## Phenomenological studies in the matrix models

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## §1 Introduction

## • Standard Model of Particle physics

- Successful. Agrees well with experiments.
- Unsatisfactory as a final theory.
  - \* No quantum gravity
  - \* Too arbitrary

## ↑ String phenomenology

- Calabi-Yau, Orbifolds, Intersecting D-branes, etc.

## • String theory

- Too many vacua. Landscape.
- We can not compare them dynamically.
- Need an underlying, master theory

#### Candidates for nonperturbative formulations of String theory

- String field theories
- Gauge/gravity (AdS/CFT) dualities

#### • Matrix Models

T. Banks, W. Fischler, S.H. Shenker, L. Susskind, 1997

- N. Ishibashi, H. Kawai, Y. Kitazawa, A. Tsuchiya, 1997
- R. Dijkgraaf, E. P. Verlinde and H. L. Verlinde, 1997

## IIB (IKKT) matrix model

H. Kawai's talk

• Action

$$S_{\text{IIBMM}} = -\frac{1}{g_{\text{IIBMM}}^2} \text{Tr}\left(\frac{1}{4}[A_\mu, A_\nu][A^\mu, A^\nu] + \frac{1}{2}\bar{\psi}\Gamma^\mu[A_\mu, \psi]\right)$$
(1)

$$\int dA \, d\psi \, e^{-S_{\rm IIBMM}}$$

 $N \rightarrow \infty$  Nonperturbative formulation of String theory

### **Spacetime structures from IIBMM**

#### J. Nishimura's talk

HA, S. Iso, H. Kawai, Y. Kitazawa, T. Tada, 1998;

- J. Nishimura, J. Ambjorn, K. Anagnostopoulos, T. Azuma, W.Bietenholtz, F. Hofheinz, T. Hotta,
- T. Okubo, F. Sugino, A. Tsuchiya, G. Vernizzi;
- H. Kawai, S. Kawamoto, T. Kuroki, T. Matsuo, S. Shinohara

### Lorentzian IIBMM

- S. Kim, J. Nishimura, A. Tsuchiya, 2012
- Eigenvalues distribution of  $A_{\mu} \leftrightarrow$  Spacetime structure



 $\Rightarrow$  4-dimensional spacetime?

Then, next, let's consider matter on the spacetime  $SM \longleftarrow MM$ 

### **Importance of these studies:**

1. Such a path may give us a guide for coming close from either side.

- Study phenomenology beyond the SM
- Justify/Modify the formulation of MM
- We can, in principle, analyze dynamics and calculate everything, since MM has definite action and measure.
   An advantage that MM has over the ordinary string theories

### Mechanism to obtain chiral fermions

- An important ingredient of SM is the chiral fermions.
- Nontrivial topology in the extra dimensions  $\Downarrow$  Index Theorem Chiral zero modes in the extra dimensions  $\Downarrow D_{10} = D_4 + D_6$ Chiral massless fields on our spacetime  $\chi^*$

- This is the standard way.
  - Euler characteristics in CY
  - Boundary conditions in orbiforlds
  - Intersection numbers in intersecting D-branes

 $M^4$ 

## Chiral fermions and SM from MM

• Orbifolds

HA, S. Iso, T. Suyama, 2002

A. Chatzistavrakidis, H. Steinacker, G. Zoupanos, 2010

#### • Intersecting D-branes

A. Chatzistavrakidis, H. Steinacker, G. Zoupanos, 2011

J. Nishimura, A. Tsuchiya, 2013

HA, J. Nishimura, A. Tsuchiya, 2013

#### $\ensuremath{\Uparrow}$ via T-duality

- Toroidal compactifications with magnetic fluxes  $\sim$  magnetized D-branes HA, 2011, 2013, 2013

#### A. Tsuchiya's talk

#### <u>Outline</u>

- $\surd$   $\S1$  Introduction
  - $\S2$  Formulation of NC tori
  - $\S3$  Matrix configurations for phenomenological models
  - §4 Probability distribution over the phenomenological models (Semiclassical analyses of MM)
  - $\S 5$  Conclusions and Discussions

## §2 Formulation of NC tori

### **Spacetime and matter in matrices**

#### • Hermitian-matrix formulation

HA, N. Ishibashi, S. Iso, H. Kawai, Y. Kitazawa, T. Tada, 2000

NC background

$$[p_{\mu}, p_{\nu}] = i\theta_{\mu\nu}$$

Decompose

$$A_{\mu} = p_{\mu} + a_{\mu}$$

• MM gives NC field theory.

$$\operatorname{tr} \left( [A_{\mu}, A_{\nu}]^{2} + \bar{\psi} \Gamma_{\mu} [A_{\mu}, \psi] \right)$$
$$= \int d^{d}x \left( (F_{\alpha\beta})^{2} + (D_{\alpha}\phi_{i})^{2} + [\phi_{i}, \phi_{j}]^{2} + \bar{\psi} \Gamma_{\alpha} D_{\alpha} \psi + \bar{\psi} \Gamma_{i} [\phi_{i}, \psi] \right)_{\star}$$

• Unitary-matrix formulation

J. Ambjorn, Y. M. Makeenko, J. Nishimura, R. J. Szabo, 2000

NC background

$$\Gamma_{\mu}\Gamma_{\nu} = \mathcal{Z}_{\mu\nu}\Gamma_{\nu}\Gamma_{\mu}$$

Decomposition

$$V_{\mu} = U_{\mu}\Gamma_{\mu}$$

• Correspondence between unitary and Hermitian matrices:

$$V_{\mu} \sim e^{iA_{\mu}/R}$$
,  $\Gamma_{\mu} \sim e^{ip_{\mu}/R}$ ,  $U_{\mu} \sim e^{ia_{\mu}/R}$ 

• Twisted Eguchi-Kawai model gives NC plaquette action

$$-\mathcal{N}\beta \sum_{\mu\neq\nu} \mathcal{Z}_{\nu\mu} \operatorname{tr} \left( V_{\mu} V_{\nu} V_{\mu}^{\dagger} V_{\nu}^{\dagger} \right)$$
$$= -\beta \sum_{\mu\neq\nu} \sum_{x} U_{\mu}(x) \star U_{\nu}(x+\epsilon\hat{\mu}) \star U_{\mu}(x+\epsilon\hat{\nu})^{*} \star U_{\nu}(x)^{*}$$

- We will use finite-unitary-matrix formulation for NC tori.
- $M^4 \times T^6$  compactification

$$M^{4} : A_{\mu} = x_{\mu} \otimes \mathbb{1}$$
$$T^{6} : e^{iA_{i}/R} \sim \mathbb{1} \otimes V_{i}$$

with  $\mu = 0, ..., 3$  and i = 4, ..., 9.

- Alternatively, one can sonsider  $T^4 \times T^6$  with a huge anisotropy of sizes.
- Topological configurations  $V_i$  are given by using the Morita equivalence. J. Ambjorn, Y. M. Makeenko, J. Nishimura, R. J. Szabo, 2000

R. J. Szabo, 2003

HA, J. Nishimura, Y. Susaki, 2009

**Topological configurations**  $V_i$  in  $T^6 = T^2 \times T^2 \times T^2$  $V_{i+3} = \begin{pmatrix} \Gamma_{1,i}^1 \otimes \mathbb{1}_{n_2^1} \otimes \mathbb{1}_{n_3^1} \otimes \mathbb{1}_{p^1} \\ & & & & \\$  $\Gamma^{h}_{1,i}\otimes 1\!\!1_{n^{h}_{2}}\otimes 1\!\!1_{n^{h}_{3}}\otimes 1\!\!1_{p^{h}}$  $V_{i+5} = \begin{pmatrix} \mathbb{1}_{n_1^1} \otimes \Gamma_{2,i}^1 \otimes \mathbb{1}_{n_3^1} \otimes \mathbb{1}_{p^1} \\ \mathbb{1}_{n_1^2} \otimes \Gamma_{2,i}^2 \otimes \mathbb{1}_{n_3^2} \otimes \mathbb{1}_{p^2} \\ \end{pmatrix}$  $1\!\!1_{n_1^h}\otimes\Gamma^h_{2,i}\otimes1\!\!1_{n_3^h}\otimes1\!\!1_{p^h}$  $V_{i+7} = \begin{pmatrix} \mathbb{1}_{n_1^1} \otimes \mathbb{1}_{n_2^1} \otimes \Gamma_{3,i}^1 \otimes \mathbb{1}_{p^1} \\ & \mathbb{1}_{n_1^2} \otimes \mathbb{1}_{n_2^2} \otimes \Gamma_{3,i}^2 \otimes \mathbb{1}_{p^2} \\ & & \ddots \end{pmatrix}$  $1\!\!1_{n_1^h}\otimes 1\!\!1_{n_2^h}\otimes \Gamma^h_{3,i}\otimes 1\!\!1_{p^h} \biggr)$ with i = 1, 2

- $\mathbb{1}_{p^1}, \ldots, \mathbb{1}_{p^h}$  yield the gauge group  $U(p^1) \times U(p^2) \times \cdots \times U(p^h)$ .
- The unitary matrix  $\Gamma_{l,i}^{a}$  represents NC  $T^{2}$  with magnetic flux  $q_{l}^{a}$ .
- $a = 1, \ldots, h$  label the blocks. l = 1, 2, 3 label lth  $T^2$  in  $T^6 = T^2 \times T^2 \times T^2$
- A topological configuration  $V_i$  is specified by the integers  $p^a$  and  $q_l^a$ .
- In fact,  $V_i$  are classical solutions for the unitary MM.

#### The off-diagonal block $\psi^{ab}$ of adjoint fermion

$$\psi = \begin{pmatrix} \psi^{11} & \psi^{12} & \cdots & \psi^{1h} \\ \psi^{21} & \psi^{22} & \cdots & \psi^{2h} \\ \vdots & \vdots & \ddots & \vdots \\ \psi^{h1} & \psi^{h2} & \cdots & \psi^{hh} \end{pmatrix}$$

- Bifundamental rep.  $(p^a, \bar{p^b})$  under the gauge group  $U(p^a) \times U(p^b)$
- Topological charge, i.e., magnetic flux, in  $T^6$ :

$$\frac{1}{2}\mathcal{T}r\left[P^{aL}P^{bR}(\gamma+\hat{\gamma})\right] = p^{a}p^{b}\prod_{l=1,2,3}(q_{l}^{a}-q_{l}^{b})$$

The Dirac index takes the correct values in a GW Dirac operator.

 $\Rightarrow$  Generation number on our spacetime

## §3 Phenomenological models

- **Fermion content** = SM fermions with 3 generations
- SM gauge group  $\subset$  **Gauge group**  $\subset$  U(8)
- (i)  $U(4) \times U_L(2) \times U_R(2)$ : Pati-Salam-like model (ii)  $U_c(3) \times U(1) \times U_L(2) \times U_R(2)$
- (iii)  $U(4) \times U_L(2) \times U(1)^2$
- (iv)  $U_c(3) \times U(1) \times U_L(2) \times U(1)^2$

## (i) $U(4) \times U_L(2) \times U_R(2)$ model

Fermion embedding into matrix:

$$\psi = \begin{pmatrix} o & q & u & d \\ 0 & l & \nu & e \\ \hline 0 & 0 & 0 \\ \hline 0 & 0 & 0 \end{pmatrix}$$

*q*: quark doublets *l*: lepton doublets *u*,*d*: quark singlets  $\nu$ ,*e*: lepton singlets *o*: Vanishing index  $\Rightarrow$  No massless modes



$$q_l^{ab} = q_l^a - q_l^b \quad \cdots T^2 \text{ flux}$$
$$q^{ab} = \prod_{l=1}^3 q_l^{ab} \quad \cdots T^6 \text{ flux}$$

#### $T^6$ flux must take values

$$q^{ab} = \begin{pmatrix} 0 & -3 & 3 \\ & 0 & 0 \\ & & 0 \end{pmatrix}$$

**4** solutions for  $T^2$  flux:



## (ii) $U_c(3) \times U(1) \times U_L(2) \times U_R(2)$ model

Fermion embedding into matrix:

$$\psi = \begin{pmatrix} o & o & q & u & d \\ \hline & o & l & \nu & e \\ \hline & & o & o \\ \hline & & & o & \end{pmatrix}$$

 $T^6$  flux must take values

$$q^{ab} = \begin{pmatrix} 0 & 0 & -3 & 3 \\ & 0 & -3 & 3 \\ & & 0 & 0 \\ & & & 0 \end{pmatrix}$$

**4** solutions for  $T^2$  flux:



## (iii) $U(4) \times U_L(2) \times U(1)^2$ model

#### Fermion embedding into matrix:

		q	$\mid u$	d	
	0	l	u	e	
$\psi =$		0	0	0	
			0	0	
				0	

#### $T^6$ flux must take values

$$q^{ab} = \begin{pmatrix} 0 & -3 & 3 & 3 \\ & 0 & 0 & 0 \\ & & 0 & 0 \\ & & & 0 \end{pmatrix}$$

**9** solutions for  $T^2$  flux:

$\hat{q}_1^{ab}$	$\hat{q}_2^{ab}$	$\hat{q}_3^{ab}$
$ \begin{array}{c cccc}  & -1 & 1 & -1 \\  & 2 & 0 \\  & & -2 \end{array} $	$\begin{pmatrix} 1 & 1 & -1 \\ & 0 & -2 \\ & & -2 \end{pmatrix}$	$\begin{pmatrix} 3 & 3 & 3 \\ & 0 & 0 \\ & & 0 \end{pmatrix}$
$ \begin{array}{cccc} \begin{pmatrix} -1 & 1 & -1 \\ & 2 & 0 \\ & & -2 \end{pmatrix} $	$ \begin{pmatrix} 1 & 1 & 1 \\ & 0 & 0 \\ & & 0 \end{pmatrix} $	$ \begin{pmatrix} 3 & 3 & -3 \\ & 0 & -6 \\ & & -6 \end{pmatrix} $
$ \begin{pmatrix} -1 & 1 & 3 \\ & 2 & 4 \\ & & 2 \end{pmatrix} $	$\begin{pmatrix} 1 & 1 & 1 \\ & 0 & 0 \\ & & 0 \end{pmatrix}$	$ \begin{pmatrix} 3 & 3 & 1 \\ & 0 & -2 \\ & & -2 \end{pmatrix} $
$ \begin{pmatrix} -1 & 1 & -3 \\  & 2 & -2 \\  & & -4 \end{pmatrix} $	$\begin{pmatrix} 1 & 1 & 1 \\ & 0 & 0 \\ & & 0 \end{pmatrix}$	$ \begin{pmatrix} 3 & 3 & -1 \\ & 0 & -4 \\ & & -4 \end{pmatrix} $
$\begin{pmatrix} -1 & -1 & 3 \\ & 0 & 4 \\ & & 4 \end{pmatrix}$	$\begin{pmatrix} 1 & 1 & 1 \\ & 0 & 0 \\ & & 0 \end{pmatrix}$	$ \begin{pmatrix} 3 & -3 & 1 \\ & -6 & -2 \\ & & 4 \end{pmatrix} $
$\begin{pmatrix} -1 & -1 & -3 \\ & 0 & -2 \\ & & -2 \end{pmatrix}$	$\begin{pmatrix} 1 & 1 & 1 \\ & 0 & 0 \\ & & 0 \end{pmatrix}$	$ \begin{pmatrix} 3 & -3 & -1 \\ & -6 & -4 \\ & & 2 \end{pmatrix} $
$\begin{pmatrix} -1 & -1 & 3 \\ & 0 & 4 \\ & & 4 \end{pmatrix}$	$\begin{pmatrix} 1 & -3 & 1 \\ & -4 & 0 \\ & & 4 \end{pmatrix}$	$ \begin{pmatrix} 3 & 1 & 1 \\ & -2 & -2 \\ & & 0 \end{pmatrix} $
$ \begin{pmatrix} -1 & -1 & -1 \\ & 0 & 0 \\ & & & 0 \end{pmatrix} $	$\begin{pmatrix} 1 & -3 & 3 \\ & -4 & 2 \\ & & 6 \end{pmatrix}$	$ \begin{pmatrix} 3 & 1 & -1 \\ & -2 & -4 \\ & & -2 \end{pmatrix} $
$\begin{pmatrix} -1 & -1 & -3 \\ & 0 & -2 \\ & & -2 \end{pmatrix}$	$\left(\begin{array}{rrrr}1&3&1\\&2&0\\&&-2\end{array}\right)$	$ \begin{pmatrix} 3 & -1 & -1 \\ & -4 & -4 \\ & & 0 \end{pmatrix} $

## (iv) $U_c(3) \times U(1) \times U_L(2) \times U(1)^2$ model

#### Fermion embedding into matrix:

$$\psi = \begin{pmatrix} o & o & q & u & d \\ \hline & o & l & \nu & e \\ \hline & & o & o & o \\ \hline & & & o & o \\ \hline & & & & o & o \\ \hline & & & & & o & o \end{pmatrix}$$

 $T^6$  flux must take values

#### No solution for $T^2$ flux

# §4 Probability distribution

## **Semiclassical analyses of MM dynamics**

## **Unitary MM**

$$S_b = -\beta \mathcal{N} \sum_{i \neq j} \mathcal{Z}_{ji} \operatorname{tr} \left( \mathcal{V}_i \, \mathcal{V}_j \, \mathcal{V}_i^{\dagger} \, \mathcal{V}_j^{\dagger} \right) + \dots$$
(2)

with

$$\mathcal{Z}_{45} = \exp\left(2\pi i \frac{s_1}{N_1}\right), \quad \mathcal{Z}_{67} = \exp\left(2\pi i \frac{s_2}{N_2}\right), \quad \mathcal{Z}_{89} = \exp\left(2\pi i \frac{s_3}{N_3}\right),$$

### Background configurations:

$$\mathcal{V}_i = 1 \otimes V_i$$

with

• By plugging these configurations into the MM action (2),

$$S_{b} = -2\beta \mathcal{N}N_{4}N_{5} \sum_{l=1}^{3} \sum_{a=1}^{h} n_{1}^{a} n_{2}^{a} n_{3}^{a} p^{a} \cos\left(2\pi \left(\frac{s_{l}}{N_{l}} + \frac{m_{l}^{a}}{n_{l}^{a}}\right)\right)$$

• Using the relation of the Morita equivalence,

$$\frac{s_l}{N_l} + \frac{m_l^a}{n_l^a} = \frac{q_l^a}{N_l n_l^a} = -\frac{1}{2r} \left( \frac{1}{N_l} - \frac{1}{n_l^a} \right)$$

 $\Rightarrow$  The classical action takes the minimum value if and only if

$$q_l^a = 0 \Leftrightarrow n_l^a = N_l$$

for  $\forall a \text{ and } \forall l$ .

### Small fluctuations around the minimum

- Configurations with  $|q_l^a| \ll N_l$
- The classical action is approximated as

$$\Delta S_b \simeq 4\pi^2 \beta \mathcal{N}^2 \sum_{l=1}^3 \frac{1}{(N_l)^4} \sum_{a=1}^h p^a (q_l^a)^2$$
(3)

#### $\sim$ Instanton action

• Comparing the IIB MM (1) and the unitary MM (2) by using correspondence between Hermitian and unitary matrices:

$$\mathcal{V}_M \sim \exp\left(2\pi i \frac{A_M}{\epsilon N_l}\right)$$

one finds a relation between the coupling constants

$$\frac{1}{2}\beta \mathcal{N}\left(\frac{2\pi}{\epsilon N_l}\right)^4 = \frac{1}{g_{\text{IIBMM}}^2}$$

 $\Rightarrow$  The instanton action (3) becomes

$$\Delta S_b = \frac{A}{2\pi^2} \sum_{l=1}^3 \sum_{a=1}^h p^a (q_l^a)^2 \ .$$

$$A = \frac{\epsilon^4 \mathcal{N}}{g_{\rm IIBMM}^2}$$

 $\Rightarrow$  Scaling limits of fixing  $g^2_{\rm IIBMM} {\cal N}^{\alpha}/\epsilon^4~$  with

(1) 
$$\alpha < -1 \Rightarrow A = 0$$
  
(2)  $\alpha = -1 \Rightarrow A = \text{finite}$   
(3)  $\alpha > -1 \Rightarrow A = \infty$ 

#### How to take large-N limits and probability disribution over the string vacuum space

(1)  $g_{\rm IIBMM}^2 \mathcal{N}^{\alpha} / \epsilon^4$  with  $\alpha < -1$ 

- All the topological sectors appear with equal probabilities.
- The estimation for the probability distribution over the string vacuum space reduces to number counting of the classical solutions.

(2)  $\alpha = -1$ 

– All the topological sectors appear, but with different probabilities  $\sim e^{-\Delta S_b}$ 

(3)  $\alpha > -1$ 

- Only a single topological sector survives.

### The instanton action in each phenomenological model

- Each solution in each phenomenological model specifies  $T^2$  fluxes  $q_l^{ab}$ .
- Since  $q_l^a$  are detemined by

$$q_l^{ab} = q_l^a - q_l^b \;,$$

 $q_l^a$  have an arbitrarilness of integer shifts  $q_l$ .

• The mimimum value of the instanton actions within this arbitrarilness  $q_l$ 

$$\Delta S_b = \frac{A}{2\pi^2} \sum_{l=1}^{3} \sum_{a=1}^{h} p^a (q_l^a)^2$$

gauge group	integral $q_l$	fractional $q_l$
U(8)	0	0
$U(4) \times U_L(2) \times U_R(2)$	28	24
	44	40
	36	32
	36	32
$U_c(3) \times U(1) \times U_L(2) \times U_R(2)$	44	43
	40	39
	36	36
	28	27
$U(4) \times U_L(2) \times U(1)^2$	28	25
	40	37
	32	29
	36	32
	44	40
	40	37
	36	36
	40	37
	36	33

- Rather small instanton actions for the phenomenologcal models
- No substantial differences among the models

## **§5 Conclusions and Discussions**

### Summary

- We have considered the situations where the IIB MM is compactified on a torus with magnetic fluxes,
- exhausted all the matrix configurations that yield all the models whose gauge group is a subgroup of U(8),
- semiclassically estimated probability distribution of their appearance.

### **Discussions**

- Higgs field:
  - Gauge fields in the extra dimensions give scalar fields on our spacetime

$$V_i = \begin{pmatrix} o & o & o \\ & o & \text{Higgs} \\ & & o \end{pmatrix}$$

- It is difficult to keep scalar fields massless against quantum corrections.
   the naturalness/hierarchy problem
  - \* How to realize SUSY and how to break it.
  - \* Other resolutions of the naturalness/hierarchy problem
- How the electroweak symmetry breaking occurs.
- Values of the Yukawa couplings and the flavor structures

#### • Anomaly cancellations

- Extra U(1) gauge groups  $\Rightarrow$ Anomaly
- May be canceled via the Green-Schwarz mechanism by exchange of the RR-fields
- Extend the matrix configurations
  - by introducing the Wilson lines and tilting the tori
- Compactifications
  - Other compactifications than tori
  - Compactifications in MM:

Matter and gravitons must propagate in the compactified space.

• Ultimately,

we hope to analyze full dynamics in the MM, and survey the probability distribution over the whole of the landscape!

Thank you