

Moduli stabilization in early superstring cosmology

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Moduli stabilization in superstring cosmology

- Giving mass to moduli arising from compactification.
- Cosmological moduli problem: coherent oscillation of moduli dominates the energy of the universe \rightarrow late time decay may spoil big bang nucleosynthesis
 - Can be solved by fabricating super-heavy moduli (KKLT, racetrack, etc)

In our work:


- We propose an alternative approach to moduli stabilization using thermal superstring models.
- Early universe: before EWSB phase transition.
- Lifting flat directions by non supersymmetric compactification
 - SUSY breaking by T : Scherk-Schwarz reduction on $S^1(R_0)$,
 $\beta = 2\pi R_0 = T^{-1} \Rightarrow M_{\text{string}} \gg T \gg \Lambda_{\text{EW}}$.
 - Nontrivial thermal vacuum energy generated at one-loop level.

Effective action approach

- To take into account both quantum effect and thermal effect: quantum effective action Γ under finite T :

$$e^{-\Gamma[\varphi]} = \int_{1\text{PI}} \mathcal{D}\eta e^{-S[\varphi+\eta]} \Rightarrow \Gamma[\varphi] = S[\varphi] + Z_{1\text{-loop}}[\varphi] + \dots$$

- In φ : spacetime metric $ds^2 = -N^2(t)dt^2 + a(t)^2 d\vec{x}^2$; moduli $\vec{\Phi}$.

- $Z_{1\text{-loop}} = \text{
 - $\mathcal{F} := -\frac{Z_{1\text{-loop}}}{\beta V_{D-1}}$ = free energy density.$

- General form of the effective action :

$$\Gamma[g_{\mu\nu}, \vec{\Phi}] = \int d^D x \sqrt{-g} \left[\frac{R}{2} - \frac{1}{2} F_{MN} \partial\Phi^M \partial\Phi^N - \mathcal{F}(\vec{\Phi}, T) \right],$$

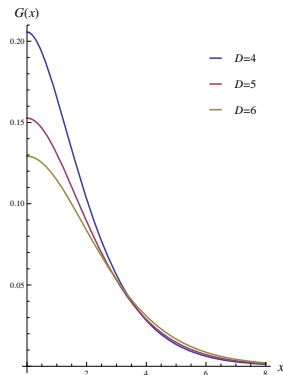
- $\mathcal{F} = \mathcal{F}_{\min}$: necessary condition for moduli stabilization.

The free energy density

- General form of the thermal free-energy density

$$\begin{aligned}\mathcal{F}(T, \vec{\Phi}) &= - \int_0^\infty \frac{d\ell}{2\ell} \frac{1}{(2\pi\ell)^{\frac{D}{2}}} \sum_{\text{states}} e^{-\frac{1}{2} M_s(\vec{\Phi})^2 \ell} \sum_{k_0} e^{-\frac{(2k_0+1)^2}{2T^2\ell}} \\ &= - T^D \sum_s G(M_s(\vec{\Phi})/T)\end{aligned}$$

- States of $M_s \ll T$ contribute to \mathcal{F} .
- States of $M_s \gg T$ are suppressed by $e^{-M_s/T}$ (Boltzman factor).
- $\mathcal{F} = \mathcal{F}_{\min}$: $\vec{\Phi}_0$ where $M_s(\vec{\Phi}_0) = 0$ for certain s .
 - Enhancement of gauge symmetry. [P.Ginsparg, C.Vafa, '87]
 - Topology change of the internal CY space.



Heterotic cosmology

- $SO(32)$ heterotic strings on $S^1(R_0) \times \mathbb{R}^{D-1} \times \prod_{i=D}^9 S^1(R_i)$
- Moduli space: $\{R_D, \dots, R_9; \phi\}$.
- Effective action:

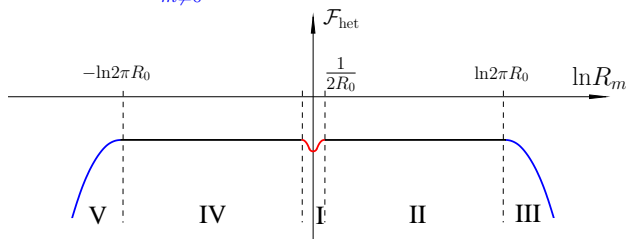
$$\Gamma[g_{\mu\nu}, \phi, R_i] = \int d^D x \sqrt{-g} \frac{1}{2} \left[R - \frac{4}{D-2} (\partial\phi)^2 - \sum_{i=D}^9 \left(\frac{\partial R_i}{R_i} \right)^2 \right] + Z.$$

- $Z = \frac{\hat{\beta} \hat{V}_{D-1}}{2(2\pi)^D} \int_{\mathcal{F}} \frac{d\tau_1 d\tau_2}{\tau_2^{D/2}} \frac{1}{\eta^8 \bar{\eta}^{24}} \sum_{a,b} (-1)^{a+b+ab} \frac{\theta_{[b]}^{[a]4}}{\eta^4} \Gamma_{[b]}^{[a]}(R_0) \prod_{i=D}^9 \Gamma(R_i) \bar{\Gamma}_{O(32)/\mathbb{Z}_2}$
 - $\Gamma_{[b]}^{[a]}(R_0) = \frac{R_0}{\sqrt{\tau_2}} \sum_{\tilde{m}, n} e^{-\frac{\pi R_0^2}{\tau_2} |\tilde{m} + \tau n|^2} (-1)^{a\tilde{m} + bn + \tilde{m}n}$
 - $\Gamma(R_i) = \frac{R_i}{\sqrt{\tau_2}} \sum_{\tilde{m}, n} e^{-\frac{\pi R_i^2}{\tau_2} |\tilde{m} + \tau n|^2}$

Heterotic cosmology

- Focusing on one radius R_m , fixing all other radii, $\mathcal{F} = -\frac{Z}{\beta V_{D-1}}$:

$$\mathcal{F} = -T^D \left[c_D n_0 + n_0 \sum_{m \neq 0} G(|m| e^{-|\ln R_m|} / \hat{T}) + n_1 G(|R_m - R_m^{-1}| / \hat{T}) + \dots \right].$$



- $\hat{T} = e^{-\frac{2\phi}{D-2}} T$: string frame temperature
- Local minimum of \mathcal{F} due to the states of mass $|R_m - R_m^{-1}|$, vanishing at $R_m = 1$.
 - Strings wrapping once $S^1(R_m)$, carrying one unit of momentum.
 - Non Cartan states of the gauge symmetry enhancement $U(1) \rightarrow SU(2)$.

Heterotic cosmology

Let $\Phi_m := \ln R_m$, solving equations of motion near $\Phi_m = 0$:

- $a \propto T^{-1} \propto t^{2/D}$, $\rho_{\text{tot}} = H^2 \propto a^{-D}$
- $\ddot{\Phi}_m + (D-1)H\dot{\Phi}_m + M_m^2 \Phi_m = 0$, dynamical mass $M_m \propto T^{\frac{D-2}{2}}$.
 - $\Phi_m \sim t^{-1/2} \sin(\lambda_m t^{2/D} + \text{phase})$
 $\Phi_m \sim t^{-1/2} \sin(M_m t + \dots)$ for $M_m = \text{const.}$
 - $\rho_m \propto \dot{\Phi}_m^2 \sim a^{2 - \frac{3D}{2}}$
 $\rho_m \propto \dot{\Phi}_m^2 \sim 1/a^{D-1}$ for $M_m = \text{const.}$

Cosmological moduli problem:

- $D > 4$: $\rho_m \ll \rho_{\text{tot}}$: radiation dominated universe. ✓
- $D = 4$: $\rho_m \propto \rho_{\text{tot}} \propto a^{-4}$. Other SUSY breaking mechanism required [F.Bourliot, J.Estes, C.Kounnas, H.Partouche '08].

Extension: including all moduli ϕ , G_{ij} , B_{ij} , Y_i^I

- All moduli except ϕ can be stabilized.
- Moduli attractor: gauge symmetry enhancement points.

Type I cosmology by duality

- Type I strings on $S^1(R_0) \times \mathbb{R}^{D-1} \times \prod_{i=D}^9 S^1(R_i)$
- Dictionary of type I/heterotic duality:
 - $\lambda_h = \frac{1}{\lambda_I}$, $R_h = \frac{R_I}{\sqrt{\lambda_I}}$, where $\lambda_{h(I)}$ is heterotic (type I) string coupling in 10D
 - $Z_{\text{TI}}(T, \lambda_I) = Z_{\text{het}}(T, 1/\lambda_I)$ for $\lambda_h \ll 1$.
- Type I free energy density by duality, near heterotic self-dual point $R_h \sim 1$, or $R_I \sim \sqrt{\lambda_I}$

$$\mathcal{F}_{\text{het}} = -T^D \left[c_D n_0 + n_1 G \left(\frac{1}{\hat{T}_h} \middle| R_h - \frac{1}{R_h} \right) + \dots \right] \implies$$

$$\mathcal{F}_{\text{TI}} = -T^D \left[c_D n_0 + n_1 G \left(\frac{1}{\hat{T}_I} \middle| \frac{R_I}{\lambda_I} - \frac{1}{R_I} \right) + \dots \right]$$

- R_I stabilized at $\sqrt{\lambda_I}$ by non perturbative states of mass $\left| \frac{R_I}{\lambda_I} - \frac{1}{R_I} \right|$
 - From $D1$ branes wrapping once the internal circle with one unit of momentum
 - Enhanced gauge symmetry $U(1) \rightarrow SU(2)$
 - Also true for $\lambda_h \gg 1$: BPS states, will survive strong-coupling extrapolation

Type I cosmology by duality

Extension:

- Direct computation in type I picture?
 - $D1$ brane contribution cannot be inferred from direct perturbative computation in type I picture.
 - However Z_{TI} can be rewritten into the form of $E1$ -instanton sum. Technique of first-principle computation of instantonic contribution may be applied.
- Switching on all type I moduli $\phi, G_{ij}, C_{ij}, Y_i^I$.
 - All moduli except the dilaton can be stabilized at enhanced gauge symmetry points by either open string perturbative states or non perturbative $D1$ brane states.

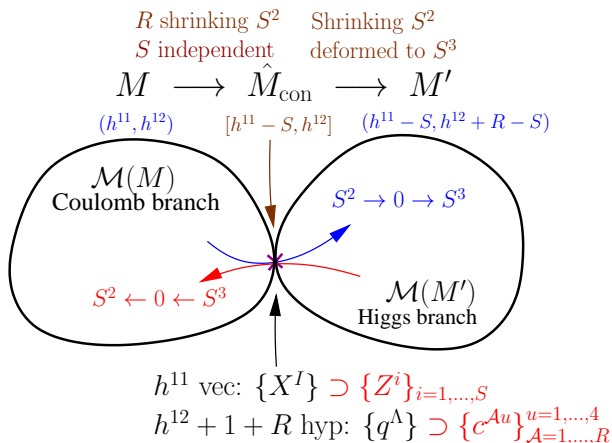
Stabilization of dilaton:

- Maybe 5-brane states can stabilize dilaton when $D \leq 5$.
- Examine type II strings on CY
 - Contains NS5-brane contribution by type II/heterotic duality.

Type II cosmology

- Type II strings compactified on Calabi-Yau (CY) three-folds.
 - Type IIA on CY space M or type IIB on mirror W .
 - Low energy effective theory: $\mathcal{N}_4 = 2$ SUGRA.
- Moduli space: $\mathcal{M} = \mathcal{M}_V \times \mathcal{M}_H$.
 - \mathcal{M}_V : scalar components of $h^{1,1}(M)$ vector multiplets
 - \mathcal{M}_H : scalar components of $h^{1,2}(M) + 1$ hyper multiplets
- Look for possibility of moduli stabilization: anywhere in \mathcal{M} where extra massless states emerge to minimize \mathcal{F} ?
- Non perturbative massive states becoming massless when the CY undergoes topology change by extremal transition:
 - Conifold transition [A.Strominger '95]
 - Gauge symmetry enhancement [A.Klemm, P.Mayr '96], [S.H.Katz, D.R.Morrison, M.Ronen Plesser '96]

Type II cosmology — conifold transition



Fields relevant to conifold transition:

- The S vector multiplets $\{Z^i\}$: related to the S independent shrinking S^2 .
- The R hypermultiplets $\{c^{Au}\}$: from the R shrinking S^2 .
- Z^i and c^{Au} vanish at conifold loci.

Type II cosmology — conifold transition

- Resulting $\mathcal{N}_4 = 2$ SUGRA:

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2} R - g_{I\bar{J}} \partial X^I \partial \bar{X}^{\bar{J}} - h_{\Lambda\Sigma} \nabla q^\Lambda \nabla q^\Sigma - V_s(X, q) + \dots \right].$$

- Expanding $g_{I\bar{J}}$, $h_{\Lambda\Sigma}$ and $V_s(X, q)$ about conifold loci, demanding that the zero-th order metrics have $U(1)^S$ isometry:

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2} R + \mathcal{L}_{\text{gauge}}^{\mathcal{N}=2}(Z^i, c^{Au}) + \mathcal{L}_R + \dots \right].$$

$$\mathcal{L}_{\text{gauge}}^{\mathcal{N}=2} = -g_{i\bar{j}} \partial Z^i \partial \bar{Z}^{\bar{j}} - \nabla c^{Au} \nabla c^{Av} - V_s(X, c),$$

$$V_s = g_c^2 e^{\mathcal{K}} \sum_{i,j} \left(4 \sum_{\mathcal{A},u} Q_i^{\mathcal{A}} Q_j^{\mathcal{A}} \bar{Z}^i Z^j c^{Au} c^{Au} + g^{i\bar{j}} \vec{D}_i \cdot \vec{D}_{\bar{j}} \right),$$

$$\vec{D}_i = \sum_{\mathcal{A}} Q_i^{\mathcal{A}} \mathcal{C}^{\mathcal{A}\dagger} \vec{\sigma} \mathcal{C}^{\mathcal{A}}, \quad \mathcal{C}^{\mathcal{A}\dagger} := \begin{pmatrix} -c^{\mathcal{A}2} + i c^{\mathcal{A}1} \\ c^{\mathcal{A}3} - i c^{\mathcal{A}4} \end{pmatrix},$$

$$\nabla_\mu c^{Au} = \partial_\mu c^{Au} + g_c Q_i^{\mathcal{A}} V_\mu^i t^u_v c^{Av}.$$

- A sum of gravity action with a rigid $\mathcal{N} = 2 U(1)^S$ supersymmetry gauge field action formally coupled to gravity.
- Moduli irrelevant to conifold transition are decoupled, no potential generated.

Type II cosmology — conifold transition

- Focus on relevant fields Z^i and c^{Au} , and compute $\Gamma[\langle Z \rangle, \langle c \rangle]$

Branch	$\langle Z^i \rangle$	$\langle c^{Au} \rangle$
Coulomb	$\neq 0$, moduli	$= 0$, non pert. states of mass $\propto \langle Z^i \rangle$
	$\Gamma = \int d^4x \sqrt{-g} \left\{ \frac{R}{2} - (\partial \langle Z \rangle)^2 - T^4 \left[c_4 n_0 + \sum G(\langle Z \rangle / T) \right] + \dots \right\}$ $\implies \langle Z \rangle \text{ attracted to } 0$	
Higgs	$= 0$, non pert. states of mass $\propto \langle c^{Au} \rangle$	$\neq 0$, moduli
	$\Gamma = \int d^4x \sqrt{-g} \left\{ \frac{R}{2} - (\partial \langle c \rangle)^2 - T^4 \left[c_4 n_0 + \sum G(\langle c \rangle / T) \right] + \dots \right\}$ $\implies \langle c \rangle \text{ attracted to } 0$	

- All moduli relevant to conifold transition are stabilized at conifold loci, internal CY attracted to \hat{M}_{con}

Type II cosmology — Gauge symmetry enhancement

- Gauge symmetry enhancement $M \rightarrow \hat{M}_{\text{enh}} \leftarrow \tilde{M}$:

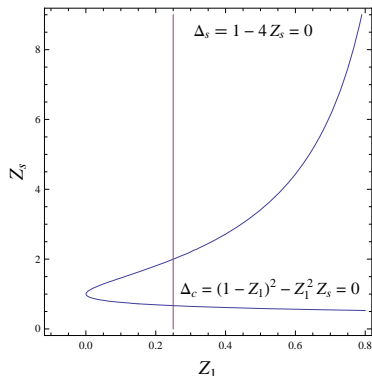
$$\begin{array}{ccc}
 \begin{array}{l} N - 1 \text{ shrinking } S^2 \\ \text{along a curve of genus } g \end{array} & & \begin{array}{l} \text{Shrinking } S^2 \\ \text{deformed to } S^3 \end{array} \\
 M & \longrightarrow & \hat{M}_{\text{enh}} \longrightarrow \tilde{M} \\
 & & \text{\textit{SU}(N) with } g \text{ hyps in the adj}
 \end{array}$$

- All moduli relevant to gauge symmetry enhancement are stabilized, the internal CY attracted to \hat{M}_{enh} , where we have $SU(N)$ with g hyps in the adjoint.

	M	\hat{M}_{enh}	\tilde{M}
\rightarrow	$(h^{1,1}, h^{1,2})$	$[h^{1,1} - (N - 1), h^{1,2} - g(N - 1)]$	$(h^{1,1} - (N - 1), h^{1,2} + g(N^2 - N) - (N^2 - 1))$
\leftarrow	$(\tilde{h}^{1,1} + (N - 1), \tilde{h}^{1,2})$	$[\tilde{h}^{1,1}, \tilde{h}^{1,2} - (g - 1)(N^2 - 1)]$	$(\tilde{h}^{1,1}, \tilde{h}^{1,2})$

Example: a model with heterotic dual

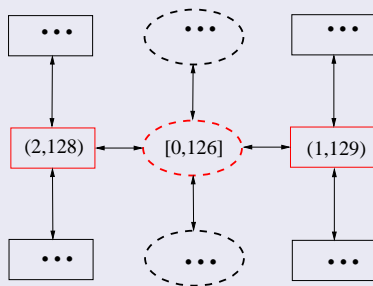
- Type IIA strings compactified on $M \in \mathbf{P}_{(1,1,2,2,6)}^4[12](2, 128)$.
 - Conifold: $\Delta_c = (1 - z_1)^2 - z_1^2 z_s = 0$, no Higgs branch.
 - Gauge symmetry enhancement: $\Delta_s = 1 - 4z_s = 0$, with $U(1) \rightarrow SU(2)$, $g = 2$ hypers in the adjoint. Higgs branch: $\tilde{M} \in P_{(1,1,1,1,4)}^4[8](1, 129)$



- Moduli attracted to the crossing points of $\Delta_c = 0$ and $\Delta_s = 0$.
 - Both of the vector multiplet moduli are stabilized.
 $(2, 128) \rightarrow [0, 126] \leftarrow (1, 129)$
 - Heterotic dual on $K3 \times T^2$ exists
- [S.Kachru, C.Vafa 96]
- Stabilization of all vec. moduli in the heterotic dual.
 - Stabilization of heterotic dilaton.

Perspective

- Possibility of stabilize also all hypermultiplet moduli in the web of CYs.



- Move in the web of Calabi-Yau spaces to reach smaller Hodge number $h^{1,2}$.
- Expect to stabilize all the hypers except the universal hypermultiplet.
- Consider generalized CY with flux to stabilize the universal hypermultiplet.