

Corfu', 4 September '10

Is the Flavour Group Discrete?

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Status and current problems on ν mass and mixing

A recent review: GA, F. Feruglio, ArXiv:1002.0211
(Review of Modern Physics)

Evidence for solar and
atmosph. ν oscillatn's
confirmed on earth by
K2K, KamLAND, MINOS...

Δm^2 values:

$$\Delta m^2_{\text{atm}} \sim 2.5 \cdot 10^{-3} \text{ eV}^2,$$

$$\Delta m^2_{\text{sol}} \sim 8 \cdot 10^{-5} \text{ eV}^2$$

and mixing angles measur'd:

θ_{12} (solar) large

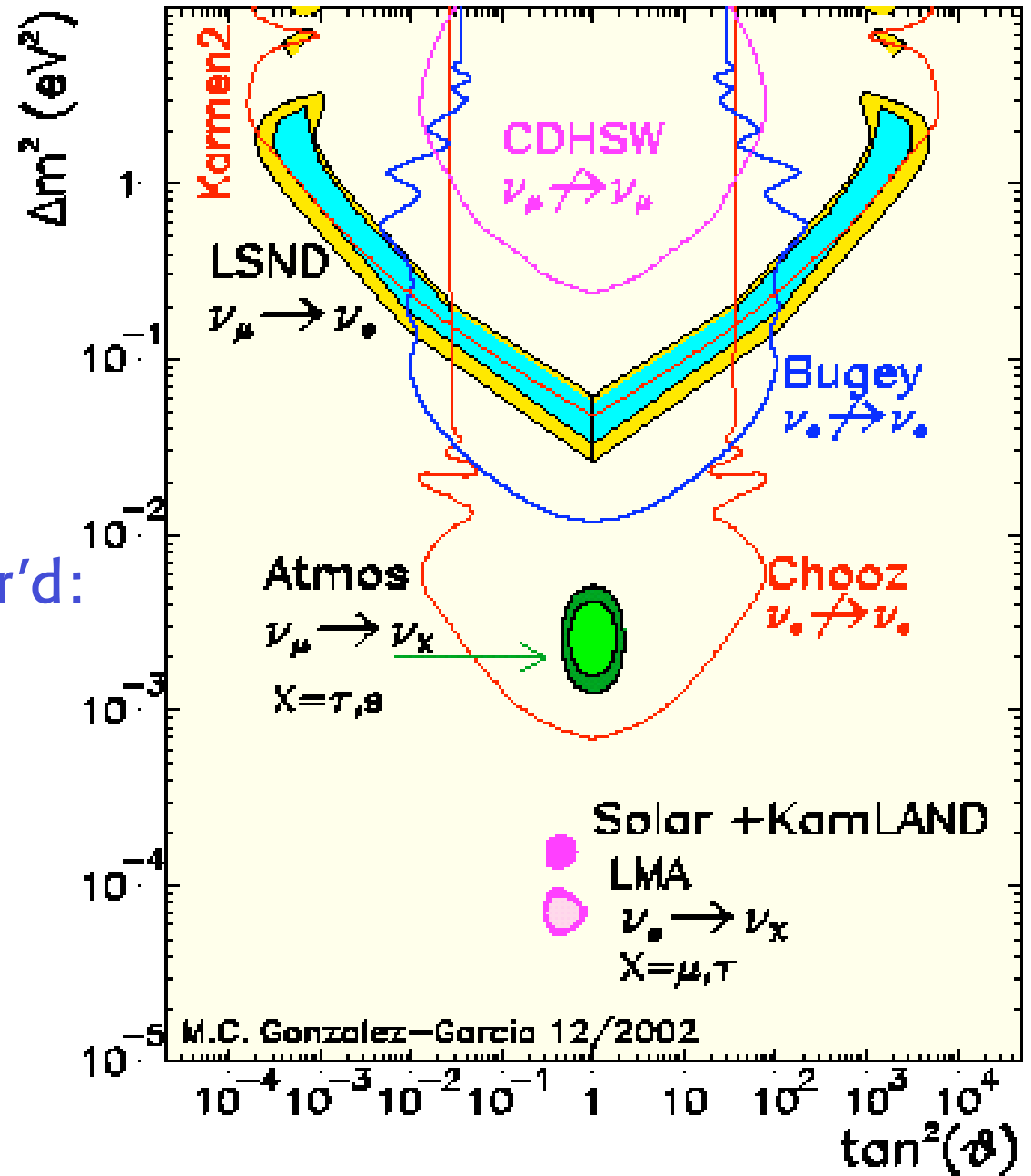
θ_{23} (atm) large, \sim maximal

θ_{13} (CHOOZ) small

A 3rd frequency?

A persisting confusion:

LSND+MiniBooNE



A persisting confusion: LSND/MiniBooNE

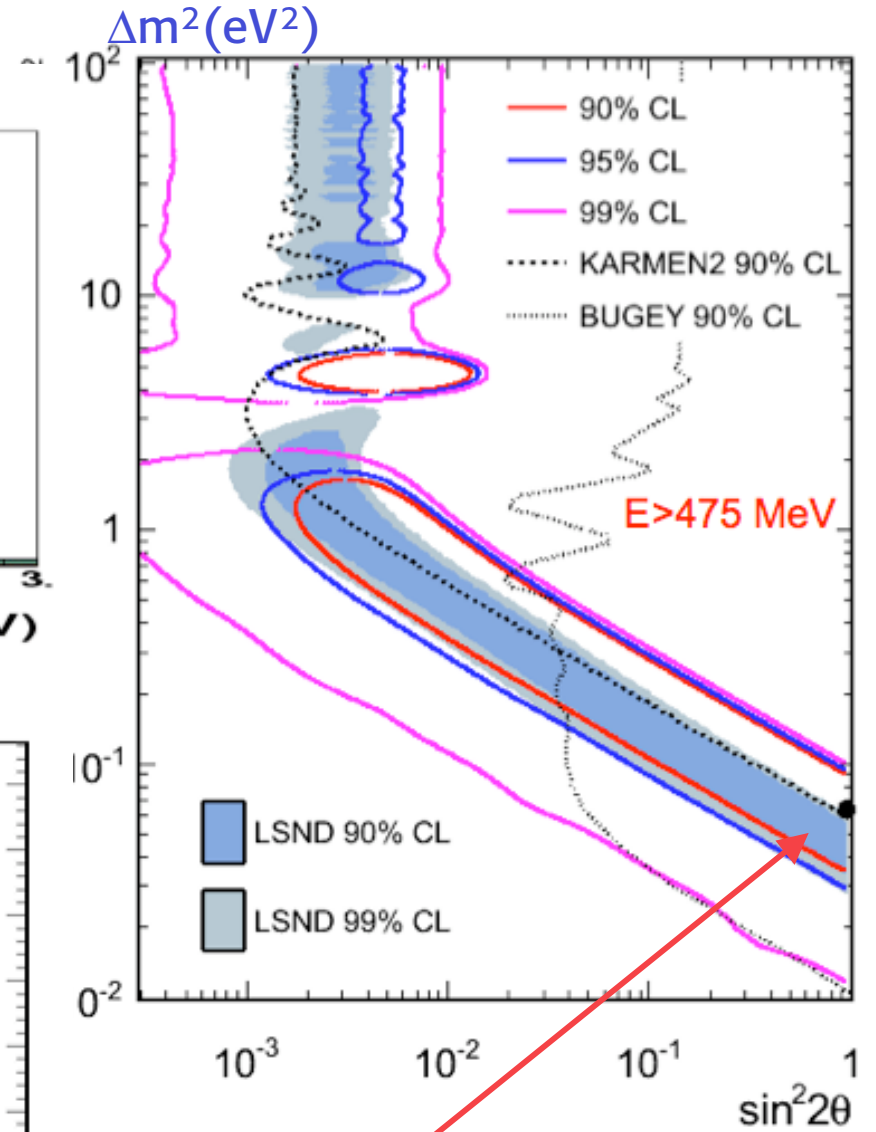
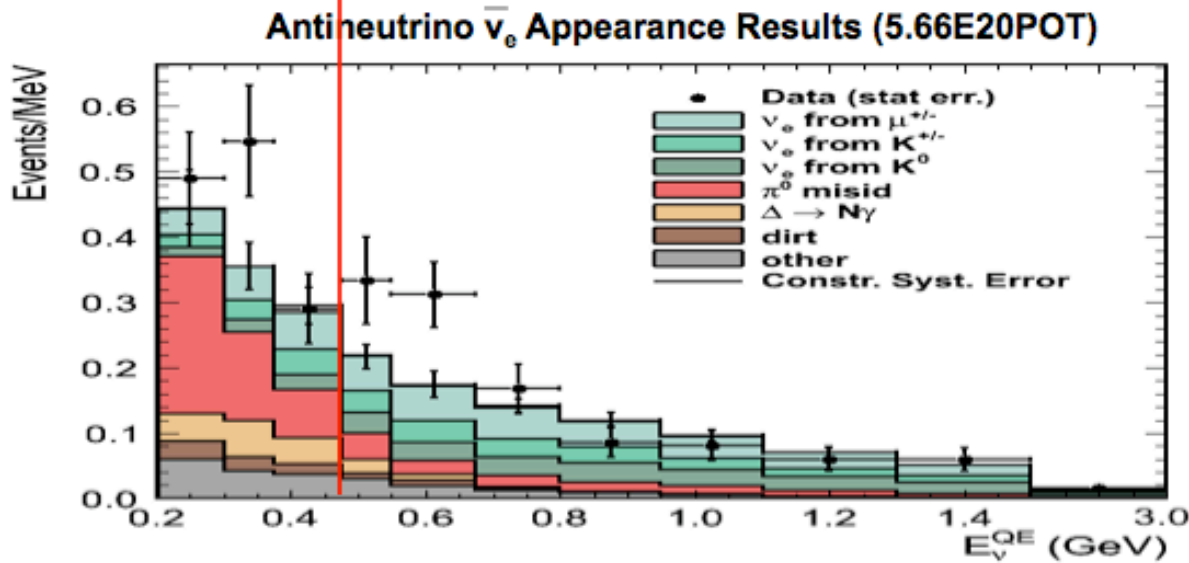
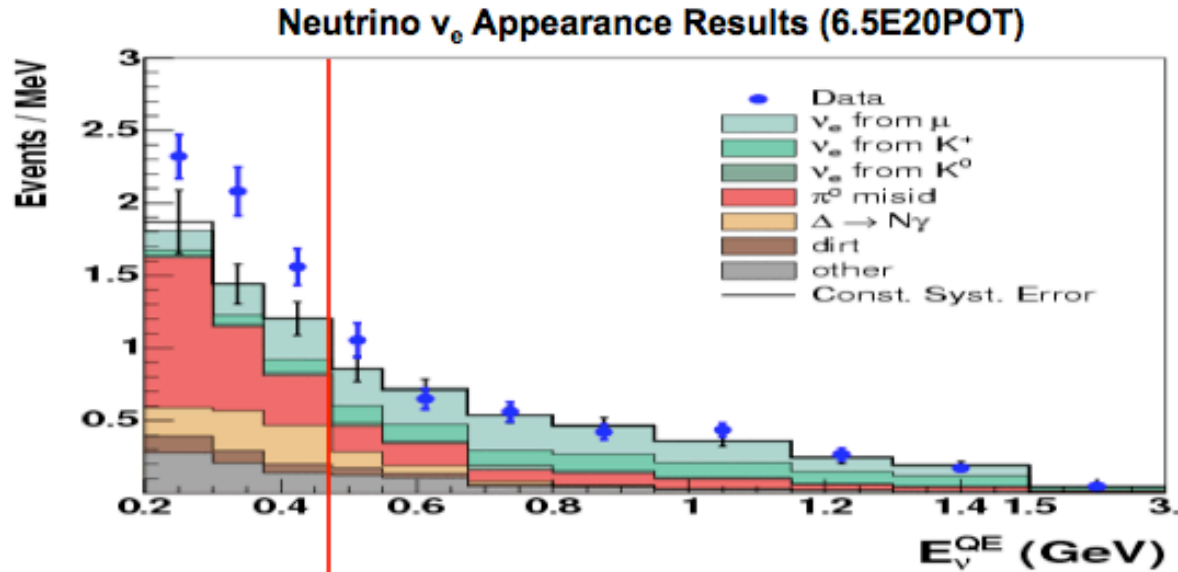
MiniBooNE: LSND not confirmed in ν 's (but an excess at low E)
LSND not excluded in anti ν 's

(LSND claimed a signal in anti ν 's)

In presence of a 3rd frequency one needs more than 3 ν 's
or/and CPT non-conservation (so that ν and anti- ν masses
would be different)



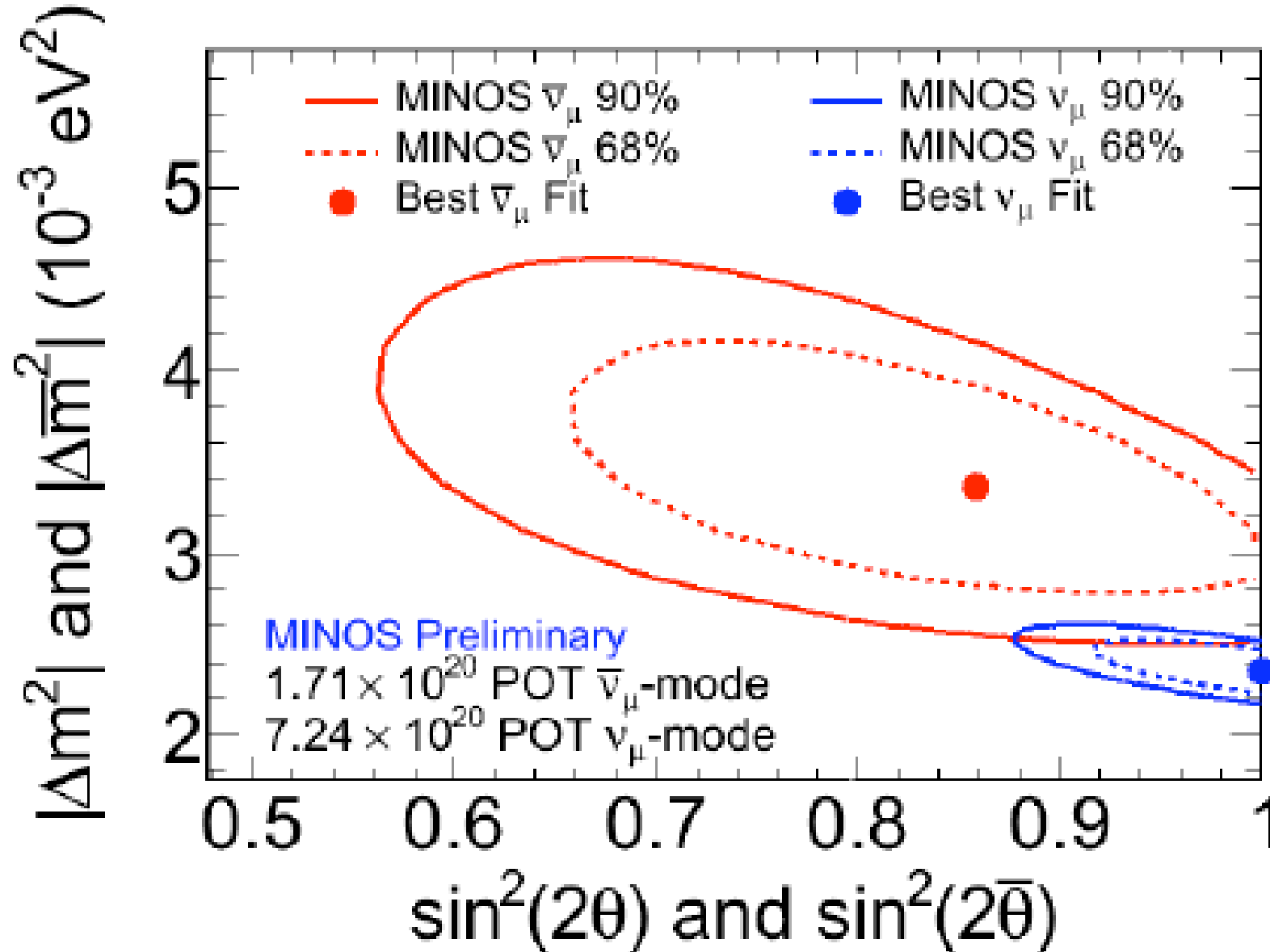
MiniBooNE



Best fit point in Bugey excluded area



A not yet significant hint of difference between ν 's and anti- ν 's is also reported by MINOS



A persisting confusion: LSND/MiniBooNE

MiniBooNE: LSND not confirmed in ν 's (but an excess at low E)
LSND not excluded in $\bar{\nu}$'s

No oscillation hypothesis can fit all data, even adding sterile ν 's: tensions between low/high E, ν 's/ $\bar{\nu}$'s, appearance/disappearance, Can be mitigated by invoking CPT violation.

More data and better experiments needed

Here, we do not rush to add new neutrinos:
e.g. sterile neutrinos

We assume 3 light neutrinos are enough

⊕ Also, we continue to assume CPT invariance

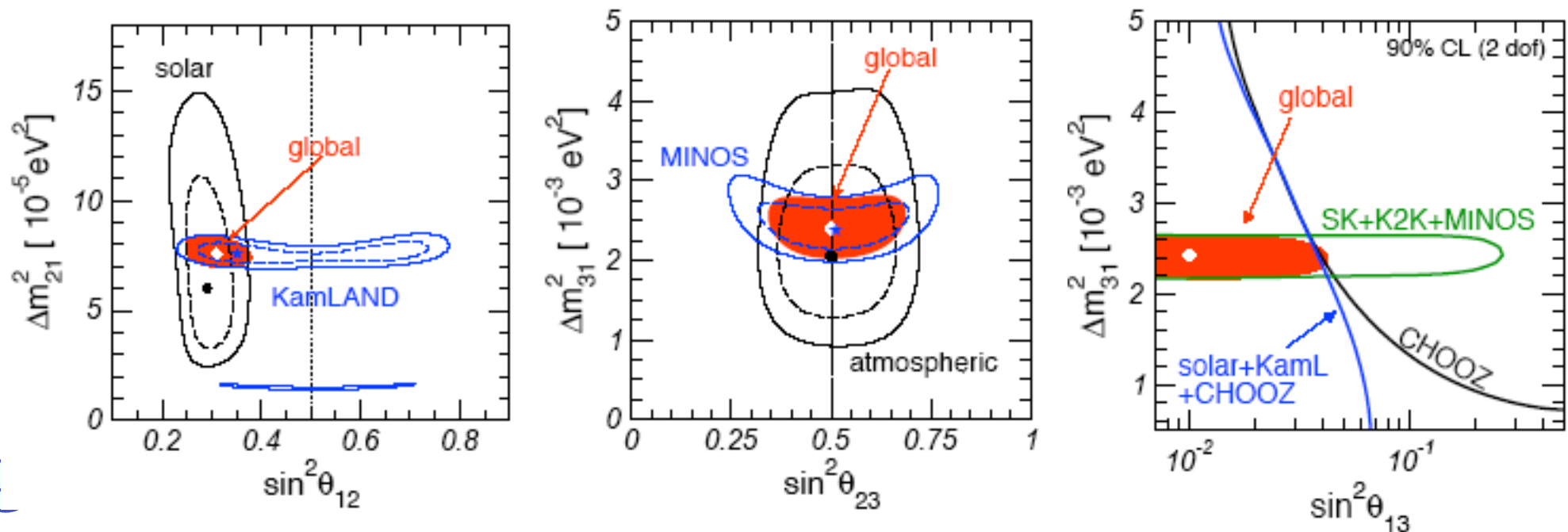
3-Neutrino oscillation parameters

- 2 distinct frequencies
- 2 large angles, 1 small

parameter	best fit	2σ	3σ
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	$7.59^{+0.23}_{-0.18}$	7.22–8.03	7.03–8.27
$ \Delta m_{31}^2 [10^{-3} \text{eV}^2]$	$2.40^{+0.12}_{-0.11}$	2.18–2.64	2.07–2.75
$\sin^2 \theta_{12}$	$0.318^{+0.019}_{-0.016}$	0.29–0.36	0.27–0.38
$\sin^2 \theta_{23}$	$0.50^{+0.07}_{-0.06}$	0.39–0.63	0.36–0.67
$\sin^2 \theta_{13}$	$0.013^{+0.013}_{-0.009}$	≤ 0.039	≤ 0.053

Schwetz et al '10

Best measured angle

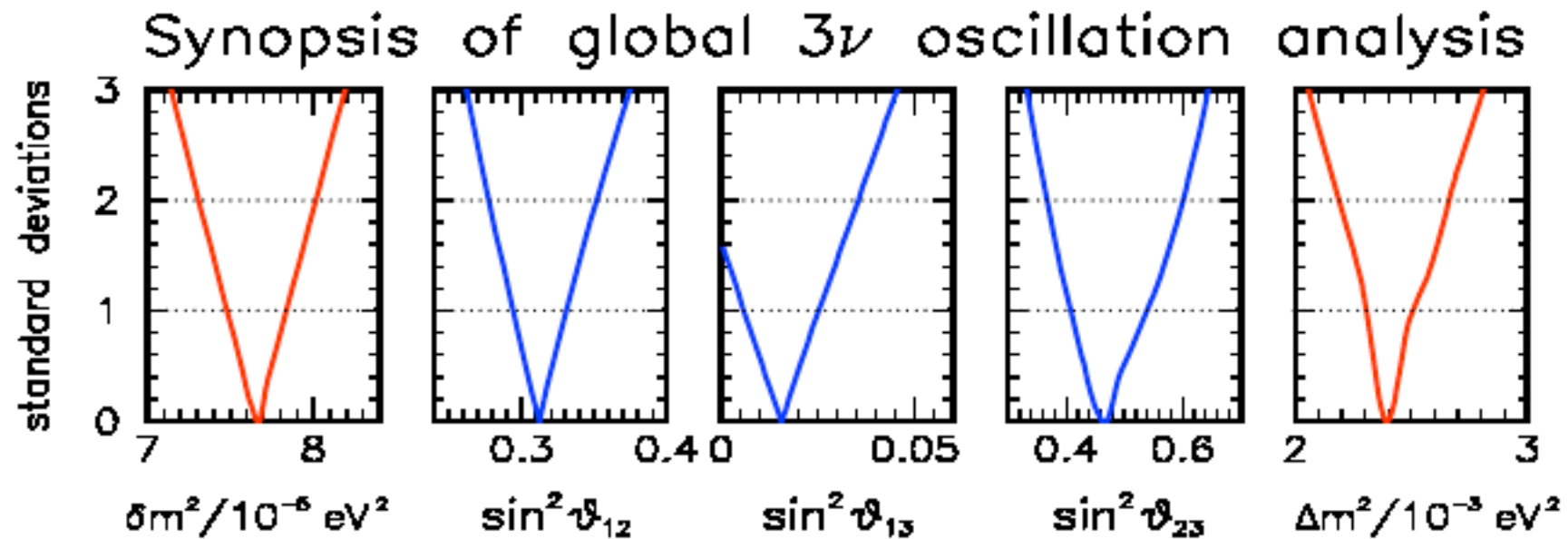


Different fits of the data agree

Fogli et al '08

Table 1: Global 3ν oscillation analysis (2008): best-fit values and allowed n_σ ranges, from Ref. ⁴).

Parameter	$\delta m^2/10^{-5} \text{ eV}^2$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{23}$	$\Delta m^2/10^{-3} \text{ eV}^2$
Best fit	7.67	0.312	0.016	0.466	2.39
1σ range	7.48 – 7.83	0.294 – 0.331	0.006 – 0.026	0.408 – 0.539	2.31 – 2.50
2σ range	7.31 – 8.01	0.278 – 0.352	< 0.036	0.366 – 0.602	2.19 – 2.66
3σ range	7.14 – 8.19	0.263 – 0.375	< 0.046	0.331 – 0.644	2.06 – 2.81

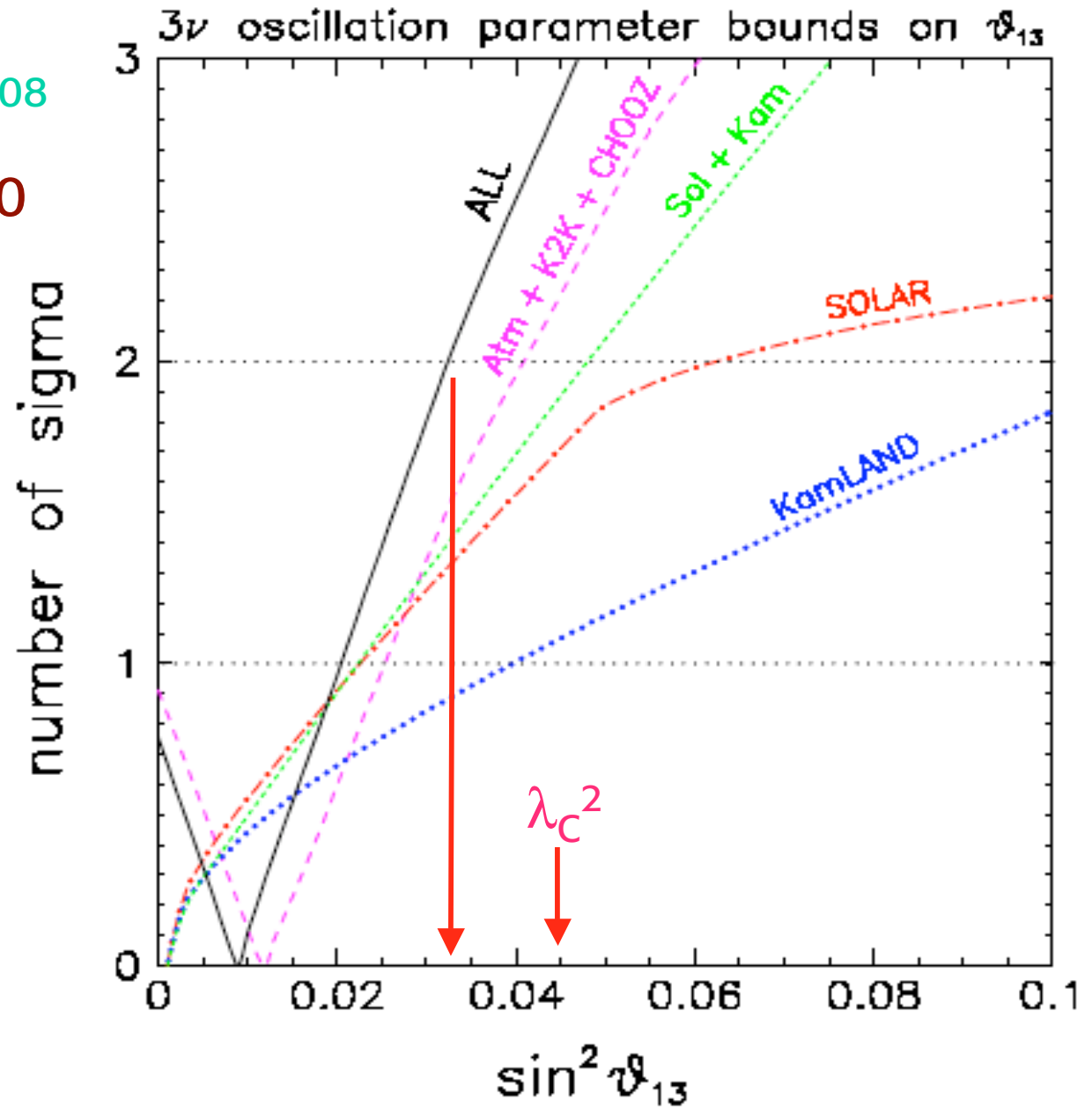


θ_{13} bounds

Fogli et al '08

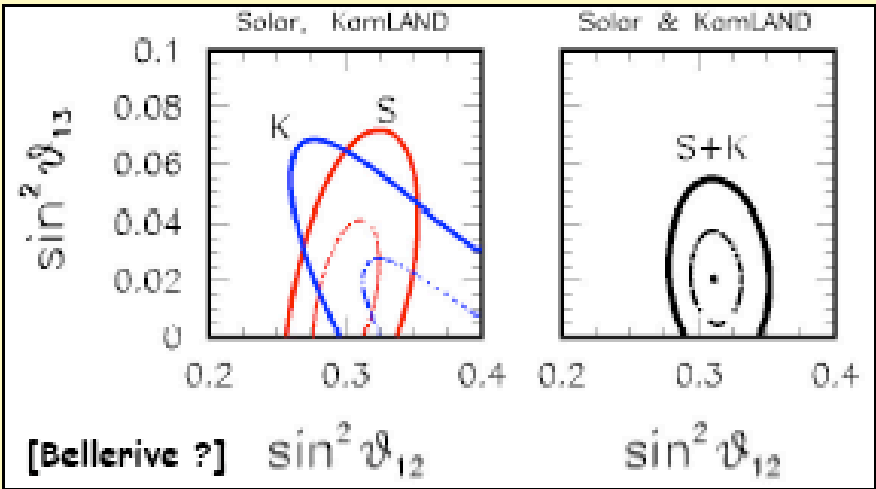
$$\sin^2\theta_{13} = 0.016 \pm 0.010$$

The 95% upper bound on $\sin\theta_{13}$ is close to $\lambda_c = \sin\theta_c$

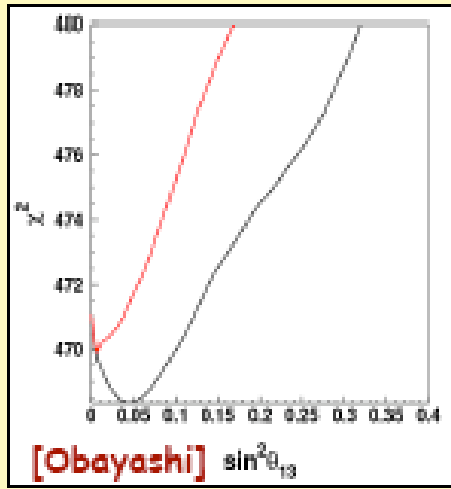


Hints of $\theta_{13} > 0$? [Fogli, EL, Marrone, Palazzo, Rotunno.] **Current status:**

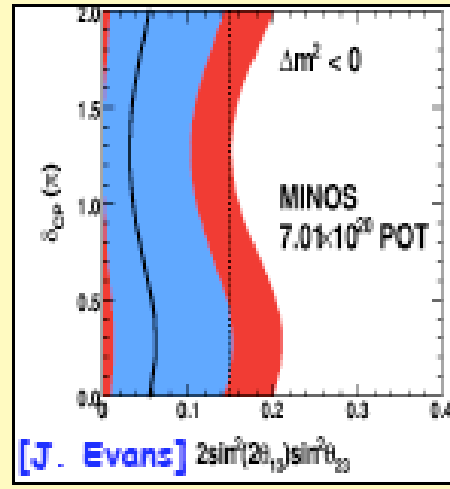
Solar & KamLAND: $\sim 1.5\sigma$



SK atmos.: $\sim 1.5\sigma$



MINOS: $\sim 0.7\sigma$

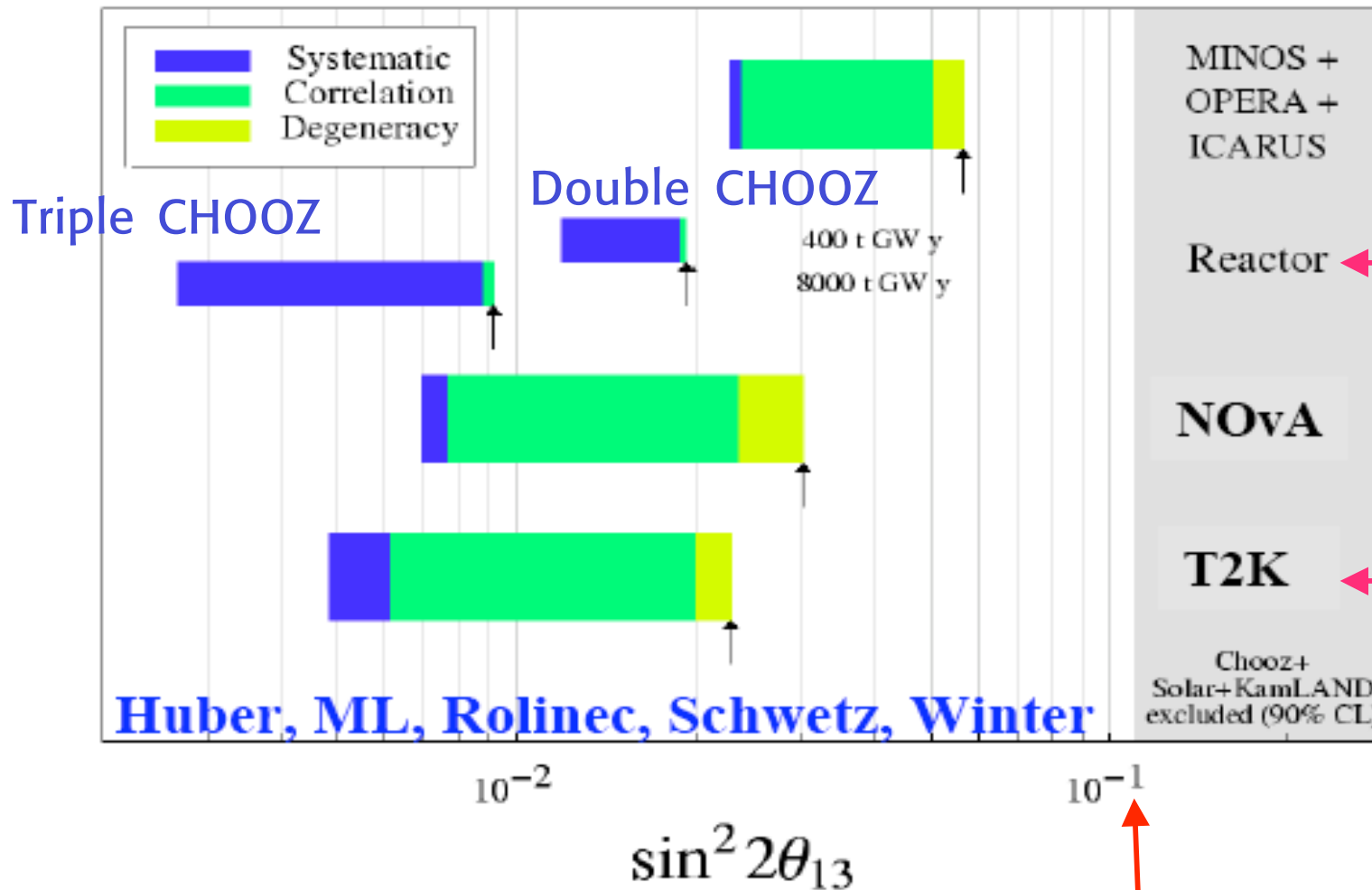


Overall significance close to $\sim 2\sigma$. Intriguing, but still weak.



Measuring θ_{13} is crucial for future ν -oscill. physics
(eg CP violation)

Sensitivity to $\sin^2 2\theta_{13}$ at 90% CL



Also
Daya Bay
RENO

1st ν event
detected on
Feb. 24 '10

~ Present limit



ν oscillations measure Δm^2 . What is m^2 ?

$\Delta m^2_{\text{atm}} \sim 2.5 \cdot 10^{-3} \text{ eV}^2 = (0.05 \text{ eV})^2$; $\Delta m^2_{\text{sun}} \sim 8 \cdot 10^{-5} \text{ eV}^2 = (0.009 \text{ eV})^2$

- Direct limits

$$m_{ee} = |\sum U_{ei}^2 m_i|$$

$$m_{\nu e} < 2.2 \text{ eV}$$

$$m_{\nu \mu} < 170 \text{ KeV}$$

$$m_{\nu \tau} < 18.2 \text{ MeV}$$

End-point tritium β decay (Mainz, Troitsk)
 Future: Katrin
 0.2 eV sensitivity (Karsruhe)

- $0\nu\beta\beta$

$$m_{ee} < 0.2 - 0.7 - ? \text{ eV (nucl. matrix elmnts)}$$

Evidence of signal?

Klapdor-Kleingrothaus

- Cosmology

$$\Omega_\nu h^2 \sim \sum_i m_i / 94 \text{ eV}$$

($h^2 \sim 1/2$)

$$\sum_i m_i < 0.2 - 0.7 \text{ eV (dep. on data \& priors)}$$

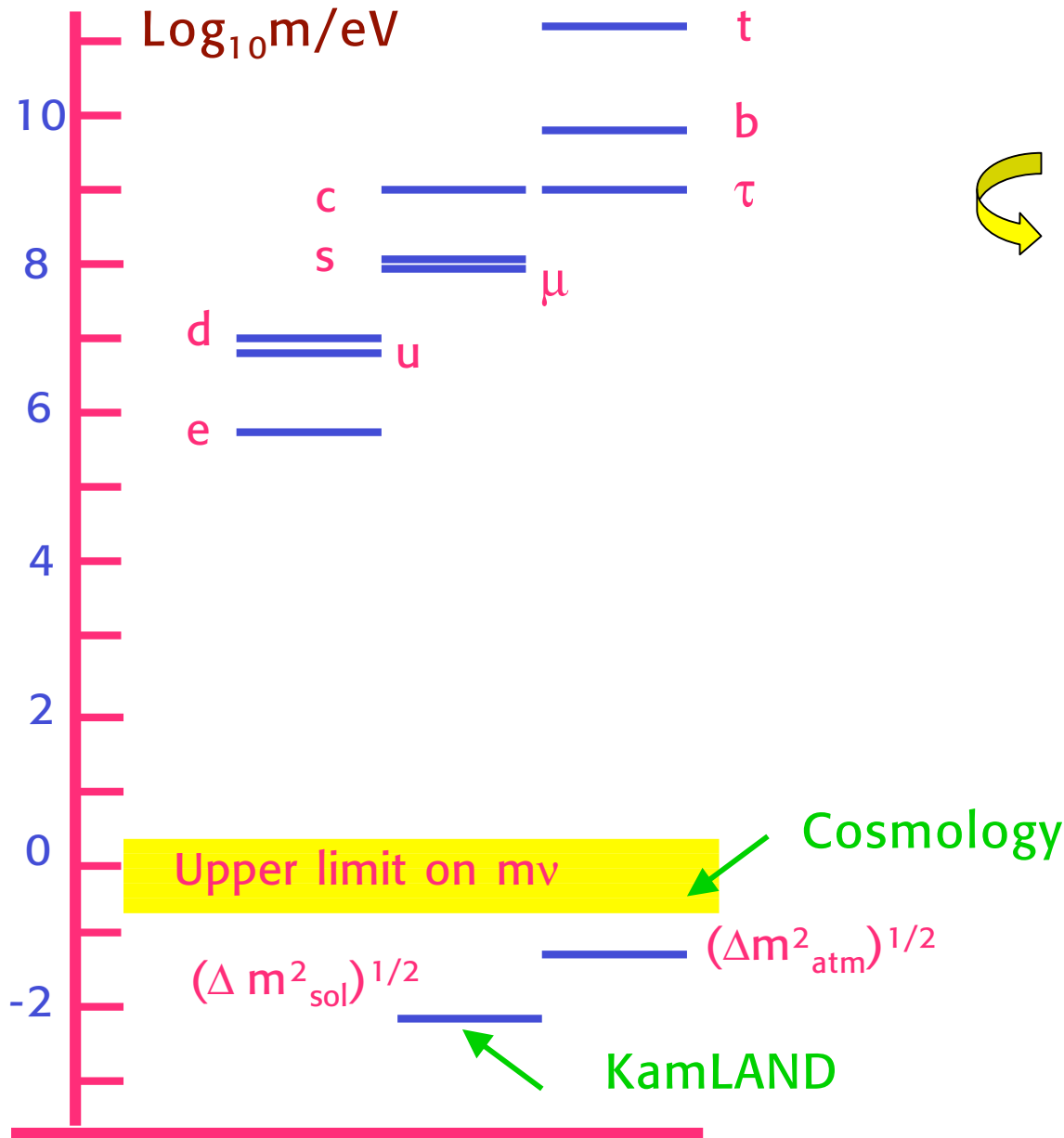
WMAP, SDSS, 2dFGRS, Ly- α



Any ν mass $< 0.06 - 0.23 - \sim 1 \text{ eV}$



depending on your weight on cosmology



Neutrino masses are really special!

$m_t / (\Delta m^2_{\text{atm}})^{1/2} \sim 10^{12}$

Massless ν 's?

- no ν_R
- L conserved

Small ν masses?

- ν_R very heavy
- L not conserved



A very natural and appealing explanation:

ν 's are nearly massless because they are Majorana particles and get masses through L non conserving interactions suppressed by a large scale M (the scale of ν_{RH} Majorana mass)

$$m_\nu \sim \frac{m^2}{M}$$

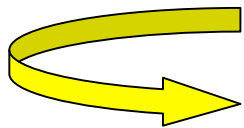
$$m: \leq m_t \sim \nu \sim 200 \text{ GeV}$$

M : scale of L non cons.

Note:

$$m_\nu \sim (\Delta m_{atm}^2)^{1/2} \sim 0.05 \text{ eV}$$

$$m \sim \nu \sim 200 \text{ GeV}$$



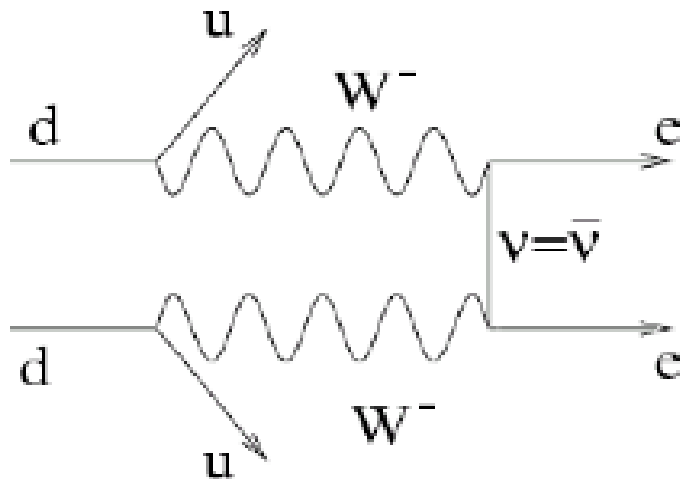
$$M \sim 10^{15} \text{ GeV}$$

Neutrino masses are a probe of physics at M_{GUT} !



All we know from experiment on ν masses strongly indicates that ν 's are Majorana particles and that L is not conserved (but a direct proof still does not exist).

Detection of $0\nu\beta\beta$ would be a proof of L non conservation. Thus a big effort is devoted to improving present limits and possibly to find a signal.



Heidelberg-Moscow
IGEX
Cuoricino-Cuore
Nemo
Sokotvina
Lucifero
.....

$$0\nu\beta\beta = dd \rightarrow uue^-e^-$$



Baryogenesis by decay of heavy Majorana ν 's

BG via Leptogenesis near the GUT scale

$T \sim 10^{12 \pm 3}$ GeV (after inflation)

Buchmuller, Yanagida,
Plumacher, Ellis, Lola,
Giudice et al, Fujii et al
.....

Only survives if $\Delta(B-L)$ is not zero
(otherwise is washed out at T_{ew} by instantons)

Main candidate: decay of lightest ν_R ($M \sim 10^{12}$ GeV)

L non conserv. in ν_R out-of-equilibrium decay:

B-L excess survives at T_{ew} and gives the obs. B asymmetry.

Quantitative studies confirm that the range of m_i from
 ν oscill's is compatible with BG via (thermal) LG

In particular the bound
was derived for hierarchy

$$m_i < 10^{-1} \text{ eV}$$

Buchmuller, Di Bari, Plumacher;
Giudice et al; Pilaftsis et al;
Hambye et al
Hagedorn et al

Can be relaxed for degenerate neutrinos
So fully compatible with oscill'n data!!



The current experimental situation on ν masses and mixings has much improved but is still incomplete

- what is the absolute scale of ν masses?
- value of θ_{13}
- pattern of spectrum (sign of Δm^2_{atm})

• Degenerate ($m^2 \gg \Delta m^2$)  $m^2 < o(1) \text{eV}^2$

• Inverse hierarchy  $m^2 \sim 10^{-3} \text{eV}^2$

• Normal hierarchy  $m^2 \sim 10^{-3} \text{eV}^2$

- no detection of $0\nu\beta\beta$ (i.e. no proof that ν 's are Majorana)
see-saw?
- are 3 light ν 's OK? (MiniBooNE)



Different classes of models are still possible

General remarks

- After KamLAND, SNO and Cosmology not too much hierarchy is found in ν masses:

$$r \sim \Delta m^2_{\text{sol}} / \Delta m^2_{\text{atm}} \sim 1/30$$

Only a few years ago could be as small as 10^{-8} !

Precisely at 3σ : $0.025 < r < 0.039$

or

Schwetz et al '10

$$m_{\text{heaviest}} < 0.2 - 0.7 \text{ eV}$$

$$m_{\text{next}} > \sim 8 \cdot 10^{-3} \text{ eV}$$

For a hierarchical spectrum:

$$\frac{m_2}{m_3} \approx \sqrt{r} \approx 0.2$$

Comparable to $\lambda_C = \sin \theta_C$:

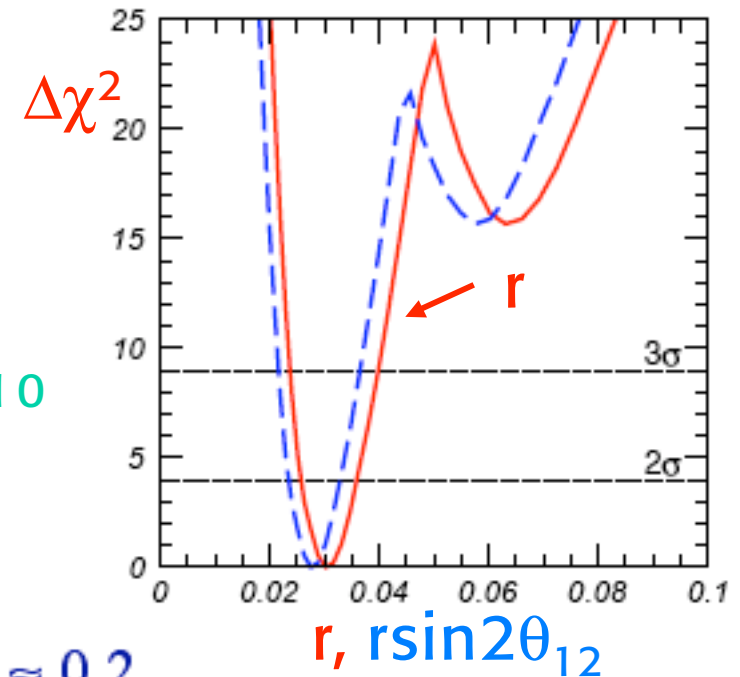
$$\lambda_C \approx 0.22 \text{ or } \sqrt{\frac{m_\mu}{m_\tau}} \approx 0.24$$

Suggests the same "hierarchy" parameters for q, l, ν

(small powers of λ_C)



e.g. θ_{13} not too small!



- θ_{13} not necessarily too small
probably accessible to exp.

Very small θ_{13} theoretically hard [typically $\theta_{13} > 0.01$]

- Still large space for non maximal 23 mixing

2- σ interval $0.39 < \sin^2\theta_{23} < 0.63$ Schwetz et al '10

Maximal θ_{23} theoretically hard

- θ_{12} is at present the best measured angle

$\Delta\sin^2\theta_{12}/\sin^2\theta_{12} \sim 6\%$



For constructing models we need the data but also to decide which feature of the data is really relevant

Examples:

Is Tri-Bimaximal (TB) mixing really a significant feature or just an accident?

Is lepton-quark complementarity (LQC) a significant feature or just an accident?



TB Mixing

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

A coincidence or a hint?

Called:
Tri-Bimaximal mixing

Harrison, Perkins, Scott '02

TB mixing agrees
with data at $\sim 1\sigma$

At 1σ :

Schwetz et al '10

$$\sin^2\theta_{12} = 1/3 : 0.302-0.337$$

$$\sin^2\theta_{23} = 1/2 : 0.44-0.57$$

$$\sin^2\theta_{13} = 0 : < \sim 0.026$$

$$\nu_3 = \frac{1}{\sqrt{2}}(-\nu_\mu + \nu_\tau)$$

$$\nu_2 = \frac{1}{\sqrt{3}}(\nu_e + \nu_\mu + \nu_\tau)$$



LQC: Lepton Quark Complementarity

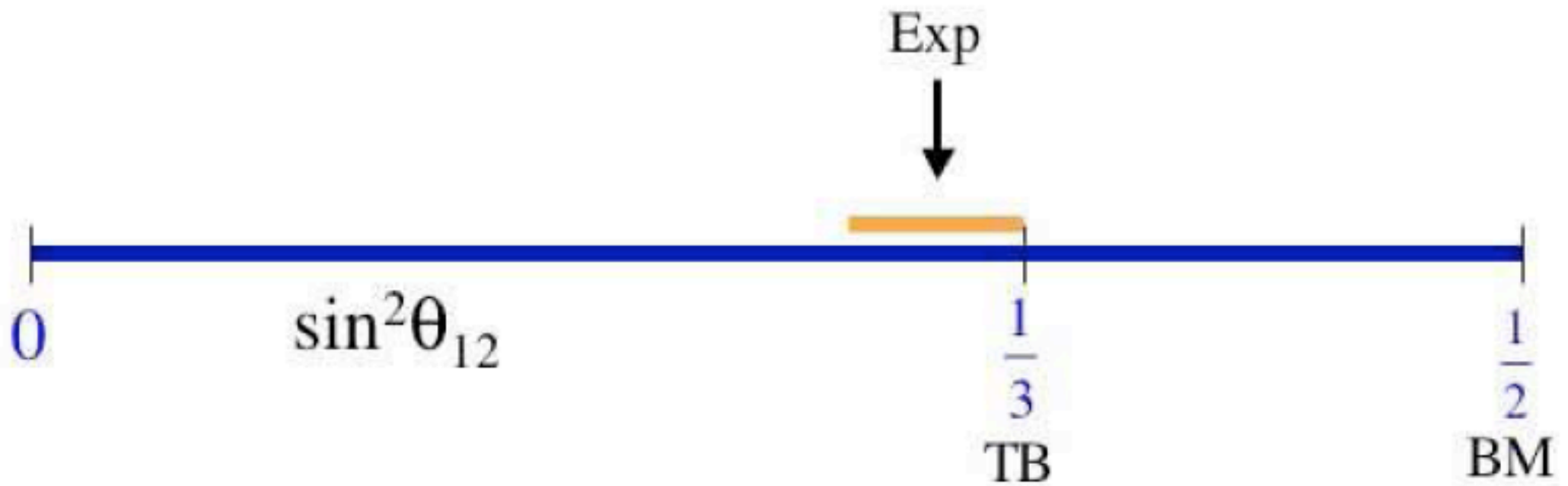
$$\theta_{12} + \theta_C = (47.0 \pm 1.2)^\circ \sim \pi/4$$

Suggests Bimaximal mixing corrected by diagonalisation of charged leptons

A coincidence or a hint?

Raidal'04

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & 0 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}$$



Suggests that deviations from BM mixing arise from charged lepton diagonalisation

For the corrections from the charged lepton sector, typically $|\sin\theta_{13}| \sim (1 - \tan^2\theta_{12})/4\cos\delta \sim 0.15$

Needs $|\sin\theta_{13}|$ near the present bound!

$$\theta_{12} + \theta_C \sim \pi/4$$

difficult to get. Rather:

$$\theta_{12} + o(\theta_C) \sim \pi/4$$

"weak" LQC



GA, Feruglio, Masina
Frampton et al
King
Antusch et al.....

$$\begin{aligned}\bar{U}_{12} &= -\frac{e^{-i(\alpha_1+\alpha_2)}}{\sqrt{2}} + \frac{s_{12}^e e^{-i\alpha_2} + s_{13}^e e^{i\delta_e}}{2} \\ \bar{U}_{13} &= \frac{s_{12}^e e^{-i\alpha_2} - s_{13}^e e^{i\delta_e}}{\sqrt{2}} \\ \bar{U}_{23} &= -e^{-i\alpha_2} \frac{1 + s_{23}^e e^{i\alpha_2}}{\sqrt{2}}\end{aligned}$$

Corr.'s from s_{12}^e, s_{13}^e to U_{12} and U_{13} are of first order (2nd order to U_{23})



BM mixing can also be derived from discrete flavour symmetry

One can construct a model, based on S_4 , where BM mixing holds in 1st approximation and is then corrected by terms $o(\lambda_C)$

G.A., Feruglio, Merlo '09

In our model BM mixing is exact at LO

For the special flavon content chosen, only θ_{12} and θ_{13} are corrected from the charged lepton sector by terms of $o(\lambda_C)$ (large correction!) while θ_{23} gets smaller corrections (great!) [for a generic flavon content also $\delta\theta_{23} \sim o(\lambda_C)$]

An experimental indication for this model would be that θ_{13} is found near its present bound at T2K

⊕ We leave aside LQC here and restrict to TB mixing

For constructing models we need the data but also to decide which feature of the data is really relevant

Examples:

Is Tri-Bimaximal (TB) mixing really a significant feature or just an accident?

Is lepton-quark complementarity (LQC) a significant feature or just an accident?

Here we already see 3 different classes of models that can fit the data:

TB & LQC are accidents or TB is relevant or LQC is relevant

Accidents: a wide spectrum of (mostly old) models

Anarchy, Anarchy in 2-3 sector, Lopsided models, $U(1)_{FN}$,

GUT versions exist [SU(5), SO(10)]

⊕  Typically there are free parameters fitted to the angles

First, consider models with $\theta_{13}=0$ and θ_{23} maximal and θ_{12} generic [includes both BM and TB]

The most general mass matrix is given by
(after ch. lepton diagonalization!!!)
and it is 2-3 or μ - τ symmetric

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$

Inspired models based on μ - τ symmetry

Grimus, Lavoura..., Ma,....

Mohapatra, Nasri, Hai-Bo Yu

Neglecting Majorana phases it depends on 4 real parameters
(3 mass eigenvalues and 1 mixing angle: θ_{12})

But actually θ_{12} is the best measured angle (after KamLAND, SNO....). And it is directly compatible with TB mixing.



TB mixing

By adding $\sin^2\theta_{12} \sim 1/3$ to $\theta_{13} \sim 0, \theta_{23} \sim \pi/4$:



Tribimaximal Mixing

$$m_\nu = \begin{bmatrix} x & y & y \\ y & z & w \\ y & w & z \end{bmatrix}$$




$$m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix}$$

$$m_{11} + m_{12} = m_{22} + m_{23}$$



$$\begin{aligned} m_1 &= x-y \\ m_2 &= x+2y \\ m_3 &= x-y+2v \end{aligned}$$


$$\sin^2 2\theta_{12} = \frac{8y^2}{(x-w-z)^2 + 8y^2}$$

= 8/9 for TB

The 3 remaining parameters are the mass eigenvalues




TB mixing

Harrison, Perkins, Scott

$$m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix}$$

A simple mixing matrix compatible with all present data

In the basis of diagonal ch. leptons:


$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

$$m_\nu = U \text{diag}(m_1, m_2, m_3) U^\top$$


$$m_\nu = \frac{m_3}{2} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix} + \frac{m_2}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} + \frac{m_1}{6} \begin{bmatrix} 4 & -2 & -2 \\ -2 & 1 & 1 \\ -2 & 1 & 1 \end{bmatrix}$$

Eigenvectors: $m_3 \rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 1 \\ -1 \end{bmatrix}$ $m_2 \rightarrow \frac{1}{\sqrt{3}} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ $m_1 \rightarrow \frac{1}{\sqrt{6}} \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix}$

Note: mixing angles independent of mass eigenvalues



Compare with quark mixings $\lambda_C \sim (m_d/m_s)^{1/2}$

TB Mixing naturally leads to discrete flavour groups

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

This is a particular rotation matrix with specified fixed angles



- For the TB mixing matrix all mixing angles are fixed to particularly symmetric values

Sparked interest in constructing models that can naturally produce this highly ordered structure --> discrete flavour groups

A recent review: GA, Feruglio 1002.0211

Models based on the A_4 discrete symmetry (even permutations of 1234) offer a minimal solution

Ma...; GA, Feruglio, GA, Feruglio, Lin; GA, Feruglio, Hagedorn; Y. Lin; Csaki et al; Hirsch et al, GA, Meloni.....

Larger finite groups: S_4 , T' , $PSL_2(7)$ have also been studied

Feruglio et al; Chen, Mahanthappa; Frampton, Kephart; Lam; Bazzocchi et al, King et al

Alternative models based on $SU(3)_F$ or $SO(3)_F$ or their finite subgroups

Verzielas, G. Ross King

Discrete symmetries coupled with Sequential Dominance or Form Dominance

King, Chen, King.....



A4

A4 is the discrete group of even perm's of 4 objects.
(the inv. group of a tetrahedron). It has $4!/2 = 12$ elements.

A4 transformations can be written in terms of S and T
with: $S^2 = T^3 = (ST)^3 = 1$ as:

1, T, S, ST, TS, T², TST, STS, ST², T²S, T²ST, TST²

An element is abcd which means 1234 --> abcd

C₁: 1 = 1234

C₂: T = 2314 ST = 4132 TS = 3241 STS = 1423

C₃: T² = 3124 ST² = 4213 T²S = 2431 TST = 1342

C₄: S = 4321 T²ST = 3412 TST² = 2143

C₁, C₂, C₃, C₄ are equivalence classes $[x' \sim gxg^{-1}]$ g: group element
x, x' in same class if

Irr. represent'ns 1, 1', 1'', 3 L: lepton doublet ~ 3 element

$e^c, \mu^c, \tau^c \sim 1, 1'', 1'$



A4 has 4 inequivalent irreducible representations:
a triplet and 3 different singlets

3, 1, 1', 1''

(promising for 3 generations!)

Note:

as many representations as equivalence classes 4
 $\sum d_i^2 = \# \text{ of group elements} = 12$ 9+1+1+1=12



true for all finite groups



Three singlet inequivalent represent'ns:

Recall:

$$S^2 = T^3 = (ST)^3 = 1$$

$$\begin{cases} 1: S=1, T=1 \\ 1': S=1, T=\omega \\ 1'': S=1, T=\omega^2 \end{cases}$$

$$\begin{aligned} \omega &= \exp i \frac{2\pi}{3} = -\frac{1}{2} + i \frac{\sqrt{3}}{2} \\ \omega^3 &= 1 \\ 1 + \omega + \omega^2 &= 0 \\ \omega^2 &= \omega^* \end{aligned}$$

The only irreducible 3-dim represent'n is obtained by:

$$S = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

$$T = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

(S-diag basis)

An equivalent form:

$$S' = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix} = V S V^\dagger$$

(T-diag basis)

$$T' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{bmatrix} = V T V^\dagger$$

$$V V^\dagger = V^\dagger V = 1$$

↓

$$V = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{bmatrix}$$

Cabibbo '78

Under A4 the most common classification is:

lepton doublets $l \sim \mathbf{3}$, (in see-saw models $\nu^c \sim \mathbf{3}$)

$e^c, \mu^c, \tau^c \sim 1, 1'', 1'$ respectively

A4 breaking gauge singlet flavons $\phi_S, \phi_T, \xi \sim \mathbf{3}, \mathbf{3}, 1$

For SUSY version: driving fields $\phi_{0S}, \phi_{0T}, \xi_0 \sim \mathbf{3}, \mathbf{3}, 1$

with the alignment:

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0$$

!!!

In a serious model
the alignment must
follow from
the symmetries

In all versions there are additional symmetries:

e.g. a broken $U(1)_F$ symmetry and/or discrete symmetries Z_n
to ensure hierarchy of charged lepton masses and to restrict
allowed couplings



Structure of the model (a 4-dim SUSY version)

GA, Feruglio, hep-ph/0512103

$$w_l = y_e e^c(\varphi_T l) + y_\mu \mu^c(\varphi_T l)' + y_\tau \tau^c(\varphi_T l)'' + (x_a \xi + \tilde{x}_a \tilde{\xi})(ll) + x_b(\varphi_S ll) + h.c. + \dots$$

shorthand: Higgs and cut-off scale Λ omitted, e.g.:

$$y_e e^c(\varphi l) \sim y_e e^c(\varphi l) h_d / \Lambda, \quad x_a \xi(ll) \sim x_a \xi(l h_u l h_u) / \Lambda^2$$

In T-diag basis:

with this alignment:

$$\begin{aligned} \langle \varphi_T \rangle &= (v_T, 0, 0) \\ \langle \varphi_S \rangle &= (v_S, v_S, v_S) \\ \langle \xi \rangle &= u, \quad \langle \tilde{\xi} \rangle = 0 \end{aligned}$$

recall:

$$m = \begin{pmatrix} x & y & y \\ y & x+v & y-v \\ y & y-v & x+v \end{pmatrix}$$

Ch. leptons are diagonal

$$m_l = v_T \frac{v_d}{\Lambda} \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix}$$

v 's are tri-bimaximal

$$m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix}$$

$$a \equiv x_a \frac{u}{\Lambda} \quad b \equiv x_b \frac{v_T}{\Lambda}$$



So, at LO TB mixing is exact

$$r \sim \Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2$$



The only modest fine-tuning needed is to account for $r^{1/2} \sim 0.2$
[In most A4 models one would expect $r \sim o(1)$ as $l, \nu^c \sim 3$]

When NLO corrections are included from operators of higher dimension in the superpotential each mixing angle receives generically corrections of the same order $\delta\theta_{ij} \sim o(\text{VEV}/\Lambda)$

As the maximum allowed corrections to θ_{12} (and also to θ_{23}) are $o(\lambda_C^2)$, we need $\text{VEV}/\Lambda \sim o(\lambda_C^2)$ and we expect:

$$\theta_{13} \sim o(\lambda_C^2) \text{ measurable in next run of exp's}$$

(T2K started at the beginning of '10)



Predictions on the ν spectrum

An example based on $G_f = A_4 \times Z_3 \times U(1)_{FN}$ [+ SUSY + SEE-SAW]

lepton mixing is TB, by construction, plus NLO corrections of order $0.005 < u < 0.05$
 at the LO neutrino mass spectrum depends on two complex parameters
 there is a sum rule among (complex) mass eigenvalues $m_{1,2,3}$

$$\frac{1}{m_3} = \frac{1}{m_1} - \frac{2}{m_2}$$

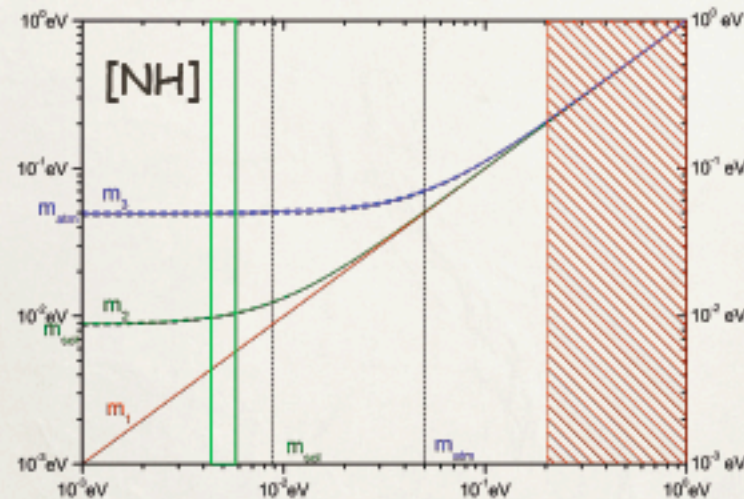
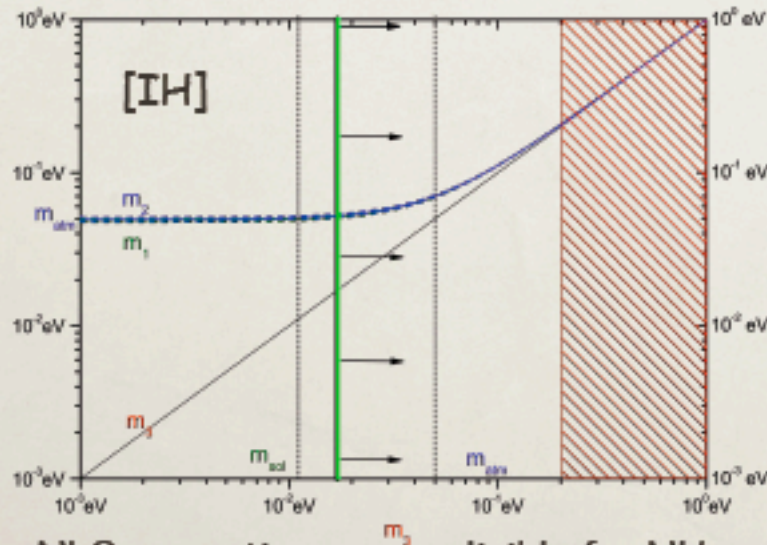
both normal [NH] and inverted [IH] hierarchy are allowed

Feruglio, ICHEP'10

in the NH case the sum rule completely determines the spectrum

$$m_1 \approx 0.005 \text{ eV} \quad m_2 \approx 0.01 \text{ eV} \quad m_3 \approx 0.05 \text{ eV}$$

$$|m_{ee}| \approx 0.007 \text{ eV}$$



in the IH case the sum rule provides a lower bound on m_3

$$m_3 \geq 0.017 \text{ eV}$$

$$|m_{ee}| \geq 0.017 \text{ eV}$$

NLO corrections are negligible for NH and for IH close to the lower bound



Why and how discrete groups, in particular A4, work?

TB mixing corresponds to m in the basis where charged leptons are diagonal

$$m = \begin{pmatrix} x & y & y \\ y & x + v & y - v \\ y & y - v & x + v \end{pmatrix}$$

Crucial point 1:

m is the most general matrix invariant under

$S m S = m$ and $A_{23} m A_{23} = m$ with:

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

2-3
symmetry

$$S^2 = A_{23}^2 = 1$$




Crucial point 2:

Charged lepton masses:
a generic diagonal matrix
is defined by invariance under T
(or ηT with η a phase):

$$m_l^\dagger m_l = T^\dagger m_l^\dagger m_l T$$

$$m_l = v_T \frac{v_d}{\Lambda} \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix}$$

a possible T is


$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$$

$$\omega^3 = 1 \rightarrow T^3 = 1$$

An essential observation is that

S, T and A_{23} are all contained in S4

$$S^4 = T^3 = (ST)^2 = 1 \text{ define S4}$$

Thus S4 is the reference group for TB mixing

Lam



A4 is a subgroup of S4

$S^2=T^3=(ST)^3=1$ define A4

Invariance under S and T is automatic in A4 while

A_{23} is not contained in A4 (2 \leftrightarrow 3 exchange is an odd perm.)

But 2-3 symmetry happens in A4 if 1' and 1'' symm. breaking flavons are absent or have equal VEV's [2 of S4 = 1' + 1'' of A4].

Note:

For μ - τ symmetry only invariance under T and A_{23} is required

T and A_{23} are contained in S3 [$A_{23}^2=T^3=(A_{23}T)^2=1$ define S3]

Thus S3 is the reference group for μ - τ symmetry

S3 has no triplets but only 2, 1, 1'
TB mixing demands a 3!

Mohapatra, Nasri, Yu
Koide; Kubo et al
Kaneko et al
Caravaglios et al
Morisi; Picariello
Grimus, Lavoura.....



Crucial point 3: A4 must be broken: the alignment

Before SSB the model is invariant under the flavour group A4

There are flavons $\phi_T, \phi_S, \xi \dots$ with VEV's that break A4:

ϕ_T breaks A4 down to G_T , the subgroup generated by
 $1, T, T^2$, in the charged lepton sector

ϕ_S, ξ break A4 down to G_S , the subgroup generated by
 $1, S$, in the neutrino sector

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0$$

$$\begin{aligned} \phi_T, \phi_S &\sim 3 \\ \xi &\sim 1 \end{aligned}$$

The 2-3 symmetry occurs
in A4 if $1'$ and $1''$ flavons
are absent

TB mixing broken by
higher dimension operators

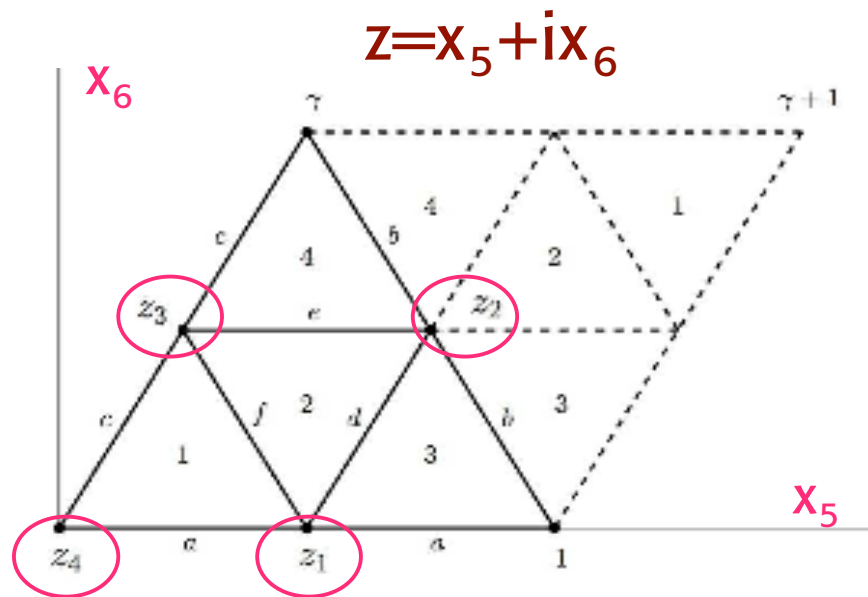
$$\text{Typically } \delta\theta \sim o(\lambda_c^2)$$

This alignment along subgroups
of A4 must naturally occur in a
good model

What can be the origin of A4?

A4, S4 (or some other discrete group) could arise from extra dimensions (by orbifolding with fixed points) as a remnant of 6-dim spacetime symmetry:

G.A.,F. Feruglio&Y. Lin, NP B775(2007)31
Adulpravitchai, Blum, Lindner '09



A torus with identified points:

$$z \rightarrow z + 1$$

$$z \rightarrow z + \gamma \quad \gamma = \exp(i\pi/3)$$

and a parity $z \rightarrow -z$

leads to 4 fixed points

(equivalent to a tetrahedron).

There are 4D branes at the fixed points where the SM fields live (additional gauge singlets are in the bulk)

\oplus A4 interchanges the fixed points

Many versions of A4 models exist by now

- with dim-5 effective operators ($\nu_L^T \nu_L H H$) or with see-saw
- with SUSY or without SUSY
- in 4 dimensions or in extra dimensions
 - e.g G.A., Feruglio'05; G.A., Feruglio, Lin '06;
Csaki et al '08, Kadosh, Pallante'10.....
- with different solutions to the alignment problem
 - e.g Hirsch, Morisi, Valle '0,...
- with sequential (or form) dominance
 - e.g King'07 ; Chen, King '09
- with charged lepton hierarchy also following from a special alignment (no $U(1)_{FN}$) Lin'08; GA, Meloni'09
- extension to quarks, possibly in a GUT context



In lepton sector TB (or BM) mixing point to discrete flavor groups

What about quarks?

A problem for GUT models is how to reconcile the quark with the lepton mixings

quarks: small angles, strongly hierarchical masses
abelian flavour symm. [e.g. $U(1)_{FN}$]

neutrinos: large angles, perhaps TB or BM
non abelian discrete symm. [e.g. A_4]



A4: Extension to quarks

If we take all fermion doublets as 3 and all singlets as 1, 1', 1'' (as for charged leptons):

$$Q_i \sim 3; u^c, d^c \sim 1; c^c, s^c \sim 1''; t^c, b^c \sim 1'$$

Then u and d quark mass matrices, like for charged leptons, are BOTH diagonal in the T-diagonal basis

As a result V_{CKM} is unity: $V_{\text{CKM}} = U_u^\dagger U_d \sim 1$

So, in first approx. (broken by loops and higher dim operators), ν mixings are TB and quark mixings \sim identity: **NOT BAD**

BUT the size and hierarchy of q mixing angles is not reproduced by NLO corrections and the above A4 transf. properties are not compatible with GUT's



From experiment:

a good first approximation for quarks

$$\lambda = \sin\theta_c$$

$$V_{\text{CKM}} \sim \begin{pmatrix} 1 & \lambda & 0 \\ -\lambda & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + o(\lambda^2)$$

and for neutrinos

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix} + o(\lambda^2)?$$



Current research

- Larger discrete flavour groups for quark mixings (no GUT's)

Carr, Frampton
Feruglio et al
Frampton, Kephart

.....

- GUT models with approximate TB mixing
it is indeed possible, also for A4, but not easy!
[SU(5) less difficult than SO(10)]

Ma, Sawanaka, Tanimoto; Ma; GA, Feruglio, Hagedorn 0802.0090
Morisi, Picarello, Torrente Lujan; Bazzocchi et al;
de Madeiros Verzielas, King, Ross [$\Delta(27)$];
King, Malinsky [$SU(4)_C \times SU(2)_L \times SU(2)_R$]; Antusch et al;
Chen, Mahanthappa [T']; Bazzocchi et al [$\Delta(27)$];
King, Luhn [$PSL_2(7)$]; Dutta, Mimura, Mohapatra [S_4];

.....



Key ingredients: **A satisfactory ~ realistic model**

- SUSY

In general SUSY is crucial for hierarchy, coupling unification and p decay

Specifically it makes simpler to implement the required alignment

- GUT's in 5 dimensions

In general GUT's in ED are most natural and effective
Here also contribute to produce fermion hierarchies

- Extended flavour symmetry: $A_4 \times U(1) \times Z_3 \times U(1)_R$

$U(1)_R$ is a standard ingredient of SUSY GUT's in ED

Hall-Nomura'01



ED effects contribute to the fermion mass hierarchies

A bulk field is related to its zero mode by: $B = \frac{1}{\sqrt{\pi R}} B^0 + \dots$

This produces a suppression parameter for couplings with bulk fields

$$s \equiv \frac{1}{\sqrt{\pi R \Lambda}} < 1$$

Λ : UV cutoff

- In bulk: N=2 SUSY Yang-Mills fields + $H_5, H_5^{\text{bar}} + T_1, T_2, T_1', T_2'$
(doubling of bulk fermions to obtain chiral massless states at $y=0$)
also crucial to avoid too strict mass relations for 1,2 families:
(b- τ unification only for 3rd family)
- All other fields on brane at $y=0$ (in particular N, F, T_3)



$$m_u = \begin{pmatrix} s^2 t^5 t'' + s^2 t^2 t''^4 & s^2 t^4 + s^2 t t''^3 & s t t''^2 \\ s^2 t^4 + s^2 t t''^3 & s^2 t''^2 & s t'' \\ s t t''^2 & s t'' & 1 \end{pmatrix} s v_u^0 \sim \begin{pmatrix} \lambda^8 & \lambda^6 & \lambda^4 \\ \lambda^6 & \lambda^4 & \lambda^2 \\ \lambda^4 & \lambda^2 & 1 \end{pmatrix} \lambda v_u^0$$

Note: all m of rank 1 in LO:
only $m_{33} \sim o(1)$!

dots=0 in 1st approx

$$m_d = \begin{pmatrix} s t^3 + s t''^3 & \dots & \dots \\ s t^2 t'' & s t & \dots \\ s t t''^2 & s t'' & 1 \end{pmatrix} v_T s v_d^0 \sim \begin{pmatrix} \lambda^4 & \dots & \dots \\ \lambda^4 & \lambda^2 & \dots \\ \lambda^4 & \lambda^2 & 1 \end{pmatrix} v_T \lambda v_d^0$$

$$m_e = \begin{pmatrix} s t^3 + s t''^3 & s t^2 t'' & s t t''^2 \\ \dots & s t & s t'' \\ \dots & \dots & 1 \end{pmatrix} v_T s v_d^0 \sim \begin{pmatrix} \lambda^4 & \lambda^4 & \lambda^4 \\ \dots & \lambda^2 & \lambda^2 \\ \dots & \dots & 1 \end{pmatrix} v_T \lambda v_d^0$$

with

A4 breaking

$U(1)_{FN}$ breaking

$$\frac{\langle \varphi_T \rangle}{\Lambda} = (v_T, 0, 0) \quad , \quad \frac{\langle \varphi_S \rangle}{\Lambda} = (v_S, v_S, v_S) \quad , \quad \frac{\langle \xi \rangle}{\Lambda} = u \quad \frac{\langle \theta \rangle}{\Lambda} = t \quad , \quad \frac{\langle \theta'' \rangle}{\Lambda} = t''$$

$$s \sim t \sim t'' \sim \lambda \sim 0.22$$

$$v_T \sim \lambda^2 \sim m_b / m_t$$

$$v_S, u \sim \lambda^2$$



Finally:

By taking $s \sim t \sim t'' \sim \lambda \sim 0.22$ $v_T \sim \lambda^2 \sim m_b/m_t$ $v_{S, U} \sim \lambda^2$

a good description of all quark and lepton masses is obtained.
As for all U(1) models only $o(\lambda^p)$ predictions can be given
(modulo $o(1)$ coeff.s)

TB mixing for neutrinos is reproduced in first approximation

Quark hierarchies force corrections to TB mixing to be $o(\lambda^2)$
(in particular we predict $\theta_{13} \sim o(\lambda^2)$, accessible at T2K).

A moderate fine tuning is needed to fix λ_C and r
(nominally of $o(\lambda^2)$ and 1 respectively)

Normal or inverse hierarchy are possible, degenerate v 's

⊕ are excluded

Conclusion

- Majorana ν 's, the see-saw mechanism and $M \sim M_{\text{GUT}}$ explain the data (we expect L non cons. in GUT's)
 - needs confirmation from $0\nu\beta\beta$ decay
 - ν 's support GUT's, baryo- via lepto-genesis
- Different models can accommodate the data on ν mixing
 - e. g. TB mixing accidental or a hint?

Anarchy

Lopsided models

$U(1)_{\text{FN}}$,

.....

discrete groups

Value of θ_{13} important
for deciding

no supporting
evidence from
quarks

- Exp.: θ_{13} , sign Δm^2_{23} , CP phase δ , absolute m^2 scale....

Do we need more than 3 light neutrinos or CPT violation?????

