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# On climbing scalars in String Theory

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## Outline

- A climbing scalar in D dimensions
- Connection to String Theory.
- Moduli stabilization, climbing and trapping.
- Climbing and inflation ?
- Conclusions

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List of (some) earlier relevant references :

• Exact solutions, attractors :

Abbott, Wise (1984); Lucchin, Mataresse (1985) ; Halliwell (1987); Dudas, Mourad (2000); Townsend, Wohlfarth; Emparan, Garriga; Russo (2003-2004); Bergshoeff,Collinucci, M. Nielsen et al, (2003-2004)

Tachyon-free orientifold constructions (Brane SUSY breaking):
Sugimoto (1999); Antoniadis, E.D., Sagnotti + Ange-

lantonj,d'Appollonio,Mourad; Aldazabal,Uranga (1999)

#### 1. A climbing scalar in D dimensions.

We study low-energy effective actions of the type

$$S = \frac{1}{2\kappa^2} \int d^D x \sqrt{-g} \left[ R - \frac{1}{2} (\partial \phi)^2 - V(\phi) + \ldots \right],$$

One study cosmological solutions with the ansatz

$$ds^{2} = -e^{2B(t)} dt^{2} + e^{2A(t)} d\mathbf{x} \cdot d\mathbf{x} \qquad \phi = \phi(t).$$

A very convenient gauge choice is

$$V e^{2B} = M^2.$$

M is the mass scale of potential V, allows to find exact solutions of the field equations in a "parametric" time

t, related to the cosmological time  $\eta$  by  $d\eta = e^B dt$ . Let

$$\beta = \sqrt{\frac{D-1}{D-2}}, \quad \tau = M\beta t, \quad \varphi = \frac{\beta\phi}{\sqrt{2}}, \quad a = (D-1)A.$$

Denote all au derivatives by "dots".

The independent eqs take the convenient form

$$\dot{a}^2 - \dot{\varphi}^2 = 1$$
  
$$\ddot{\varphi} + \dot{a} \, \dot{\varphi} + \left(1 + \dot{\varphi}^2\right) \, \frac{1}{2V} \, \frac{\partial V}{\partial \varphi} = 0 \, .$$

For an expanding phase (  $\dot{a} > 0$ ) and exponential potentials  $V = M^2 e^{2\gamma\varphi}$  field eqs. combine to

$$\ddot{\varphi} + \dot{\varphi}\sqrt{1 + \dot{\varphi}^2} - \gamma \left(1 + \dot{\varphi}^2\right) = 0$$
.

## Claim :

- For  $\gamma < 1$ , the scalar  $\varphi$  can descend or climb the potential after the big-bang .
- For  $\gamma \geq 1$ , the scalar  $\varphi$  is forced to climb immediately after the big-bang .

We begin by considering the "critical" case,  $\gamma$  = 1 ; one can solve simply field eqs. by letting

$$\dot{a} = \cosh f$$
,  $\dot{\varphi} = \sinh f$ .

One gets

$$\dot{f} + e^f = 0 \ .$$

The general solution is

$$\dot{\varphi} = \frac{1}{2(\tau - \tau_0)} - \frac{1}{2}(\tau - \tau_0),$$
  
$$\dot{a} = \frac{1}{2(\tau - \tau_0)} + \frac{1}{2}(\tau - \tau_0).$$

The complete solution  $(\tau_0 = 0)$  is

$$ds^{2} = e^{\frac{2a_{0}}{D-1}} |\tau|^{\frac{1}{D-1}} e^{\frac{\tau^{2}}{2(D-1)}} d\mathbf{x} \cdot d\mathbf{x} - e^{-2\varphi_{0}} |\tau|^{-1} e^{\frac{\tau^{2}}{2}} \left(\frac{d\tau}{M\beta}\right)^{2},$$
$$e^{\varphi} = e^{\varphi_{0}} |\tau|^{\frac{1}{2}} e^{-\frac{\tau^{2}}{4}}.$$

(E.D. and J. Mourad, 2000).

Upshot:  $\tau_0$  defines the Big Bang, other integration constants fix  $\varphi$  and a at a later reference time.

- $\rightarrow \varphi$  can only emerge from the Big Bang climbing up the potential !
- The motion will revert at a time  $\tau^*$  ( $\tau^* \tau_0 = 1$ ), then  $\varphi$  will begin to slide down the potential.
- However for small  $\gamma$ , the dilaton should be able to also emerge from the Big Bang by going down (almost flat potential).

Indeed for  $\gamma < 1$  the system does admit both kinds of solutions.

The first describes again a climbing scalar

$$\dot{\varphi} = \frac{1}{2} \left[ \sqrt{\frac{1-\gamma}{1+\gamma}} \coth\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \tanh\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]$$
$$\dot{a} = \frac{1}{2} \left[ \sqrt{\frac{1-\gamma}{1+\gamma}} \coth\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) + \sqrt{\frac{1+\gamma}{1-\gamma}} \tanh\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]$$

This motion reverts at a time  $\tau_{\star}$  such that

$$\tanh\left(\frac{\tau_{\star}}{2}\sqrt{1-\gamma^2}\right) = \sqrt{\frac{1-\gamma}{1+\gamma}} \; .$$

In contrast with the "critical" case  $\gamma = 1$  now the dilaton approaches, again in the "parametric" time  $\tau$ , the limiting speed

$$\dot{\varphi}_{\lim} = -\frac{\gamma}{\sqrt{1-\gamma^2}}$$
.

This limiting speed diverges when  $\gamma$  approaches one, the critical value. The second type of solution, that becomes singular and thus disappears altogether in the critical case, for  $\gamma < 1$  reads

$$\dot{\varphi} = \frac{1}{2} \left[ \sqrt{\frac{1-\gamma}{1+\gamma}} \tanh\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) - \sqrt{\frac{1+\gamma}{1-\gamma}} \coth\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]$$
$$\dot{a} = \frac{1}{2} \left[ \sqrt{\frac{1-\gamma}{1+\gamma}} \tanh\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) + \sqrt{\frac{1+\gamma}{1-\gamma}} \, \coth\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]$$

The dilaton now emerges from the Big Bang while going down the potential, at a speed larger than the limiting value, but decreases as a result of cosmological friction.

#### Mechanical analogy

- non-relativistic particle moving in a viscous medium under a constant force f.

$$m \dot{v} + b v = f$$

The solution is

$$v(t) = (v_0 - v_l) e^{-bt/m} + v_l$$
,

where  $\lim_{t\to\infty} v(t) = v_l = f/b$ . There are two branches of initial con

There are two branches of initial conditions :

 $v_0 > v_l$  and  $v_0 < v_l$ .

The string coupling is

$$e^{\phi} = \left[ sh\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]^{\frac{\beta\sqrt{2}}{1+\gamma}} \left[ ch\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]^{-\frac{\beta\sqrt{2}}{1-\gamma}}$$

for the climbing scalar and

$$e^{\phi} = \left[ ch\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right]^{\frac{\beta\sqrt{2}}{1+\gamma}} \left| sh\left(\frac{\tau}{2}\sqrt{1-\gamma^2}\right) \right|^{-\frac{\beta\sqrt{2}}{1-\gamma}}$$

for the descending scalar.

• The climbing solutions are perturbative near the bigbang,  $g_s = e^{\Phi} \rightarrow 0$  for  $\tau \rightarrow 0$ .

• Large- $\tau$  behaviors of these solutions correspond to the attractor solution (Lucchin, Matarrese), which shows that for  $\gamma < 1/\sqrt{D-1}$  we get power-like inflation.

• At the "critical" value  $\gamma = 1$  the attractor disappears.

In the supercritical regime  $\gamma > 1$  the solutions are

$$\dot{\varphi} = \frac{1}{2} \left[ \sqrt{\frac{\gamma - 1}{\gamma + 1}} \operatorname{cot}\left(\frac{\tau}{2}\sqrt{\gamma^2 - 1}\right) - \sqrt{\frac{\gamma + 1}{\gamma - 1}} \operatorname{tan}\left(\frac{\tau}{2}\sqrt{\gamma^2 - 1}\right) \right]$$
$$\dot{a} = \frac{1}{2} \left[ \sqrt{\frac{\gamma - 1}{\gamma + 1}} \operatorname{cot}\left(\frac{\tau}{2}\sqrt{\gamma^2 - 1}\right) + \sqrt{\frac{\gamma + 1}{\gamma - 1}} \operatorname{tan}\left(\frac{\tau}{2}\sqrt{\gamma^2 - 1}\right) \right]$$

where  $\tau \in (0, \frac{\pi}{\sqrt{\gamma^2 - 1}})$ . At the same time, the descending solution ceases to exist. Therefore, for  $\gamma \ge 1$ , close to the Big Bang singularity at  $\tau = 0$  the dilaton is forced to climb up the potential.

The turning point  $\tau^*$  is determined by the equation

$$\tan\left(\frac{\tau^{\star}}{2}\sqrt{\gamma^2-1}\right) = \sqrt{\frac{\gamma-1}{\gamma+1}} ,$$

then the scalar goes down the potential, approaching asymptotically an infinite speed in  $\tau$ . The string coupling for  $\gamma > 1$  is

$$e^{\phi} = \left[\sin\left(\frac{\tau}{2}\sqrt{\gamma^2 - 1}\right)\right]^{\frac{\beta\sqrt{2}}{1+\gamma}} \left[\cos\left(\frac{\tau}{2}\sqrt{\gamma^2 - 1}\right)\right]^{\frac{\beta\sqrt{2}}{\gamma-1}}$$

#### 2. Connection to **String Theory**.

The climbing appears in various contexts :

- "Brane supersymmetry breaking" (Antoniadis, E.D., Sagnotti, 99) in the 10d model (Sugimoto, 99):
- gravitational (closed-string) sector is SUSY
- charged (open string) spectrum is non-SUSY (nonlinear SUSY) (E.D., J. Mourad, 2000).

The scalar potential is induced at open string tree-level (disc). The 10d model corresponds precisely to the "critical" case  $\gamma = 1$ .

• KKLT moduli stabilisation : critical behavior in 4d.

#### 3. Moduli stabilization, climbing and trapping.

Moduli stabilization: recent progress from string compactifications with fluxes.

Classical toy model : KKLT. Anticipate main results :

 the KKLT system has also the critical behavior with climbing scalar: instrumental for moduli trapping.
Starting point: 4d effective action described by

$$W = W_0 + a e^{-bT}$$
,  $K = -3 \ln(T + \bar{T})$ .

They determine the scalar potential

$$V_F = e^K \left[ |D_T W|^2 - 3|W|^2 \right] = \frac{b}{(T+\bar{T})^2} \left\{ 2Re(a\bar{W}_0 e^{-bT}) + \frac{|a|^2}{3} \left[ 6 + b(T+\bar{T}) \right] e^{-b(T+\bar{T})} \right\}$$

The KKLT potentials contain an "uplift" term,

$$V = V_F + \frac{c}{(T + \bar{T})^n}$$
,

Its (logarithmic) slope corresponds precisely to the critical value for a climbing behavior in four dimensions for n = 3. This comes from an *F*-term uplift of the vacuum energy. In the asymptotic region  $Re \ T \to \infty$ , the potential is dominated by the uplift.



The complex field T defines the dilaton  $\Phi_T$  - axion  $\theta$  KKLT system

$$T = e^{\frac{\Phi_T}{\sqrt{3}}} + i \frac{\theta}{\sqrt{3}} ,$$

where  $\Phi_T$  is a canonically-normalized field.

The effective action is

$$S = \frac{1}{2k_4^2} \int d^4x \sqrt{g} \left[ R - \frac{1}{2} (\partial \Phi_T)^2 - \frac{1}{2} e^{-\frac{2}{\sqrt{3}} \Phi_T} (\partial \theta)^2 - V(\Phi_T, \theta) \right]$$

Perform the field redefinitions

$$\Phi_T = \frac{2}{\sqrt{3}} x , \quad \theta = \frac{2}{\sqrt{3}} y , \quad \tau = M \sqrt{\frac{3}{2}} t .$$

The scalar potential becomes

$$V = \frac{c}{8} e^{-2x} + \frac{b}{2} e^{-\frac{4x}{3} - b e^{\frac{2x}{3}}} \left[ Re(a\overline{W_0} e^{-\frac{2iby}{3}}) + \frac{|a|^2}{3} \left(3 + b e^{\frac{2x}{3}}\right) e^{-b e^{\frac{2x}{3}}} \right].$$

The uplift term corresponds precisely to the critical value  $\gamma = 1$ .

Further field redefinition ( $\mu = 4/3$  for KKLT) :

$$\frac{dx}{d\tau} = rw$$
,  $e^{-\mu x} \frac{dy}{d\tau} = r\sqrt{1-w^2}$ ,

with  $w \in [-1, 1]$ . By keeping only the uplift in the scalar potential, one finally gets the field eqs.

$$\frac{dr}{d\tau} + r\sqrt{1+r^2} - \gamma \left(1+r^2\right)w = 0,$$
  
$$\frac{dw}{d\tau} + (1-w^2) \left(\frac{\mu}{2}r - \frac{\gamma}{r}\right) = 0.$$

The first eq. is strikingly similar to the one-field case but now the parameter  $\gamma$  is now the dynamical quantity

$$\gamma_e(u) = \gamma w$$

that can take up any value in the interval  $(-\gamma, \gamma)$ .

In addition to the LM attractor

$$r_0 = \pm \frac{\gamma}{1 - \gamma^2}$$
,  $w_0 = \pm 1$ ,

there are other attractors. Interesting one:

$$r_0 = \sqrt{\frac{2\gamma}{\mu}}, w_0 = \frac{1}{\sqrt{\gamma \left(\gamma + \frac{\mu}{2}\right)}},$$

which exists provided

$$\gamma \geq \gamma_0 = \sqrt{1 + \frac{\mu^2}{16}} - \frac{\mu}{4} ,$$

 $\gamma_0 = 0.7207592197$  for  $\mu = 4/3$ . Hence, this attractor is available in the KKLT system, where

$$r_0 = \sqrt{\frac{3}{2}} , \ w_0 = \sqrt{\frac{3}{5}} .$$

Modulus  $\Phi_T$  is trapped in the minimum even if it starts on the runaway tail close to big-bang.



Trapped solution in a KKLT potential, due to climbing.

#### 4. Climbing and inflation ?

Accelerating universe in our gauge is obtained if

$$\mathcal{I} = \frac{d^2 A}{dt^2} + \frac{dA}{dt} \left( \frac{dA}{dt} - \frac{dB}{dt} \right) > 0$$

One-field case: using field eqs. we find

$$\mathcal{I} = \left(\frac{M\beta}{D-1}\right)^2 \left[1 - (D-2)\dot{\varphi}^2\right]$$

so the "slow-roll" condition is

$$\dot{arphi}^2 < rac{1}{D-2} \; .$$

KKLT case: manipulating field eqs. we find

$$\mathcal{I} = \frac{1}{3} \left[ 1 - 6 \dot{A}^2 \right]$$

Inflation asks then for

$$\dot{A} < rac{1}{\sqrt{6}}$$
 .

The corresponding bound for the speed

$$r = \sqrt{\dot{x}^2 + e^{-\mu x} \dot{y}^2} < \sqrt{\frac{1}{2}}$$

lies below the values accessible to the exact KKLT attractor, since for the actual KKLT system, with  $\gamma=1$  and  $\mu=\frac{4}{3},~r_0=\sqrt{\frac{3}{2}}$ .

Slow-roll inflation only occurs for  $\mu > 4$ .

The simplest realistic possibility is to have two exponential potentials

$$V = \alpha_1 e^{2\gamma_1 \varphi} + \alpha_2 e^{2\gamma_2 \varphi} ,$$

with  $\gamma_1 \ge 1$  (climbing) and  $\gamma_2 < 1/\sqrt{D-1}$  (slow-roll). This is realized in the 10d Sugimoto model, which has a stable non-BPS D3 brane (E.D.,J. Mourad,99). The effective action contains the D3 tension term

$$S_{D3} = -\alpha_2 \int d^4x \sqrt{-g} \ e^{-\phi} \rightarrow -\alpha_2 \int d^4x \sqrt{-g}$$
 (Einstein)  
where  $\alpha_2 = \sqrt{2}T_3$  is the tension of the non-BPS D3-  
brane. We compactify the theory to 4d while keeping

track only of the overall breathing mode

$$g_{IJ}^{(10)} = e^{\sigma} \delta_{IJ} , \quad g_{\mu\nu}^{(10)} = e^{-3\sigma} g_{\mu\nu}^{(4)}$$

Defining the two real fields

$$s = e^{3\sigma}e^{\frac{\phi}{2}} = e^{\phi_S}$$
,  $t = e^{\sigma}e^{-\frac{\phi}{2}} = e^{\frac{1}{\sqrt{3}}} \Phi_T$ ,

the resulting scalar potential takes the form

$$V = \alpha_1 \ e^{-\sqrt{3}\Phi_T} + \ \alpha_2 \ e^{-\frac{3\phi_s}{2} - \frac{\sqrt{3}\Phi_T}{2}}$$

Assume S is stabilized by fluxes. First term (D9 tadpole) gives the critical exponent  $\gamma_1 = 1$ . Second term (D3 brane) has  $\gamma_2 = 1/2$ : it is a slow-roll potential.

## Conclusions

- Climbing near big-bang with critical exponent seems generic in string theory.
- The phenomenon plays probably an important role in KKLT moduli trapping .
- Rich set of attractor solutions in KKLT model, combined with climbing.
- Climbing can be followed by inflation.
- Central question: are there possible imprints leftover in the sky in the spectrum of cosmological perturbations ?