

Lectures on AdS/CFT

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September 9, 2011

- **Lecture 1:** Motivation and Background (confinement, large N , holographic bound, basic CFT, anti de-Sitter space)
- **Lectures 2+3:** Main statement of AdS/CFT, derivation, how to do computations, generalizations
- **Lecture 4:** Applications (QCD, hydrodynamics and condensed matter, black holes, cosmology)

Practicalities

- Subject is huge \Rightarrow will move fast
- Intuitive explanations rather than technical derivations
- **PLEASE ASK QUESTIONS!!!** (during talks **and later**)
- If too formal/technical ask for more physical explanation
- References: If interested, ask me in private

Introduction

The AdS/CFT correspondence

The AdS/CFT correspondence is a duality (an exact equivalence) between two seemingly different theories

1. A four dimensional **quantum field theory** (a gauge theory-like QCD)
2. **Gravity** (string theory) in a higher dimensional spacetime
 - Discovered in 1997 by J. Maldacena. Clarified by Gubser, Klebanov, Polyakov and independently by Witten.
 - Very active field. Applications in other areas of physics (i.e. not string theory)

APPLICATIONS:

Use Gravity to learn about QFT

- QCD \Rightarrow strong coupling phenomena (confinement, chiral symmetry breaking etc.)
- Fluid dynamics, condensed matter systems

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Use QFT to learn about Gravity

- Black Holes (singularities, entropy, Hawking radiation....)
- Cosmology (Big Bang, inflation, c.c. problem, ...)

- (so far the only) Non-perturbative definition of string theory/quantum gravity
- **SPACE AND TIME ARE EMERGENT CONCEPTS !!!**
- Is our world a hologram?

1. **Confinement in gauge theories, large N expansion**
2. **Black Holes and Holography**

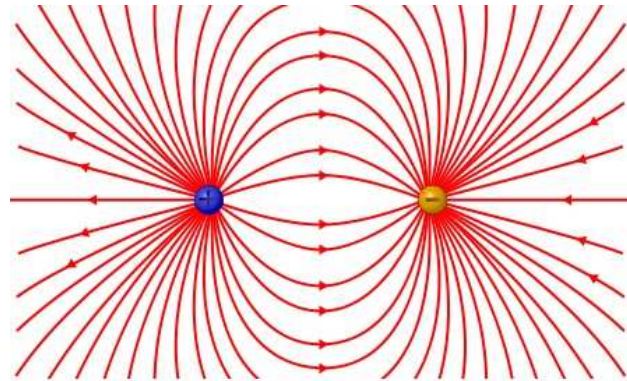
Confinement in QCD

- QCD: $SU(3)$ gauge theory + fermions
- In the UV: quarks + gluons
- Coupling constant runs with energy scale (asymptotic freedom)
- Theory becomes strongly coupled at low energies
- Strong coupling \Rightarrow "color confinement" : asymptotic states are $SU(3)$ singlets
- In the IR we see mesons, baryons, glueballs etc.
- Confinement difficult to understand analytically:

NO EXPANSION PARAMETER

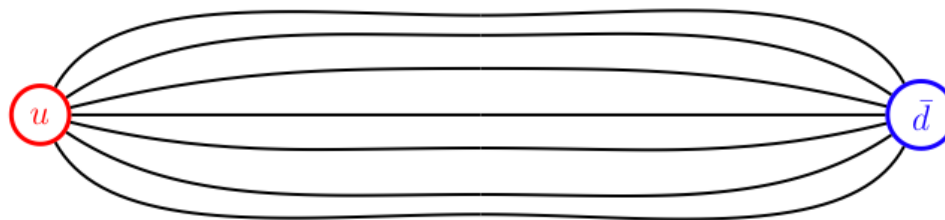
Flux-tubes and string theory

- Consider two charges in $U(1)$ gauge theory



field lines spread out \Rightarrow force $\sim 1/r^2$

- In non-abelian gauge theories



chromoelectric field independent of $r \Rightarrow$ energy in field linear with $r \Rightarrow$ confinement

Flux-tubes and string theory

- Fluxtube behaves like a string of constant tension
- Mesons can be understood as excitations of the fluxtube \sim open strings (Regge trajectories)
- Glueballs \sim closed strings

The large N expansion

- QCD has no obvious expansion parameter
- What if we replace $SU(3) \rightarrow SU(N)$?
- 't Hooft: theory simplifies in the large N limit
- In order to have good behavior we need to scale

$$N \rightarrow \infty$$

$$g_{YM} \rightarrow 0$$

keeping

$$\lambda \equiv g_{YM}^2 N$$

fixed. The parameter λ is called the "'t Hooft coupling"

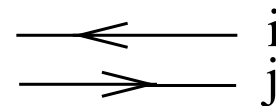
Double-line diagrams at large N

- Consider $U(N)$ gauge theory. The gauge field has the form

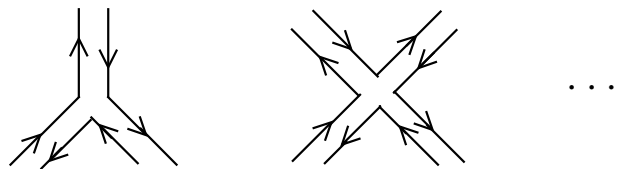
$$A_\mu = A_\mu^I T^I$$

where T^I are the generators of the Lie algebra in the adjoint representation

- The adjoint representation can be understood as $\overline{\mathbf{N}} \otimes \mathbf{N}$. Hence we can trade the index $I \rightarrow (i, \bar{j})$
- The gluon propagator can then be represented as

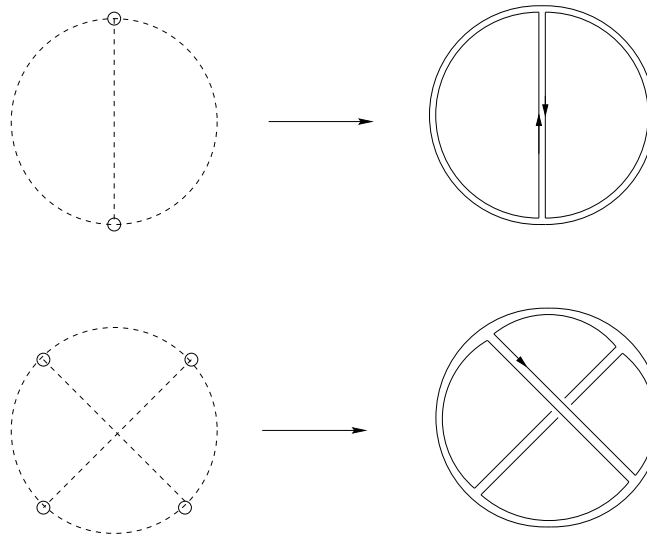


and vertices of the gauge theory are



Double-line diagrams at large N

- Feynman diagrams can be rewritten as double-line diagrams where the arrows have to be connected consistently



- Different diagrams contribute with different power of N in the large N limit.
- The double-line notation makes the counting of factors of N easier.

Counting powers of N

The lagrangian has the form

$$\mathcal{L} = -\frac{1}{4g_{YM}^2} \text{Tr} (F_{\mu\nu} F^{\mu\nu})$$

where $1/g_{YM}^2 = \frac{N}{\lambda}$.

- Every propagator carries a factor of $\frac{\lambda}{N}$. Every vertex carries a factor of $\frac{N}{\lambda}$. The summation over each closed line gives a factor of N
- If we have a diagram with V vertices, E propagators and F loops we find that it scales like

$$N^{V-E+F} \lambda^{E-V}$$

the quantity $V - E + F = \chi$ is the Euler character of a surface corresponding to the diagram

- For closed, oriented surfaces $\chi = 2 - 2g$ where g is the genus

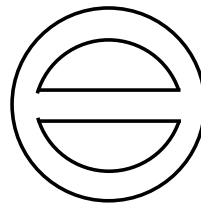
Counting powers of N

- Power of N depends only on **topology** of the diagram. If g is the genus then the N -dependence is

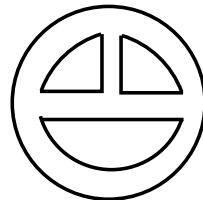
$$N^{2-2g}$$

EXAMPLES

genus 0:

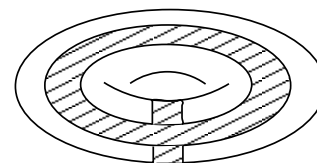
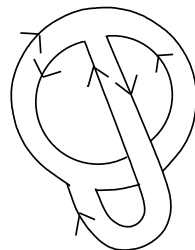


$$N^3 (g^2) \sim N^2 (g^2 N)$$



$$N^4 (g^2)^2 \sim N^2 (g^2 N)^2$$

genus 1:



$$N^0 (g^2 N)$$

Torus

Simplifications at large N

- Only planar (genus zero) diagrams contribute
- There is a systematic $1/N$ expansion
- Gauge singlets (mesons, glueballs etc.) become stable and free
- Large N limit is a "classical limit"
- While theory simplifies, still non-trivial dynamics \Rightarrow we still have confinement

Large N expansion and string theory

- The genus expansion of large N gauge theories \sim genus expansion of string theory, if we identify

$$\frac{1}{N} \sim g_s$$

- This suggests that a large N gauge theory is dual to a string theory
- At large λ the "holes" in double line diagrams close \Rightarrow they become smooth surfaces (string worldsheet)
- String theory is inconsistent in four-dimensions, hence the dual string theory lives in higher dimensions

Black Hole entropy

- Schwarzschild black hole:

$$ds^2 = - \left(1 - \frac{2GM}{r} \right) dt^2 + \left(1 - \frac{2GM}{r} \right)^{-1} dr^2 + r^2 d\Omega_2^2$$

- Event Horizon at $r = 2GM$, singularity at $r = 0$.
- Black Hole dynamics + Hawking radiation \Rightarrow

BLACK HOLES HAVE ENTROPY

$$S = \frac{A}{4G}$$

This has far-reaching implications for the nature of space-time.

The holographic bound

- Consider region of spacetime of size R . How many degrees of freedom do we need to describe it?
- # of degrees of freedom \sim (maximal) entropy contained in region.
- In conventional local systems we entropy scales like **volume**

$$S \sim R^3$$

A Gedanken experiment

- Imagine adding matter to region. Entropy cannot decrease.
- If sufficient amount of matter \Rightarrow collapse and black hole formation
- Entropy of final black hole goes like the **area** of the region!

$$S \sim R^2$$

in contrast our expectations for systems with local degrees of freedom

Quantum gravity is holographic

- Black hole entropy \Rightarrow in theories with gravity \neq of degrees of freedom scales like the area, not volume

How is this possible?

- A natural mechanism to guarantee this would be to assume that somehow the degrees of freedom necessary to describe physics in a region M , live on the boundary of the region ∂M .
- These degrees of freedom on the boundary completely encode what happens in the interior.
- **Gravity is holographic.**

AdS/CFT: gravity and gauge theories

The two aforementioned ideas

1. That large N gauge theories can be described by string theories.
2. That quantum gravity is holographic.

have found a precise realization with the discovery of the AdS/CFT correspondence

Large N gauge theory in d dimensions

\Leftrightarrow

Quantum gravity (string theory) in $\geq d + 1$ dimensions

- Applications and fundamental physics
- Simplest case: gauge theory is conformal and gravity is in AdS
- $\mathcal{N} = 4$ Yang – Mills \Leftrightarrow IIB string theory on $\text{AdS}_5 \times S^5$

Conformal Field Theory

Scale invariance

- Most QFTs have scales (masses, couplings, etc.) \Rightarrow non-trivial RG-flow
- Cutoff \Rightarrow quantum violation of scale invariance
- Dynamically generated scales (like Λ_{QCD})

HOWEVER

- There are QFTs which have no scale and where $\beta = 0 \Rightarrow$ exact scale invariance.
- New symmetry generator: dilatation operator D

$$[D, P_\mu] = -iP_\mu, \quad [D, M_{\mu\nu}] = 0$$

Conformal invariance

- In most cases scale invariant QFTs are invariant under a larger symmetry group, the conformal group
- In addition to P_μ , $M_{\mu\nu}$ and D it contains new symmetry generators

special conformal transformations K_μ

Poincare $x^\mu \rightarrow \Lambda^\mu_\nu x^\nu + a^\mu$

scale $x^\mu \rightarrow \lambda x^\mu$ (1)

special conformal $x^\mu \rightarrow \frac{x^\mu - b^\mu x^2}{1 - 2b \cdot x + b^2 x^2}$

- In d spacetime dimensions the conformal group is isomorphic to $SO(d, 2)$.

No S-matrix in CFTs, correlation functions

- In theories with no mass gap \Rightarrow no well defined asymptotic states
- \Rightarrow No S-matrix. What are the physical observables?
- Correlation functions of "local operators" (gauge invariant ones)

$$\phi^k, \quad \phi \partial_\mu \phi, \quad F_{\mu\nu} F^{\mu\nu}, \quad \text{Tr}(F_{\mu\nu} F^{\mu\nu}), \quad \dots$$

- In CFTs we would like to compute

$$\langle \mathcal{O}_1(x_1) \dots \mathcal{O}_n(x_n) \rangle$$

where \mathcal{O}_i are local operators like those mentioned above

- "Solving the CFT" \Leftrightarrow computing such correlation functions

Classification of local operators

- Usual QFT \Rightarrow classify states under Poincare group
- in CFT \Rightarrow classify local operators under conformal group

$$[D, \mathcal{O}(0)] = -i\Delta\mathcal{O}(0)$$

Δ is the "conformal dimension of the operator".

From the algebra we have

$$[D, P_\mu] = -iP_\mu, \quad [D, K_\mu] = iK_\mu$$

so P_μ raises the dimension of an operator while K_μ lowers it.

- Local operators annihilated by the K_μ 's are called **conformal primaries**. They are characterized by Δ and their spin.
- All other local operators can be derived from primaries by acting with $P_\mu \sim -i\partial_\mu$. They are called **descendants**.

Correlation functions in CFTs

- Conformal invariance fixes form of 2-point function of conformal primaries

$$\langle \mathcal{O}(x)\mathcal{O}(y) \rangle = \frac{1}{|x - y|^{2\Delta}}$$

- and also the 3-point function

$$\langle \mathcal{O}(x)\mathcal{O}(y)\mathcal{O}(z) \rangle = \frac{C}{|x - y|^\Delta |y - z|^\Delta |x - z|^\Delta}$$

- 4- and higher-point correlation functions are constrained but NOT fixed by conformal invariance

The $\mathcal{N} = 4$ SYM theory

- 4d QFT with maximum amount of supersymmetry (16 supercharges). The field content is

gauge field	A_μ		
fermions	λ^i ,	$i = 1, \dots, 4$	(2)
scalars	Φ^I ,	$I = 1, \dots, 6$	

all in the adjoint of the gauge group G .

- The Lagrangian of the theory has the schematic form

$$\mathcal{L} = -\frac{1}{4g^2} \text{Tr} (F_{\mu\nu} F^{\mu\nu} + (D_\mu \Phi^I)^2 + \bar{\lambda} \not{D} \lambda + [\Phi^I, \Phi^J]^2 + \dots)$$

- For given gauge group $G \Rightarrow$ Unique 4d QFT with $\mathcal{N} = 4$ SUSY

Basic properties of the $\mathcal{N} = 4$ SYM

- The theory is conformal (β function is exactly zero).
- Theory is invariant under the superconformal group. Its bosonic subgroup is

$$SO(4, 2) \times SO(6)$$

The $SO(6) = SU(4)$ is the R-symmetry of the theory.

- Exact $SL(2, Z)$ duality. Define complexified coupling $\tau = \frac{\theta}{2\pi} + i\frac{4\pi}{g^2}$. Theory invariant under

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad (a, b, c, d) \in SL(2, Z)$$

Anti de-Sitter space

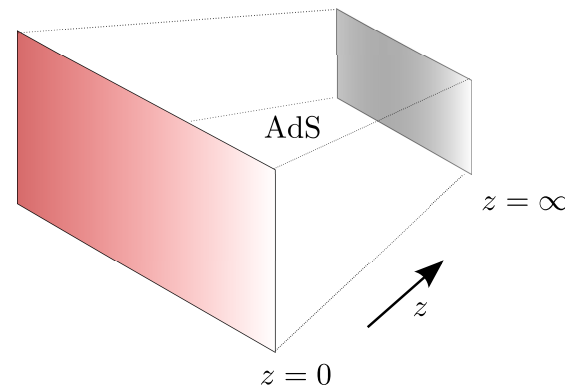
Geometry of AdS

- AdS_{d+1} is the maximally symmetric spacetime in $d + 1$ dimensions
- It has constant negative curvature and is a solution of Einstein equations with negative cosmological constant
- The isometry group of AdS_{d+1} is $SO(d, 2)$ (notice that it coincides with the conformal group in d dimensions!)
- There are various coordinate systems which can be used, each with its own advantages

Geometry of AdS

- One useful coordinate system is the so-called "Poincare patch", where the coordinates are (z, t, \vec{x}) and the metric has the form

$$ds^2 = \frac{-dt^2 + d\vec{x}^2 + dz^2}{z^2}$$

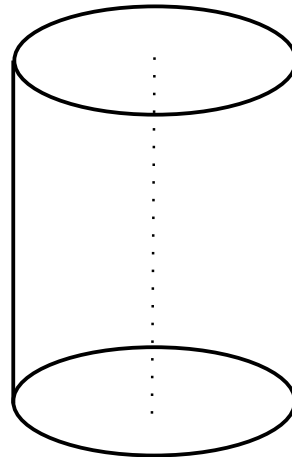


- We have Minkowski-space slices along t, \vec{x} which are **warped** along the direction z
- Only the Poincare invariance along d directions and scaling is manifestly visible (not the full isometry group $SO(d, 2)$).

Geometry of AdS

- Another useful coordinate system is the "global patch", with coordinates t, ρ, Ω_{d-1} and the metric

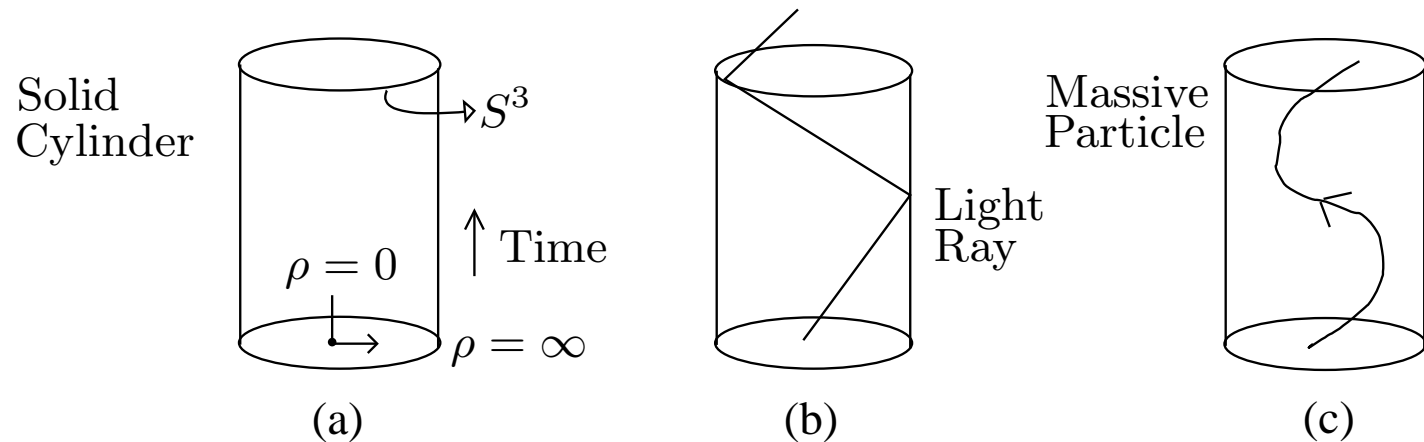
$$ds^2 = -\cosh^2 \rho dt^2 + d\rho^2 + \sinh^2 \rho d\Omega_{d-1}^2$$



Now the $SO(d) \times \mathbf{R}$ isometry is manifest

Particles in AdS

- Attractive gravitational potential towards the "center" i.e. $\rho = 0$
- Penrose diagram of AdS \Rightarrow "conformal boundary is $S^{d-1} \times R$ "
- Massless particles can reach the boundary ($\rho = \infty$) in finite time, massive particles never reach the boundary



String theory on AdS

- (Super)string theory is consistent in 10 dimensions
- Consider the space $AdS_5 \times S^5$ (with F_5 flux)
- It is a consistent background for IIB string theory
- At low energies \Rightarrow IIB supergravity on $AdS_5 \times S^5$
- Is equivalent to the 4d $\mathcal{N} = 4$ gauge theory

TO BE CONTINUED...