

# FFLO States in Holographic Superconductors

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Work in progress with J. Alsup and S. Siopsis, first results in [arXiv:1208.4582  
[hep-th]]

Dedicated to the memory of Petros Skamagoulis



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# Introduction

The application of the AdS/CFT correspondence to condense matter physics has developed into one of the most productive topics of string theory.

**Holographic principle:** understanding strongly coupled phenomena of condensed matter physics by studying their weakly coupled gravity duals.

## Applications to:

- Conventional and unconventional superfluids and superconductors  
[S. A. Hartnoll, C. P. Herzog, and G. T. Horowitz, *Phys. Rev. Lett.* **101**, 031601 (2008)]
- Fermi liquids  
[S. Bhattacharyya, V. E. Hubeny, S. Minwalla and M. Rangamani, *JHEP* **0802**, 045 (2008)]
- Quantum phase transitions  
[M. Cubrovic, J. Zaanen and K. Schalm, *Science* **325**, 439 (2009)]

In cuprates and iron pnictides which are high- $T_c$  superconductors it was found that competing orders coexist indicating that there is a breaking of the lattice symmetries. This breaking introduces inhomogeneities.

### Spatially inhomogeneous phases appear in:

- Models with spontaneous modulation of the electronic charge (CDW) and spin density (SDW), below a critical temperature  $T_c$ .  
[A. Aperis, P. Kotetes, E. Papantonopoulos, G. Siopsis, P. Skamagoulis and G. Varelogiannis, Phys. Lett. B **702**, 181 (2011)]  
[ R. Flauger, E. Pajer and S. Papanikolaou, Phys. Rev. D **83**, 064009 (2011)]
- Strong magnetic field induces inhomogeneous structures in holographic superconductors.  
[ T. Albash and C. V. Johnson, JHEP **0809**, 121 (2008), [arXiv:0804.3466 [hep-th]]]
- Spatially modulated phases were generated in five-dimensional Einstein-Maxwell theory with a Chern-Simons term.  
[K. Maeda, M. Natsuume and T. Okamura, Phys. Rev. D **81**, 026002 (2010), [arXiv:0910.4475 [hep-th]]]
- Inhomogeneous structures were also investigated in holographic superconductors including domain wall like defects.  
[V. Keranen, E. Keski-Vakkuri, S. Nowling and K. P. Yogendran, Phys. Rev. D **80**, 121901 (2009) [arXiv:0906.5217 [hep-th]]]

## Holographic principle: Homogeneous superfluids

- The gravity sector consists of a system with a black hole and a charged scalar field, in which the black hole admits scalar hair at temperature smaller than a critical temperature, while there is no scalar hair at larger temperatures.
- This breaking of the Abelian  $U(1)$  symmetry corresponds in the boundary theory to a scalar operator which condenses at a critical temperature proportional to the charged density of the scalar potential.
- Fluctuations of the vector potential below the critical temperature give the frequency dependent conductivity in the boundary theory.

## Holographic principle: Inhomogeneous superfluids

- Introduce a modulated chemical potential which is translated into a modulated boundary value for the electrostatic potential in the AdS black hole gravity background.
- From an Einstein-Maxwell scalar system solutions can be obtained, which below a critical temperature show that the system undergoes a phase transition and a condensate can develop with a non vanishing modulation. Depending on what symmetries are broken, the modulated condensate corresponds to ordered states like CDW or SDW in the boundary.

# FFLO states

## ► Appearance of FFLO states

\* Modulated order parameters appear as competing phases with normal superconducting phases in superconductor-ferromagnetic (S/F) systems.

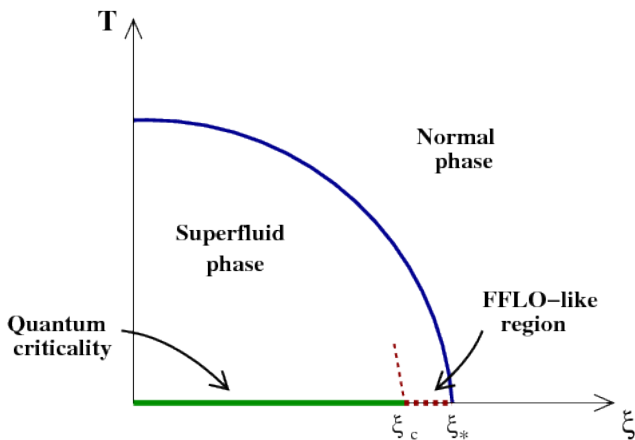
\* Strong magnetic field, coupled to the spins of the conduction electrons, gives rise to a separation of the Fermi surfaces corresponding to electrons with opposite spins. If the separation is too high, the pairing is destroyed and there is a transition from the superconducting state to the normal one (paramagnetic effect).

\* A new state could be formed, close to the transition line. This state, known as the FFLO state, has the feature of exhibiting an order parameter, which is not a constant, but has a space variation.

[P. Fulde and R. A. Ferrell, *Phys. Rev.* 135, A550 (1964)]

[A. I. Larkin and Y. N. Ovchinnikov, *Zh. Eksp. Teor. Fiz.* 47, 1136 (1964) [*Sov. Phys. JETP* 20, 762 (1965)]]

\* The space modulation arises because the electron pair has nonzero total momentum, and it leads to the possibility of a nonuniform or anisotropic ground state, breaking translational and rotational symmetries.



**Figure:** The (qualitative) phase diagram of holographic superfluids. At zero temperature, a quantum critical point is found for velocities below a critical value,  $\xi_c$  where  $\xi = A_x/\mu$  is the superfluid velocity. Above  $\xi_c$  the system enters a more anisotropic phase.

(This figure appears in D. Arean, M. Bertolini, C. Krishnan and T. Prochazka, JHEP **1109**, 131 (2011) )



## ► Generalized Ginzburg-Landau expansion: FFLO states

In the standard Ginzburg-Landau functional

$$F = a|\psi|^2 + \gamma|\vec{\nabla}\psi|^2 + \frac{b}{2}|\psi|^4$$

where  $\psi$  is the superconducting order parameter, the coefficient  $a$  vanishes at the transition temperature  $T_c$ . At  $T < T_c$ , the coefficient  $a$  is negative and the minimum of  $F$  occurs for a uniform superconducting state with  $|\psi|^2 = -a/b$ .

In the case of the paramagnetic effect all the coefficients in the  $F$  functional will be proportional to the magnetic field  $B$ . Then the coefficient  $\gamma$  changes its sign at a point in the  $(B, T)$  phase diagram indicating that the minimum of the functional does not correspond to a uniform state, and a spatial variation of the order parameter decreases the energy of the system.

To describe such a situation it is necessary to add a higher order derivative term in the expansion of  $F$ :

$$F_G = a(B, T)|\psi|^2 + \gamma(B, T)|\vec{\nabla}\psi|^2 + \frac{\eta(B, T)}{2}|\vec{\nabla}^2\psi|^2 + \frac{b(B, T)}{2}|\psi|^4$$

(See the review A. I. Buzdin, Rev. Mod. Phys. 77, 935 (2005) )

► Attempts to generate gravity duals of FFLO states

\* A theory resulting from a consistent truncation of low energy type IIB string theory was considered with action

$$S_{IIB} = \int d^5x \sqrt{-g} \left[ R - \frac{L^2}{3} F_{ab} F^{ab} + \frac{1}{4} \left( \frac{2L}{3} \right)^3 \epsilon^{abcde} F_{ab} F_{cd} A_e + \right. \\ \left. - \frac{1}{2} \left( (\partial_a \psi)^2 + \sinh^2 \psi (\partial_a \theta - 2A_a)^2 - \frac{6}{L^2} \cosh^2 \left( \frac{\psi}{2} \right) (5 - \cosh \psi) \right) \right]$$

were the scalar was splitted into a phase and its modulus in the form  $\psi e^{i\theta}$ . The Abelian gauge field  $A$  was dual to an  $R$ -symmetry in the boundary field theory and the scalar field has  $R$ -charge  $R = 2$ .

They analyzed the theory and they found that when the superfluid velocity  $\xi = A_{x,0}/\mu$  becomes too large the anisotropy becomes too strong to be washed out in the IR. They conjectured that this behaviour may be connected with anisotropic FFLO phase.

[D. Arean, M. Bertolini, C. Krishnan and T. Prochazka, JHEP 1109, 131 (2011)]

✳ A s-wave unbalanced unconventional superconductor in  $2 + 1$  dimensions was considered with action

$$S = \frac{1}{2\kappa_4^2} \int dx^4 \sqrt{-g} \left[ \mathcal{R} + \frac{6}{L^2} - \frac{1}{4} F_{ab} F^{ab} - \frac{1}{4} Y_{ab} Y^{ab} - V(|\psi|) - |\partial\psi - iqA\psi|^2 \right]$$

The Maxwell field  $A_a$  (resp.  $B_a$ ) with field strength  $F = dA$  (resp.  $Y = dB$ ) is the holographic dual of the  $U(1)_A$  “charge” (resp.  $U(1)_B$  “spin”) current of the  $2 + 1$  dimensional field theory. The following ansatz for the fields was considered

$$\psi = \psi(r), \quad A_a dx^a = \phi(r) dt, \quad B_a dx^a = v(r) dt$$

and the vector fields at the boundary were given by

$$\begin{aligned} \phi(r) &= \mu - \frac{\rho}{r} + \dots \quad \text{as } r \rightarrow \infty, \\ v(r) &= \delta\mu - \frac{\delta\rho}{r} + \dots \quad \text{as } r \rightarrow \infty \end{aligned}$$

They analyzed the theory but no evidence for a FFLO state was found.

[F. Bigazzi, A. L. Cotrone, D. Musso, N. P. Fokeeva and D. Seminara, JHEP **1202**, 078 (2012)]

[arXiv:1111.6601 [hep-th]]

## Holographic FFLO states

Consider the action

$$S = \int d^4x \sqrt{-g} \left[ \frac{R + 6/L^2}{16\pi G} - \frac{1}{4} F_{AB} F^{AB} - \frac{1}{4} \mathcal{F}_{AB} \mathcal{F}^{AB} \right]$$

where  $F_{AB} = \partial_A A_B - \partial_B A_A$ ,  $\mathcal{F}_{AB} = \partial_A \mathcal{A}_B - \partial_B \mathcal{A}_A$  are the field strengths of the  $U(1)$  potentials  $A_A$  and  $\mathcal{A}_A$ , respectively.

The Einstein-Maxwell equations admit a solution which is a four-dimensional AdS black hole of two  $U(1)$  charges,

$$ds^2 = \frac{1}{z^2} \left[ -h(z) dt^2 + \frac{dz^2}{h(z)} + dx^2 + dy^2 \right]$$

with the horizon radius set at  $z = 1$ .

The two sets of Maxwell equations admit solutions of the form, respectively,

$$A_t = \mu(1 - z) \ , \ A_z = A_x = A_y = 0$$

and

$$\mathcal{A}_y = \mathcal{B}x \ , \ \mathcal{A}_t = \mathcal{A}_x = \mathcal{A}_z = 0$$

with corresponding field strengths having non-vanishing components for an electric and a magnetic field in the  $z$ -direction, respectively,

$$F_{tz} = -F_{zt} = \mu \ , \ \mathcal{F}_{xy} = -\mathcal{F}_{yx} = \mathcal{B}$$

Then from the Einstein equations we obtain

$$h(z) = 1 - \left(1 + \frac{\mathcal{B}^2 + \mu^2}{4}\right) z^3 + \frac{\mathcal{B}^2 + \mu^2}{4} z^4$$

The Hawking temperature is

$$T = -\frac{h'(1)}{4\pi} = \frac{3}{4\pi} \left[1 - \frac{\mathcal{B}^2 + \mu^2}{12}\right]$$

We now consider a scalar field  $\phi$ , of mass  $m$ , and  $U(1)^2$  charge  $(q, 0)$ , with the action

$$S = \int d^4x \sqrt{-g} [ |D_A \phi|^2 - m^2 |\phi|^2 ]$$

where  $D_A = \partial_A + iqA_A$ .

The asymptotic behavior (as  $z \rightarrow 0$ ) of the scalar field is

$$\phi \sim z^\Delta, \quad \Delta(\Delta - 3) = m^2$$

For a given mass, there are, in general, two choices of  $\Delta$ ,

$$\Delta = \Delta_{\pm} = \frac{3}{2} \pm \sqrt{\frac{9}{4} + m^2}$$

leading to two distinct physical systems.

As we lower the temperature, an instability arises and the system undergoes a second-order phase transition with the black hole developing hair. This occurs at a critical temperature  $T_c$  which is found by solving the scalar wave equation in the above background,

$$\partial_z^2 \phi + \left[ \frac{h'}{h} - \frac{2}{z} \right] \partial_z \phi + \frac{1}{h} \nabla_2^2 \phi - \frac{1}{h} \left[ \frac{m^2}{z^2} - q^2 \frac{A_t^2}{h} \right] \phi = 0$$

Although the above wave equation possesses  $(x, y)$ -dependent solutions, the symmetric solution dominates and the hair that forms has no  $(x, y)$  dependence. To see this, let us introduce  $x$ -dependence and consider a static scalar field of the form

$$\phi(z, x, y) = \psi(z) e^{iQx}$$

The wave equation becomes

$$\psi'' + \left[ \frac{h'}{h} - \frac{2}{z} \right] \psi' - \frac{Q^2}{h} \psi - \frac{1}{h} \left[ \frac{m^2}{z^2} - q^2 \frac{A_t^2}{h} \right] \psi = 0$$

There is a scaling symmetry

$$\begin{aligned} z &\rightarrow \lambda z, \quad x \rightarrow \lambda x, \quad Q \rightarrow Q/\lambda, \\ \mu &\rightarrow \mu/\lambda, \quad \mathcal{B} \rightarrow \mathcal{B}/\lambda^2, \quad T \rightarrow T/\lambda \end{aligned}$$

so we work only with scale-invariant quantities, such as  $T/\mu$ ,  $\mathcal{B}/\mu^2$ ,  $Q/\mu$ , etc. It is convenient to introduce the scale-invariant parameter

$$\beta = \frac{\sqrt{\mathcal{B}}}{q\mu}$$

to describe the effect of the magnetic field  $\mathcal{B}$  of the second  $U(1)$ .



The system is defined uniquely by specifying the parameters  $q$  and  $\Delta$ . Then the critical temperature at which the second-order phase transition occurs is,

$$\frac{T_c}{\mu} = \frac{T}{\mu_c} = \frac{3}{4\pi\mu_c} \left[ 1 - \frac{\mu_c^2(1 + q^4\beta^4\mu_c^2)}{12} \right]$$

For  $Q = 0$ , we recover the homogeneous solution. As we increase  $\beta$ , the temperature decreases. For a given  $\beta > 0$ , the black hole is of the Reissner-Nordström form with effective chemical potential

$$\mu_{\text{eff}}^2 = \mu_c^2(1 + q^4\beta^4\mu_c^2)$$

The scalar wave equation is the same as its counterpart in a Reissner-Nordström background, but with effective charge

$$q_{\text{eff}}^2 = \frac{q^2}{1 + q^4\beta^4\mu_c^2}$$

so that  $q_{\text{eff}}\mu_{\text{eff}} = q\mu_c$ .

An instability occurs for all values of  $q_{\text{eff}}$ , including  $q_{\text{eff}} = 0$ , if  $\Delta \leq \Delta_*$ , where  $\Delta_* = \Delta_+$  for  $m^2 = -\frac{3}{2}$ , or explicitly,

$$\Delta_* = \frac{3 + \sqrt{3}}{2} \approx 2.366$$

For  $\Delta \leq \Delta_*$ ,  $\beta$  can increase indefinitely. The critical temperature has a minimum value and as  $\beta \rightarrow \infty$ ,  $T_c$  diverges.

For  $\Delta > \Delta_*$ ,  $q_{\text{eff}}$  has a minimum value at which the critical temperature vanishes and the black hole attains extremality. This is found by considering the limit of the near horizon region. One obtains

$$q_{\text{eff}} \geq q_{\text{min}} \quad , \quad q_{\text{min}}^2 = \frac{3 + 2\Delta(\Delta - 3)}{4}$$

At the minimum ( $T_c = 0$ ),  $\mu_{\text{eff}}^2 = 12$ , and  $\beta$  attains its maximum value,

$$\beta \leq \beta_{\text{max}} \quad , \quad \beta_{\text{max}}^4 = \frac{1}{12q_{\text{min}}^2} \left( \frac{1}{q_{\text{min}}^2} - \frac{1}{q^2} \right)$$

This limit is reminiscent of the Chandrasekhar and Clogston limit in a S/F system, in which a ferromagnet at  $T = 0$  cannot remain a superconductor with a uniform condensate.

[B. S. Chandrasekhar, *Appl. Phys. Lett.* 1, 7 (1962). A. M. Clogston, *Phys. Rev. Lett.* 9, 266 (1962)]

In the inhomogeneous case ( $Q \neq 0$ ), the above argument still holds with the replacement  $m^2 \rightarrow m^2 + Q^2$ . The effect of this modification is to increase the minimum effective charge to

$$q_{\min}^2 = \frac{3 + 2\Delta(\Delta - 3) + 2Q^2}{4}$$

and thus decrease the maximum value of  $\beta$ .

We always obtain a critical temperature which is lower than the corresponding critical temperature (for same  $\beta$ ) in the homogeneous case ( $Q = 0$ ).

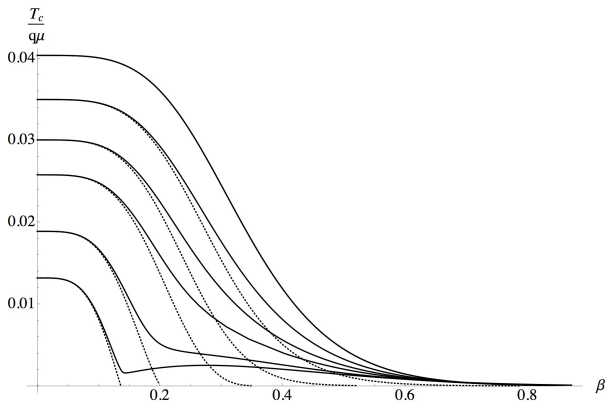
Now let us add a magnetic interaction term to the action,

$$S_{\text{int}} = \xi \int d^4x \sqrt{-g} |\mathcal{F}^{AB} \partial_B \phi|^2$$

The wave equation is modified to

$$\begin{aligned} \psi'' + \left[ \frac{h'}{h} - \frac{2}{z} \right] \psi' - \frac{Q^2}{h} [1 - \xi \mathcal{B}^2 z^4] \psi \\ - \frac{1}{h} \left[ \frac{m^2}{z^2} - q^2 \frac{A_t^2}{h} \right] \psi = 0 \end{aligned}$$

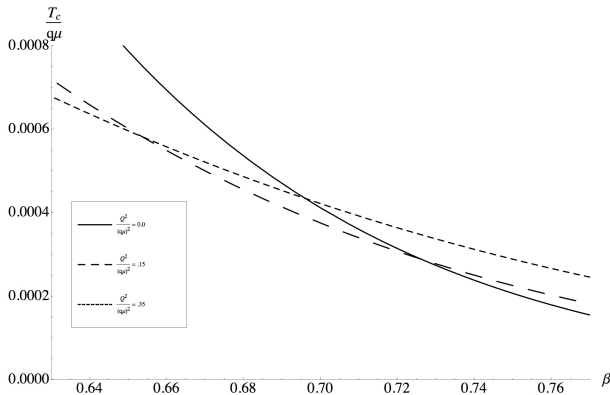
Evidently, if we set  $Q = 0$ , the effect of the interaction term (1) disappears, therefore the homogeneous solution is unaltered. For  $Q \neq 0$ , we obtain modified solutions. The behavior is shown in figure 4. The figure also displays the effect of  $Q$  on  $\beta_{\max}$  (1) for  $\xi = 0$ .



**Figure:** The critical temperature *vs.* the magnetic field numerically calculated with  $q = 10$  and  $\Delta = 5/2$ . The dotted lines are calculated with  $\xi = 0$  while the solid use  $\xi = .10$ . Starting from the top, on the vertical axis, the lines are  $\frac{Q^2}{(q\mu)^2} = 0, .05, .10, .15, .25, \text{ and } .35$ .

The interaction term alters the near horizon limit of the theory so that  $m^2 \rightarrow m^2 + Q^2 (1 - \xi q^4 \beta^4 \mu_c^4)$ .

The modifications are most pronounced for large  $\beta$  leading to temperatures which are higher than the critical temperature of the corresponding homogeneous solution.



**Figure:** The top line on the left-hand side of the graph corresponds to the homogeneous solution, with lines  $\frac{Q^2}{(q\mu)^2} = .15, .35$  below. The critical temperature of the homogeneous solution is found to decrease below the inhomogeneous lines for large  $\beta$ . We used  $q = 10$ ,  $\Delta = 5/2$ , and  $\xi = .10$ .

# Geometrical generation of holographic FFLO states

To generate the gravity dual of a FFLO state there must be a direct coupling of the magnetic field to the scalar field which condenses.

Why not generate this coupling geometrically?

Consider again the action

$$S = \int d^4x \sqrt{-g} \left[ \frac{R + 6/L^2}{16\pi G} - \frac{1}{4} F_{AB} F^{AB} - \frac{1}{4} \mathcal{F}_{AB} \mathcal{F}^{AB} \right]$$

The Maxwell equations have as solutions

$$A_t = \mu(1-z) \ , \quad A_z = A_x = A_y = 0$$

and

$$\mathcal{A}_t = \delta\mu(1-z) \ , \quad \mathcal{A}_z = \mathcal{A}_x = \mathcal{A}_y = 0$$

with corresponding field strengths having non-vanishing components for electric fields in the  $z$ -direction, respectively,

$$F_{tz} = -F_{zt} = \mu \ , \quad \mathcal{F}_{tz} = -\mathcal{F}_{zt} = \delta\mu$$

Then from the Einstein equations we obtain

$$h(z) = 1 - \left(1 + \frac{\mu^2 + \delta\mu^2}{4}\right) z^3 + \frac{\mu^2 + \delta\mu^2}{4} z^4$$

The Hawking temperature is

$$T = -\frac{h'(1)}{4\pi} = \frac{3}{4\pi} \left[1 - \frac{\mu^2 + \delta\mu^2}{12}\right]$$

In the limit  $\mu, \delta\mu \rightarrow 0$  we recover the Schwarzschild black hole.

We now consider a scalar field  $\phi$ , of mass  $m$ , and  $U(1)^2$  charge  $(q, 0)$ , coupled to the **Einstein tensor**. The action is

$$S = \int d^4x \sqrt{-g} \left[ \left( g^{AB} + \xi G^{AB} \right) (D_A \phi)^* D_B \phi - m^2 |\phi|^2 \right]$$

where  $D_A = \partial_A + iqA_A$  and  $G_{AB}$  is the Einstein tensor.

For  $\xi = 0$  the analysis goes through as before.

Now let us consider the effect of the coupling to the Einstein tensor by setting  $\xi \neq 0$

The wave equation is modified to

$$\psi'' + \left[ \frac{h'}{h} + \frac{f'_+}{f_+} - \frac{2}{z} \right] \psi' - \frac{\tau}{h} \frac{f_-}{f_+} \psi - \frac{1}{h} \left[ \frac{m^2}{z^2 f_+} - q^2 \frac{A_t^2}{h} \right] \psi = 0$$

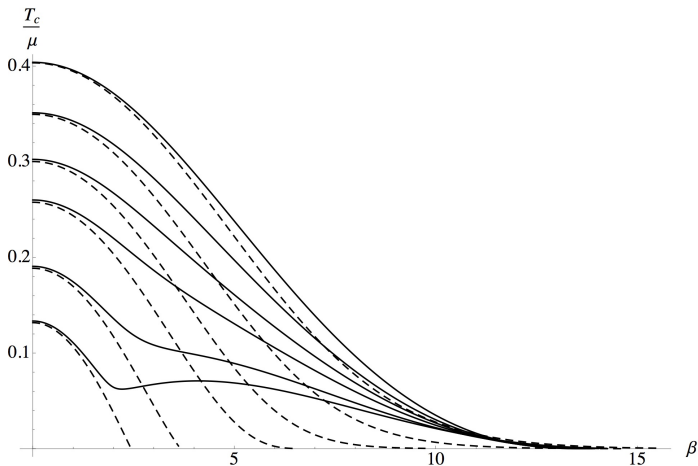
where

$$f_{\pm} = 1 + \xi \left[ -3 \pm \frac{\mu^2 + \delta\mu^2}{4} z^4 \right]$$

The boundary behavior is altered. As  $z \rightarrow 0$ , we obtain  $\phi \sim z^{\Delta}$ , where

$$\Delta = \Delta_{\pm} = \frac{3}{2} \pm \sqrt{\frac{9}{4} + \frac{m^2}{1 - 3\xi}}$$





**Figure:** The critical temperature *vs.* the magnetic field numerically calculated with  $q = 10$  and  $\Delta = 5/2$ . The dotted lines are calculated with  $\xi = 0$  while the solid use  $\xi = .10$ . Starting from the top, on the vertical axis, the lines are  $\frac{Q^2}{(q\mu)^2} = 0, .05, .10, .15, .25,$  and  $.35$ .

The coupling to the Einstein tensor alters **the near horizon limit** of the theory so that

$$m^2 \rightarrow \frac{m^2 + \tau f_-(1)}{f_+(1)}$$

The minimum effective charge is found by setting  $T = 0$ . Then  $\mu_{\text{eff}}^2 = \mu^2 + \delta\mu^2 = 12$ , and  $f_{\pm}(1) = 1 + \xi(-3 \pm 3)$ . We deduce

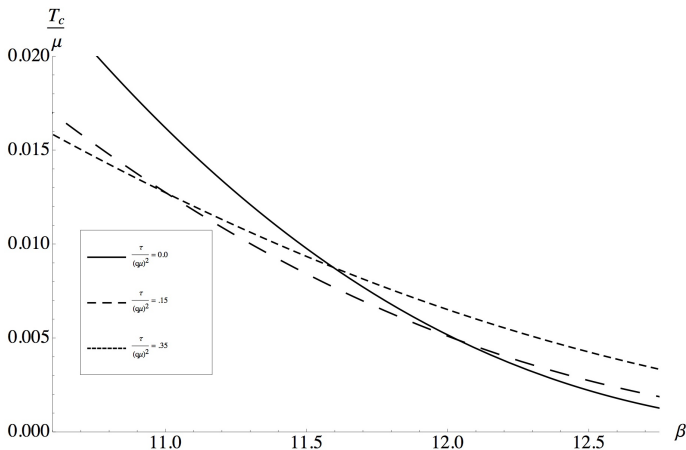
$$q_{\text{min}}^2 = \frac{3 + 2\Delta(\Delta - 3) + 2(1 - 6\xi)\tau}{4}$$

For

$$\xi > \frac{1}{6}$$

the minimum charge, we get the maximum value of  $\beta$ , compared to the value in the homogeneous case ( $\tau = 0$ ).

**Thus, there is a neighborhood near zero temperature in which the inhomogeneous solution has higher critical temperature than the homogeneous one.**



**Figure:** The top line on the left-hand side of the graph corresponds to the homogeneous solution, with lines  $\frac{Q^2}{(q\mu)^2} = .15, .35$  below. The critical temperature of the homogeneous solution is found to decrease below the inhomogeneous lines for large  $\beta$ . We used  $q = 10$ ,  $\Delta = 5/2$ , and  $\xi = .10$ .

We have developed a gravity dual of a FFLO state.

- With an interaction term:

- Introduce two gauge fields one electric one magnetic, acting on spins
- Introduce inhomogeneities. Temperature always lower than the homogeneous case
- Introduce interaction term between magnetic field and scalar field. Temperature of inhomogeneous case higher than the homogeneous case. Generation of FFLO states.

- With a derivative coupling:

- Introduce two gauge fields, the second one with unbalanced chemical potential
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# Conclusions

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