

Crumpling transition and flat phase in polymerized phantom membranes

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Outline

- 1 Motivations
- 2 Fluid membranes vs polymerized membranes
- 3 NPRG approach to polymerized membranes
- 4 Prospects

Motivations

- membranes: 2D-extended objects embedded in a d dimensional space and subject to fluctuations

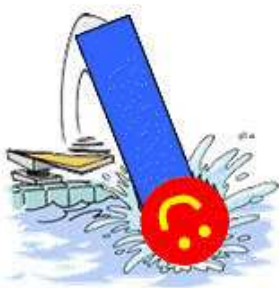
- fluctuating membranes / surfaces occur in several domains:

- chemical physics - biology (of the cell):

(Helfrich, Nelson-Peliti, David-Gutter, Aronovitz-Lubensky (70's - 80's))

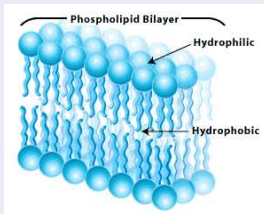
main compound: **amphiphiles molecules** (ex: phospholipid)

- one hydrophilic head
- one – or more – hydrophobic tails

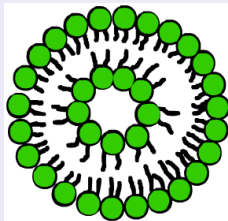


bilayers:

- plane membrane ($L \simeq 1 - 110 \mu\text{m}$ and $\delta r \simeq 1 \text{ nm}$)

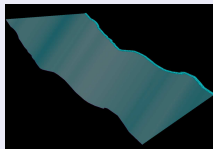


- closed membranes (red blood cell, liposomes)



- High energy physics: (sum over) **surfaces** occurs within, e.g.:
 - strong coupling expansion of lattice gauge theory
 - discretization of Euclidean quantum gravity

- High energy physics: (sum over) **surfaces** occurs within, e.g.:
 - strong coupling expansion of lattice gauge theory
 - discretization of Euclidean quantum gravity
- string theory (Polyakov, David, Foerster (70's - 80's))
 - a string sweeps out a surface (worldsheet)



- action: $S = \tau \int d^2\sigma \sqrt{g} \propto \text{area}$

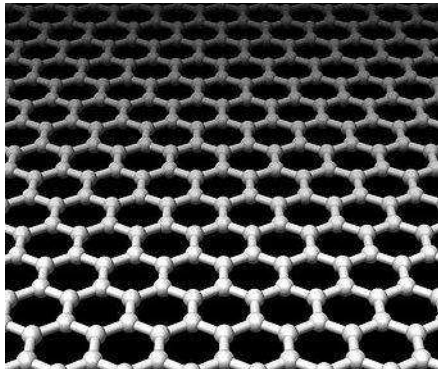
\implies deep relations with **fluid membranes**: $\tau \equiv$ tension

(see also branes ... (Polchinski (90's)))

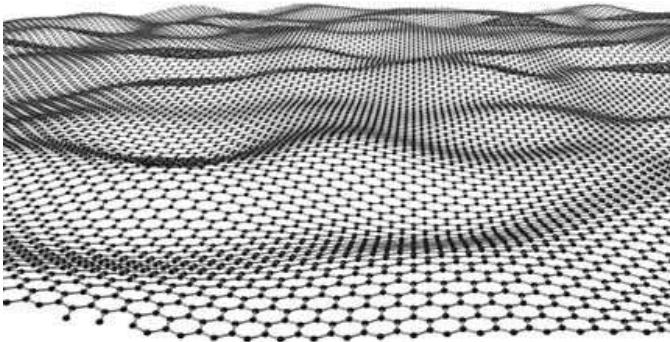
- condensed matter physics:

graphene: carbon atoms on a honeycomb lattice

- relativistic electrons $E = v|p|$ with $v \sim c/300$
- extremely high mobility (propagation without scattering)

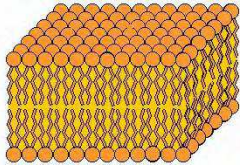


- mechanical properties:
 - extremely strong material
 - "soft" material: unique exemple of genuine 2D
(metallic and polymerized) membrane

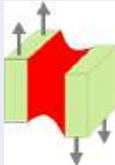


Fluid membranes vs polymerized membranes

Properties of fluid membranes



- very weak interaction between molecules
 - ⇒ free diffusion inside the membrane plane (10^7 exchange/s)
 - ⇒ **no shear modulus**



- very small compressibility: **no stretching or contraction**

⇒ dominant contribution to the energy: **bending energy**

- coordinate transformation – **reparametrization** – invariance

⇒ free energy F written in terms of *geometrical* quantities

- **parametrization:**

2D membranes: parametrized by the embedding:

$$\boldsymbol{\sigma} = (\sigma_1, \sigma_2) \rightarrow \mathbf{r}(\sigma_1, \sigma_2)$$

- $(\sigma_1, \sigma_2) \equiv$ local coordinates on the membrane
- \mathbf{r} : d dimensional vector of bulk - or "target" - space

- Free energy:

$$F = r \int d^2\sigma \sqrt{g} + \frac{\kappa}{2} \int d^2\sigma \sqrt{g} \mathbf{K}_\mu^\mu \cdot \mathbf{K}_\nu^\nu + \frac{\bar{\kappa}}{2} \int d^2\sigma \sqrt{g} R$$

- $g_{\mu\nu} = \partial_\mu \mathbf{r} \cdot \partial_\nu \mathbf{r} \equiv$ **metric induced** by the embedding $\mathbf{r}(\sigma)$
 - $\mathbf{K}_{\mu\nu} = D_\mu D_\nu \mathbf{r} \equiv$ **extrinsic** – mean – curvature tensor
 - $R = \mathbf{K}_\mu^\mu \cdot \mathbf{K}_\nu^\nu - \mathbf{K}_\mu^\nu \cdot \mathbf{K}_\nu^\mu \equiv$ **intrinsic** scalar – Gaussian – curvature
- with:
 - r : surface tension (**string tension**)
 - κ : bending rigidity modulus (**string curvature**)
 - $\bar{\kappa}$: Gaussian rigidity modulus

- no fluctuation of area: tension term $\rightarrow 0$
- for a surface without boundary Gaussian curvature term is shape-independent due to **Gauss-Bonnet theorem**:

$$\int d^2\sigma \sqrt{g} R = 4\pi\chi = 8\pi(1 - h)$$

with

- χ : Euler characteristic
- h is the genus \equiv number of "handles"

\implies for a fixed topology this term can be neglected

- Finally: **bending free energy**

$$F = \frac{\kappa}{2} \int d^2\sigma \sqrt{g} \mathbf{K}_\mu^\mu \cdot \mathbf{K}_\nu^\nu$$

Low-temperature fluctuations in fluid membranes

- Let us define $\hat{\mathbf{n}}$ by:

$$\partial_\mu \hat{\mathbf{n}} = K_{\mu\nu} \partial^\nu \mathbf{r}$$

where $\partial_\nu \mathbf{r}$ are tangent vectors

$\implies \hat{\mathbf{n}}$ is a unit vector normal to the surface

$$F = \frac{\kappa}{2} \int d^2\sigma (\partial_\mu \hat{\mathbf{n}})^2 \quad \implies \quad F = -\frac{\kappa'}{2} \sum_{\langle i,j \rangle} \hat{\mathbf{n}}_i \cdot \hat{\mathbf{n}}_j$$

where $\hat{\mathbf{n}}_i$ is a unit normal vector on the plaquette i

- very close to a $O(d)$ nonlinear σ -model / Heisenberg spin system but with "spins" living on a surface

- Monge parametrization: $x = \sigma_1$, $y = \sigma_2$ and $z = h(x, y)$

$$\implies \mathbf{r}(x, y) = (x, y, h(x, y))$$

thus:
$$\hat{\mathbf{n}}(x, y) = \frac{(-\partial_x h, -\partial_y h, 1)}{\sqrt{1 + |\nabla h|^2}}$$

- Free energy:

$$F \simeq \frac{\kappa}{2} \int d^2 \mathbf{x} (\Delta h)^2 + \mathcal{O}(h^4)$$

- **flat phase ?** $\implies \theta(x, y) \equiv$ angle between normal and axis \mathbf{e}_z :

$$\langle \theta(x, y)^2 \rangle = k_B T \int d^2 q \frac{1}{\kappa q^2} \simeq \frac{k_B T}{\kappa} \ln \left(\frac{L}{a} \right)$$

\implies **no long-range order in the normals**

At higher order in h , κ is renormalized and **decreased** by fluctuations in the I.R.:

$$\kappa_R(q) = \kappa - \frac{3k_B T}{2\pi} \left(\frac{d}{2}\right) \ln\left(\frac{1}{qa}\right)$$

\implies makes the divergence of $\langle \theta(x, y)^2 \rangle$ worse

rem

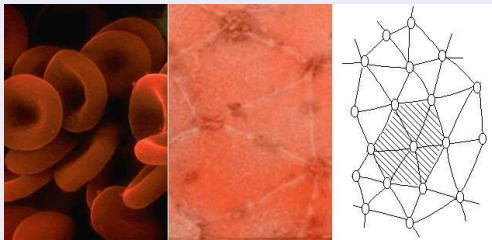
- lattice spins vs surface spins: $d - 2 \implies d/2$
- correlations: $\langle \hat{\mathbf{n}}(\mathbf{r}) \cdot \hat{\mathbf{n}}(\mathbf{0}) \rangle \sim e^{-r/\xi}$
- correlation length – mass gap: $\xi \simeq a e^{(4\pi\kappa/3k_B T d)}$

Polymerized membranes

- 2D membranes made of linked molecules

ex:

- red blood cell
- inorganic membranes: graphene



- lattice free energy:

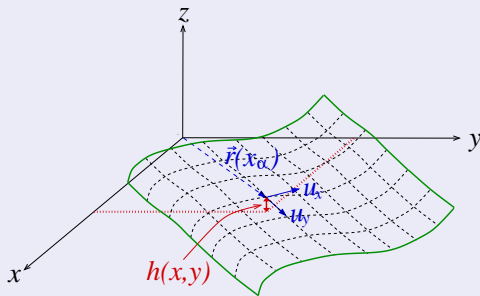
$$F = -\frac{\kappa'}{2} \sum_{\langle i,j \rangle} \hat{\mathbf{n}}_i \cdot \hat{\mathbf{n}}_j + \sum_{\langle a,b \rangle} V(|\mathbf{r}_a - \mathbf{r}_b|)$$

where \mathbf{r}_a is the position of the a -th vertex

- no diffusion $\implies \exists$ shear modulus
 \implies free energy made of bending and elastic energy
- \exists preferred metric \implies reparametrization invariance broken
 \implies free energy: no longer "geometric"

Low-temperature fluctuations in polymerized membranes

- reference configuration: $\mathbf{r}_0(x, y) = (x, y, z = 0)$
- fluctuations: $\mathbf{r}(x, y) = \mathbf{r}_0 + (u_1(x, y), u_2(x, y), h(x, y))$



- **stress tensor:**

$$u_{\mu\nu} = \frac{1}{2} [\partial_\mu u_\nu + \partial_\nu u_\mu + \partial_\mu \mathbf{u} \cdot \partial_\nu \mathbf{u} + \partial_\mu h \partial_\nu h]$$

- u_ν describes the longitudinal – **phonon-like** – degrees of freedom
- h describes height, capillary – **Goldstone-like** – fluctuations

- **free energy**

$$F \simeq \int d^2\mathbf{x} \left[\frac{\kappa}{2} (\Delta h)^2 + \mu (u_{\mu\nu})^2 + \frac{\lambda}{2} (u_{\mu\mu})^2 \right]$$

$\kappa \equiv$ bending rigidity $\lambda, \mu \equiv$ elastic constants

- **non-trivial coupling** between longitudinal - in plane - and height fluctuations

Gaussian approximation:

$$u_{\mu\nu} \simeq \frac{1}{2} [\partial_\mu u_\nu + \partial_\nu u_\mu + \partial_\mu h \partial_\nu h]$$

integrate over u :

$$F_{eff} = \frac{\kappa}{2} \int d^2\mathbf{x} (\Delta h)^2 + \frac{K}{8} \int d^2\mathbf{x} (P_{\mu\nu}^T \partial_\mu h \partial_\nu h)^2$$

- $P_{\mu\nu}^T = \delta_{\mu\nu} - \partial_\mu \partial_\nu / \nabla^2$
- $K = 4\mu(\lambda + \mu) / (2\mu + \lambda)$.

- Effective rigidity: $\kappa_{eff}^{-1}(\mathbf{q}) \equiv q^4 \langle |h(\mathbf{q})|^2 \rangle$

$$\text{with: } \kappa_{eff}(\mathbf{q}) = \kappa + k_B T K \int d^2 k \frac{[\hat{q}_\mu P_{\mu\nu}^T \hat{q}_\nu]^2}{\kappa_{eff}(\mathbf{q} + \mathbf{k}) |\mathbf{q} + \mathbf{k}|^4}$$

$$\implies \kappa_{eff}(\mathbf{q}) \sim \sqrt{k_B T K} / q \text{ increased by fluctuations !}$$

- normal fluctuations:

$$\langle \theta(x, y)^2 \rangle = k_B T \int d^2 q \frac{1}{\kappa_{eff}(q) q^2} < \infty!$$

- renormalization of $K \implies \kappa_{eff}(q) \sim q^{-\eta}$ with $0 < \eta < 1$

- \implies Long-range order between normals even in $D = 2$!

- no trouble with **Mermin-Wagner Theorem**

Gaussian curvature R :

$$R(\mathbf{x}) = -\Delta(\partial_\mu h \partial_\mu h) + \partial_\mu \partial_\nu (\partial_\mu h \partial_\nu h)$$

and:

$$F_{eff} = \frac{\kappa}{2} \int d^2\mathbf{x} (\Delta h)^2 + K \int d^2\mathbf{x} \int d^2\mathbf{y} R(\mathbf{x}) G(\mathbf{x} - \mathbf{y}) R(\mathbf{y})$$

where:

$$G(\mathbf{x} - \mathbf{y}) \propto |\mathbf{x} - \mathbf{y}|^2 \ln |\mathbf{x} - \mathbf{y}|$$

\implies **Long-range interaction between curvatures**

physics of polymerized membranes:

- spontaneous symmetry breaking in $D = 2$ and even in $D < 2$

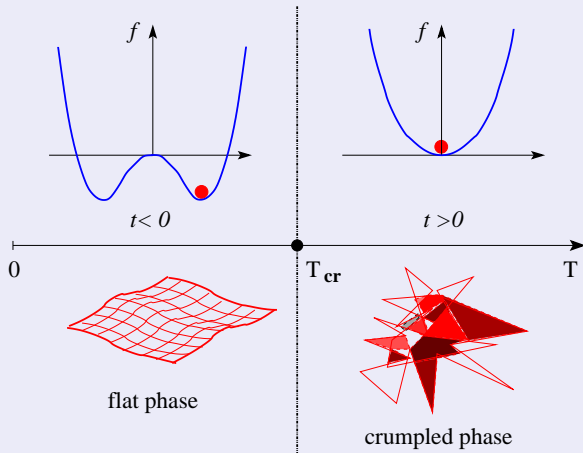
\implies low-temperature - flat - phase with **non-trivial** correlations in the I.R.

$$\begin{cases} G_{hh}(\mathbf{q}) \sim q^{-(4-\eta)} \\ G_{uu}(\mathbf{q}) \sim q^{-(6-D-2\eta)} \end{cases}$$

with $\eta \neq 0$

$$D_{low-crit}: G_{hh}(\mathbf{q}) \sim G_{uu}(\mathbf{q}) \rightarrow \eta(D_{low-crit}) = 2 - D_{low-crit}$$

- **crumpling-to-flat** phase transition when T is varied
(Aronovitz, David-Gutter, Leibler, Nelson, Peliti, Radzihovsky 80's, 90's)



Crumpling-to-flat phase transition

- Landau-Ginzburg free energy:
 - order parameter: tangents $t_\alpha = \partial_\alpha \mathbf{r}$
 - $O(d)$ and translation invariance

$$F = \int d^D \sigma \frac{\kappa}{2} (\partial_\alpha \partial_\alpha \mathbf{r})^2 + \frac{t}{2} (\partial_\alpha \mathbf{r})^2 + \lambda (\partial_\alpha \mathbf{r} \cdot \partial_\beta \mathbf{r})^2 + \mu (\partial_\alpha \mathbf{r} \cdot \partial_\alpha \mathbf{r})^2$$

$$+ \frac{b}{2} \int d^D \sigma \int d^D \sigma' \delta^d(\mathbf{r}(\sigma) - \mathbf{r}(\sigma'))$$

rem:

- self-avoidance term: neglected
- F describes $O(d) \rightarrow O(D) \times O(d - D)$
Goldstone modes expected: $D(d - D)$ but only $d - D$!

Perturbatively

For D -dimensional membranes embedded in a d -dimensional space:

- perturbatively around $D_{\text{upp.crit.}} = 4 \quad \exists d_{cr}$ such that:
 - $d > d_{cr}$: second order phase transition
 - $d < d_{cr}$: first order phase transition

however:

- d_{cr} only known at first order in $\epsilon = 4 - D$ with $d_{cr}(D = 4) \simeq 219 \implies$ what about $D = 2$ and $d = 3$?
- flat phase properties: also poorly determined ($\epsilon = 4 - D$)
- no lower-critical-dimension approach

Nonperturbative approach to polymerized membranes

(J.P. Kownacki and D.M., 08)

- Effective action: $\Gamma_k[\partial_\mu \mathbf{r}]$
 - $O(d)$ and translation invariant
 - expanded around a flat state:

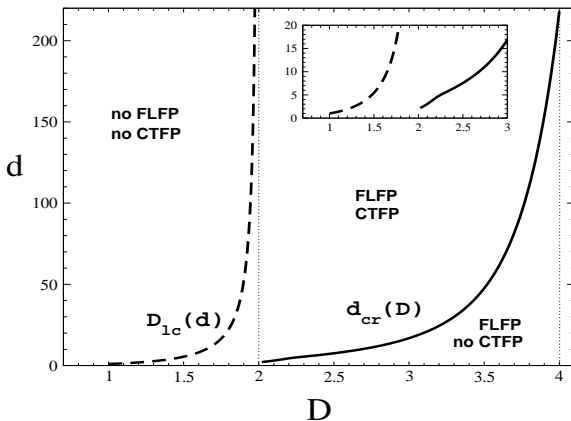
$$\mathbf{r} = \zeta_k \sum_{\alpha=1}^D x_\alpha \mathbf{e}_\alpha$$

with: $\zeta_k \sim$ flatness factor \sim magnetization

$$\begin{aligned} \Rightarrow \Gamma_k[\partial_\mu \mathbf{r}] = \int d^D \sigma \frac{Z_k}{2} (\partial_\alpha \partial_\alpha \mathbf{r})^2 &+ \tilde{\lambda}_k (\partial_\alpha \mathbf{r} \cdot \partial_\beta \mathbf{r} - \zeta_k^2 \delta_{\alpha\beta})^2 \\ &+ \tilde{\mu}_k (\partial_\alpha \mathbf{r} \cdot \partial_\alpha \mathbf{r} - D \zeta_k^2)^2 \end{aligned}$$

\Rightarrow **crumpling-to-flat transition** and **flat phase** ($\zeta_k^2 \rightarrow \infty$)

- physics everywhere between $D = 4$ and $D = D_{lc}(d)$



- FLFP: Flat Phase Fixed Point
- CTFP: Crumpling Transition Fixed Point

- $d_{cr}(D = 2) \simeq 2 \implies$ the crumpled-to-flat transition in $d = 3$ of 2nd order with $\eta = 0.627$

MC data: $\eta = 0.71(5)$ (Bowick et al. (96))

\implies higher orders needed to stabilize the results

(K. Essafi, J.P. Kownacki, D.M.)

- Flat phase: $\eta_{FP} = 0.849$

MC computation with a realistic interatomic potential for graphene: $\eta = 0.850$!

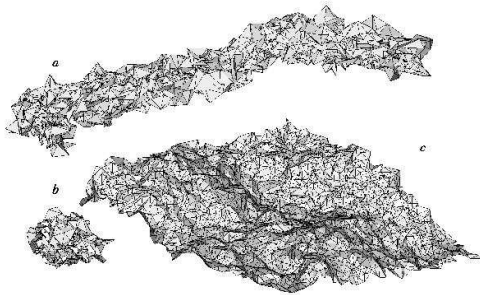
(Los, Katsnelson, Yazyev, Zakharchenko and Fasolino (09))

- η_{FP} :

- no correction beyond the order r^4 !
(K. Essafi, J.P. Kownacki and D.M.)
- almost no correction beyond the order ∂^4 !
(Braghin and Hasselmann (10))

Prospects

- extension to systems with different kind of internal orders :
 - in-plane **anisotropy** \implies tubular membranes



Prospects

- **disorder**

(Radzihovski - Toner (95), Essafi, Kownacki, D.M.)

challenging problems:

- **self-avoidance**

- graphene-like systems: interaction between **electronic and membranes** degrees of freedom

⇒ condensed matter realization of matter coupled to "curve space"

- ...