

Flavour in the Higgs Era

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based on collaboration with

F. Botella, M.N. Rebelo, M. Nebot
and earlier work with W. Grimus, L. Lavagna

Some of the Open Questions in Flavor Physics.

- Why is there "Flavour"?
i.e. What is the Origin of Family replication?
- How to understand the observed spectrum of Fermion Masses and Mixing?
Why is Leptonic Mixing Large, in contrast to Small Quark Mixing?
- Why is there Flavor Alignment in the Quark Sector?

• Into the Scalar Sector

play a non-trivial rôle in the question of Flavour? If so, it is likely that Nature chooses a richer scalar sector. Simplest possibility: more than one Higgs doublet

Question: How to avoid

Flavour changing neutral currents?

- What is the Origin of CP Violation?
- How to solve the Strong CP problem?

Peccci - Quim provide
an elegant solution,
but no Axioms have been found!

The various manifestations of

CP violation:

- CP violation in the quark sector
- CP violation in the lepton sector
- CP violation needed to generate BAV

A Common Origin?

- What about the Strong CP problem?

CP Violation is closely related to the Question of Flavours

An open question :

- Is CP broken explicitly through complex Yukawa couplings like in the SM

or (T.D. Lee)

- Spontaneously : Lagrangian is invariant under CP but the vacuum violates CP?

5

At present, there is solid experimental evidence for a complex V_{CKM} .

Does this imply complex Yukawa couplings?

NO!!

There are realistic models of spontaneous CP violation where the vacuum phase generates a complex V_{CKM} .

Can one have geometrical CP violation?

6

For definiteness, let us consider an extension of the SM where n $SU(2) \times U(1)$ scalar doublets are introduced. In order to include the possible existence of "family" symmetries of the Lagrangian under which the scalar doublets transform non-trivially, one has to consider the most general CP transformation which leaves invariant the kinetic energy terms of the scalar doublets:

$$CP \phi_i (CP)^\dagger = \sum_{j=1}^n U_{ij} \phi_j^*$$

Let us assume that the vacuum is CP invariant, meaning that:

$$CP |0\rangle = |0\rangle$$

One can then derive, the following relation:

$$\sum_{j=1}^n U_{ij} \langle 0 | \Phi_j | 0 \rangle^* = \langle 0 | \phi_i | 0 \rangle$$

If the vacuum is such that none of the symmetries allowed by the Lagrangian satisfy the above equation, then this means that the vacuum is not CP invariant and we say that CP is spontaneously broken.

There are various **examples** of multi-Higgs models with "family" symmetries, where in a certain region of **parameter space**, the minimum of the scalar potential corresponds to **fixed values of the vacuum phases**, which do not depend on the specific values of the parameters of the potential.

$$Z_2 \text{ symmetry} \rightarrow \langle \phi_1^0 \rangle = v ; \langle \phi_2^0 \rangle = v e^{i\pi/2}$$

$$S_3 \text{ symmetry} \rightarrow \langle \phi_1^0 \rangle = v ; \langle \phi_2^0 \rangle = v e^{i2\pi/3} ; \langle \phi_3^0 \rangle = v e^{i4\pi/3}$$

It has been shown by G.B. Girard, J.M. Grima, W that, contrary to naive expectation all these vacua are CP conserving.

However, an example was found, based on the group $\Delta(27)$ leading to genuine CP and T geometrical violation.

Recently, interesting other examples have been found:

I. M. Varzielas, D. E. Costa, P. Lezer Phys. Lett (2012)
I. P. Ivanov, L. Lavrova, Eur. Phys. (2013)

Realistic model?

Generation of the Baryon Asymmetry of the Universe (BAU)

The ingredients to dynamically generate

BAU from an initial state with zero

B. A. , were formulated by Sakharov (1967)

- (i) Baryon number violation
- (ii) C and CP Violation
- (iii) Departure from thermal equilibrium

All these ingredients exist in the SM, but it has been established that in the SM, one cannot generate the observed BAU:

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.20 \pm .15) \times 10^{-10}$$

$n_B, n_{\bar{B}}, n_\gamma$ number densities of baryons, anti baryons and photons at present time.

14

Reasons why the SM cannot generate sufficient BAU:

(i) CP violation in the SM is too small

$$\frac{\text{Tr} [H_u, H_d]^3}{T_{EW}^{12}} \approx 10^{-20}$$

(ii) Successful baryogenesis requires a strongly first order phase transition which would require a light Higgs mass

$$m_H \leq 70 \text{ GeV}$$

Weak-basis invariants are a useful to study \tilde{F} flavour.

Example :

\rightarrow J. Burdakov, G.C.B., M. Gronau

$$\text{Tr} [H_u, H_d] = \sum_{i=1}^3 \delta_i (m_c^2 - m_u^2) (m_t^2 - m_c^2) (m_t^2 - m_u^2) \times (m_s^2 - m_d^2) (m_b^2 - m_s^2) (m_b^2 - m_d^2) \times$$

$\text{Im } Q$

$Q \rightarrow$ invariant quartet of Y_{CKM}

$\propto \det [H_u, H_d]$
for 3 generations

(C. Jarlskog)

One may also study invariants under Higgs basis transformations

G.C.B., M.N. Rebelo, Silva-Moreno ;

H. Haber, F. Gunion
S. Davidson, H. Haber

3 Flavor Dogmas introduced in the 70's, soon after the creation and early success of the S.M.

Dogma 1 - Neutrinos are strictly massless!

Dogma 2 - No Z -mediated FCNC at tree level

Dogma 3 - No Higgs mediated FCNC at tree level.

Question: We all know the "Fate of Dogma N°1" Will the other two Dogmas have the same "Fate" as Dogma 1?

Can one violate these two dogmas in reasonable extensions of the SM? Yes!

"Reasonable" means that FCNC should be naturally suppressed, without fine-tuning.

. In the gauge sector, the Dogma can be violated through the introduction of a $Q = 1/3$ and/or $Q = 2/3$ vector-like quark.

Naturally small violations of 3×3 unitarity of V_{CKM}

Z-mediated, Naturally suppressed FCNC at tree level

Yukawa interactions in the General Two Higgs doublet Model

$$-\mathcal{L}_Y = \bar{Q}_L^0 \Gamma_1 \phi_1^0 d_R^0 + \bar{Q}_L^0 \Gamma_2 \phi_2^0 d_R^0 + \bar{Q}_L^0 \Delta_1 \tilde{\phi}_1^0 u_R^0 + \bar{Q}_L^0 \Delta_2 \tilde{\phi}_2^0 u_R^0 + \text{h.c.}$$

Quark mass matrices:

$$M_d = \frac{1}{\sqrt{2}} (\nu_1 \Gamma_1 + \nu_2 e^{i\alpha} \Gamma_2); \quad M_u = \frac{1}{\sqrt{2}} (\nu_1 \Delta_1 + \nu_2 e^{-i\alpha} \Delta_2)$$

Diagonalized by:

$$(U_d)_L^\dagger M_d (U_d)_R = D_d = \text{diag}(m_d, m_s, m_b)$$

$$(U_u)_L^\dagger M_u (U_u)_R = D_u = \text{diag}(m_u, m_c, m_t)$$

Expanding around the vacuum:

$$\langle \phi_j^0 \rangle = e^{i\alpha_j} \frac{1}{\sqrt{2}} (\nu_j + \rho_j + i\eta_j) \quad j = 1, 2$$

It is useful to introduce new fields:

$$\begin{bmatrix} H^0 \\ R \end{bmatrix} = 0 \begin{bmatrix} \rho_1 \\ \rho_2 \end{bmatrix}; \quad [G^0] = 0 \begin{bmatrix} \eta_1 \\ \eta_2 \end{bmatrix}; \quad [G^+] = 0 \begin{bmatrix} \phi_1^+ \\ \phi_2^+ \end{bmatrix}$$

$$0 = \frac{1}{\sqrt{2}} \begin{bmatrix} \nu_1 & \nu_2 \\ \nu_2 & -\nu_1 \end{bmatrix}; \quad \nu = \sqrt{\nu_1^2 + \nu_2^2} \approx 246 \text{ GeV}$$

$H^0 \rightarrow$ has couplings to quarks proportional to

$G^0 \rightarrow$ neutral pseudo Goldstone boson mass matrices

$H^\pm \rightarrow$ charged pseudo - Goldstone bosons

Neutral and charged Higgs interactions
in the quark sector

$$\begin{aligned}
 -\mathcal{L}_Y = & \bar{d}_L^{\circ} \frac{1}{\sqrt{2}} (M_d H^{\circ} + N_d^{\circ} R + i N_d^{\circ} I) d_R^{\circ} + \\
 & + \bar{u}_L^{\circ} \frac{1}{\sqrt{2}} (M_u H^{\circ} + N_u^{\circ} R + i N_u^{\circ} I) u_R^{\circ} + \\
 & + \frac{\sqrt{2}}{\sqrt{2}} H^+ (\bar{u}_L^{\circ} N_d^{\circ} d_R^{\circ} - \bar{u}_R^{\circ} N_u^{\circ} d_L^{\circ}) + \text{h.c.}
 \end{aligned}$$

$$N_d^{\circ} = \frac{1}{\sqrt{2}} (\nu_2 \uparrow - \nu_1 e^{i\alpha} \uparrow_2) ; \quad N_u^{\circ} = \frac{1}{\sqrt{2}} (\nu_2^{\Delta_1} \nu_1 e^{-i\alpha} \Delta_2)$$

In the quark mass eigenstate basis N_d, N_u
are not flavor diagonal.

Physical neutral Higgs are combinations of H°, R, I

γ Yukawa Couplings in terms of quark mass eigenstates:

$$\begin{aligned} \mathcal{L}_Y = & \frac{\sqrt{2} H^+}{v} \bar{u} [-V N_d \delta_R + N_u^+ V \delta_L] d + \text{h.c.} \\ & - \frac{H^0}{v} [\bar{u} D_u u + \bar{d} D_d d] - \\ & - \frac{R}{v} [\bar{u} (N_u \delta_R + N_u^+ \delta_L) u + \bar{d} (N_d \delta_R + N_d^+ \delta_L) d] \\ & + \frac{I}{v} [\bar{u} (N_u \delta_R - N_u^+ \delta_L) u - \bar{d} (N_d \delta_R - N_d^+ \delta_L) d] \end{aligned}$$

$\delta_L = \frac{1}{2}(1 - \gamma_5)$; $\delta_R = \frac{1}{2}(1 + \gamma_5)$; V is the

CKM matrix

Flavour changing neutral currents are controlled

by :

$$N_d = \frac{1}{\sqrt{2}} U_{dL}^\dagger (\nu_2 \Gamma_1 - \nu_1 e^{i\alpha} \Gamma_2) U_{dR}$$

$$N_u = \frac{1}{\sqrt{2}} U_{uL}^\dagger (\nu_2 \Delta_1 - \nu_1 e^{-i\alpha} \Delta_2) U_{uR}$$

For generic two Higgs doublet models N_u, N_d are non-diagonal, arbitrary matrices.



too large Higgs mediated Flavour changing neutral currents, unless a suppression mechanism is introduced

G.C.B, Grimus, W and Lavoura, L (BGL) have shown that it is possible to find a symmetry which when imposed on a 2-Higgs doublet extension of the SM, leads to a structure of the Yukawa couplings such that there are FCNC at tree level, with strength completely controlled by V_{CKM} , i.e., N_d, N_u only depend on V_{CKM} and on $\frac{V_1}{V_2}$.

Possible choice of the symmetry S :

$$Q_{L3}^0 \rightarrow \exp(i\alpha) Q_{L3}^0 ; U_{R3}^0 \rightarrow \exp(i2\alpha) U_{R3}^0$$

$$\phi_2 \rightarrow \exp(i\alpha) \phi_2, \text{ where } \alpha \neq 0, \pi$$

all other fields transform trivially under S .

This leads to the Yukawa couplings:

$$\Gamma_1 = \begin{bmatrix} x & x & x \\ x & x & x \\ 0 & 0 & 0 \end{bmatrix} ; \Gamma_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ x & x & x \end{bmatrix}$$

$$\Delta_1 = \begin{bmatrix} x & x & 0 \\ x & x & 0 \\ 0 & 0 & 0 \end{bmatrix} ; \Delta_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & x \end{bmatrix}$$

These Yukawa structures lead to :

$$(M_d)_{ij} = \frac{\sqrt{2}}{v_1} (D_d)_{ij} - \left(\frac{v_2}{v_1} + \frac{v_1}{v_2} \right) [V_{CKM}]_{3j} [V_{CKM}^*]_{3i} (D_d)_{ij}$$

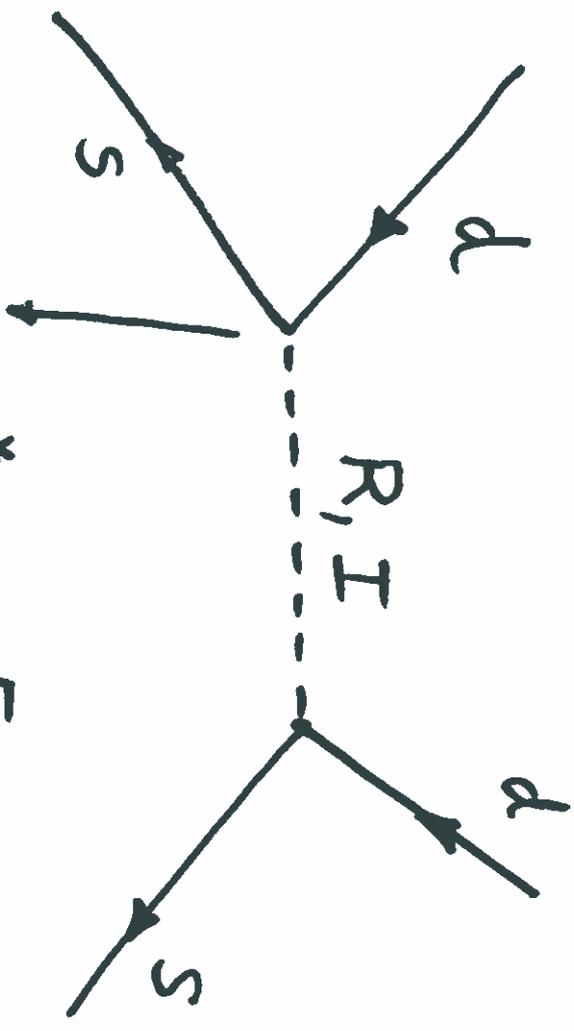
$$(M_u) = -\frac{v_1}{v_2} \text{diag.} (0, 0, m_t) + \frac{v_2}{v_1} \text{diag.} (m_u, m_c, 0)$$

In this particular **BGL** model there are

FCNC only in the down sector, but

there is a **strong, natural suppression** of the most 'dangerous' processes

$K^0 - \bar{K}^0$ mixing



$V_{td} V_{ts}^* \sim \lambda^5$

λ^{10} suppression!!

There are 6 different BGL models in the quark sector

Can one have a model where
gauge mediated FCNC exist at
tree level, but are naturally suppressed?

Answer: Yes!!

A Minimal Model

- Consider an extension of the SM, where the following new fields are introduced:
- A vectorial quark D^0 , with both D_L^0 and D_R^0 are $SU(2)_L$ singlets with charge $Q = -1/3$ (or $Q = 2/3$)
 - 3 right-handed neutrinos ν_{Rj}^0
 - A neutral complex singlet S

- Since we want to have Spontaneous CP violation, we impose CP invariance at the Lagrangian level: All couplings real.
- Introduce a Z_4 symmetry on the Lagrangian, under which:

$$\psi_L^0 \rightarrow i \psi_L^0 ; e_{Rj}^0 \rightarrow i e_{Rj}^0 ; \nu_{Rj}^0 \rightarrow i \nu_{Rj}^0$$

$$D^0 \rightarrow -D^0 ; S \rightarrow -S$$

The Z_4 symmetry is crucial to obtain a solution of the Strong CP problem and Leptogenesis

Scalar Potential

The Scalar potential contains various terms which do not have ϕ dependence, but there are terms with ϕ dependence.

$$V_{\text{phase}} = \left[\mu^2 + \lambda_1 S^* S + \lambda_2 \phi^\dagger \phi \right] (S^2 + S^{*2}) + \lambda_3 (S^4 + S^{*4})$$

There is a range of the parameters of the Higgs potential, where the minimum is at:

$$\langle \phi \rangle = \frac{v}{\sqrt{2}} ; \quad \langle S \rangle = \frac{v}{\sqrt{2}} e^{i\theta}$$

Most general $SU(2)_L \times U(1) \times SU(3)_c \times Z_4$ invariant Yukawa couplings in the quark sector :

$$\mathcal{L}_Y = -(\bar{u}_i^0 \bar{d}_i^0) \left[g_{ij} d_{Rj}^0 + h_{ij} \tilde{\phi} u_{Rj}^0 \right] - \bar{M} (\bar{D}_L^0 D_R^0) - (f_i S + f_i' S^*) \bar{D}_i^0 d_{Ri}^0 + h.c.$$

Quark mass matrix for down-type quarks :

$$\begin{pmatrix} \bar{d}_{1L}^0 & \bar{d}_{2L}^0 & \bar{d}_{3L}^0 & \bar{D}_L^0 \end{pmatrix} \begin{matrix} 3 \times 3, \text{ real} \\ m_d \\ \vdots \\ 0 \end{matrix} \begin{matrix} \vdots \\ \vdots \\ \vdots \\ \vdots \end{matrix} \begin{pmatrix} d_{1R}^0 \\ d_{2R}^0 \\ d_{3R}^0 \\ D_R^0 \end{pmatrix}$$

"zeros" due to Z_4 symmetry

$$M_j = f_j V e^{i\theta} + f_j' V e^{-i\theta}$$

A remarkable feature of the Model:

The phase θ arising from $\langle S \rangle$, generates a non-trivial CKM phase, provided $|M_j|$ and \bar{M} are of the same order of magnitude (This is "natural")

$$K^{-1} m_{\text{eff}} m_{\text{eff}}^\dagger K = \text{diag.} (m_d^2, m_s^2, m_b^2)$$

$$m_{\text{eff}} m_{\text{eff}}^\dagger = m_d m_d^\dagger - \frac{m_d M^\dagger M m_d^\dagger}{M M^\dagger + \bar{M}^2}$$

$$M_j = (f_j V e^{i\theta} + f_j' V e^{-i\theta})$$

Naturally small
deviations of 3×3 unitarity

Naturally Small
Flavor-Changing
Neutral Currents

For definiteness, consider the case of one isosinglet $Q = -1/3$
quark
 3×3 V_{CKM}

$$\mathcal{L}_W = -\frac{g}{\sqrt{2}} (\bar{u} \bar{c} \bar{t})_L \gamma^\mu [K \overset{\uparrow}{R}] \begin{bmatrix} d \\ s \\ b \end{bmatrix} W_\mu^+$$

$$\mathcal{L}_Z = -\frac{g}{2 \cos \theta_W} \left\{ (\bar{u} \bar{c} \bar{t})_L \gamma^\mu \begin{bmatrix} u \\ c \\ t \end{bmatrix} - [\bar{u} \bar{s} \bar{b} \bar{D}] \begin{bmatrix} K^+ R \\ R^+ R \end{bmatrix} \gamma^\mu \begin{bmatrix} d \\ s \\ b \end{bmatrix} \right. \\ \left. - \sin^2 \theta_W J_{em}^\mu \right\} Z_\mu$$

Why deviations of 3×3 unitarity are naturally small :

$$U_L^\dagger M M^\dagger U_L = \text{diag.} (m_d^2, m_s^2, m_b^2, M_D^2)$$

$$U_L = \begin{bmatrix} K & R \\ S & T \end{bmatrix} ; \quad K^\dagger K + S^\dagger S = 1$$

$$\text{but } S \approx -\frac{M m_d^\dagger K}{M^2} \rightarrow O(m/M) ;$$

$K^\dagger K = 1 - O(m^2/M^2)$. Note that there is nothing strange about relations of 3×3 unitarity.

The PMNS matrix is not unitary in the framework of seesaw mechanism, type 1.

A possible solution to the

Strong CP problem:

$$\bar{\theta} = \theta_{\text{QCD}} + \theta_{\text{QFD}} ;$$

$$\alpha_{\theta} = \theta_{\text{QCD}} \frac{g_s^2}{32\pi^2} F\tilde{F} ; \quad \theta_{\text{QFD}} = \arg \det(M_u M_d)$$

\downarrow
 $= 0$ due to

\downarrow
 $= 0$ due to the

CP invariance
of the Lagrangian

Z_4 symmetry

Leptonic Sector and Leptogenesis

The Z_η symmetry forbids the inclusion in the Lagrangian of terms of the type:

$$\nu_R^{\circ T} C M \nu_R^{\circ} \rightarrow \text{not invariant under } Z_\eta$$

But allows the following couplings:

$$f_2 \nu_R^{\circ T} C S \nu_R^{\circ} + f_2' \nu_R^{\circ T} C S^* \nu_R^{\circ}$$

After S acquires a vev: $\langle S \rangle = V e^{i\alpha}$
 a mass term is generated:

$$M = V (f_2^+ \cos \alpha + i f_2^- \sin \alpha); \quad f_{\pm}^{\nu} = f_2^{\pm} f_2'$$

6 x 6 neutrino mass matrix:

$$M = \begin{bmatrix} 0 & m_D \\ m_D^T & M \end{bmatrix}$$

↘ real
 ↘ Complex

leptogenesis is viable

Conclusion

- We are entering the Higgs Era in Particle Physics.
- A crucial question is: Will Higgs particle(s) play an important rôle in Flavour?
- My guess: Yes, it will not be just Vanilla Higgs.
- It may take some time until Higgs Flavour be tested experimentally. We have to be patient and remember Neutrinos
- We can safely violate the Two Flavour Dogmas.