atm} \sim 2.5 \ 10^{-3} \text{ eV}^{2},$ $\Delta m^{2}_{sol} \sim 7.5 \ 10^{-5} \text{ eV}^{2}$ and mixing angles measur'd: $\theta_{12} \text{ (solar) large } \sim 34^{\circ}$ $\theta_{23} \text{ (atm) large,} \sim \text{ maximal } 45^{\circ}$ $\theta_{13} \text{ smaller } \sim 9^{\circ}$

A 3rd frequency? Sterile v's: an open question: LSND+MiniBooNE Ceactor and Gallium anomalies



Neutrino Masses



v oscillations measure Δm^2 . What is m^2 ?





Massless v's? • no V_R L conserved But v_{R} can well exist and we really have no reason to expect that B and L are exactly conserved Small v masses? • V_{R} very heavy • L not exactly cons. The SM can be easily extended

How to guarantee a massless neutrino?



ν mass: completing the SM with ν_R

It is sufficient to introduce 3 RH gauge singlets v_R [each completing a 16 of SO(10) for one generation] and not artificially impose that L is conserved

In the SM, in the absence of v_R , B and L are "accidental" symmetries [i.e. no renormalizable gauge invariant B and/or L non-conserving vertices can be built from the fields of the theory]

But we know that non perturbative terms (instantons) break B and L (not B-L) and also non renorm. operators:

Weinberg
$$O_5 = rac{(Hl)_i^T \lambda_{ij} (Hl)_j}{\Lambda} + h.c.$$

With Majorana neutrinos $Mv_R^Tv_R$ is allowed by SU(2)xU(1) (v_R is a gauge singlet) and breaks L (and B-L)

Are neutrinos Dirac or Majorana fermions?

Under charge conjugation C: particle <--> antiparticle

For bosons there are many cases of particles that coincide (up to a phase) with their antiparticle:

 $\pi^0, \rho^0, \omega, \gamma, Z^0....$

A fermion that coincides with its antiparticle is called a Majorana fermion

Are there Majorana fermions? Neutrinos are probably Majorana fermions



uuuv
e
dddecccv
 μ
sss
 μ *tttv*
 τ
bbb

- Of all fundamental fermions only v's are neutral If lepton number L conservation is violated then no conserved charge distinguishes neutrinos from antineutrinos
 Majorana v's : neutrinos and antineutrinos coincide neutrinos are their own antiparticles
 - v's have very small masses The two facts are probably related



The field of an electron (massive, charged) has 4 components

In fact there are 4 dof: e^{-} , e^{+} , h = +, – (h is the helicity: component of spin along momentum)



For a massless neutrino $|v_L\rangle = |v, h= -1\rangle$ and $|\overline{v_R}\rangle = |\overline{v}, h= +1\rangle$ can be enough because massless particles go at the speed of light (no boost can flip h) Now we know that (at least two) neutrinos have non vanishing masses, although very small

The evidence for non vanishing masses arises from v oscillations: $\Delta m_{atm}^2 \sim 2.5 \ 10^{-3} \ eV^2$, $\Delta m_{sol}^2 \sim 7.5 \ 10^{-5} \ eV^2$

Still for a completely neutral neutrino there is the possibility that neutrino and antineutrino coincide (Majorana neutrino)

For a massive Majorana neutrino only two states are enough

Each neutrino mass eigenstate of definite helicity coincides with its own antiparticle





For a massive Majorana neutrino only two states are enough

A Majorana neutrino is identical with its charge conjugated

$$C | V > = | \overline{V} > = | V >$$

Each neutrino mass eigenstate of definite helicity coincides with its own antiparticle



Weak isospin I

$$v_{L} \Rightarrow I = 1/2, I_{3} = 1/2$$

$$v_{R} \Rightarrow I = 0, I_{3} = 0$$
Dirac Mass:
$$\nabla_{L}v_{R} + \nabla_{R}v_{L} \quad |\Delta I| = 1/2$$
Can be obtained from Higgs doublets: $v_{L}v_{R}H$
Majorana Mass:
$$v_{L}^{T}v_{L} \qquad |\Delta I| = 1$$
Non ren., dim. 5 operator: $v_{L}^{T}v_{L}HH$

$$v_{R}^{T}v_{R} \quad |\Delta I| = 0 \qquad Directly compatible with SU(2)xU(1)!$$

See-Saw MechanismMinkowski; Glashow; Glashow; Gell-Mann, Ramond , Slansky; Mohapatra, Senjanovic.....Yanagida; Gell-Mann, Ramond , Slansky; Mohapatra, Senjanovic.....
$$\[mbox] Mv^T_R V_R$$
 allowed by SU(2)xU(1)
Large Majorana mass M (as large as the cut-off)Dirac mass m_D from
Higgs doublet(s) $\[mbox] m_D \overline{v_L} v_R$ Dirac mass m_D from
Higgs doublet(s)M >> m_D v_L v_L v_R M p v_R $\[mbox] m_D$ M >> m_DEigenvalues

$$|v_{\text{light}}| = \frac{m_D^2}{M}$$
 , $v_{\text{heavy}} = M$

 \oplus

A very natural and appealing explanation:

Ν

v's are nearly massless because they are Majorana particles and get masses through L non conserving interactions suppressed by a large scale M ~ M_{GUT}

m _v ~	<u>m²</u>	m:≤m _t ~ v ~ 200 GeV
	Μ	M: scale of L non cons.
ote:		
	$m_{v} \sim ($	$\Delta m_{atm}^{2})^{1/2} \sim 0.05 \text{ eV}$
	m ~ v	v ~ 200 GeV

 $M \sim 10^{14} - 10^{15} \text{ GeV}$

This is so impressive that, in my opinion, models with $v_{\rm R}$ at the EW scale or around are strongly disfavoured

Models with v_{R} at the EW scale: possible signals at the LHC

Example: Low energy W_R from L-R symmetry

Keung, Senjanovic '83







v oscillations point to very large values of M

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All we know from experiment on v masses strongly indicates that v's are Majorana particles and that L is not conserved (but a direct proof still does not exist).

Detection of $0\nu\beta\beta$ (neutrinoless double beta decay) would be a proof of L non conservation ($\Delta L=2$). Thus a big effort is devoted to improving present limits and possibly to find a signal.



Heidelberg-Moscow IGEX Cuoricino-Cuore GERDA







Present results on neutrinoless DBD

Fiorini

Isotope	Technique	$\tau^{0v}_{1/2(y)}$	<m<sub>ββ> eV</m<sub>	
⁴⁸ Ca	CaF ₂ scint	>1.4x10 ²²	<7-45	
⁷⁶ Ge (HM)	Ge diode	>1.9x10 ²⁵	<(0.3-1.27)	
⁷⁶ Ge (IGEX)	Ge diode	>1.6x10 ²⁵	<(0.33-1.35)	
⁷⁶ Ge (Klapdor 2004)	Ge diode	1.2×10^{25}	.38	
⁷⁶ Ge (Klapdor 2006)	Ge diode	2.2×10^{25}	.28	
⁷⁶ Ge (GERDA I)	Ge diode	$>2.1 \times 10^{25}$	<(.29-1.1)	
⁷⁶ Ge (GERDA+HM+IGEX)	Ge diode	$>3x10^{25}$	<(.2598)	
⁸² Se	Foil&track	$>.6x10^{23}$	<(0.89-2.)	
⁹⁶ Zr	Foil&track	$>9.2 \times 10^{21}$	<(7.2-19.5)	
¹⁰⁰ Mo	Foil&track	$>1.1 \times 10^{24}$	<(0.3179)	
¹¹⁶ Cd	Scintillator	$>1.7 \times 10^{23}$	<1.7	
¹²⁸ Te	Geochem	$>7.7 \times 10^{24}$	<(1.1-1.35)	
¹³⁰ Te	Bolometer	$>2.8 \times 10^{24}$	<(0.37)	
¹³⁶ Xe	EXO	>1.6x10 ²⁵	<140-380	
¹³⁶ Xe	Kamland Zen	>1.9x10 ²⁵	<128-349	
¹³⁶ Xe	EXO+Kamzen		<120-250	
¹⁵⁰ Nd	Foil TPC	>1.8x10 ²²		

here Ettore forgot the dot: 0.140 etc

Baryogenesis

$$n_{\rm B}/n_{\gamma} \sim 10^{-10}, n_{\rm B} >> n_{\rm Bbar}$$

Conditions for baryogenesis: (Sacharov '67)

- B non conservation (obvious)
- C, CP non conserv'n (B-B^{bar} odd under C, CP)
- No thermal equilib'm (n=exp[μ -E/kT]; $\mu_B = \mu_{Bbar}$, m_B=m_{Bbar} by CPT

If several phases of BG exist at different scales the asymm. created by one out-of-equilib'm phase could be erased in later out-of-equilib'm phases: BG at lowest scale best

Possible epochs and mechanisms for BG:

- At the weak scale in the SM Excluded
- At the weak scale in the MSSM Excluded in a more general 2HDM Disfavoured
- Near the GUT scale via Leptogenesis Very attractive



Quantitative studies confirm that the range of m_i from v oscill's is compatible with BG via (thermal) LG Buchmuller, Di Bari, Plumacher;

Giudice et al; Pilaftsis et al; Hambye et al



m ~ eV Sterile Neutrinos

A White Paper: K.N. Abazajian et al, ArXiv:1204.5789



Sterile v's? A number of "hints" with some "tensions"

(they do not make an evidence but pose an important experimental problem that needs clarification)

$$\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$$

• LSND and MiniBoone (appearance)

- Reactor anomaly ($\bar{\nu}_e$ disappearance)
- Gallium (v_e disappearance)

These data hint at sterile neutrinos at ~ 1 eV which would represent a major discovery in particle physics

Important information also from

• v_{μ}/v_{μ}^{bar} disappearence expts (MINOS, CDHSW, CCFR...) • Neutrino counting from cosmology Cosmology is fully compatible with N_{eff} \sim 3 but could accept one sterile neutrino

The bound from nucleosynthesis is the most stringent (assuming thermal properties at decoupling)

► BBN: $N_s = 0.22 \pm 0.59$ [Cyburt, Fields, Olive, Skillman, AP 23 (2005) 313, astro-ph/0408033] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440] $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440]

From Cosmology

Simultaneous constraints on $\sum m_{\nu}$ and N_{eff}



Spinelli, Neutrino 2014

- assumption: 3 active neutrinos coexisting with extra massless species
- $\sum m_{\nu}$ and N_{eff} different impact on CMB: **no significant correlation**
- results adding **BAO**:

$$N_{
m eff} = 3.32 \pm 0.27 \; (68\% CL) \ \sum m_{
u} < 0.28 \; {
m eV} \; (95\% CL)$$



No signal in v_{μ} disappearance experiments (CDHSW, MINOS, CCFR, MiniBooNE-SciBooNE) creates a tension with LSND (if no CP viol.)



Giunti et al are more positive on the 3+1 fit The difference comes from the low energy MiniBooNe data (not included here) 3+1 Global Fit



The reactor anomaly



Systematic errors not shown in this figure (estimated in paper)! Certainly of the same order of the shift (eg Hayes et al '13). They could well be larger than estimated

new ab-initio calculations

suggest th errors of order of the effect

Dwyer, Langford, 1407.1281



The Gallium Anomaly

The neutrino flux from a known radioactive source put inside the Gallex and SAGE detectors shows a deficit wrt expectations

Depends on theoretical crossections and related errors





The reactor anomaly (below 100m baseline: SBL) came after a revision of the theoretical flux and of cross-sections Mueller et al '11; Huber '11 Similarly the Ga anomaly depends on assumed cross section and errors Kaether et al '10; Abdurashitov et al '09



Global fits to all data (1 or 2 sterile neutrinos) 3+1 not very good but acceptable No great advantage from 3+2 or 1+3+1 (this second is better)



	$\Delta m_{41}^2 \; [\mathrm{eV^2}]$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2 \; [\mathrm{eV^2}]$	$ U_{e5} $	$ U_{\mu 5} $
3+1	0.93	0.15	0.17			
3+2	0.47	0.13	0.15	0.87	0.14	0.13
1 + 3 + 1	-0.87	0.15	0.13	0.47	0.13	0.17

In all fits (3+1or2, 1+3+1) the Δm^2 values are in tension with the cosmology mass bound: $\Sigma m_v < 0.28 - 0.8 \text{ eV}$ (partial thermalization?)

The issue of sterile v's is very important \longrightarrow experiment e.g. Icarus at FNAL, Antonello et al, ArXiv:1312.7252

Conclusion

The observed smallness of v masses is well explained in terms of Majorana masses, inversely proportional to the large energy scale where L non conserving interactions are relevant

The indicated energy scale is close to M_{GUT} where we indeed expect baryon and lepton number non conservation

The observation of $0\nu\beta\beta$ would prove L non conservation and that v's are Majorana particles. The observation of $0\nu\beta\beta$ would be as important as that

of proton decay

The possible existence of eV sterile neutrinos needs to be clarified by experiment