

# Non-perturbative RG to lattice models

Nicolas Dupuis

Laboratoire de Physique Théorique de la Matière Condensée  
Université Pierre et Marie Curie, CNRS, Paris

Refs: N. Dupuis & K. Sengupta, EPJB 66, 271 (2008)  
T. Machado & N. Dupuis, arXiv:1004.3651

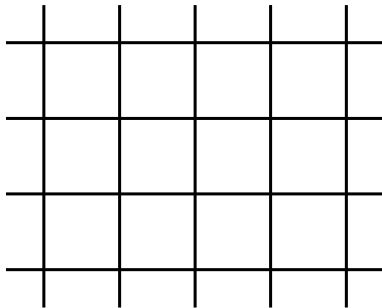
# Outline

- Why does the lattice matter?
- “Standard” NPRG scheme vs “lattice” NPRG scheme  
(kinetic energy  $\gg$  interaction vs kinetic energy  $\ll$  interaction)
- Ising model and classical spin models in the LPA
- Beyond the LPA
- Mott transition in the Bose-Hubbard model
- Conclusion

## Why should we care about the lattice?

- Lattice does not matter for the long-distance (critical) properties
- But **non-universal quantities** ( $T_c$ , magnetization, spectral functions, etc.) depend on short-distance fluctuations and therefore on the lattice.
- Lattice gives rise to **new physics**

Ex.: Localization (Mott) transition of particles hopping on a lattice



$$H = -t \sum_{\langle i,j \rangle} \psi_i^\dagger \psi_j + \frac{U}{2} \sum_i \psi_i^\dagger \psi_i^\dagger \psi_i \psi_i$$

(Hubbard model)

$t$ : hopping amplitude

$U$ : local (on-site) repulsion

# Lattice field theory

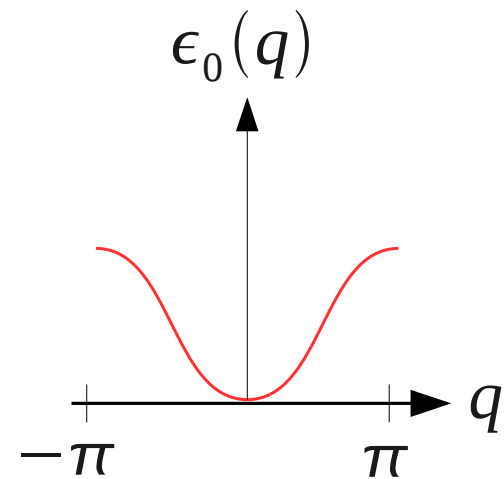
(d-dimensional hypercubic lattice)

- Action:

$$S[\varphi] = \frac{1}{2} \sum_{\mathbf{q}} \varphi_{-\mathbf{q}} \epsilon_0(\mathbf{q}) \varphi_{\mathbf{q}} + \sum_r U_0(\varphi_r)$$

$$\epsilon_0(\mathbf{q}) = 2\epsilon_0 \sum_{\nu=1}^d (1 - \cos q_\nu)$$

$$\mathbf{q} \in [-\pi, \pi]^d$$



- Regulator:  $\Delta S_k[\varphi] = \frac{1}{2} \sum_{\mathbf{q}} \varphi_{-\mathbf{q}} R_k(\mathbf{q}) \varphi_{\mathbf{q}}$

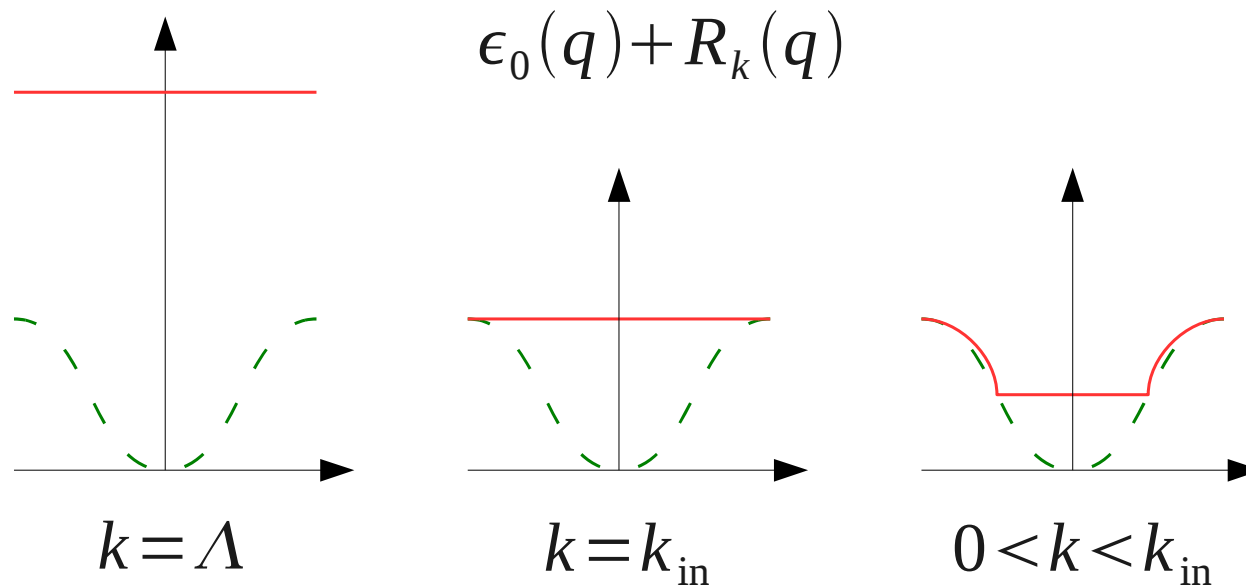
- Average effective action:  $\Gamma_k[\phi] = -\ln Z_k[h] + \sum_r h_r \phi_r - \Delta S_k[\phi]$   
(+ exact flow equation)

# Standard NPRG scheme for a lattice field theory

N. Dupuis & K. Sengupta, EPJB 66, 271 (2008)

- **Litim's regulator:**  $R_k(\mathbf{q}) = (\epsilon_k - \epsilon_0(\mathbf{q}))\theta(\epsilon_k - \epsilon_0(\mathbf{q}))$  with  $\epsilon_k = \epsilon_0 k^2$
- **Effective dispersion:** 
$$\begin{aligned} \epsilon_0(\mathbf{q}) + R_k(\mathbf{q}) &= \epsilon_k & \text{if } \epsilon_0(\mathbf{q}) < \epsilon_k \\ &= \epsilon_0(\mathbf{q}) & \text{if } \epsilon_0(\mathbf{q}) > \epsilon_k \end{aligned}$$
- **Initial condition:** if  $\epsilon_\Lambda \gg$  all characteristic energy scales, then all fluctuations are frozen and mean-field theory becomes exact:

$$\Gamma_\Lambda[\phi] = S[\phi]$$



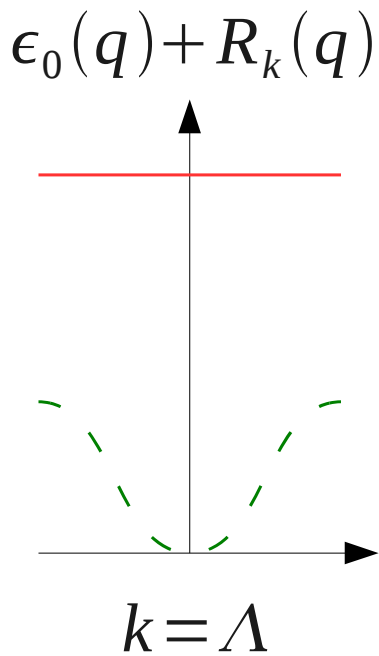
- The renormalization from  $k = \Lambda$  to  $k = k_{\text{in}}$  is a purely local (single-site) problem since all modes are dispersionless:  $\epsilon_0(q) + R_k(q) = \epsilon_k$
- **Lattice NPRG:** starts flow from  $k_{\text{in}}$ . The initial condition is obtained by solving a single-site problem [T. Machado & ND, arXiv:1004.3651].
- $k \rightarrow 0$  limit similar to standard NPRG (mass  $\sim k^2$  for critical modes).
- In **quantum models**: non-trivial local limit due to quantum (imaginary time) on-site fluctuations.

## Ising model

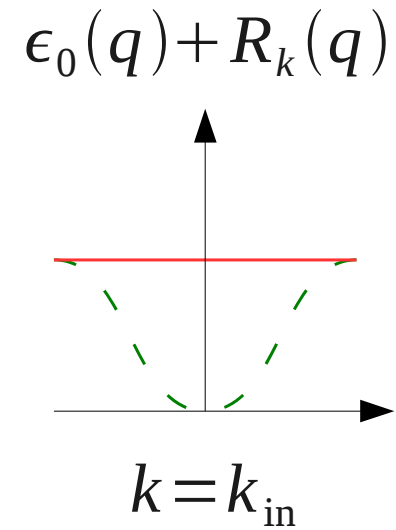
$$H = -\frac{J}{T} \sum_{\langle i,j \rangle} S_i S_j - \frac{\mu}{T} \sum_i S_i^2 \equiv -\frac{1}{2} \sum_{\langle i,j \rangle} S_i A_{i,j}^{(\mu)} S_j \quad (S_i^2 = 1)$$

For  $\mu > Jd$ ,  $A^{(\mu)}$  is a positive matrix:

$$\begin{aligned} Z &\propto \sum_{\{S_i = \pm 1\}} \int_{-\infty}^{\infty} \prod_i d\varphi_i \exp \left\{ -\frac{1}{2} \sum_{i,j} \varphi_i A_{i,j}^{(\mu)-1} \varphi_j + \sum_i \varphi_i S_i \right\} \\ &\propto \int_{-\infty}^{\infty} \prod_i d\varphi_i \exp \left\{ -\frac{1}{2} \sum_{i,j} \varphi_i A_{i,j}^{(\mu)-1} \varphi_j + \sum_i \ln \cosh \varphi_i \right\} \\ &\equiv \int_{-\infty}^{\infty} \prod_i d\varphi_i \exp \left\{ -\frac{1}{2} \sum_{\mathbf{q}} \varphi_{-\mathbf{q}} \epsilon_0(\mathbf{q}) \varphi_{\mathbf{q}} + \sum_i U_0(\varphi_i) \right\} \end{aligned}$$



Standard scheme:  
starts from mean-field theory



Lattice scheme:  
starts from decoupled sites

$$Z_{k_{in}} = \prod_i z_{k_{in}}(h_i)$$

$$z_{k_{in}}(h) = \int_{-\infty}^{\infty} d\varphi e^{-\frac{1}{2}\epsilon_{k_{in}}\varphi^2 - U_0(\varphi) + h\varphi}$$



## Local potential approximation

$$\Gamma_k[\phi] = \sum_i U_k(\rho_i) + \frac{1}{2} \sum_q \phi_{-q} \epsilon_0(\mathbf{q}) \phi_q \quad \rho_i = \phi_i^2 / 2$$

$$\partial_k U_k(\rho) = \frac{1}{2} \int_q \frac{\partial_k R_k(\mathbf{q})}{\epsilon_0(\mathbf{q}) + R_k(\mathbf{q}) + U_k'(\rho) + 2\rho U_k''(\rho)}$$

Both schemes give  $T_c^{\text{LPA}} = 0.747 T_c^{\text{MF}}$  (3D) independent of  $\mu$

To be compared with the exact (Monte Carlo) result:  $T_c^{\text{exact}} = 0.752 T_c^{\text{MF}}$

# Lattice NPRG approach classical spin models

(without field theory)

$$\begin{aligned}
 Z_k[h] &= \sum_{\{S_i = \pm 1\}} \exp \left\{ \frac{J}{T} \sum_{\langle i, j \rangle} S_i S_j - \frac{1}{2} \sum_{i, j} S_i R_k(i, j) S_j + \sum_i h_i S_i \right\} \\
 &= \sum_{\{S_i = \pm 1\}} \exp \left\{ -\frac{1}{2} \sum_{\mathbf{q}} S_{-\mathbf{q}} [\epsilon_0(\mathbf{q}) + R_k(\mathbf{q})] S(\mathbf{q}) + \sum_{\mathbf{q}} h_{-\mathbf{q}} S_{\mathbf{q}} \right\}
 \end{aligned}$$

$$\text{with } \epsilon_0(\mathbf{q}) = 2 \frac{J}{T} \sum_{\nu=1}^d (1 - \cos q_{\nu})$$

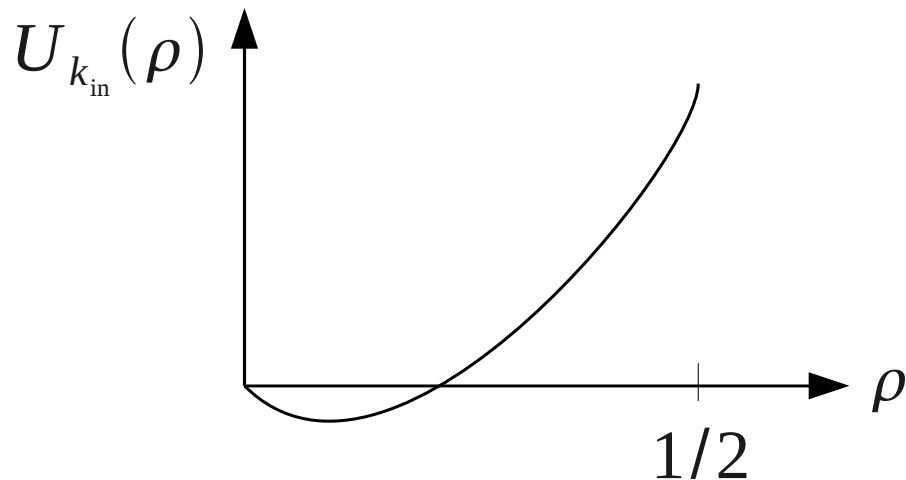
$$\text{Magnetization: } m_i = \langle S_i \rangle = \frac{\partial}{\partial h_i} \ln Z_k[h], \quad m_i \in [-1, 1]$$

$$\text{Average effective action: } \Gamma_k[m] = -\ln Z_k[h] + \sum_i h_i m_i - \Delta H_k[m]$$

- Standard NPRG scheme cannot be used because of the constraint  $S_i^2 = 1$
- Lattice NPRG scheme:

$$Z_{k_{\text{in}}}[h] = \prod_i \sum_{S_i = \pm 1} e^{h_i S_i} = \prod_i 2 \cosh(h_i)$$

$$U_{k_{\text{in}}}(\rho) = \frac{1}{2} \ln(1 - 2\rho) + \sqrt{2\rho} \operatorname{atanh} \sqrt{2\rho} - 4d \frac{J}{T} \rho, \quad \rho = \frac{m^2}{2} \in [0, 1/2]$$

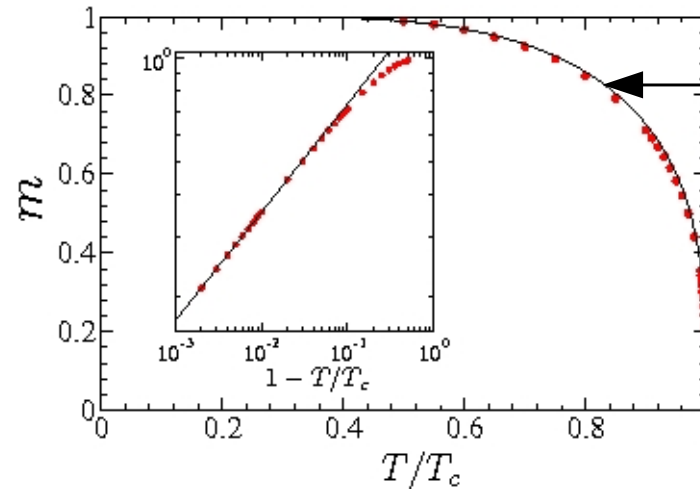
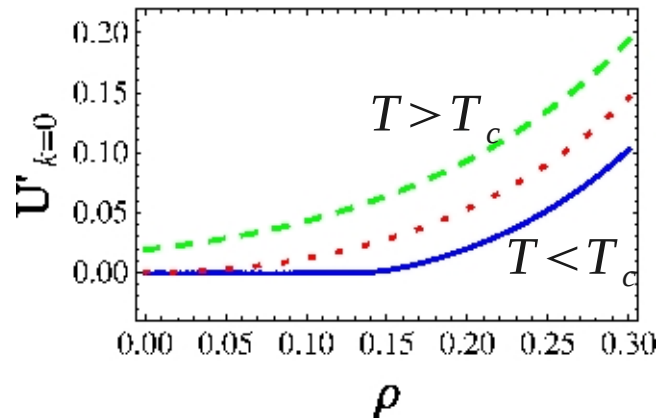


$$U_{k_{\text{in}}}(1/2) = \ln 2 - 2 \frac{J}{T} d$$

$$U'_{k_{\text{in}}}(1/2) = \infty$$

# Local potential approximation

$$\Gamma_k[m] = \sum_i U_k(\rho_i) + \frac{1}{2} \sum_q m_{-q} \epsilon_0(\mathbf{q}) m_q$$



Essam-Fisher  
approximant

$T_c$  for 3D spin models:

	$T_c^{\text{MF}}$	$T_c^{\text{exact}}$	$T_c^{\text{NPRG}}$
Ising 3D	6	4.51	4.48
XY 3D	3	2.20	2.18
Heisenberg 3D	2	1.44	1.42

Same critical exponents as in the usual LPA approximation  
 $\eta = 0$  and  $\nu = 2\beta = \gamma/2 \simeq 0.64 - 0.65$

## Beyond the LPA

- Renormalization of the spectrum

$$\Gamma_k[m] = \sum_i U_k(\rho_i) + \frac{1}{2} \sum_{\mathbf{q}} m_{-\mathbf{q}} m_{\mathbf{q}} [A_k \epsilon_0(\mathbf{q}) + \text{higher harmonics}]$$

- LPA'

$$\Gamma_k[m] = \sum_i U_k(\rho_i) + \frac{1}{2} \sum_{\mathbf{q}} m_{-\mathbf{q}} m_{\mathbf{q}} Z_k \epsilon_0(\mathbf{q})$$

$$\text{with } Z_k = \lim_{q \rightarrow 0} \frac{\partial}{\partial \mathbf{q}^2} \Gamma_k^{(2)}(\mathbf{q}; \rho_{0,k})$$

[T. Machado & ND, arXiv:1004.3651]

- BMW?

## 2D spin models

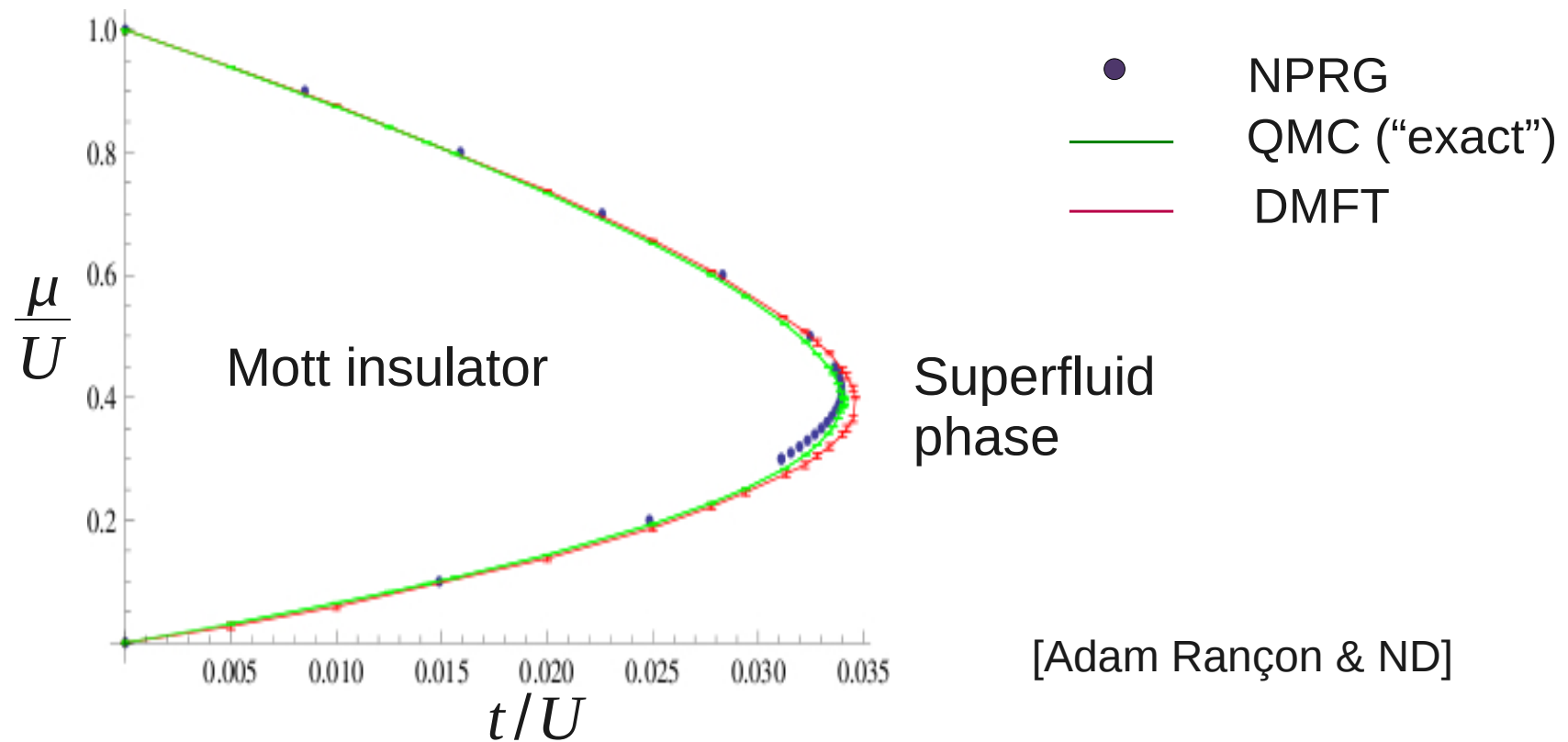
- 2D Ising model:  $T_c^{\text{LPA}} \simeq 0.48 T_c^{\text{MF}}$   
 $T_c^{\text{exact}} \simeq 0.567 T_c^{\text{MF}}$
- 2D XY model (BKT transition):  $T_c^{\text{LPA}'} \simeq 0.9 - 1 J$   
 $T_c^{\text{exact}} \simeq 0.89 J$

(T. Machado & ND, arXiv:1004.3651)

# Bose-Hubbard model

(interacting bosons on a lattice)

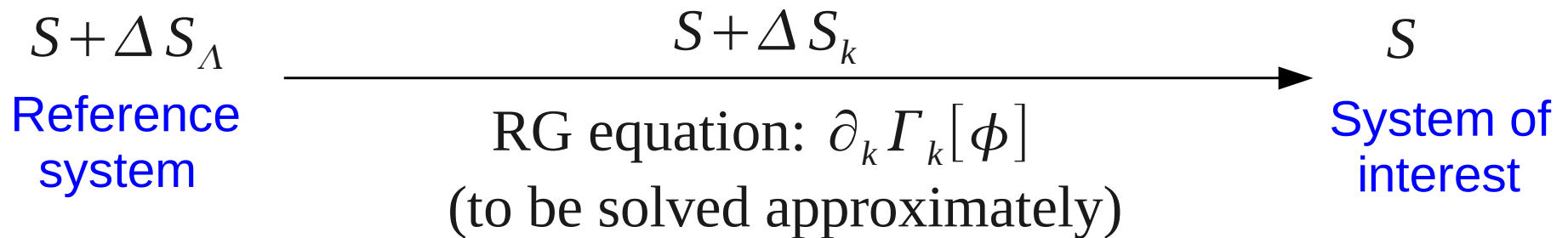
$$H = -t \sum_{\langle i,j \rangle} \psi_i^\dagger \psi_j + \frac{U}{2} \sum_i \psi_i^\dagger \psi_i^\dagger \psi_i \psi_i - \mu \sum_i \psi_i^\dagger \psi_i$$



See talk on Saturday at 5.20 pm (parallel session II) by Adam Rançon

# Summary

How to solve a lattice model with the NPRG?



Reference system must be solved exactly

- **Freeze all fluctuations:** mean-field theory is exact (“standard” scheme)
- **Decouple the sites:** solve a single-site problem (“lattice” scheme)



# Conclusion

## The lattice NPRG

- is **a new implementation of the NPRG** to lattice models
- captures **both local and critical fluctuations** in a non-trivial way (equivalent to standard NPRG for critical properties)
- **3D classical spin models in the LPA**: non-universal quantities ( $T_c$ , magnetization) within 1 percent.
- **Superfluid-Mott transition in the Bose-Hubbard model** (see Adam Rançon's talk on Saturday at 5.20 pm)
- The idea to include short-range fluctuations in the initial condition of the RG is not new: see the **Hierarchical Reference Theory of fluids** [Parola & Reatto, PRL'1984, Adv. in Phys.'1995, Ionescu et al., PRE'2007].