

Non-perturbative aspects of gauge/gravity duality

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Corfu, September 24th, 2012

XVIII European Workshop on String Theory

Talk based on:

Billò, Frau, Fucito, L.G., Lerda, Morales, Ricci Pacifici,
arXiv 1206.3914

Billò, Frau, L.G., Lerda,
arXiv 1105.1869, 1201.4231

Introduction

- **Gauge/gravity** correspondence analyzed through dual **open/closed** string description of D-branes
- Long ago, gravitational solutions dual to $\mathcal{N} = 2$ gauge theories realized through fractional branes on orbifolds were found at the perturbative level [Bertolini *et al.* '01, Polchinski '01, ...]
- Non-perturbative (D-instanton) effects are needed to remove singularities
- Recently the exact **axio-dilaton** profile in an **orientifold** model has been microscopically derived [Billò *et al.* '11, '12]
- Here the same program is pursued for the **twisted scalar** emitted by fractional branes in an **orbifold** background

Outline

The setup

Perturbative t profile

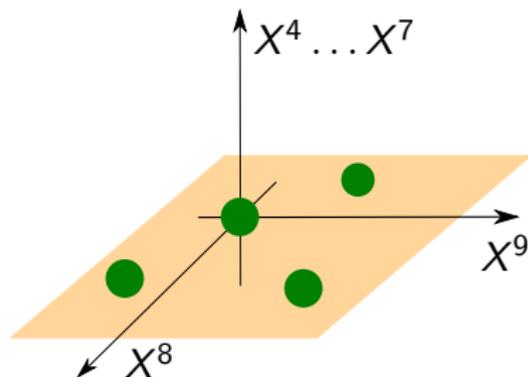
Non-perturbative t profile

t and effective gauge couplings

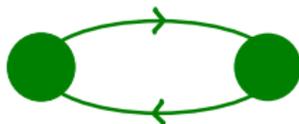
Brane Setup

Type IIB string theory on \mathbb{Z}_2 orbifold background

$$\mathbb{R}^4 \times \mathbb{C}^2/\mathbb{Z}_2 \times \mathbb{C}$$



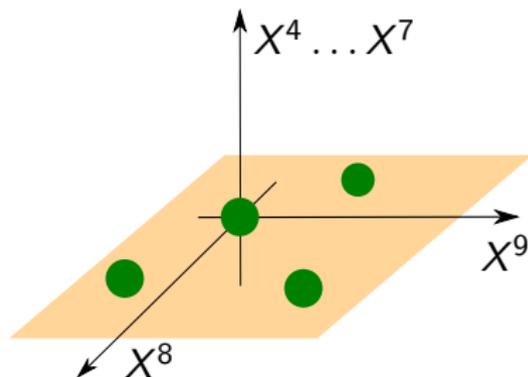
- Consider (N_0, N_1) **fractional D3 branes** sitting at the orbifold fixed point
- Their 4d worldvolume supports $\mathcal{N} = 2$ $SU(N_0) \times SU(N_1)$ quiver theory



Brane Setup

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- Adjoint vector multiplet

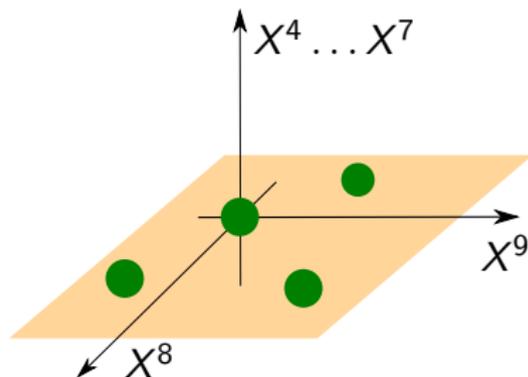
$$\Phi = \phi + \theta\lambda + \frac{1}{2} \theta\gamma^{\mu\nu}\theta F_{\mu\nu} + \dots, \quad \Phi = \begin{pmatrix} \Phi_0 & 0 \\ 0 & \Phi_1 \end{pmatrix}$$

- Two bifundamental hypers
- $b_0 = -2(N_0 - N_1), \quad b_1 = -2(N_1 - N_0)$

Brane Setup

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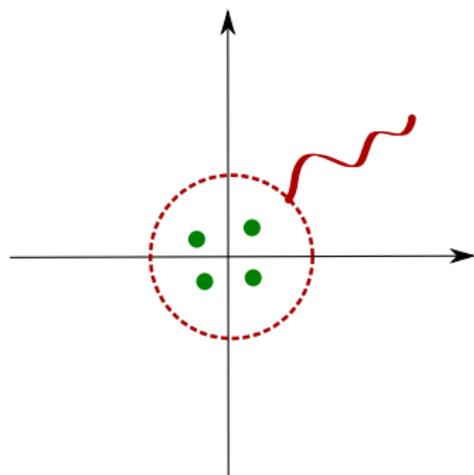
- Microscopic analysis can be done in the full quiver theory
- To simplify the following discussion, discard dynamics on $D3_1$ branes and set $N_0 = N_1 = N$
 \Rightarrow Conformal $\mathcal{N} = 2$ $SU(N)$ gauge theory with $2N$ flavours

The twisted scalar

Branes are sources for **closed string** fields \Rightarrow classical solutions for sugra

Untwisted sector

- Non-trivial **metric** and F_5
- Constant **axio-dilaton** $C_0 + ie^{-\varphi} \rightarrow \frac{i}{g_s}$



The twisted scalar

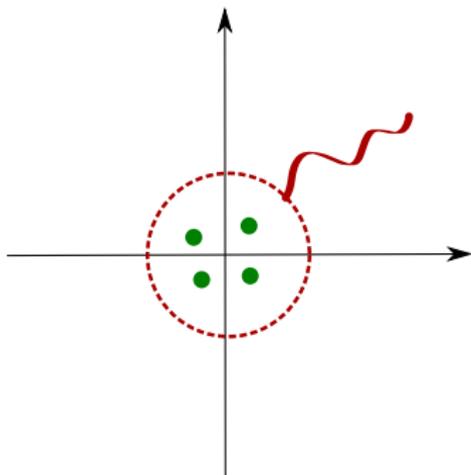
Branes are sources for **closed string** fields \Rightarrow classical solutions for sugra

- In the **twisted sector**, two scalars from NS and R sector b, c complexified into a holomorphic field

$$t = c + \frac{i}{g_s} b$$

- Lowest component of chiral superfield

$$T = t + \dots + \theta^4 \frac{\partial^2}{\partial z^2} \bar{t} + \dots$$



The classical t profile

Consider the worldvolume action of fractional D3's

$$S_{D3} \propto (N_0 - N_1) \int d^6x \bar{t} \delta^2(z) + \dots + \int d^4x t \operatorname{tr} F^2 + \dots$$

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- The **twisted scalar** is classically the **gauge coupling** on fractional D3 branes

$$t_{\text{sugra}} = \tau_{\text{gauge}} = \frac{\theta_{YM}}{\pi} + i \frac{8\pi}{g_{YM}^2}$$

- S_{D3} generates source terms in the e.o.m. for t

$$\square t + \frac{\delta}{\delta \bar{t}} S_{D3} = 0$$

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- S_{D3} generates source terms in the e.o.m. for t

$$\square t + \frac{\delta}{\delta \bar{t}} S_{\text{D3}} = 0$$

- For $N_0 = N_1$ and all the branes sitting at the origin, t is constant

$$i\pi t(z) = i\pi t_0$$

The classical t profile

- When branes are away from the origin, scalars get non-vanishing vevs

$$\langle \phi \rangle = \text{diag} (a_1, \dots, a_N), \quad \langle m \rangle = \text{diag} (m_1, \dots, m_N)$$

- Conformal symmetry broken \Rightarrow non-trivial profile for t

$$\Rightarrow i\pi t(z) = i\pi t_0 - 2 \text{tr} \log \frac{z - \langle \phi \rangle}{\mu} + 2 \text{tr} \log \frac{z - \langle m \rangle}{\mu}$$

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- Non-trivial source in e.o.m. for t

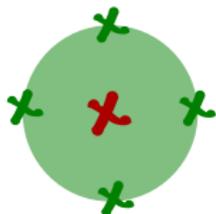
$$\square t = J_{\text{cl}} \delta^2(z)$$

- J_{cl} is encoded in effective action for massless open string fields

The classical source and prepotential

- Compute disk diagrams involving l adjoint scalars on the boundary and a twisted scalar, e.g. b , in the bulk

$$\sum_{l=0}^{\infty} \frac{1}{l!} \langle \underbrace{V_{\phi} \dots V_{\phi}}_l V_b \rangle_{D_{30}} = \frac{\pi}{g_s} \sum_{l=0}^{\infty} \frac{1}{l!} \text{tr} \langle \phi \rangle' (i\bar{p})^l b$$



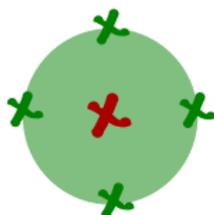
- Taking into account c and the susy completion yields the linear part of the classical prepotential

$$F_{\text{cl}} = i\pi \sum_{l=1}^{\infty} \frac{(i\bar{p})^l}{l!} \left(\text{tr} \langle \Phi \rangle' - \text{tr} \langle M \rangle' \right) \frac{T}{\bar{p}^2} + \dots$$

The classical source and prepotential

- Compute disk diagrams involving l adjoint scalars on the boundary and a twisted scalar, e.g. b , in the bulk

$$\sum_{l=0}^{\infty} \frac{1}{l!} \langle \underbrace{V_{\phi} \dots V_{\phi}}_l V_b \rangle_{D3_0} = \frac{\pi}{g_s} \sum_{l=0}^{\infty} \frac{1}{l!} \text{tr} \langle \phi \rangle^l (i\bar{p})^l b$$

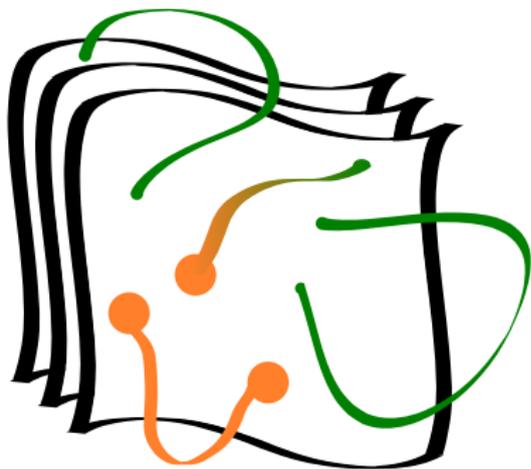


- Integration over fermionic superspace variables yields the classical source through

$$J_{\text{cl}} = \left. \frac{\bar{p}^2}{\pi} \frac{\delta F_{\text{cl}}}{\delta T} \right|_0 = \sum_{l=1}^{\infty} \frac{i}{l!} \left(\text{tr} \langle \phi \rangle^l - \text{tr} \langle m \rangle^l \right)$$

$D(-1)$ branes as instantons

- The exact t profile gets contributions from non-perturbative effects on the source D3 branes
- Instantonic configurations of the gauge theory are realized by adding k $D(-1)$ branes on top of the D3's [Douglas '95, Witten '95, Green and Gutperle '00, Billò *et al.* '02, ...]



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- Physical excitations of $-1/-1$ and $-1/3$ strings correspond to instanton moduli

(ϕ, ψ)	$U(k) \times SU(N_0)_g \times SU(N_1)_f$
$(a^\mu, M^\mu = M^{\alpha\dot{a}})$	(adj, 1, 1)
$(\bar{\chi}, \eta = \epsilon_{\dot{a}\dot{b}} \lambda^{\dot{a}\dot{b}})$	(adj, 1, 1)
$(\eta^c = (\tau^c)_{\dot{a}\dot{b}} \lambda^{\dot{a}\dot{b}}, D^c)$	(adj, 1, 1)
$(w_{\dot{a}}, \mu_{\dot{a}})$	$(k, \bar{N}, 1) + \text{h.c.}$
(μ'_a, h_a)	$(k, 1, \bar{N}) + \text{h.c.}$

Non-perturbative corrections

- The non-perturbative source can be extracted from the non-perturbative prepotential

$$F_{\text{n.p.}} = \sum_k \int d\widehat{\mathcal{M}}_k e^{-S_{\text{inst}}(\mathcal{M}_k, \Phi, T)}$$

- $S_{\text{inst}}(\mathcal{M}_k, \Phi, T)$ computed by $D(-1)$ diagrams with insertions of T and moduli

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- $S_{\text{inst}}(\mathcal{M}_k, \Phi, T)$ computed by $D(-1)$ diagrams with insertions of T and moduli
- Simplest diagrams are k $D(-1)$ disks with only t inserted, corresponding to **classical instanton action**

$$S_{\text{cl}} = -i\pi kt$$



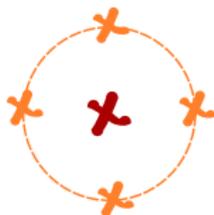
- S_{cl} weighs k -instanton contribution to $F_{\text{n.p.}}$

$$e^{-S_{\text{cl}}} = q^k, \quad q = e^{i\pi t}$$

Moduli interactions

- Relevant contributions to S_{inst} come from diagrams with the insertion of e.g. b and l χ moduli

$$\begin{aligned} & \sum_{l=0}^{\infty} \frac{1}{l!} \langle \underbrace{V_{\chi} \cdots V_{\chi}}_l V_b \rangle_{\text{D}(-1)_0} \\ &= -\frac{\pi}{g_s} \sum_{l=0}^{\infty} \frac{1}{l!} \text{tr}_k \chi^l (i\bar{p})^l b \end{aligned}$$



- This gives a linear non-perturbative prepotential

$$F_{\text{n.p.}} = i\pi T \sum_k q^k \int d\widehat{\mathcal{M}}_k e^{-S'_{\text{inst}}(\mathcal{M}_k, \Phi)} \sum_{l=1}^{\infty} \frac{1}{l!} \text{tr}_k \chi^l (i\bar{p})^l + \dots$$

The exact t profile

- The integrals over moduli space appearing in $F_{\text{n.p.}}$ compute the k -th instanton contribution to the $(l+2)$ -th element $\langle \text{tr } \phi^{l+2} \rangle$ of the chiral ring of the gauge theory:

$$F_{\text{n.p.}} = i\pi \sum_{l=1}^{\infty} \frac{(i\bar{\rho})^l}{l!} \langle \text{tr } \Phi^l \rangle_{\text{n.p.}} \frac{T}{\bar{\rho}^2} + \dots$$

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- The inclusion of instantonic corrections thus amounts to promote classical vevs to full quantum vevs in the source

$$\text{tr} \langle \phi^n \rangle \Rightarrow \langle \text{tr } \phi^n \rangle$$

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- The inclusion of instantonic corrections thus amounts to promote classical vevs to full quantum vevs in the source

$$\Rightarrow J = \frac{\bar{p}^2}{\pi} \frac{\delta F}{\delta T} \Big|_0 = i \sum_{l=1}^{\infty} \frac{(i\pi)^l}{l!} \left(\langle \text{tr } \phi^l \rangle - \text{tr } \langle m \rangle^l \right)$$

yielding the exact t profile

$$i\pi t(z) = i\pi t_0 - 2 \left\langle \text{tr} \log \frac{z - \phi}{\mu} \right\rangle + 2 \text{tr} \log \frac{z - \langle m \rangle}{\mu}$$

The exact t profile

- Exact description from the SW curve for the D3 gauge theory [Fucito *et al.* '11]

$$y^2 = P(z)^2 - g^2 Q(z),$$

$$P = \prod_{i=1}^N (z - e_i), \quad Q = \prod_{k=1}^N (z - m_k)^2, \quad g^2 = \frac{4q}{(1+q)^2}$$

- The correlator appearing in the t profile is computable from the SW curve

$$\left\langle \text{tr} \log \frac{z - \phi}{\mu} \right\rangle = \log \frac{P(z) + \sqrt{P(z)^2 - g^2 Q(z)}}{\mu^N (1 + \sqrt{1 - g^2})}$$

- Exact t profile emitted from brane system (agrees with [Witten '97, Cremonesi '09])

$$\pi i t(z) = \log \frac{P(z) - \sqrt{P^2(z) - g^2 Q(z)}}{P(z) + \sqrt{P^2(z) - g^2 Q(z)}}$$

t and gauge couplings

- Focus on **special vacuum** of gauge theory having only one scale left $\mathbf{v} = \langle \text{tr } \phi^N \rangle$ [Argyres and Pellarand '00]
- Corresponds to symmetric arrangement of D3's

$$a_i = a\omega^{i-1}, \quad m_i = m\omega^{i-1}, \quad \omega = e^{2i\pi/N}$$

- On the gravity side, evaluating t with all invariants set to zero leaves only one scale, z
- How is the twisted scalar evaluated at z related to the gauge coupling on this slice of moduli space?

t and gauge couplings

- In the massless case t is constant

$$i\pi t(z)|_{v=0} = \log q = i\pi t_0$$

- But the effective gauge coupling gets corrections even in conformal case $\Rightarrow t$ can't simply be τ
- Classically, the matrix of couplings takes the simple form

$$2\pi i \tau_{\text{tree}}^{ij} = \begin{pmatrix} 2 & 1 & 1 & \dots \\ 1 & 2 & 1 & \dots \\ 1 & 1 & 2 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \log q_0$$

- At the quantum level, additional matrix structures emerge for $N > 3$

t and τ for $SU(2)$

- From explicit instanton computations, UV t_0 and IR τ couplings for $m = 0$ are related by

$$i\pi\tau = \log q_0 + i\pi - \log 16 + \frac{1}{2}q_0 + \frac{13}{64}q_0^2 + \dots$$

- Inverting it,

$$q_0 = e^{i\pi t_0} = -16(e^{i\pi\tau} + 8e^{2i\pi\tau} + \dots) = -16\frac{\eta^8(4\tau)}{\eta^8(\tau)}$$

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- When $m \neq 0$, substituting t_0 with the non-constant $t(z)$, the equation still holds for the corresponding $\tau(\mathbf{v})$ after identifying $z^2 \leftrightarrow \mathbf{v}$
- Still true for non-conformal cases reached by decoupling some flavours

t and τ for $SU(3)$

- For $SU(3)$, the matrix of couplings retains its form at the exact level

$$2\pi i \tau_{SU(3)}^{ij} = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \pi i \tau .$$

- After identifying $z^3 \leftrightarrow \mathbf{v}$, t and τ related by

$$e^{i\pi t} = -27(e^{i\pi\tau} + 12e^{2i\pi\tau} + \dots) = -27 \frac{\eta^{12}(3\tau)}{\eta^{12}(\tau)}$$

t and τ for $SU(4)$

- For $SU(4)$ 1-loop and instantonic corrections spoil classical matrix structure

$$2\pi i \tau_{SU(4)}^{ij} = \begin{pmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{pmatrix} \pi i \frac{\tau_+ + \tau_-}{2} + \begin{pmatrix} 0 & -1 & 1 \\ -1 & -2 & -1 \\ 1 & -1 & 0 \end{pmatrix} \pi i \frac{\tau_+ - \tau_-}{2}$$

- Again t and τ_+, τ_- related through modular functions after identifying $z^4 \leftrightarrow \mathbf{v}$

$$e^{i\pi t} = -16 (e^{i\pi\tau_+} + 8e^{2i\pi\tau_+} + \dots) = -16 \frac{\eta^8(4\tau_+)}{\eta^8(\tau_+)},$$

$$e^{i\pi t} = -64 (e^{i\pi\tau_-} + 24e^{2i\pi\tau_-} + \dots) = -64 \frac{\eta^{24}(2\tau_-)}{\eta^{24}(\tau_-)}.$$

t and τ from the SW curves

- The SW curve for the massless conformal theory is

$$Y^2 = (X^N + 1)^2 - g^2 X^{2N}, \quad g^2 = \frac{4q_0}{(1 + q_0)^2}$$

- Making the substitution $q_0 \rightarrow q\left(\frac{m^N}{\mathbf{v}}, q_0\right)$ and changing variables one recovers the SW curve for the massive theory with UV coupling q_0

$$y^2 = (x^N + u^N)^2 - g^2 (x^N - m^N)^2$$

- The massive couplings can thus be related to massless ones by

$$\tau^{ij} \left(\frac{m^N}{\mathbf{v}}, q_0 \right) = \tau^{ij} \left(0, q \left(\frac{m^N}{\mathbf{v}}, q_0 \right) \right)$$

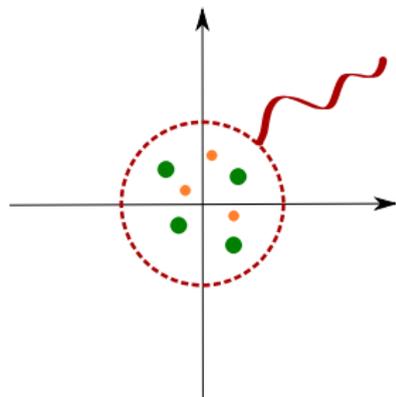
Conclusions

- We gave an explicit microscopic derivation of the exact twisted scalar profile through emission from $D(-1)/D3$ disks: the supergravity solution is expressed in terms of quantum correlators of the gauge (quiver) theory

$$\text{tr}\langle\phi^n\rangle \Rightarrow \langle\text{tr}\phi^n\rangle$$

- We found non-trivial relationships between the twisted scalar profile and the effective gauge couplings of the gauge theory, involving modular functions and showing

$$t_{\text{sugra}} \neq \tau_{\text{gauge}}$$



Thanks for your attention!