Homage to Giannis Bakas: The Formative Years

A.Vladikas INFN - TOR VERGATA National Technical University Athens 28th March 2018





Xmas Theoretical Physics Workshop @ University of Athens 20-21/12/2016 https://sites.google.com/site/xmasathens2016/programv2

Crete String meeting 9-16/07/2017 (Kolymbari)

http://hep.physics.uoc.gr/mideast9/Bakas-memories-Vladikas.pdf

- Arrived at Imperial College Theory Group in 1982-83 as a postgrad
- The group was basking in the sun of fame:
 - Abdus Salam (Nobel in 1979) then in Trieste, visited the group about twice a year
 - Tom Kibble was head; Iain Halliday, Chris Isham, Hugh Jones, David Olive, Ray Rivers, are senior staff; Michael Duff was the new arrival, and Kelly Stelle was about to arrive....
- Students in the period 1981-1985 who stayed in Physics (apologies to many I forget):
 - Frank Gomez (Brazil), Mark Hindmarsh (Sussex), Desmond Johnson (Herriot Watt), Tony Kakas (Cyprus), Martin Lavelle (Plymouth), David McMullan (Plymouth), Kostas Panagiotakopoulos (Salonica), Regina Ricotta (Brazil), Neil Turok (Perimeter)...
- Postdocs: Ian Jack, Peter Orland, Chris Pope, Graham Shore...
- The group had many activities:
 - perturbative QCD; lattice field theory (scalar fields and triviality)
 - group theoretic aspects of field theory
 - unification (SUSY, SUGRA, later strings, cosmology...) and QUANTUM GRAVITY

(NB: strings arrived in 1984-85 through a M.Green seminar at King's)

- John knew from the start he wanted to work with Chris Isham on quantum gravity
- Isham accepted him as his PhD student after a very successful personal interview
- His first paper was with an older Isham student, Tony Kakas (1985):
 - I. Bakas and A.C.Kakas, "Quantization and Deformations: I. General Construction"
- ... followed by other papers with Isham's students McMullan, Kakas and working on his own.
- He built a reputation of being a young, very serious and reliable mathematical physicist, always well focused on his objectives
- The group had a lot of illustrious guests who interacted with students. John had always been singled out by them for his focused dedication (Iliopoulos, Kuchar, Jackiw,...)



Frankie Gomez & Regina Ricotta



The co-authors of the Bakas-Kakas paper





getting to grips with QED, QFT, symmetries, anomalies, and most importantly gravity beyond the classical level

it was clear from the start that the two flatmates, though living in complete harmony, had very different approaches to life and Physics, as shown in the next photo...







... a show of Greek temperament in a London back garden

but John's life had also many happy breaks, partying with the other students (not only of Imperial College)



theory group party at the theoretical physics library

Chris Pope Mirjam McMullan Annie Des Johnson & Frankie Gomez John Bakas Nigel Gent Susan Mokhtari





life in Putney (southwest London) :dinning with food that just passed its sell-by date from the delicatessen-shop owned by Tony Kakas' family!

Bakas gourmet proposal: Scottish salmon on toast, topped with feta cheese !!!!!

The Overlapping Divergence [i-e we we quadratic drong: This completes our discussion of the analytic continuation. We now wish to use the technique to examine another basic diagram in $\lambda \phi^4/4!$ theory. The diagram in Fig. 6 is the lowest order, non-trivial diagram.

78



contributing to the propagator. The symmetry factor for this diagram is $\frac{1}{6}$ and the integral to be examined is

$$I = \frac{J_i}{6} \frac{\lambda^2}{(2\pi)^8} \int \frac{dk \, dl}{[k^2 - m^2][l^2 - m^2][(p + l - k)^2 - m^2]}$$
(2.52)

Inspection of I shows that it is divergent. There are various regions of partes Tand k in which the integral diverges, e.g. I small, k large, k small and I large, these give rise to logarithmic divergences. But if we take both k quadratic and I large the integral diverges quadratically. Furthermore this isdiffers what is called an overlapping divergence because one cannot ascribe the divergence to any subdiagram of Fig. 6. Also there does not exist a Consial change of variables from l, k to l', k' for which the divergence only appears when one does only one of the loop integrations l' and k'. point Overlapping divergences are much more difficult to deal with than the simpler kind (i.e. just associated with one loop of a diagram). They have the property that if one uses the Feynman parameters of eq. 2.5. and the formula of eq. 2.10 to evaluate the integral the divergence. moves in part from the loop-momenta integrations to the integrations over the Feynman parameters. This usually makes the dimensional method very difficult to use and caution must be exercised in the face Diffi culties of multiloop calculations. Now as implied above not only does the overall diagram of Fig. 6 diverge but so do the various subdiagrams of which it is made up. We shall need therefore counter terms to eliminate the divergences in the subdiagrams as well as a counter term to eliminate the divergence due to the whole diagram. The subdiagrams are shown in Fig. 7(a)-(c). The subdiagrams of Fig. 7 can easily be checked to have logarithmic divergences. This reasoning leads one to believe that four counter terms are needed to eliminate these four

DIMENSIONAL REGULARIZATION AND
$$\lambda \phi^4/41$$
 THEORY

sources of divergence. This is indeed the case, and is the proper way to tackle the overlapping divergence. The origins of the four subtractions that must be made from I can be isolated very simply in the dimensional method. They are as follows

a subtraction due to a divergence exposed by integrating by parts with respect to k;

(b) a subtraction due to a divergence exposed by integrating by parts with respect to *l*;

C a subtraction due to a divergence exposed by changing variables from l to k - l and integrating by parts with respect to k;

(d) a subtraction due to a divergence exposed by using the identity

$$I = \frac{1}{2D} \sum_{i=1}^{D} \left(\frac{\partial k_i}{\partial k_i} + \frac{\partial l_i}{\partial l_i} \right)$$
(2.53)

79

in the integrand.

Taking the operation described in (d) first and using the method that we have just developed we find

$$I = \frac{1}{2D-6}I_{\epsilon},$$

$$I_{\epsilon} = +\frac{i\lambda^{2}}{6(2\pi)^{8}}\int dk \, dl \left[\frac{2m^{2}}{[k^{2}-m^{2}]^{2}[l^{2}-m^{2}][(p+l-k)^{2}-m^{2}]} + \frac{2m^{2}}{[k^{2}-m^{2}][l^{2}-m^{2}]^{2}[(p+l-k)^{2}-m^{2}]} + \frac{-2p^{2}+2m^{2}+2p\cdot(k-l)}{[k^{2}-m^{2}][l^{2}-m^{2}][(p+l-k)^{2}-m^{2}]^{2}}\right].$$
(2.54)

When D = 4, 2D - 6 = 2 verifying the presence of the quadratic divergence already mentioned. For the other three diagrams we introduce the notation of putting a cross in the subdiagram to denote the counter term. The counter terms of (a), (b) and (c) can then be

Annotated pages from John's xeroxed copy of Nash's book on QED

(N.B) The idea for the overlapping Ivergences is the following one: In dragzony like: - O-in order to deal with V.V. divergences we must put at least one of lock tending to interity .. El small large small / / logarithic large lugaridure quadrative we drog this region or (t studel) : No U.W. Schantour. . Regult : In diagrams like this we can have both legarithie or quadrate drieger These are the so called overlapping

Annotated pages from John's xeroxed copy of Nash's book on QED



- Once in the States, he quickly matured to the scientist we knew and appreciated
- Utah
- Texas
- Maryland
- CERN
- Greece (Patras, Athens)



- ~1992: I met him in Crete (a soldier on leave with girl friend!)
- ~1996: Returning to Greece (first Patras, then NTU Athens) was what his heart desired
- By 2016 he had reached full scientific maturity, as an esteemed researcher and senior Greek academic in a top Institute of his homeland, enjoying international prestige
- He was also rewarded by his wife's and daughter's love, affection, and admiration
- He had a lot to give to family and Physics. It is truly sad that the Gods decided otherwise. He will always be remembered fondly by all who knew him.



B_K in the SM and Beyond

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B_K in the SM

indirect CP-violation

$$\epsilon_K = \frac{\mathcal{A}[K_L \to (\pi\pi)_{I=0}]}{\mathcal{A}[K_S \to (\pi\pi)_{I=0}]} = [2.282(17) \times 10^{-3}] \exp(i\pi/4)$$

can also be expressed in terms of neutral K-oscillations: dominant EW process is FCNC (2-W exchange)



QCD effects consist in gluon and internal quark-loop exchanges (not shown here)

- $\Delta S = 2$ oscillations are governed by the transition amplitude of an effective Hamiltonian, obtained by successively integrating out W's and t- (b-) and c-quarks
- We are left with an OPE with a single, dim-6, 4-fermion, $L\otimes L$ operator, in a 3-quark approximation of QCD ($N_f = 3$)

$$Q^{\Delta S=2} = \left[\bar{s}\gamma_{\mu}(1-\gamma_{5})d\right]\left[\bar{s}\gamma_{\mu}(1-\gamma_{5})d\right] \equiv O_{\rm VV+AA} - O_{\rm VA+AV}$$

B_K **BSM**



- More particles on the box diagrams
- More operators in the OPE

 $O_{1} = [\bar{s}^{\alpha} \gamma_{\mu} (1 - \gamma_{5}) d^{\alpha}] [\bar{s}^{\beta} \gamma_{\mu} (1 - \gamma_{5}) d^{\beta}]$ $O_{2} = [\bar{s}^{\alpha} (1 - \gamma_{5}) d^{\alpha}] [\bar{s}^{\beta} (1 - \gamma_{5}) d^{\beta}]$ $O_{3} = [\bar{s}^{\alpha} (1 - \gamma_{5}) d^{\beta}] [\bar{s}^{\beta} (1 - \gamma_{5}) d^{\alpha}]$ $O_{4} = [\bar{s}^{\alpha} (1 - \gamma_{5}) d^{\alpha}] [\bar{s}^{\beta} (1 + \gamma_{5}) d^{\beta}]$ $O_{5} = [\bar{s}^{\alpha} (1 - \gamma_{5}) d^{\beta}] [\bar{s}^{\beta} (1 + \gamma_{5}) d^{\alpha}]$

NB: MIXING of (O₂, O₃) & (O₄, O₅)

 $\Delta S = 2$ transitions are governed by the transition amplitude of the effective Hamiltonian:

$$\langle \bar{K}^{0} | \mathcal{H}_{\text{eff}}^{\Delta S=2} | K^{0} \rangle = \frac{G_{F}^{2} M_{W}^{2}}{16\pi^{2}} \Big[\lambda_{c}^{2} S_{0}(x_{c}) \eta_{1} + \lambda_{t}^{2} S_{0}(x_{t}) \eta_{2} + 2\lambda_{c} \lambda_{t} S_{0}(x_{c}, x_{t}) \eta_{3} \Big]$$

$$\times \left(\frac{\bar{g}(\mu)^{2}}{4\pi} \right)^{-\gamma_{0}/(2\beta_{0})} \left\{ 1 + \frac{\bar{g}(\mu)^{2}}{(4\pi)^{2}} \left[\frac{\beta_{1} \gamma_{0} - \beta_{0} \gamma_{1}}{2\beta_{0}^{2}} \right] \right\} \left\langle \bar{K}^{0} | Q_{R}^{\Delta S=2}(\mu) | K^{0} \rangle + \text{h.c.}$$

$$x_{c,t} \equiv m_{c,t}^2 / M_W^2 \qquad \qquad \lambda_f = V_{fs}^* V_{fd} \qquad f = c, t$$

Inami-Lim functions:

 $S_0(x_{c,t}) \qquad S_0(x_c, x_t)$

$$\langle \bar{K}^{0} | \mathcal{H}_{\text{eff}}^{\Delta S=2} | K^{0} \rangle = \frac{G_{F}^{2} M_{W}^{2}}{16\pi^{2}} \Big[\lambda_{c}^{2} S_{0}(x_{c}) \eta_{1} + \lambda_{t}^{2} S_{0}(x_{t}) \eta_{2} + 2\lambda_{c} \lambda_{t} S_{0}(x_{c}, x_{t}) \eta_{3} \Big]$$

$$\times \left[\left(\frac{\bar{g}(\mu)^{2}}{4\pi} \right)^{-\gamma_{0}/(2\beta_{0})} \left\{ 1 + \frac{\bar{g}(\mu)^{2}}{(4\pi)^{2}} \left[\frac{\beta_{1} \gamma_{0} - \beta_{0} \gamma_{1}}{2\beta_{0}^{2}} \right] \right\} \right] \langle \bar{K}^{0} | Q_{R}^{\Delta S=2}(\mu) | K^{0} \rangle + \text{h.c.}$$

- Wilson coefficient
- Known to NLO in PT; afflicted by PT errors
- The higher the renormalisation scale μ , the more reliable PT (typically chose 2-4 GeV)

$$\langle \bar{K}^{0} | \mathcal{H}_{\text{eff}}^{\Delta S=2} | K^{0} \rangle = \frac{G_{F}^{2} M_{W}^{2}}{16\pi^{2}} \Big[\lambda_{c}^{2} S_{0}(x_{c}) \eta_{1} + \lambda_{t}^{2} S_{0}(x_{t}) \eta_{2} + 2\lambda_{c} \lambda_{t} S_{0}(x_{c}, x_{t}) \eta_{3} \Big] \\ \times \left(\frac{\bar{g}(\mu)^{2}}{4\pi} \right)^{-\gamma_{0}/(2\beta_{0})} \left\{ 1 + \frac{\bar{g}(\mu)^{2}}{(4\pi)^{2}} \left[\frac{\beta_{1} \gamma_{0} - \beta_{0} \gamma_{1}}{2\beta_{0}^{2}} \right] \right\} \left(\langle \bar{K}^{0} | Q_{R}^{\Delta S=2}(\mu) | K^{0} \rangle + \text{h.c.} \right)$$

- Matrix element is computed on the lattice through its B_{K} -parameter; afflicted by usual errors
- Habitually this is renormalised either:
 - in I-loop PT (lattice regularisation \rightarrow MS renormalisation)
 - NPly (lattice regularisation → MOM-subtraction renormalisation at 2-4 GeV → MS renormalisation with finite matching)
- Often normalised by a constant $\rightarrow \rightarrow \rightarrow$ "B-parameter"

$$B_{\rm K}(\mu) = \frac{\left\langle \bar{K}^0 \left| Q_{\rm R}^{\Delta S=2}(\mu) \right| K^0 \right\rangle}{\frac{8}{3} f_K^2 m_K^2}$$

$$\langle \bar{K}^{0} | \mathcal{H}_{\text{eff}}^{\Delta S=2} | K^{0} \rangle = \frac{G_{F}^{2} M_{W}^{2}}{16\pi^{2}} \Big[\lambda_{c}^{2} S_{0}(x_{c}) \eta_{1} + \lambda_{t}^{2} S_{0}(x_{t}) \eta_{2} + 2\lambda_{c} \lambda_{t} S_{0}(x_{c}, x_{t}) \eta_{3} \Big] \\ \times \left(\frac{\bar{g}(\mu)^{2}}{4\pi} \right)^{-\gamma_{0}/(2\beta_{0})} \left\{ 1 + \frac{\bar{g}(\mu)^{2}}{(4\pi)^{2}} \left[\frac{\beta_{1} \gamma_{0} - \beta_{0} \gamma_{1}}{2\beta_{0}^{2}} \right] \right\} \langle \bar{K}^{0} | Q_{R}^{\Delta S=2}(\mu) | K^{0} \rangle + \text{h.c.}$$

- The product is only nominally μ -independent; there is residual μ -dependence.
- Alpha-collaboration has a long-term programme of NP renormalisation at scales $\sim \Lambda_{QCD}$ and NP-running (continuum) up to scales $\sim M_W$; so far applied at $N_f=0$



 Different lattice regularisations and renormalisation schemes give compatible, even N_f -weakly dependent, results

 Lattice error subdominant in ε_K (1.6%); dominant error arises from Vcb (40%)

 $\hat{B}_{\rm K} = 0.717(18)(16)$ $\hat{B}_{\rm K} = 0.7625(97)$ $\hat{B}_{\rm K} = 0.727(22)(12)$

 $N_{\rm f} = 2 + 1 + 1$ $N_{\rm f} = 2 + 1$ $N_{\rm f} = 2$

$B_{\rm K}$ beyond the SM

• Analyse New Physics (NP) effects in a model-independent way: assume a generalisation of the effective $\Delta S = 2$ Hamiltonian which contains operators beyond the SM one; the amplitude is:



- B-parameters defined analogously for all operators
- Assuming $F_i \sim L_i \sim I$, generalised UT-fit analysis produces lower bounds for Λ ; these depend very strongly (several orders of magnitude) on this assumption.

B_K beyond the SM



- RBC/UKQCD 2017: new results with 2 RI/SMOM schemes (at 2 lattice spacings) agree with SWME; authors suggest that RI/MOM may be the culprit for these operators
- ALPHA results (with SF renormalisation scheme) and NP-running are badly needed

$$\langle \bar{K}^{0} | \mathcal{H}_{\text{eff}}^{\Delta S=2} | K^{0} \rangle = \frac{G_{F}^{2} M_{W}^{2}}{16\pi^{2}} \Big[\lambda_{c}^{2} S_{0}(x_{c}) \eta_{1} + \lambda_{t}^{2} S_{0}(x_{t}) \eta_{2} + 2\lambda_{c} \lambda_{t} S_{0}(x_{c}, x_{t}) \eta_{3} \Big] \\ \times \left(\frac{\bar{g}(\mu)^{2}}{4\pi} \right)^{-\gamma_{0}/(2\beta_{0})} \left\{ 1 + \frac{\bar{g}(\mu)^{2}}{(4\pi)^{2}} \left[\frac{\beta_{1} \gamma_{0} - \beta_{0} \gamma_{1}}{2\beta_{0}^{2}} \right] \right\} \langle \bar{K}^{0} | Q_{R}^{\Delta S=2}(\mu) | K^{0} \rangle + \text{h.c.}$$

- The product is only nominally μ -independent; there is residual μ -dependence.
- Alpha-collaboration has a long-term programme of NP renormalisation at scales $\sim \Lambda_{QCD}$ and NP-running (continuum) up to scales $\sim M_W$; so far applied at $N_f=0$
- In the late '90s the Schroedinger Functional scheme was introduced and results were obtained for the QCD coupling and quark masses (albeit in the quenched approximation)
- Generalised to BK (quenched) in the early 00's
- Generalised to QCD coupling and quark masses (Nf=2) in the early 00's
- Generalised to BK SM and beyond (Nf=2,3) nowadays

B_K beyond the SM

P. Dimopoulos et al., (2018) arXiv:1801.09455



• Alpha 2018 warm-up exercise: RG-running for operators O_2 and O_3 for $N_f = 2$

B_K beyond the SM



• Alpha 2018 warm-up exercise: RG-running for operators O_4 and O_5 for $N_f = 2$

Conclusions

- Lattice nowadays competes with the accuracy of experiments (in recent years we moved from 5% to 1%-2%).
- SM results are in many cases well under control; activity beyond SM.
- It is the responsibility of the lattice community to provide experimentalists and non-lattice theorists with a review of phenomenologically relevant lattice results with conservative error estimates (FLAG), not only for he SM but also beyond.
- 2011: G. Colangelo et al., "Review of Lattice Results Concerning Low-Energy Particle Physics", Eur. Phys. J. C 71 (2011) 1695
- 2014: S. Aoki et al., "Review of Lattice Results Concerning Low-Energy Particle Physics", Eur. Phys. J. C 74 (2014) 2890
- 2016: S. Aoki et al., "Review of Lattice Results Concerning Low-Energy Particle Physics",

Backup pages

$B_{\rm K}$ beyond the SM

Analyse New Physics (NP) effects in a model-independent way: assume a generalisation of the effective $\Delta S = 2$ Hamiltonian which contains operators beyond the SM one; the amplitude is: 5

$$<\bar{K}^{0}|\mathcal{H}_{\text{eff}}^{\Delta S=2}|K^{0}> = C_{1}<\bar{K}^{0}|O_{1}|K^{0}> + \sum_{i=1}^{\circ}C_{i}<\bar{K}^{0}|O_{i}|K^{0}>$$

- Minimal Flavour Violation (MFV) models: $F_1 = F_{SM}; F_{2,3,4,5} = 0$
- Assuming $L_i \sim I$, corresponds to stronglyinteracting and/or tree-level coupled New **Physics**
- gluino exchange in the minimal supersymmetric SM $L_i \sim \alpha s^2$
- all models with SM-like loop-mediated weak interactions $L_i \sim \alpha_W^2$



$B_{\rm K}$ beyond the SM



In particular, in the Randall-Sundrum (RS) scenario one has

$$L_4 = (g_s^*)^2, \quad F_4 = \frac{2m_d m_s}{Y_*^2 v^2}, \quad \Lambda = M_G,$$
 (3.2)

where M_G and $g_s^* \sim 6$ are the mass and coupling of Kaluza-Klein excitations of the gluon, $Y_* \sim 3$ is the five-dimensional Yukawa coupling (whose flavour structure is assumed to be anarchic), $m_d \sim 3 \,\text{MeV}$ and $m_s \sim 50 \,\text{MeV}$ are $\overline{\text{MS}}$ quark masses at the high scale and $v = 246 \,\text{GeV}$ is the Higgs vev. Running from a reference scale of 5 TeV, we obtain at 95% probability $\text{Im} C_4^K \in [-4.7, 10.6] \cdot 10^{-18}$, from which we get

$$M_G > 43 \,\mathrm{TeV}.$$
 (3.3)



Considering instead gauge-Higgs unification (GHU) models, from ref. [26] we have

$$L_4 = (g_s^*)^2, \quad F_4 \sim \frac{8m_d m_s}{g_*^2 v^2}, \quad \Lambda = M_G,$$
 (3.4)

where in this case $g_* \sim 4$ is the five-dimensional gauge coupling in units of the radius of the compact dimension. We obtain the bound

$$M_G > 65 \,\text{TeV}.$$
 (3.5)

B_K beyond the SM



B_K beyond the SM



- NB: each contribution analysed separately (avoids accidental cancellations).
- NB: SM bound is several orders of magnitude weaker thank those arising form BSM operators.