

# Matrix theory origins of non-geometric fluxes

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*Based on:*

*A.C., 1108.1107 [hep-th] (PRD 84 (2011))*  
*A.C. and Larisa Jonke, 1202.4310 [hep-th] (PRD 85 (2012))*  
*A.C. and Larisa Jonke, 1207.6412 [hep-th]*

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# Introduction and Motivation

## Main Objective

Study properties of string/M theory compactifications beyond low-energy SUGRA.

E.g. **unconventional compactifications**

(winding modes, dualities, non-geometric fluxes, non-commutative manifolds etc.).

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Frameworks:

- ✓ Doubled formalism - Twisted Doubled Tori
- ✓ Generalized Complex Geometry
- ✓ Double Field Theory
- ✓ CFT - Sigma models
- ✓ **Matrix Models**

See lectures by Hull,  
talks by Lindstrom and Lüster.

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Frameworks:

- ✓ Doubled formalism - Twisted Doubled Tori  
Hull; Hull, Reid-Edwards; Dall'Agata, Prezas, Samtleben, Trigiante
- ✓ Generalized Complex Geometry  
Andriot, Hohm, Larfors, Lüst, Patalong; Berman, Musaev, Thompson
- ✓ Double Field Theory  
Hohm, Hull, Zwiebach; Aldazabal et.al.; Geissbuhler; Grana, Marques; Dibitetto et.al.
- ✓ CFT - Sigma models  
Lüst; Blumenhagen, Plauschinn; Mylonas, Schupp, Szabo
- ✓ Matrix Models  
Lowe, Nastase, Ramgoolam; A.C., Jonke

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I ♥ SUGRA  
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For certain aspects Matrix Models appear more advantageous:

- ✓ Matrix Theory: inherently quantum-mechanical (crucial role of phase space).
- ✓ Non-commutative structures.
- ✓ SUGRA excludes stringy winding modes.
- ✓ Flux Quantization.

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- ✓ Flux Quantization.

Non-perturbative framework, analytical *and* numerical approaches.

What is more, recent progress in

- Particle physics, “matrix model building”.  
Aoki '10, A.C., Steinacker, Zoupanos '11
- Early and late time cosmology.  
Kim, Nishimura, Tsuchiya '11-'12

Matrix Models as non-perturbative definitions of string/M theory.

Banks, Fischler, Shenker, Susskind '96, Ishibashi, Kawai, Kitazawa, Tsuchiya '96, ...

Matrix Model Compactifications (MMC) on non-commutative tori.

Connes, Douglas, A. Schwarz '97

Constant background B-field  $\longleftrightarrow$  Non-commutative deformation

$$B_{ij} \xleftrightarrow{CDS} \theta^{ij}$$



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What about fluxes?

- Geometric (related e.g. to nilmanifolds/twisted tori):  $f$
- NSNS (non-constant B-fields):  $H$
- “Non-geometric” (T-duality):  $Q, R$

Q: How can they be traced in Matrix Compactifications?

# Main Results

- ✓ MMC on nilmanifolds in diverse dimensions. Analog of geometric flux.
- ✓ MMC with diverse algebraic structures.  
Interpretation as analogs of NSNS and non-geometric fluxes.
- ✓ (Generalized) T-duality operations connecting different flux situations appear as phase space transformations in the MM.
- ✓ Trading of properties between geometric and non-geometric fluxes under position-momentum space exchange.  
 $\rightsquigarrow$  relations between non-commutativity and generalized geometry.
- ✓ Resolution of non-associativity among unitary operators  $\rightsquigarrow$  flux quantization.
- ✓ Effective actions for non-commutative gauge theories with fluxes.

# Overview

- 1 Matrix Model Compactification
- 2 Fluxes in MMC
- 3 T-duality, Non-associativity and Flux Quantization
- 4 Concluding Remarks

# Matrix Theory and Compactification

**Matrix Theory:** suggested as non-perturbative definition of M-theory.

Banks, Fischler, Shenker, Susskind '96

Action:

$$S_{BFSS} = \frac{1}{2g} \int dt \left[ \text{Tr}(\dot{\mathcal{X}}_a \dot{\mathcal{X}}_a - \frac{1}{2} [\mathcal{X}_a, \mathcal{X}_b]^2) + \text{fermions} \right],$$

$\mathcal{X}_a(t)$ : 9 time-dependent  $N \times N$  Hermitian matrices (large  $N$ ).

EOM:

$$\ddot{\mathcal{X}}_a + [\mathcal{X}_b, [\mathcal{X}^b, \mathcal{X}_a]] = 0.$$

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EOM:

$$\ddot{\mathcal{X}}_a + [\mathcal{X}_b, [\mathcal{X}^b, \mathcal{X}_a]] = 0.$$

Compactification : Restriction of the action functional under certain conditions (same logic for any MM, e.g. type IIB models).

Toroidal  $T^d$ :

$$\begin{aligned} \mathcal{X}_i + R_i &= U^i \mathcal{X}_i (U^i)^{-1}, \quad i = 1, \dots, d, \\ \mathcal{X}_a &= U^i \mathcal{X}_a (U^i)^{-1}, \quad a \neq i, \quad a = 1, \dots, 9, \end{aligned}$$

with  $U^i$  unitary and invertible.

# Toroidal Compactification

Solutions: Connes, Douglas, Schwarz '97

$$\mathcal{X}_i = iR_i \hat{\mathcal{D}}_i, \quad \mathcal{X}_m = \mathcal{A}_m, \quad (m = d + 1, \dots, 9), \quad U^i = e^{i\hat{\mathcal{X}}^i},$$

with covariant derivatives  $\hat{\mathcal{D}}_i = \hat{\partial}_i - iA_i$ .

Phase space of  $\hat{\mathcal{X}}$  and  $\hat{\mathcal{P}}$  with algebra:

$$[\hat{\mathcal{X}}^i, \hat{\mathcal{X}}^j] = i\theta^{ij},$$

$$[\hat{\mathcal{X}}^i, \hat{\mathcal{P}}_j] = i\delta_j^i,$$

$$[\hat{\mathcal{P}}_i, \hat{\mathcal{P}}_j] = 0.$$

The U-algebra is:  $U^i U^j = \lambda^{ij} U^j U^i$  with complex constants  $\lambda^{ij} = e^{-i\theta^{ij}}$ .  
This is a **non-commutative torus** in Connes' non-commutative geometry.

Substitution back into the action  $\rightsquigarrow$  NCSYM theory on a dual NC torus.

**Interpretation:** Deformation parameters  $\theta$  are reciprocal to background field in SUGRA,  $(\theta^{-1})_{ij} \propto \int dx^i dx^j B_{ij}$ .

# Twisted Toroidal Compactification

Twisted Tori: twisted fibrations of toroidal fibers over toroidal bases; the geometry of the fiber changes non-trivially as the base is traversed.

Scherk, Schwarz '79; Kaloper, Myers '99; Kachru et.al. '02; Hull, Reid-Edwards '05; Grana et.al. '06

Described as:

- ✓ Homogeneous spaces constructed out of nilpotent Lie groups (**nilmanifolds**).
- ✓ T-duals of square tori with  $H$  flux.

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Described as:

- ✓ Homogeneous spaces constructed out of nilpotent Lie groups (**nilmanifolds**).
- ✓ T-duals of square tori with  $H$  flux.

MMC: Same logic; restrict the action by imposing conditions corresponding to nilmanifolds.

Lowe, Nastase, Ramgoolam '03; A.C., Jonke '11-'12

Twisted  $\tilde{T}^3$ :

$$\begin{aligned}U^i \mathcal{X}_i (U^i)^{-1} &= \mathcal{X}_i + 1, \quad i = 1, 2, 3, \\U^1 \mathcal{X}_3 (U^1)^{-1} &= \mathcal{X}_3 - N \mathcal{X}_2, \quad U^2 \mathcal{X}_3 (U^2)^{-1} = \mathcal{X}_3 + N \mathcal{X}_1, \\U^i \mathcal{X}_a (U^i)^{-1} &= \mathcal{X}_a, \quad a \neq i, \quad a = 1, \dots, 9, \quad (a, i) \neq \{(3, 1), (3, 2)\}.\end{aligned}$$



Solutions:

$$\mathcal{X}_i = iR_i \hat{\mathcal{D}}_i, \quad \mathcal{X}_m = \mathcal{A}_m, (m = 4, \dots, 9), \quad U^i = e^{i\hat{\mathcal{X}}^i},$$

with covariant derivatives  $\hat{\mathcal{D}}_i = \hat{\partial}_i - i\mathcal{A}_i + Nf_i^{jk} \mathcal{A}_j \hat{\partial}_k$ ,  $f_3^{12} = 1$ .

Algebra of phase space:

$$[\hat{\mathcal{X}}^i, \hat{\mathcal{X}}^j] = i\theta^{ij} + iNf_k^{ij} \hat{\mathcal{X}}^k,$$

$$[\hat{\mathcal{P}}_i, \hat{\mathcal{P}}_j] = 0,$$

$$[\hat{\mathcal{P}}_i, \hat{\mathcal{X}}^j] = -i\delta_i^j - iNf_i^{jk} \hat{\mathcal{P}}_k.$$

The U-algebra is now given by:  $U^i U^j = e^{-i\theta^{ij} - iNf_k^{ij} \hat{\mathcal{X}}^k} U^j U^i$ .

This is a **non-commutative twisted torus**.

The effective action is a NC gauge theory on a dual NC twisted torus.

**Interpretation:** The non-constant deformation is the analog of a geometric flux.

Direct generalization for a large class of higher-D nilmanifolds.

## More fluxes?

At hand: geometric flux  $f_{ij}{}^k$  (twisted torus).

T-dual to NSNS flux  $H_{ijk}$ :  $H_{ijk} \xrightarrow{T_k} f_{ij}{}^k$ .

Enlarged chain with unconventional fluxes:

$$H_{ijk} \xrightarrow{T_k} f_{ij}{}^k \xrightarrow{T_j} Q_i{}^{jk} \xrightarrow{T_i} R^{ijk}.$$

Q: Matrix Model description?

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Q: Matrix Model description?

Observe: Although full phase space operates,  $e^{i\hat{p}_i}$  were previously ignored...

Introduce:

$$\begin{aligned} \mathcal{X}_i &= i\hat{\partial}_i + \hat{A}_i, \\ \tilde{\mathcal{X}}^i &= (-1)^{c_i} \hat{\mathcal{X}}^i + \hat{A}^i, \end{aligned}$$

and:

$$\begin{aligned} U^i &= e^{i\hat{\mathcal{X}}^i}, \\ \tilde{U}_i &= e^{(-1)^{c_i} \hat{\partial}_i}. \end{aligned}$$

The grading will guarantee correct Heisenberg relation.

# Algebraic Building Blocks

The set-up reminds of the doubled formalism  $\rightsquigarrow$  Twisted Doubled Tori.

Hull, Reid-Edwards '07, Dall'Agata, Prezas, Samtleben, Trigiante '07

Use TDT formalism to describe MMC, then project to appropriate subsector.

H-block: ( $H^{123} = 1$  and  $c_i = 0$  for every  $i = 1, 2, 3$ .)

Compactification Conditions:

$$\begin{aligned}U^i \mathcal{X}_i (U^i)^{-1} &= \mathcal{X}_i + 1, \\ \tilde{U}_i \tilde{\mathcal{X}}^i (\tilde{U}_i)^{-1} &= \tilde{\mathcal{X}}^i + 1, \\ U^i \tilde{\mathcal{X}}^j (U^i)^{-1} &= \tilde{\mathcal{X}}^j + H^{ijk} \mathcal{X}_k,\end{aligned}$$

Phase space algebra:

c.f. Lüst '10

$$\begin{aligned}[\hat{\mathcal{X}}^i, \hat{\mathcal{X}}^j] &= iH^{ijk} \hat{\rho}_k, \\ [\hat{\rho}_i, \hat{\rho}_j] &= 0, \\ [\hat{\rho}_i, \hat{\mathcal{X}}^j] &= -i\delta_i^j.\end{aligned}$$

The U-algebra is:  $U^i U^j = e^{-H^{ijk} \hat{\delta}_k} U^j U^i$ , i.e.  $\theta^{ij} = H^{ijk} \hat{\rho}_k$ .

The Connes-Douglas-Schwarz correspondence suggests a SUGRA B-field

$$B = x^1 dx^2 \wedge dx^3 + x^2 dx^3 \wedge dx^1 + x^3 dx^1 \wedge dx^2,$$

where  $x^i$  are standard toroidal coordinates.

Q-block: ( $Q_{23}^1 = 1$ , while  $c_1 = 0$  and  $c_2 = c_3 = 1$ .)

Compactification Conditions:

$$\begin{aligned}U^i \mathcal{X}_i (U^i)^{-1} &= \mathcal{X}_i + 1, \\U^1 \mathcal{X}_2 (U^1)^{-1} &= \mathcal{X}_2 + \tilde{\mathcal{X}}^3, \\U^1 \mathcal{X}_3 (U^1)^{-1} &= \mathcal{X}_3 - \tilde{\mathcal{X}}^2,\end{aligned}$$

and

$$\begin{aligned}\tilde{U}_i \tilde{\mathcal{X}}^i (\tilde{U}_i)^{-1} &= \tilde{\mathcal{X}}^i + 1, \\\tilde{U}_2 \mathcal{X}_3 (\tilde{U}_2)^{-1} &= \mathcal{X}_3 + \mathcal{X}_1, \\\tilde{U}_3 \mathcal{X}_2 (\tilde{U}_3)^{-1} &= \mathcal{X}_2 - \mathcal{X}_1, \\\tilde{U}_2 \tilde{\mathcal{X}}^1 (\tilde{U}_2)^{-1} &= \tilde{\mathcal{X}}^1 - \tilde{\mathcal{X}}^3, \\\tilde{U}_3 \tilde{\mathcal{X}}^1 (\tilde{U}_3)^{-1} &= \tilde{\mathcal{X}}^1 + \tilde{\mathcal{X}}^2,\end{aligned}$$

Phase space algebra:

$$\begin{aligned}[\hat{\mathcal{X}}^i, \hat{\mathcal{X}}^j] &= 0, \\[\hat{\rho}_i, \hat{\rho}_j] &= -i Q_{ij}{}^k \hat{\rho}_k, \\[\hat{\rho}_i, \hat{\mathcal{X}}^j] &= -i \delta_i^j + i Q_{ik}{}^j \hat{\mathcal{X}}^k.\end{aligned}$$

The U-algebra is commutative. But the  $\tilde{U}$  one is not:  $\tilde{U}_i \tilde{U}_j = e^{Q_{ij}{}^k \hat{\rho}_k} \tilde{U}_j \tilde{U}_i$ .

$\tilde{\theta}_{ij} = -Q_{ij}{}^k \hat{\rho}_k$ , expected to account for non-geometric Q flux.

R-block: ( $c_1 = 1$  for all  $i = 1, 2, 3$ .)

Compactification Conditions:

$$U^i \mathcal{X}_i (U^i)^{-1} = \mathcal{X}_i + 1,$$

$$\tilde{U}_i \tilde{\mathcal{X}}^i (\tilde{U}_i)^{-1} = \tilde{\mathcal{X}}^i + 1,$$

$$\tilde{U}_i \mathcal{X}_j (\tilde{U}_i)^{-1} = \mathcal{X}_j + R_{ijk} \tilde{\mathcal{X}}^k.$$

Phase space algebra:

$$[\hat{\mathcal{X}}^i, \hat{\mathcal{X}}^j] = 0,$$

$$[\hat{\rho}_i, \hat{\rho}_j] = iR_{ijk} \hat{\mathcal{X}}^k,$$

$$[\hat{\rho}_i, \hat{\mathcal{X}}^j] = -i\delta_i^j.$$

The  $U$ s commute again, unlike the  $\tilde{U}$ s:  $\tilde{U}_i \tilde{U}_j = e^{-iR_{ijk} \hat{\mathcal{X}}^k} \tilde{U}_j \tilde{U}_i$ .

$\tilde{\theta}_{ij} = R_{ijk} \hat{\mathcal{X}}^k$ , expected to account for non-geometric  $R$  flux.

# Block-to-block moves and T-duality

At hand: 4 types of solutions of the compactified Matrix Model.

Q: Which operations take each solution to the other?

$H \rightarrow f$ :

At the level of the phase-space algebra,

$$\begin{aligned}\hat{x}^3 &\rightarrow -\hat{p}_3, \\ \hat{p}_3 &\rightarrow \hat{x}^3.\end{aligned}$$

Grading correction,

$$(-1)_{\hat{f}}^{\hat{c}_i} = \text{diag}(1, 1, 1, 1, 1, -1).$$

May be represented as a matrix  $M_{H \rightarrow f}$   
acting on  $\begin{pmatrix} \hat{x}^i \\ \hat{p}_i \end{pmatrix}$ .

# Block-to-block moves and T-duality

Full Picture:

$$\begin{array}{ccccccc}
 H & \xleftrightarrow{T_3} & f & \xleftrightarrow{T_2} & Q & \xleftrightarrow{T_1} & R \\
 \updownarrow & & \updownarrow & & \updownarrow & & \updownarrow \\
 \theta(\hat{p}) & \xleftrightarrow{M_{H \rightarrow f} \cdot (-1)_f^{\hat{e}_i}} & \theta(\hat{x}) & \xleftrightarrow{M_{f \rightarrow Q} \cdot (-1)_Q^{\hat{e}_i}} & \tilde{\theta}(\hat{p}) & \xleftrightarrow{M_{Q \rightarrow R} \cdot (-1)_R^{\hat{e}_i}} & \tilde{\theta}(\hat{x})
 \end{array}$$

with  $\theta^{ij} = [\hat{x}^i, \hat{x}^j]$  and  $\tilde{\theta}^{ij} = [\hat{p}_i, \hat{p}_j]$ .



## Finding the correct subsector

Matrix theory does not really possess  $\tilde{\mathcal{X}}^i$  as dynamical DoF.

Q: Which is the correct subsector?

For  $f$  and  $H$  cases, easy: formulate everything just for  $\mathcal{X}_i$ .

But: for  $Q$  and  $R$  cases, compactification on  $\mathcal{X}_i$ -sector is not well-defined.

What is more, for the  $R$  case:  $[\mathcal{X}_i, \mathcal{X}_j, \mathcal{X}_k] \neq 0!$

But Hermitian matrices cannot be non-associative!

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But Hermitian matrices cannot be non-associative!

Resolution: For  $Q$  and  $R$ , the correct subsector is the  $\tilde{\mathcal{X}}^i$  in the momentum rep.

↪ There is a correspondence:

$$\theta^{ij}|_f \text{ or } \theta^{ij}|_H \text{ in } \hat{x}\text{-space} \longleftrightarrow \tilde{\theta}_{ij}|_Q \text{ or } \tilde{\theta}_{ij}|_R \text{ in } \hat{p}\text{-space}.$$

Similar result in Generalized Complex Geometry approach...

Andriot, Larfors, Lüst, Patalong '11

Indication: Just as  $\theta^{ij} \sim (B_{ij})^{-1}$ , also  $\tilde{\theta}_{ij} \sim (\beta^{ij})^{-1}$ ,  $\beta$ : the bivector of GCG.

# Non-Associativity and Flux Quantization

All encountered phase space algebras exhibit some non-associativity.

E.g.  $[\hat{p}_i, \hat{x}^j, \hat{x}^k] \propto f_i^{jk}$  for the  $f$ -block,  $[\hat{x}^i, \hat{x}^j, \hat{x}^k] \propto H^{ijk}$  for the  $H$ -block, etc.

The induced non-associativity of  $\mathcal{X}_i$  is resolved as above.

Q: What about the algebraic elements  $U^i$ , which define the NC torus?

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H-case:  $U^i(U^j U^k) = e^{\frac{i}{2} H^{ijk}} (U^i U^j) U^k.$

$\rightsquigarrow$  3-cocycle; typical in QM systems with fluxes. Jackiw '85

Resolution: The flux **has to** be quantized,

$$H = 4\pi n, \quad n \in \mathbb{Z}.$$

$\rightsquigarrow$  Flux Quantization.

Similar to DFT, where large gauge transformations associate even when coordinate maps do not. Hohm, Zwiebach '12

# Gauge Theories

Effective action for toroidal matrix compactification:

$$\mathcal{S} \propto \int dt \operatorname{Tr}(F_{ij}F^{ij} + \text{scalars} + \text{fermions}),$$

with  $\operatorname{Tr} \rightarrow \int d^3x \operatorname{tr}$  and  $F_{ij} = \partial_i A_j - \partial_j A_i + iA_i \star A_j - iA_j \star A_i$

Moyal-Weyl  $\star$  product:  $f \star g = e^{\frac{i}{2} \frac{\partial}{\partial x^i} \hat{\theta}^{ij} \frac{\partial}{\partial y^j}} f(x)g(y)|_{y \rightarrow x}$ .

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Effective actions with fluxes: additional terms are induced.

$\rightsquigarrow$  diverse non-commutative gauge theories and  $\star$  products.

e.g. for the nilmanifold:

$$f \star g = e^{-\frac{i}{2} f^{ij} \kappa^k \frac{\partial}{\partial y^i} \frac{\partial}{\partial z^j}} f(y)g(z)|_{y,z \rightarrow x} \cdot$$

## Main messages

- ✓ Matrix Models: useful framework for unconventional string compactifications.
- ✓ Fluxes, dualities, non-geometry, non-commutativity.
- ✓ Relations to other frameworks (double field theory, generalized geometry, etc.)

## Some prospects

- Analysis of the effective theories with fluxes. *in progress, with L. Jonke*
- Full study of possible vacua. Coexistence of all types of fluxes.  
*in progress, with M. Schmitz*
- Phenomenology of unconventional compactifications?
- Non-perturbative dualities?