

ERG for a Yukawa Theory in 3 Dimensions

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Abstract

We consider a theory of N Majorana fermions and a real scalar in the 3 dimensional Euclid space. We construct the RG flow of three parameters using ERG. We look for a non-trivial fixed point by loop expansions. For $N = 1$, we compare the critical exponents with those of the Wess-Zumino model at the fixed point. We need to improve the numerical accuracy, perhaps, by going to 2-loop.

Plan of the talk

1. Spinors in $D = 3$
2. A Yukawa model in \mathbf{R}^3
3. ERG formalism (a quick review)
4. RG flow for the Yukawa model
5. 1-loop results
6. Comparison with the Wess-Zumino model
7. Summary and conclusions

Spinors in $D = 3$

1. Minkowski space

(a) We can choose the gamma matrices pure imaginary:

$$\gamma^0 = \sigma_y, \quad \gamma^1 = i\sigma_x, \quad \gamma^2 = i\sigma_z \quad \text{satisfy} \quad \{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu} \mathbf{1}_2$$

(b) A Lorentz transformation transforms a two-component spinor ψ as

$$\psi \longrightarrow A\psi \quad \text{where} \quad A \in SL(2, R) \quad \text{real!}$$

Its complex conjugate $\bar{\psi} \equiv \psi^\dagger \gamma^0$ transforms as

$$\bar{\psi} \longrightarrow \bar{\psi} A^{-1}$$

(c) Given $\psi = \frac{1}{\sqrt{2}}(u + iv)$, where u, v are Majorana (real) spinors,

$$\underbrace{\bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi}_{\text{real}} = \frac{1}{2} \left(\tilde{u} (i\gamma^\mu \partial_\mu - m) u + \tilde{v} (i\gamma^\mu \partial_\mu - m) v \right)$$

where $\tilde{u} \equiv u^T \sigma_y$ transforms as $\tilde{u} \rightarrow \tilde{u} A^{-1}$. ($\sigma_y A^T \sigma_y = A^{-1}$)

(d) **Parity**

$$\begin{cases} \psi(x, y, t) \longrightarrow -i\gamma^1 \psi(-x, y, t) = \sigma_x \psi(-x, y, t) \\ \tilde{\psi}(x, y, t) \longrightarrow \tilde{\psi}(-x, y, t) i\gamma^1 = \tilde{\psi}(-x, y, t) (-)\sigma_x \end{cases}$$

forbids the mass term $\tilde{\psi}\psi$.

2. Euclid space

(a) A spatial rotation transforms a two-component spinor ψ as

$$\psi \longrightarrow U\psi \quad \text{where} \quad U \in SU(2) \quad \textbf{complex!}$$

and $\tilde{\psi} \equiv \psi^T \sigma_y$ as

$$\tilde{\psi} \longrightarrow \tilde{\psi} U^{-1}$$

(b) Though a “Majorana” spinor ψ is not real, the action

$$\int d^3x \frac{1}{2} \tilde{\psi} (\vec{\sigma} \cdot \nabla + m) \psi$$

makes sense for the anticommuting ψ .

(c) **Parity**

$$\begin{cases} \psi(x, y, z) \longrightarrow \sigma_y \psi(x, -y, z) \\ \tilde{\psi}(x, y, z) \longrightarrow \tilde{\psi}(x, -y, z)(-)\sigma_y \end{cases}$$

forbids the mass term $\tilde{\psi}\psi$.

(d) **Parity'** — Parity & π -rotation around the y -axis gives

$$\begin{cases} \psi(x, y, z) \longrightarrow i\psi(-x, -y, -z) \\ \tilde{\psi}(x, y, z) \longrightarrow i\tilde{\psi}(-x, -y, -z) \end{cases}$$

A Yukawa model in \mathbb{R}^3

1. The classical lagrangian

$$\mathcal{L} = \frac{1}{2} (\nabla\phi)^2 + \frac{1}{2} \sum_{I=1}^N \tilde{\chi}_I \vec{\sigma} \cdot \nabla \chi_I + \frac{1}{2} m^2 \phi^2 + \frac{\lambda}{4!} \phi^4 + g \phi \frac{1}{2} \sum_{I=1}^N \tilde{\chi}_I \chi_I$$

where ϕ is a real scalar, and χ_I ($I = 1, \dots, N$) are “Majorana” spinors.

2. three dimensional parameters — $[m^2] = 2$, $[\lambda] = 1$, $[g] = 1/2$

3. A fermion mass term is forbidden by the \mathbf{Z}_2 invariance (parity):

$$\begin{cases} \phi(\vec{x}) & \longrightarrow & -\phi(-\vec{x}) \\ \chi_I(\vec{x}) & \longrightarrow & i\chi_I(-\vec{x}) \end{cases}$$

4. Classical phase analysis

$$\begin{cases} m^2 > 0 & \mathbf{Z}_2 \text{ exact} & \langle \phi \rangle = 0, m_\chi = 0 \\ m^2 < 0 & \mathbf{Z}_2 \text{ broken} & \langle \phi \rangle \neq 0, m_\chi \neq 0 \end{cases}$$

5. Related models with the same \mathbf{Z}_2 invariance

(a) $N = 1$ — Wess-Zumino model (1 SUSY), classically $\lambda = 3g^2$

$$\mathcal{L}_{WZ} = \frac{1}{2} (\nabla\phi)^2 + \frac{1}{2} \tilde{\chi} \vec{\sigma} \cdot \nabla\chi + g \phi \frac{1}{2} \tilde{\chi} \chi + \frac{1}{8} g^2 (\phi^2 - v^2)^2$$

Classical phase analysis

	\mathbf{Z}_2	SUSY	$\langle \phi \rangle$	masses
$v^2 < 0$	exact	broken	0	$m_\chi = 0, m_\phi^2 = \frac{g^2}{2}(-v^2)$
$v^2 > 0$	broken	exact	$\pm v$	$m_\chi = m_\phi = g v $

(b) $N \geq 2$ — Gross-Neveu model with $O(N)$ invariance

$$\mathcal{L}_{GN} = \frac{1}{2} \sum_I \tilde{\chi}_I \vec{\sigma} \cdot \nabla \chi_I - \frac{g_0}{2N} \left(\frac{1}{2} \sum_I \tilde{\chi}_I \chi_I \right)^2$$

Expectation

	\mathbf{Z}_2	$\langle \sum_I \frac{1}{2} \tilde{\chi}_I \chi_I \rangle$	m_χ
$g_0 > g_{0,cr}$	exact	0	= 0
$g_0 < g_{0,cr}$	broken	$\neq 0$	$\neq 0$

$O(N)$ unbroken

6. We wish to do two things:

- (a) construct the RG flow of m^2, λ, g for the Yukawa model,
- (b) find a non-trivial fixed point and obtain the critical exponents there.

7. The non-trivial fixed point is accessible from the gaussian fixed point.

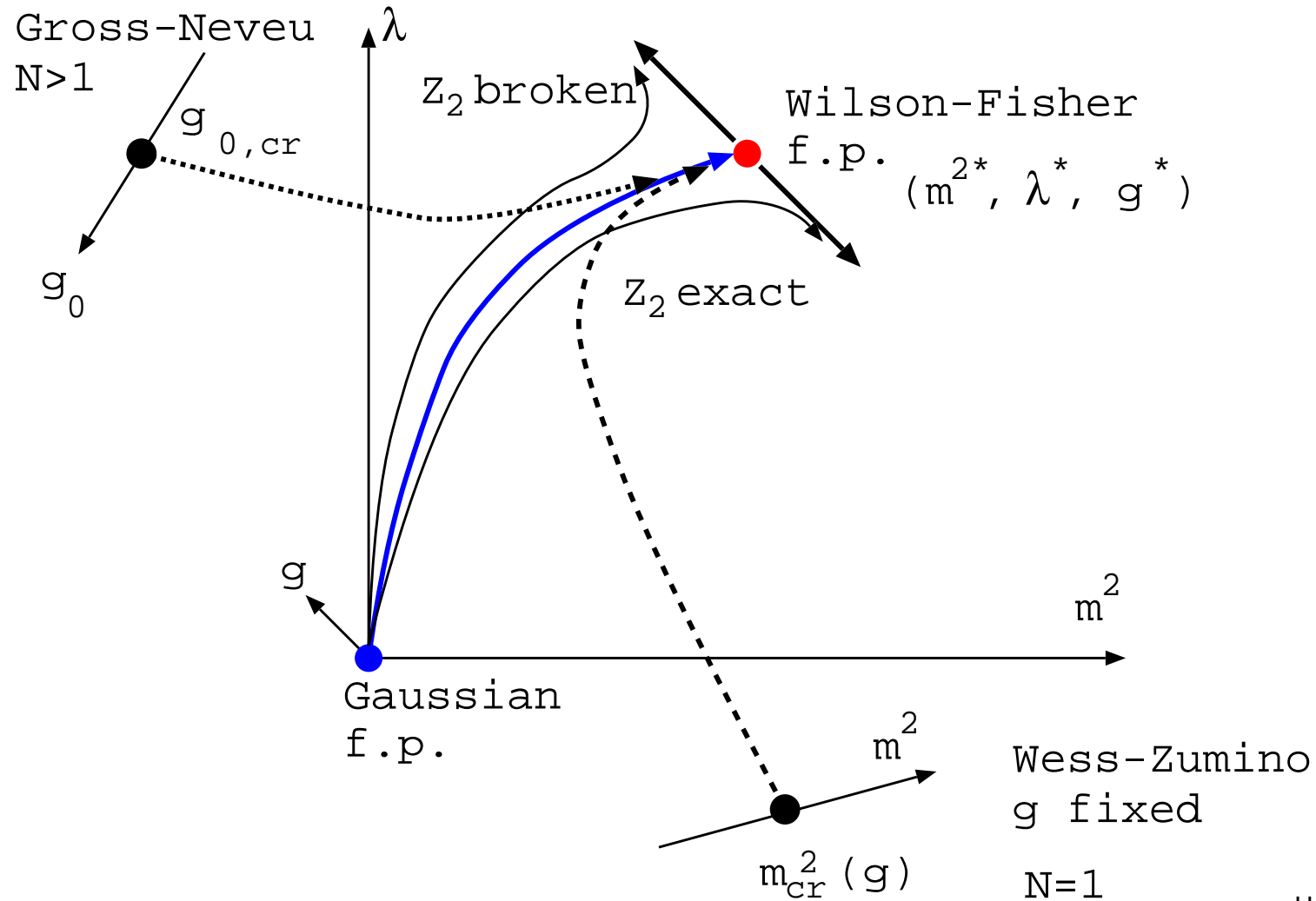


Perturbation theory is applicable.

8. Motivated by works by Wipf, Synatschke-Czerwonka, Braun, ... on SUSY models in 1 & 2 dimensions.

9. Talks on related subjects by Wipf, Synatschke-Czerwonka, Scherer.

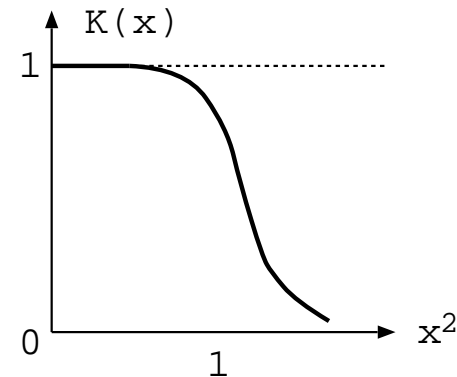
RG flows for the Yukawa theory with N Majorana fermions



ERG formalism (a quick review)

1. Wilson action with a momentum cutoff Λ

$$S_\Lambda = -\frac{1}{2} \int_p \frac{p^2}{K\left(\frac{p}{\Lambda}\right)} \phi(p)\phi(-p) + S_{I,\Lambda}$$



2. the ERG differential equation by J. Polchinski (1983)

$$-\Lambda \frac{\partial}{\partial \Lambda} S_{I,\Lambda} = \frac{1}{2} \int_p \frac{\Delta(p/\Lambda)}{p^2} \left\{ \frac{\delta S_{I,\Lambda}}{\delta \phi(-p)} \frac{\delta S_{I,\Lambda}}{\delta \phi(p)} + \frac{\delta^2 S_{I,\Lambda}}{\delta \phi(-p) \delta \phi(p)} \right\}$$

where $\Delta(p/\Lambda) \equiv \Lambda \frac{\partial K(\frac{p}{\Lambda})}{\partial \Lambda}$.

3. Λ independence of the correlation functions

$$\left\{ \begin{array}{l} \langle \phi(p)\phi(-p) \rangle \equiv \frac{1}{K(\frac{p}{\Lambda})^2} \langle \phi(p)\phi(-p) \rangle_{S_\Lambda} + \frac{1-1/K(\frac{p}{\Lambda})}{p^2} \\ \langle \phi(p_1) \cdots \phi(p_n) \rangle \equiv \prod_{i=1}^n \frac{1}{K(\frac{p_i}{\Lambda})} \cdot \langle \phi(p_1) \cdots \phi(p_n) \rangle_{S_\Lambda} \end{array} \right.$$

4. Vertices defined by

$$S_\Lambda = \sum_{n=1}^{\infty} \frac{1}{(2n)!} \int_{p_1, \dots, p_{2n}} \delta(p_1 + \cdots + p_{2n}) \\ \times u_{2n}(\Lambda; p_1, \dots, p_{2n}) \phi(p_1) \cdots \phi(p_{2n})$$

5. S_Λ determined uniquely by the ERG diff eq & two sets of conditions:
one at $\Lambda = \mu$, another at $\Lambda \rightarrow \infty$.

(a) conditions at $\Lambda = \mu$ introduce m^2 and λ :

$$\left\{ \begin{array}{l} u_2(\mu; 0, 0) = -m^2 \\ \frac{\partial}{\partial p^2} u_2(\mu; p, -p) \Big|_{p^2=0} = -1 \\ u_4(\mu; 0, \dots, 0) = -\lambda \end{array} \right.$$

(b) asymptotic conditions for $\Lambda \rightarrow \infty$ for renormalizability

$$\left\{ \begin{array}{l} u_2(\Lambda; p, -p) \xrightarrow{\Lambda \rightarrow \infty} \text{linear in } p^2 \\ u_4(\Lambda; p_1, \dots, p_4) \xrightarrow{\Lambda \rightarrow \infty} \text{independent of } p_i \\ u_{2n \geq 6}(\Lambda; p_1, \dots, p_{2n}) \xrightarrow{\Lambda \rightarrow \infty} 0 \end{array} \right.$$

This guarantees that the flow starts from the gaussian fixed point.

6. μ dependence

$$-\mu \frac{\partial S_\Lambda}{\partial \mu} = \beta \mathcal{O}_\lambda + \beta_m \mathcal{O}_m + \gamma \mathcal{N}$$

where

$$\left\{ \begin{array}{l} \mathcal{O}_m \equiv -\partial_{m^2} S_\Lambda \\ \mathcal{O}_\lambda \equiv -\partial_\lambda S_\Lambda \\ \mathcal{N} \equiv -\int_p \left[\phi(p) \frac{\delta S_\Lambda}{\delta \phi(p)} + \frac{K(\frac{p}{\Lambda})(1-K(\frac{p}{\Lambda}))}{p^2} \left\{ \frac{\delta S_\Lambda}{\delta \phi(p)} \frac{\delta S_\Lambda}{\delta \phi(-p)} + \frac{\delta^2 S_\Lambda}{\delta \phi(p) \delta \phi(-p)} \right\} \right] \end{array} \right.$$

\mathcal{N} counts ϕ :

$$\langle \mathcal{N} \phi(p_1) \cdots \phi(p_n) \rangle = n \langle \phi(p_1) \cdots \phi(p_n) \rangle$$

RG equation

$$\left(-\mu \frac{\partial}{\partial \mu} + \beta \partial_\lambda + \beta_m \partial_{m^2} \right) \langle \phi(p_1) \cdots \phi(p_n) \rangle = n \gamma \langle \phi(p_1) \cdots \phi(p_n) \rangle$$

7. dimensional analysis

$$\left(\Lambda \frac{\partial}{\partial \Lambda} + \mu \frac{\partial}{\partial \mu} + 2m^2 \partial_{m^2} + \lambda \partial_\lambda \right) S_\Lambda = \int_p \left(p_i \frac{\partial \phi(p)}{\partial p_i} + \frac{5}{2} \phi(p) \right) \frac{\delta S_\Lambda}{\delta \phi(p)}$$

8. Combining the ERG diff eq, μ dependence, and dimensional analysis, we obtain

$$\begin{aligned} & \{ (\lambda + \beta) \partial_\lambda + (2m^2 + \beta_m) \partial_{m^2} \} S(m^2, \lambda) \\ &= \int_p \left[\left\{ p_i \frac{\partial \phi(p)}{\partial p_i} + \left(\frac{5}{2} - \gamma + \frac{\Delta(p/\mu)}{K(p/\mu)} \right) \phi(p) \right\} \frac{\delta S}{\delta \phi(p)} \right. \\ & \quad \left. + \frac{\Delta(p/\mu) - 2\gamma K(1-K)}{p^2} \frac{1}{2} \left\{ \frac{\delta S}{\delta \phi(p)} \frac{\delta S}{\delta \phi(-p)} + \frac{\delta^2 S}{\delta \phi(p) \delta \phi(-p)} \right\} \right] \end{aligned}$$

where we have set $\Lambda = \mu$ (fixed).

9. RG flow in the parameter space

$$\begin{cases} \frac{d}{dt}m^2 = 2m^2 + \beta_m(m^2, \lambda) \\ \frac{d}{dt}\lambda = \lambda + \beta(m^2, \lambda) \end{cases}$$

10. Scaling formula

$$\begin{aligned} & \langle \phi(p_1 e^{\Delta t}) \cdots \phi(p_n e^{\Delta t}) \rangle_{m^2 e^{2\Delta t}(1+\Delta t \cdot \beta_m), \lambda e^{\Delta t}(1+\Delta t \cdot \beta)} \\ &= e^{\Delta t \{3+n(-\frac{5}{2}+\gamma)\}} \cdot \langle \phi(p_1) \cdots \phi(p_n) \rangle_{m^2, \lambda} \end{aligned}$$

11. **Universality** — The dependence on the choice of K is absorbed into the parameters m^2, λ . Hence, the critical exponents are universal.

RG flow for the Yukawa model

1. RG flow

$$\begin{cases} \frac{d}{dt}m^2 &= 2m^2 + \beta_m(m^2, \lambda, g) \\ \frac{d}{dt}\lambda &= \lambda + \beta_\lambda(\lambda, g) \\ \frac{d}{dt}g &= \frac{1}{2}g + \beta_g(\lambda, g) \end{cases}$$

2. A fixed point (m^{2*}, λ^*, g^*) is determined by

$$\begin{cases} 2m^{2*} + \beta_m(m^{2*}, \lambda^*, g^*) &= 0 \\ \lambda^* + \beta_\lambda(\lambda^*, g^*) &= 0 \\ \frac{1}{2}g^* + \beta_g(\lambda^*, g^*) &= 0 \end{cases}$$

3. $y_E = \frac{1}{\nu}$ determined by the linearized RG equation at the fixed point:

$$\frac{d}{dt} (m^2 - m^{2*}) = y_E (m^2 - m^{2*})$$

Hence,

$$y_E = 2 + \left. \frac{\partial}{\partial m^2} \beta_m \right|_{m^{2*}, \lambda^*, g^*}$$

4. The anomalous dimensions γ_ϕ^* , γ_χ^* determined by

$$\gamma_\phi^* = \gamma_\phi(\lambda^*, g^*), \quad \gamma_\chi^* = \gamma_\chi(\lambda^*, g^*)$$

5. parametrization and normalization determined through the low momentum expansions of the vertices

$$\begin{array}{l}
 \frac{\partial}{\partial m^2} \\
 \frac{\partial}{\partial p^2}
 \end{array}
 \begin{array}{l}
 \phi \\
 \text{---} \\
 \text{---} \\
 \text{---} \\
 \text{I} \quad \text{J} \\
 \text{---} \quad \text{---} \\
 \text{---} \\
 \text{I} \quad \text{J} \\
 \text{---} \quad \text{---} \\
 \text{---} \\
 \text{---} \\
 \text{---}
 \end{array}
 \left| \begin{array}{l}
 p^2 = m^2 = 0 \\
 p^2 = m^2 = 0 \\
 p^2 = m^2 = 0 \\
 m^2 = 0 \\
 p_i = m^2 = 0 \\
 p_i = m^2 = 0
 \end{array} \right.
 \begin{array}{l}
 = 0 \\
 = -1 \\
 = -1 \\
 = -i\vec{p} \cdot \vec{\sigma} \delta_{IJ} + \mathcal{O}(p^2) \\
 = -\frac{g}{\sqrt{N}} \delta_{IJ} \\
 = -\lambda
 \end{array}$$

6. The beta functions are computed from the RG equation

$$-\mu \frac{\partial}{\partial \mu} S_\Lambda = \beta_\lambda (-\partial_\lambda S_\Lambda) + \beta_g (-\partial_g S_\Lambda) + \beta_m \mathcal{O}_m + \gamma_\phi \mathcal{N}_\phi + \gamma_\chi \mathcal{N}_\chi$$

where $\mathcal{O}_m \simeq -\partial_{m^2} S_\Lambda$ since we have incorporated m^2 in the scalar propagator.

$$\begin{aligned} \mathcal{O}_m &= -\partial_{m^2} S_\Lambda \\ &\quad - \int_q \frac{K(1-K)}{(q^2 + m^2)^2} \frac{1}{2} \left\{ \frac{\delta S_\Lambda}{\delta \phi(q)} \frac{\delta S_\Lambda}{\delta \phi(-q)} + \frac{\delta^2 S_\Lambda}{\delta \phi(q) \delta \phi(-q)} \right\} \end{aligned}$$

1-loop results

1. 1-loop calculations give

$$\begin{aligned}
 \beta_m &= \frac{1}{2}\lambda\mu \int_q \frac{\Delta}{q^2} - 2\frac{g^2}{N}\mu \int_q \frac{\Delta(1-K)}{q^2} \\
 &\quad - m^2 \left[\frac{1}{2}\frac{\lambda}{\mu} \int_q \frac{\Delta}{q^4} + 2\frac{g^2}{N\mu} \int_q \frac{\Delta}{q^4} \left\{ \frac{1}{3}(1-K) + \frac{1}{6}(\Delta + \tilde{\Delta}) \right\} \right] \\
 \beta_\lambda &= -3\frac{\lambda^2}{\mu} \int_q \frac{\Delta(1-K)}{q^4} + 24\frac{g^4}{N\mu} \int_q \frac{\Delta(1-K)^3}{q^4} \\
 &\quad - 4\lambda\frac{g^2}{N\mu} \int_q \frac{\Delta}{q^4} \left\{ \frac{1}{3}(1-k) + \frac{1}{6}(\Delta + \tilde{\Delta}) \right\} \\
 \beta_g &= -g\frac{g^2}{N\mu} \left[3 \int_q \frac{\Delta(1-K)^2}{q^4} + \int_q \frac{\Delta(1-K)}{q^4} \right]
 \end{aligned}$$

$$+ \int_q \frac{\Delta}{q^4} \left\{ \frac{1}{3}(1 - K) + \frac{1}{6}(\Delta + \tilde{\Delta}) \right\} \Big]$$

where $\tilde{\Delta}(q) \equiv -2q^2 \frac{d}{dq^2} \Delta(q) = \left(-2q^2 \frac{d}{dq^2} \right)^2 K(q)$.

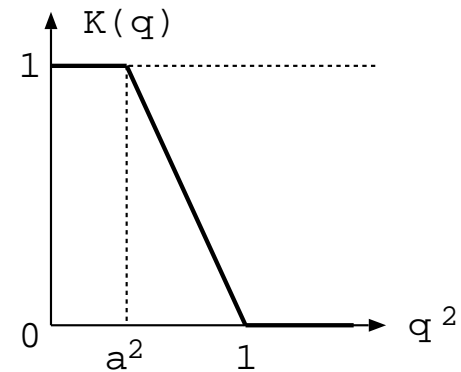
The anomalous dimensions are given by

$$\gamma_\phi = \frac{g^2}{\mu} \int_q \frac{1}{q^4} (1 - K) \left(\frac{5}{6} \Delta + \frac{1}{6} \tilde{\Delta} \right)$$

$$\gamma_\chi = \frac{g^2}{N\mu} \frac{1}{2} \int_q \frac{1}{q^4} \Delta (1 - K)$$

2. Choice of K with one parameter a for optimization

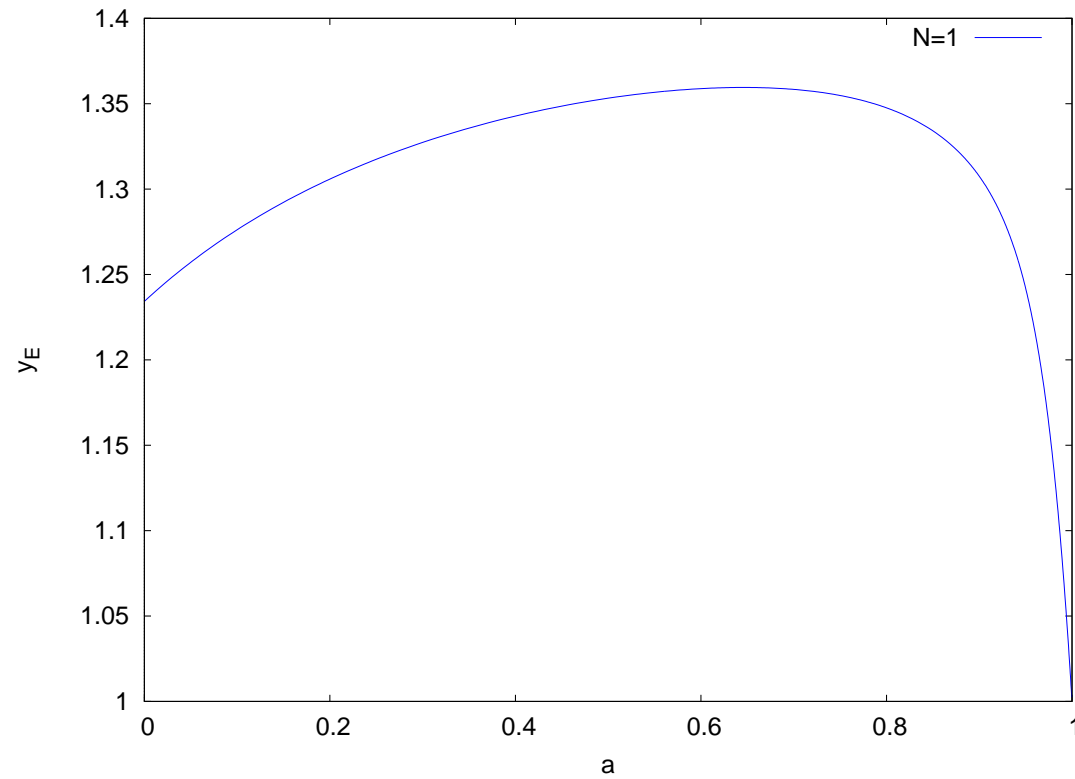
$$K(q) = \begin{cases} 1 & \text{if } 0 < q^2 < a^2 \\ \frac{1-q^2}{1-a^2} & \text{if } a^2 < q^2 < 1 \\ 0 & \text{if } 1 < q^2 \end{cases}$$



Two limits:

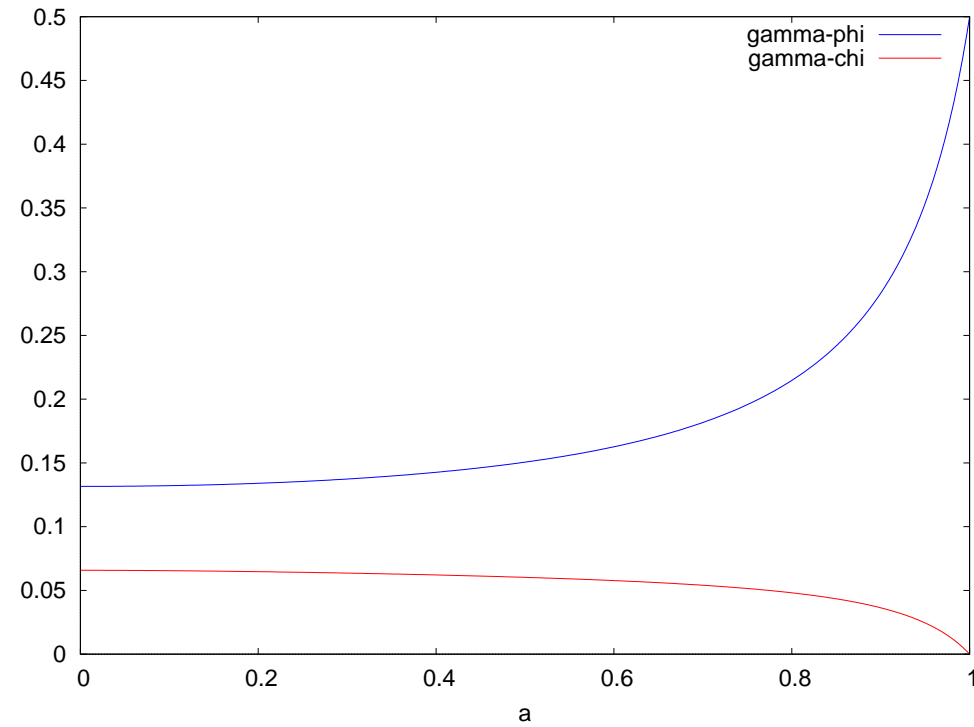
$$\begin{cases} \text{Litim} & a^2 = 0 \\ \text{Wegner-Houghton} & a^2 = 1 \end{cases}$$

3. a dependence of y_E for $N = 1$



Optimized at $a \simeq 0.646$, giving $y_E \simeq 1.36$.

4. $\gamma_\phi^* = \gamma_\chi^*$ is expected from SUSY, but



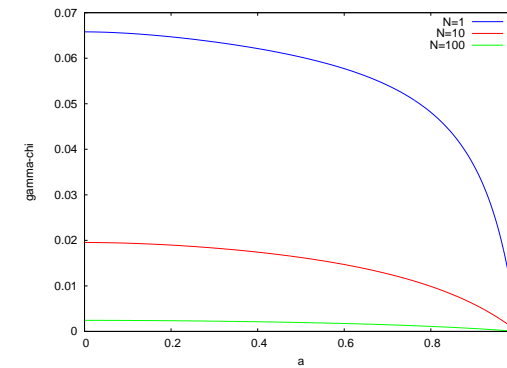
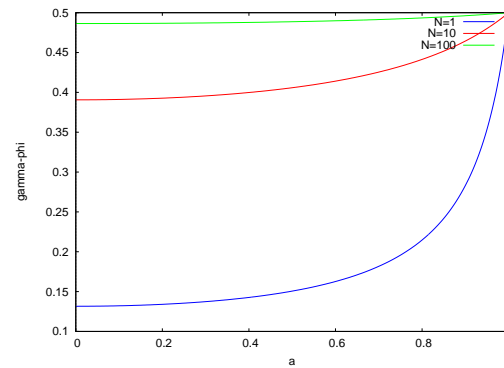
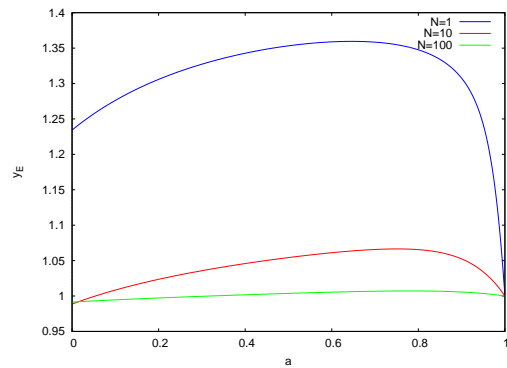
$$\gamma_\phi^* \simeq 0.170, \quad \gamma_\chi^* \simeq 0.056 \quad \text{at } a = 0.646$$

5. N dependence

$$y_E \xrightarrow{N \rightarrow \infty} 1$$

$$\gamma_\phi^* \xrightarrow{N \rightarrow \infty} \frac{1}{2}$$

$$\gamma_\chi^* \xrightarrow{N \rightarrow \infty} 0$$



The large N limits are independent of a .

The above results agree with the large N limit of the Gross-Neveu model.

Comparison with the Wess-Zumino model

1. Classical action with an auxiliary field

$$\mathcal{L} = \frac{1}{2} (\nabla\phi)^2 + \frac{1}{2} \tilde{\chi} \vec{\sigma} \cdot \nabla\chi + \frac{1}{2} F^2 + g \left\{ iF \frac{1}{2} (\phi^2 - v^2) + \phi \frac{1}{2} \tilde{\chi} \chi \right\}$$

where $v^2 = -\frac{2}{g^2} m^2$.

2. Linear SUSY transformation (arbitrary constant spinor ξ)

$$\begin{cases} \delta\phi &= \tilde{\chi}\xi \\ \delta\chi &= (\vec{\sigma} \cdot \nabla\phi - iF) \xi \\ \delta iF &= \nabla\tilde{\chi} \cdot \vec{\sigma}\xi \end{cases}$$

3. The supersymmetric $\phi iF + \frac{1}{2}\tilde{\chi}\chi$ is forbidden by the \mathbf{Z}_2

$$\begin{cases} \phi(\vec{x}) & \longrightarrow & -\phi(-\vec{x}) \\ \chi(\vec{x}) & \longrightarrow & i\chi(-\vec{x}) \\ F(\vec{x}) & \longrightarrow & F(-\vec{x}) \end{cases}$$

4. R-invariance

$$g \rightarrow -g, \quad \phi \rightarrow -\phi, \quad F \rightarrow -F$$

5. ERG is consistent with the linear SUSY.

6. RG equation

$$-\mu \frac{\partial}{\partial \mu} S_\Lambda = \beta_g (-\partial_g S_\Lambda) + \beta_{v^2} (-\partial_{v^2} S_\Lambda) + \gamma \mathcal{N}$$

7. RG flow

$$\begin{cases} \frac{d}{dt}g &= \frac{1}{2}g + \beta_g \\ \frac{d}{dt}v^2 &= v^2 + \beta_{v^2} \end{cases}$$

8. 1-loop results

$$\begin{cases} \gamma &= \frac{1}{2} \frac{g^2}{\mu} \int_q \frac{\Delta(1-K)}{q^4} \\ \beta_g &= -\frac{g^3}{\mu} \left[3 \int_q \frac{\Delta(1-K)^2}{q^4} + \frac{1}{2} \int_q \frac{\Delta(1-K)}{q^4} \right] \\ \beta_{v^2} &= -\mu \int_q \frac{\Delta}{q^2} + v^2 \frac{g^2}{\mu} \left[3 \int_q \frac{\Delta(1-K)^2}{q^4} + \int_q \frac{\Delta(1-K)}{q^4} \right] \end{cases}$$

9. $y_E = \frac{1}{\nu}$ determined by

$$y_E = 1 + \frac{\partial}{\partial v^2} \beta_{v^2} \Big|_{v^{2*}, g^*}$$

10. At 1-loop, we have reproduced the relation found by Wipf, ...

$$\gamma^* + y_E = \frac{3}{2}$$

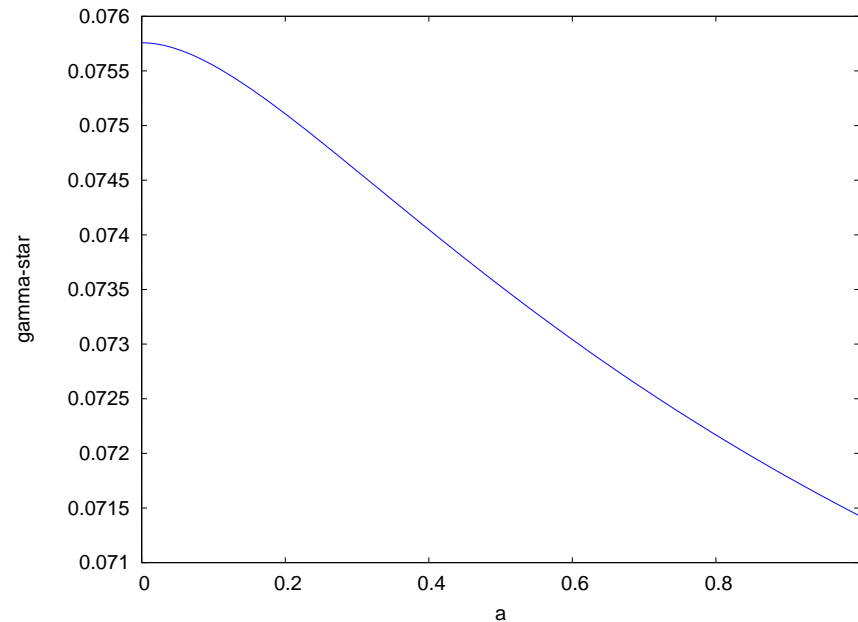
11. No optimization available, but γ^* depends little on a .

$$\gamma^* = \frac{5(2a^2 + 3a + 1)}{6(26a^2 + 33a + 11)}$$

Comparable with

$$\gamma_\chi^* \simeq 0.056$$

for the Yukawa model.



Summary and conclusions

	WZ	Y ($N = 1$)	Y (large N)	GN (large N)
y_E	1.429-1.424	1.36	1	1
γ_ϕ^*	0.071-0.076	0.17	0.5	0.5
γ_χ^*	$= \gamma_\phi^*$	0.056	0	0

1. ERG gives an exact formulation of the RG flows for the Yukawa model in $D = 3$.
2. Perturbative approximations give reasonable numerical results.
3. Hopefully two-loop calculations (in progress) will improve the numerical accuracy.