

# Parton shower matching and merging

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Universität Zürich

Tools 2017, Corfu



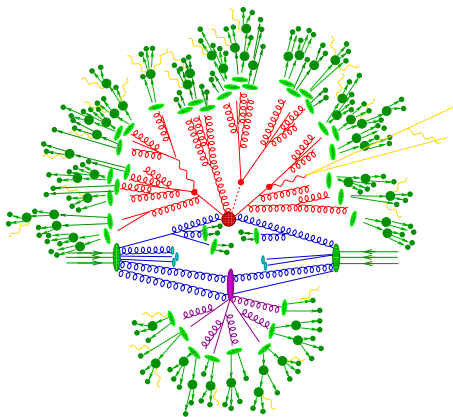
**Universität  
Zürich**<sup>UZH</sup>



# The SHERPA event generator framework

JHEP02(2009)007

- hard interaction (ME)
- parton evolution (PS)
- multiple interactions
- hadronisation
- hadron decays



**Matching and merging aims at improving the perturbative description of the event description (ME+PS)**

# Outline

- 1 Matching (N)NLO matrix elements to parton showers
- 2 Multijet merging
- 3 NLO corrections in PS
- 4 Conclusions

# Matching (N)NLO matrix elements to parton showers

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# Matching (N)NLO matrix elements to parton showers

## NLOPS

- well established and standard tools

- MC@NLO

Frixione, Webber JHEP06(2002)029

- POWHEG

Frixione, Nason, Oleari, Ridolfi JHEP11(2007)070

- variants thereof

Höche, Krauss, MS, Siebert JHEP09(2012)049

Plätzer, Gieseke EPJC72(2012)2187

- available for all processes of interest

⇒ **NLO for production ME**

**emission properties described at LOPS accuracy only**

## NNLOPS

- only exist for singlet production

- MiNLO-based

Hamilton, Nason, Re, Zanderighi JHEP10(2013)222

- UN<sup>2</sup>LOPS

Höche, Li, Prestel Phys.Rev.D91(2015)074015

- GENEVA

Alioli et.al. Phys.Rev.D92(2015)094020

⇒ **NNLO for production ME**

**emission properties described at NLOPS accuracy**

## NLOs: How does it work?

Höche, Krauss, MS, Siebert JHEP09(2012)049

**Aim:** Keep NLO accuracy in expansion of  $\alpha_S$   
 Keep full logarithmic accuracy of parton shower resummation

**Both MC@NLO and POWHEG follow the same paradigm**

- parton shower's resummation kernels used for IR subtraction
- define resummation region

$$\begin{aligned} \langle O \rangle^{\text{NLOps}} = & \int d\Phi_B [B + V + I_K](\Phi_B) \left[ \Delta_{D_K}(\mu_Q^2, t_c) O(\Phi_B) \right. \\ & \left. + \int dt' \frac{D_K}{B} \Delta_{D_K}(\mu^2, t') \text{PS}_R(t', O) \right] \\ & + \int d\Phi_R [R - D_K](\Phi_R) \text{PS}_R(t_R, O) \end{aligned}$$

- schemes differ in choices for form of kernels  $D_K$  and resummation region (limited by  $\mu_Q$ )
- variants address various problems inherent in both approaches

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$$\langle O \rangle^{\text{NLOps}} = \int d\Phi_B \bar{B}(\Phi_B) \widetilde{\text{PS}}_B(\mu_Q^2, O)$$

$$+ \int d\Phi_R H(\Phi_R) \text{PS}_R(t_R, O)$$

- schemes differ in choices for form of kernels  $D_K$  and resummation region (limited by  $\mu_Q$ )
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# POWHEG/MC@NLO

## Description of emission spectrum:

$$\langle O_{\text{em}} \rangle^{\text{NLOPS}} = \int d\Phi_B d\Phi_1 \bar{B}(\Phi_B) \frac{D_K(\Phi_B \cdot \Phi_1)}{B(\Phi_B)} O(\Phi_R) \\ + \int d\Phi_R [R - D_K](\Phi_R) O(\Phi_R)$$

In the resummation region  $D_K \approx R$

→ the emission spectrum is enhanced with  $\bar{B}/B$

	MC@NLO	POWHEG
$D_K$	$B \cdot \tilde{K}_{\text{PS}}$	$R$
$\mu_Q^2$	$\mu_F^2$	$S_{\text{had}}$

### Powheg:

split  $R = R_{\text{soft}} + R_{\text{hard}}$

with

$$R_{\text{soft}} = \frac{h^2}{p_{\perp}^2 + h^2} R$$

and  $D_K = R_{\text{hard}}$



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$$\langle O_{em} \rangle^{NLOPS} = \int d\Phi_R \left[ R + \left( \frac{\bar{B}(\Phi_B)}{B(\Phi_B)} - 1 \right) D_K \right] (\Phi_R) O(\Phi_R)$$

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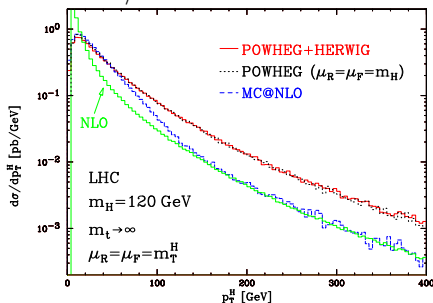
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Alioli, Nason, Oleari, Re JHEP04(2009)002

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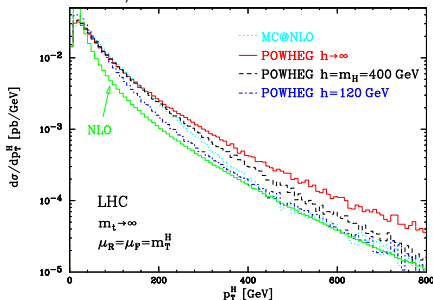
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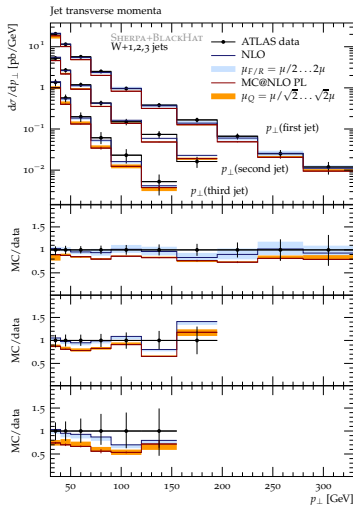
and  $D_K = R_{\text{soft}}$



Alioli, Nason, Oleari, Re JHEP04(2009)002

# NLOs

Höche, Krauss, MS, Siebert *Phys.Rev.Lett.*110(2013)052001



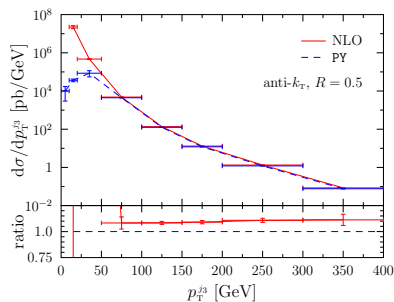
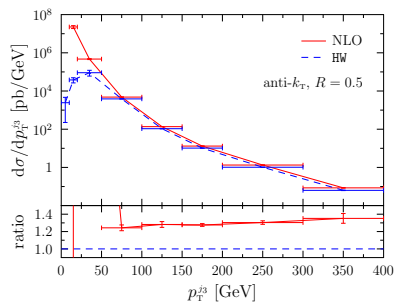
$pp \rightarrow W + 1, 2, 3$  jets

- 3 separate samples/calculations
- NLO accuracy for inclusive observables of respective jet multiplicity
- resummation of softest/LO jet, i.e. 4th jet in  $pp \rightarrow W + 3$  jets
- no resummation of sample-defining jet multiplicity, i.e. first 3 jets in  $pp \rightarrow W + 3$  jets

ATLAS data *Phys.Rev.D*85(2012)092002

# NLOs

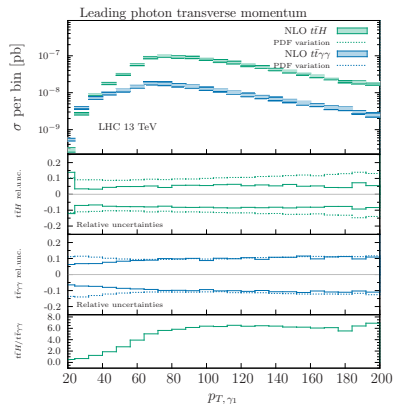
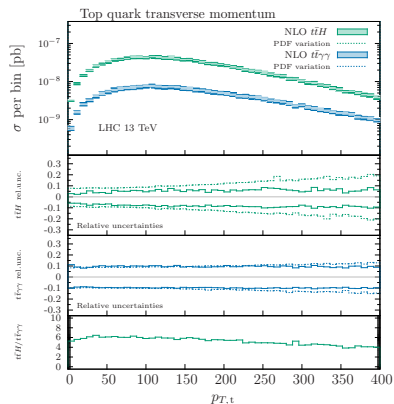
Kardos, Nason, Oleari JHEP04(2014)043



- $pp \rightarrow 3j$  production

# NLOs

van Deurzen et.al. Eur.Phys.J.C76 (2016) no.4, 221



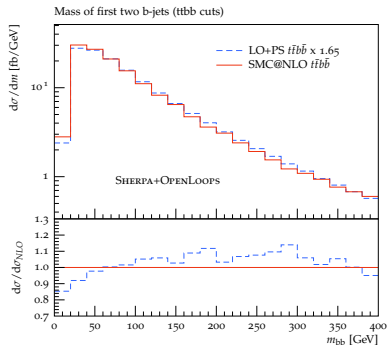
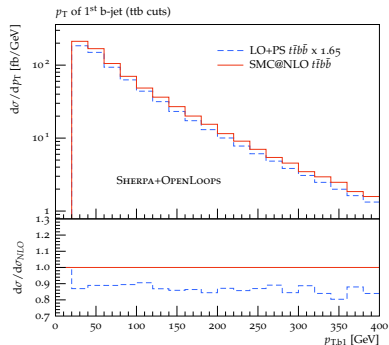
- $pp \rightarrow t\bar{t}h[\rightarrow \gamma\gamma]$  vs.  $pp \rightarrow t\bar{t}\gamma\gamma$

aMc@NLO + GOSAM

# NLOs

- for complicated processes small event selection efficiencies can render increased running time of NLOs prohibitive
- detailed validation studies needed to use LOPS as proxy

Moretti, Petrov, Pozzorini, Spannowsky Phys.Rev. D93 (2016) 014019



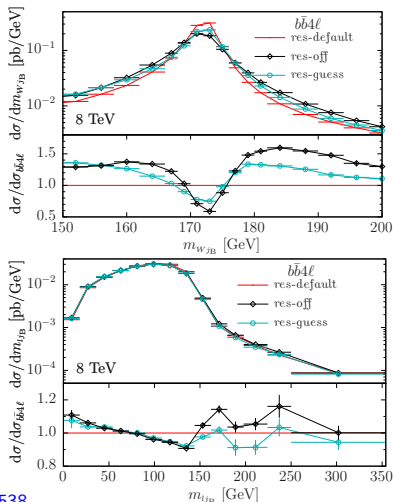


# NLOs for resonant processes

## Processes with resonances

- standard IR subtractions do not preserve shape of resonances
  - standard parton showers do not preserve shape of resonances
- ⇒ schemes for resonance identification
- ⇒ modify recoil in parton shower
- ⇒ consistency in matching

[arXiv:1509.09071](https://arxiv.org/abs/1509.09071), [arXiv:1603.01178](https://arxiv.org/abs/1603.01178), [arXiv:1607.04538](https://arxiv.org/abs/1607.04538)

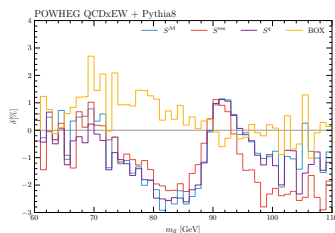
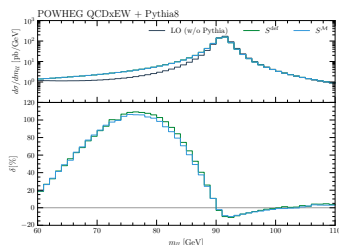


# NLOs for EW corrections

Bernaciak, Wackerath Phys.Rev.D85(2012)093003

Barze, Montagna, Nason, Nicosini, Piccinini, Vicini Eur.Phys.J.C73(2013)no.6, 2474

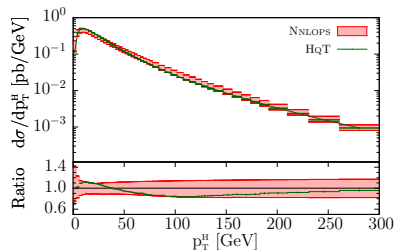
Mück, Oymanns JHEP05(2017)090



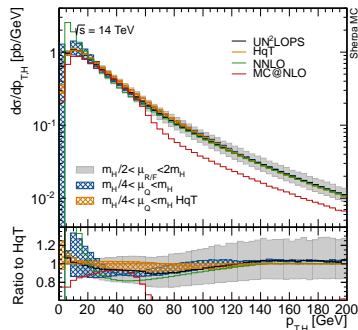
- first process specific solutions for NLOs for EW corrections
- results very dependent on specifics of resonance treatment
- plenty of development still needed

# NNLOPS

- available only for production of colourless final states
- inclusive observables at NNLO accuracy
- emission sensitive observables only at NLOPS



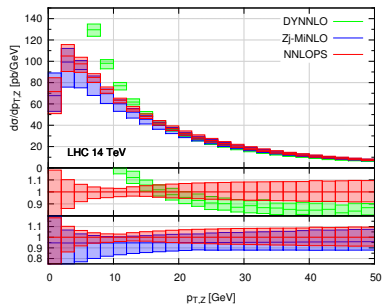
Hamilton, Nason, Re, Zanderighi  
[arXiv:1309.0017](https://arxiv.org/abs/1309.0017)



Höche, Li, Prestel [arXiv:1407.3773](https://arxiv.org/abs/1407.3773)

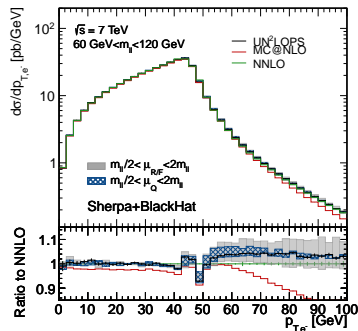
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Karlberg, Re, Zanderighi

arXiv:1407.2940



Höche, Li, Prestel arXiv:1405.3607

# (N)NLOs

## Available tools

- aMC@NLO + HERWIG/PYTHIA  
MC@NLO
- POWHEG-BOX + HERWIG/PYTHIA  
POWHEG / NNLOs
- MADGRAPH/LOOPPROVIDER+HERWIG7  
MC@NLO/ POWHEG variant / KRKNLO
- SHERPA+LOOPPROVIDER  
MC@NLO variant / NNLOs
- GENEVA + PYTHIA  
NNLOs

# Multijet merging

- 1 Matching (N)NLO matrix elements to parton showers
- 2 Multijet merging**
- 3 NLO corrections in PS
- 4 Conclusions

## Multijet merging

LOPS, NLOPS and NNLOPS describe observables dominated by topologies of a single multiplicity very well.

**Goal:** Describe observables that receive contributions from many final state multiplicities consistently at the highest available precision.

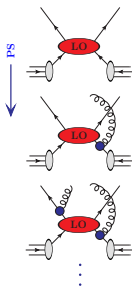
**Examples:**  $H_T$ ,  $p_{\perp}$ ,  $\Delta\phi$  etc.

NLOPS, for example, will describe the low end at NLO accuracy, an intermediate region at LO accuracy, and the high end at PS accuracy only

⇒ **multijet merging**

At the same time, multijet merged samples provide the LHC experiments with largest freedom of projecting these samples onto observables without the loss of accuracy.

# Multijet merging at LO



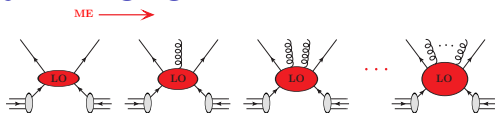
## Parton showers

resummation of (soft-)coll. limit  
 → intrajet evolution

- matrix elements (ME) and parton showers (PS) are approximations in different regions of phase space, separate by  $Q_{\text{cut}}$
- MEPS combines multiple LOPS – keeping either accuracy
- NLOPS elevate LOPS to NLO accuracy
- MENLOPS supplements core NLOPS with higher multiplicities LOPS



# Multijet merging at LO



## Matrix elements

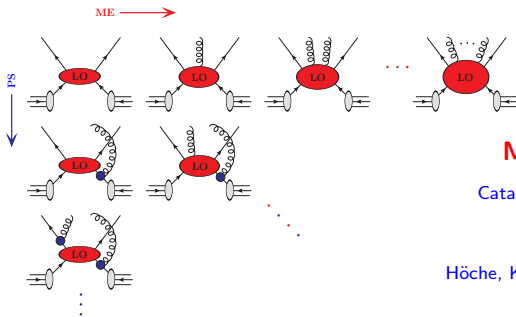
fixed-order in  $\alpha_s$

→ hard wide-angle emissions

→ interference terms

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# Multijet merging at LO



## MEPS (CKKW, MLM)

Catani, Krauss, Kuhn, Webber JHEP11(2001)063

Lönnblad JHEP05(2002)046

Mangano, Moretti, Pittau NPB632(2002)343

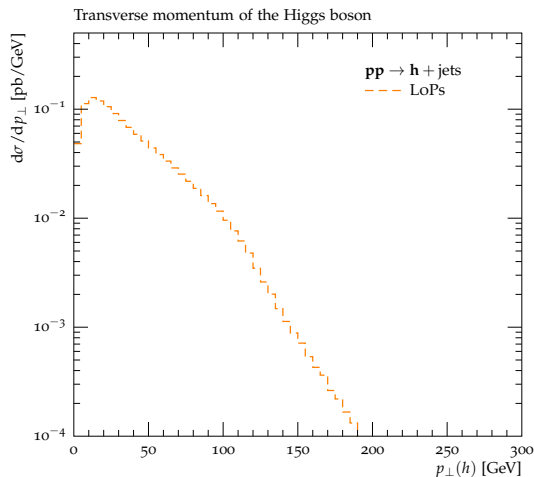
Höche, Krauss, Schumann, Siegert JHEP05(2009)053

Lönnblad, Prestel JHEP03(2012)019

Plätzer JHEP08(2013)114

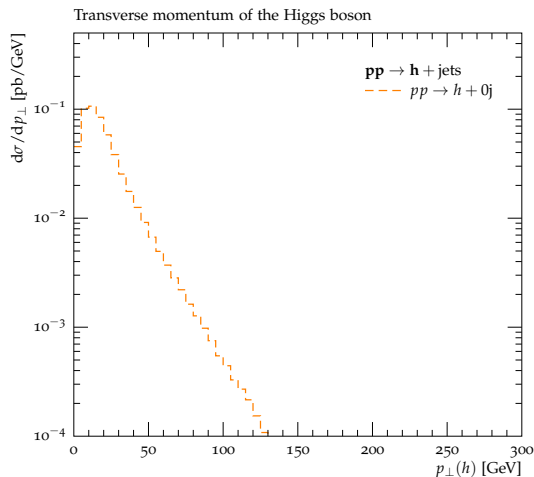
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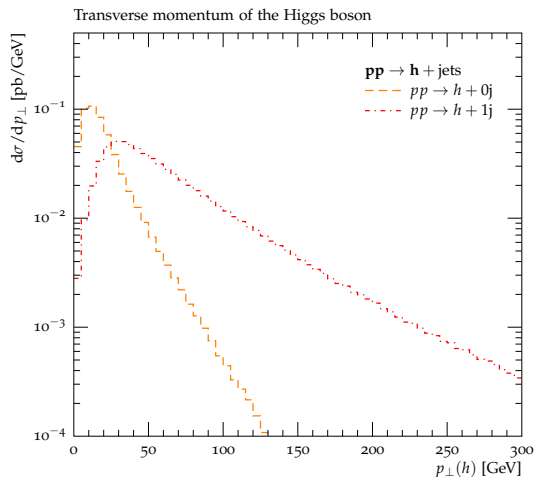
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- LOPs  $pp \rightarrow h + \text{jet}$  for  $Q_{n+1} > Q_{\text{cut}}$
- restrict emission off  $pp \rightarrow h + \text{jet}$  to  $Q_{n+2} < Q_{\text{cut}}$
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- iterate
- sum all contributions

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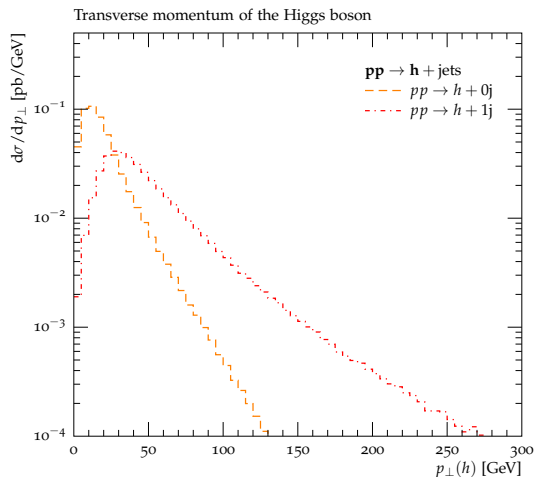
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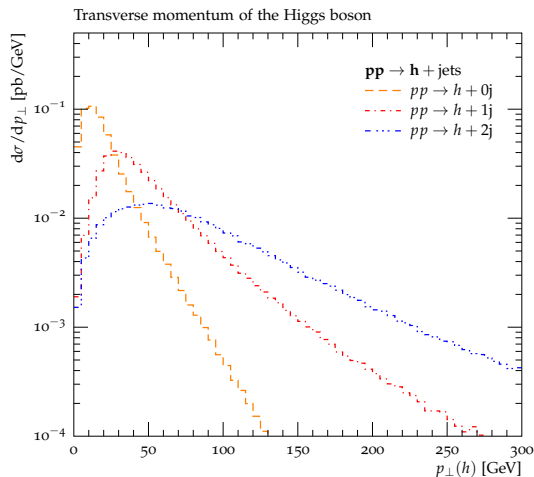
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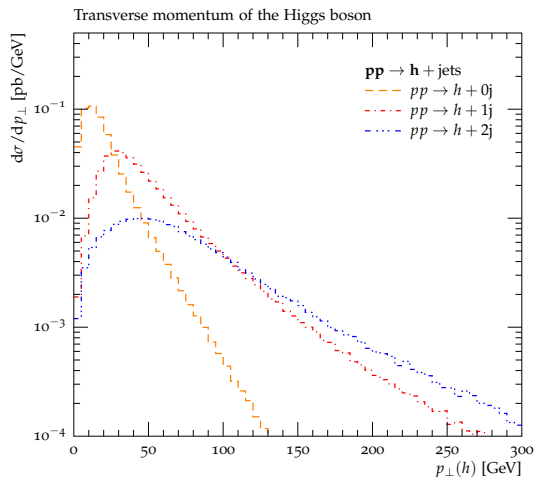
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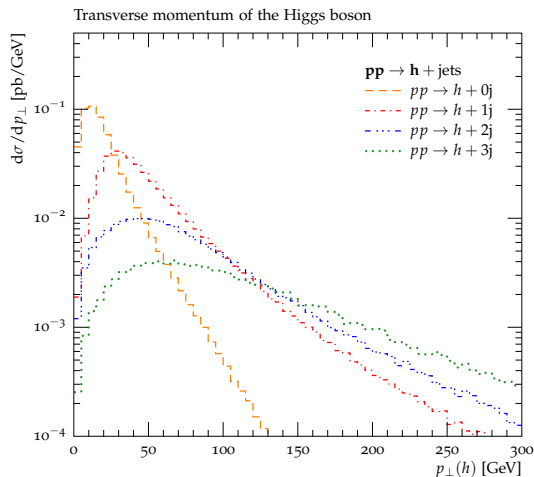
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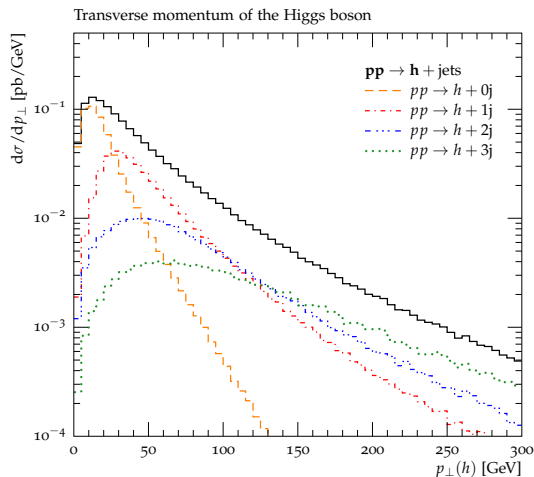


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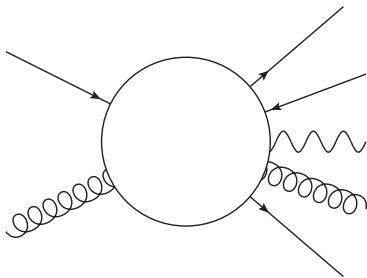


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## Resummation properties in ME region

Multiply with Sudakov factors according to reconstructed history

### Example: Drell-Yan production in association with jets



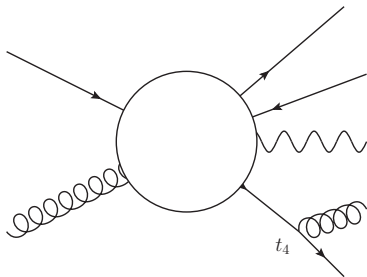
- cluster external particles using inverse parton shower → flavour conscious, initial state aware, probabilistic jet algorithm
- often use  $k_t$ -algorithm as simple approximation
- identify a shower history (probabilistically), determine scale  $t_i$  up to predefined  $t_j$

- apply  $\prod_{i=1}^n \Delta(t_i, t_{i-1})$  and  $\alpha_s^{n+k}(\mu_R^2) = \alpha_s^k(\mu_{\text{core}}^2) \prod_{i=1}^n \alpha_s(t_i)$

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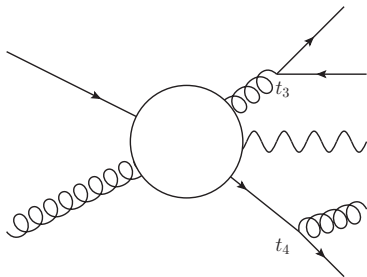
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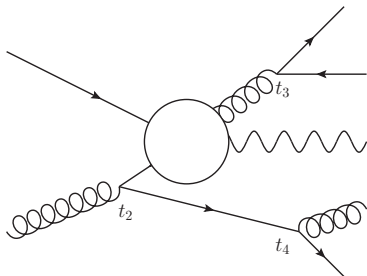
- cluster external particles using inverse parton shower → flavour conscious, initial state aware, probabilistic jet algorithm
- often use  $k_t$ -algorithm as simple approximation
- identify a shower history (probabilistically), determine scale  $t_i$  up to predefined  $t_j$

- apply  $\prod_{i=1}^n \Delta(t_i, t_{i-1})$  and  $\alpha_s^{n+k}(\mu_R^2) = \alpha_s^k(\mu_{\text{core}}^2) \prod_{i=1}^n \alpha_s(t_i)$

## Resummation properties in ME region

Multiply with Sudakov factors according to reconstructed history

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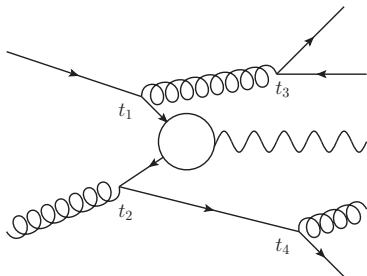
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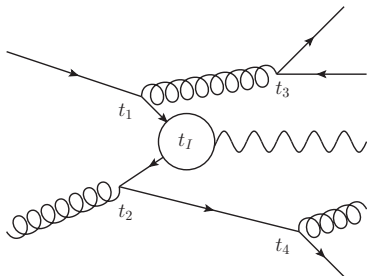
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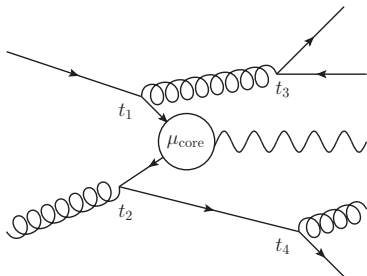
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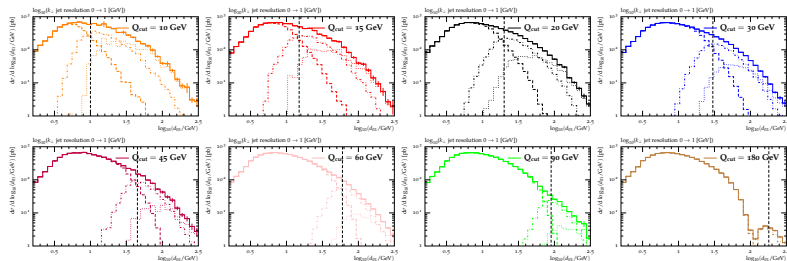


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# Merging systematics

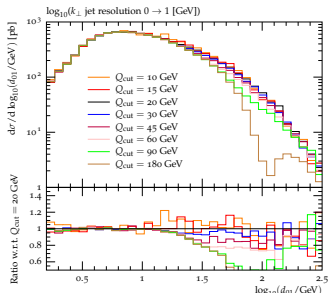
Merging cut  $Q_{\text{cut}}$  dependence in  $pp \rightarrow Z + \text{jets}$ :



- parton shower is trusted to correctly describe emissions  $\lesssim Q_{\text{cut}}$
- changes the region where higher accuracy is used for calculation  
→ part of the uncertainty is due to degraded accuracy for large  $Q_{\text{cut}}$
- all samples are identical for  $Q < Q_{\text{cut}}^{\text{smallest}}$  and  $Q > Q_{\text{cut}}^{\text{largest}}$  by construction

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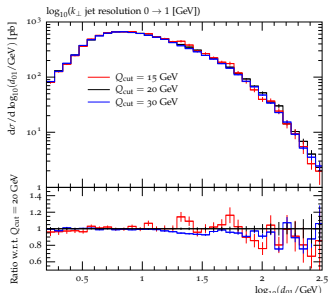
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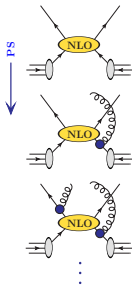
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# Multijet merging at NLO



**NLOs** (Mc@NLO, POWHEG)

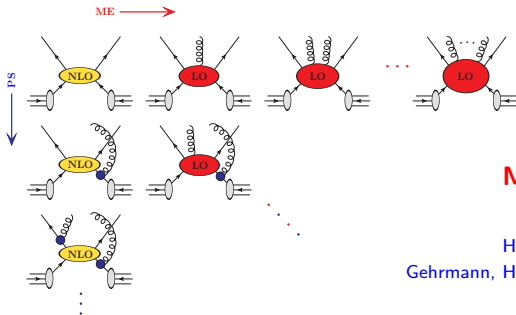
Frixione, Webber JHEP06(2002)029

Nason JHEP11(2004)040, Frixione et.al. JHEP11(2007)070

Höche, Krauss, MS, Siebert JHEP09(2012)049

- matrix elements (ME) and parton showers (PS) are approximations in different regions of phase space
- Multijet merging at LO combines multiple LOPs
- NLOs elevate LOPs to NLO accuracy
  - First step supplements core NLOs with higher multiplicities LOPs
  - Multijet merging at NLO combines multiple NLOs

# Multijet merging at NLO



## Multijet merging

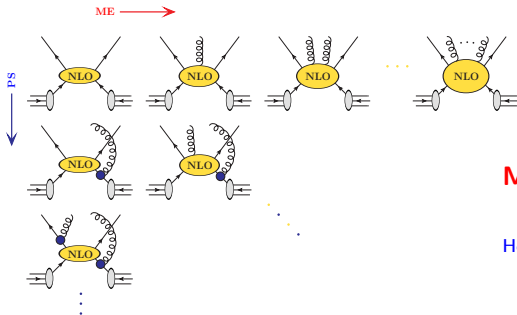
Hamilton, Nason JHEP06(2010)039

Höche, Krauss, MS, Siebert JHEP08(2011)123

Gehrmann, Höche, Krauss, MS, Siebert JHEP01(2013)144

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# Multijet merging at NLO



## Multijet merging at NLO

Lavesson, Lönnblad JHEP12(2008)070

Höche, Krauss, MS, Siebert JHEP04(2013)027

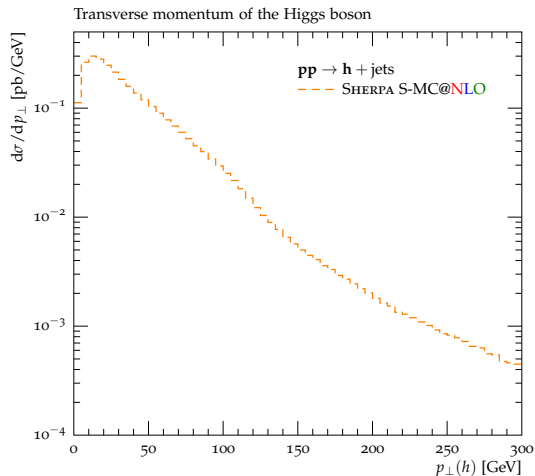
Fredrerix, Frixione JHEP12(2012)061

Lönnblad, Prestel JHEP03(2013)166

Plätzer JHEP08(2013)114

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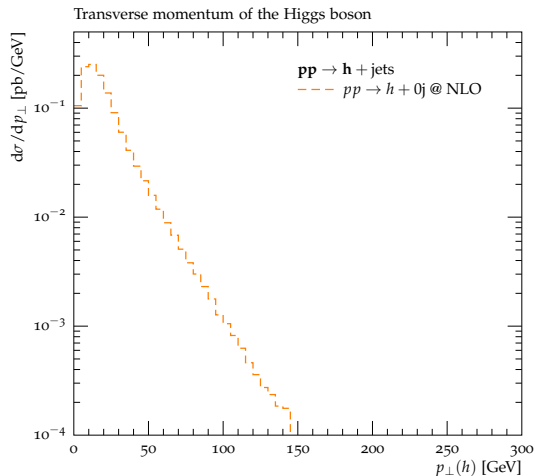
# Multijet merging at NLO



- first emission by NLOPS, restrict to  $Q_{n+1} < Q_{\text{cut}}$
- NLOPS  $pp \rightarrow h + \text{jet}$  for  $Q_{n+1} > Q_{\text{cut}}$
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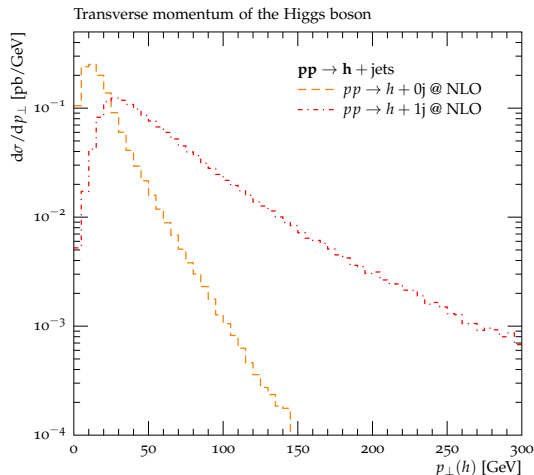


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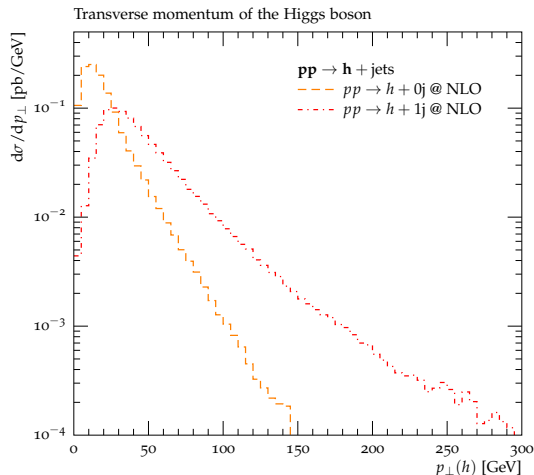
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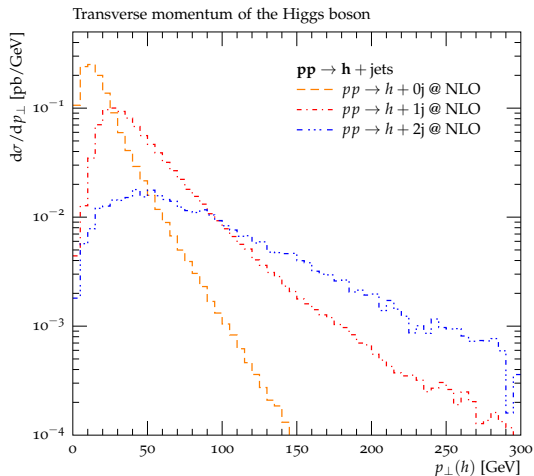
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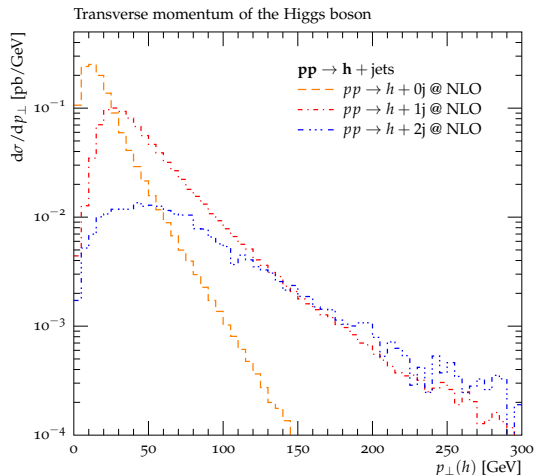
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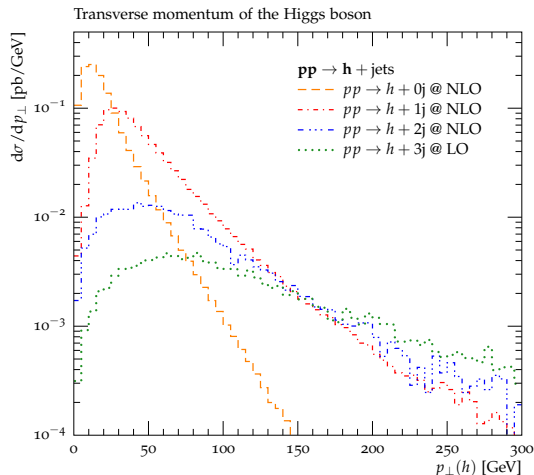
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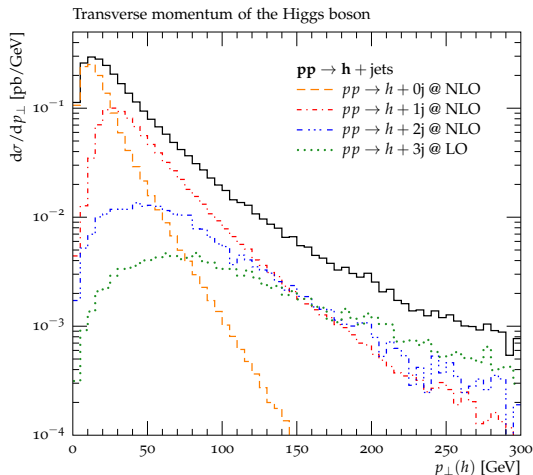
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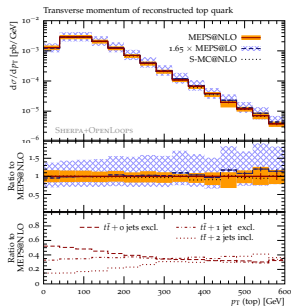
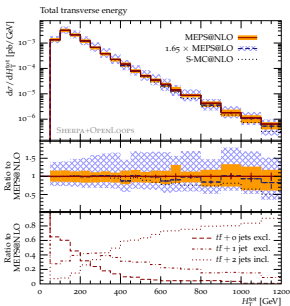


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# Multijet merging

- multijet merging replaces emission spectrum of (N)LOs above some merging scale by higher order calculation  
→ MEPS/MEPS@NLO, MLM/FxFx, UMEPS/UNLOs
- can be thought of as improving splitting functions of (N)LOs by higher order and beyond-logarithmic corrections above merging scale
- does not improve resummation properties

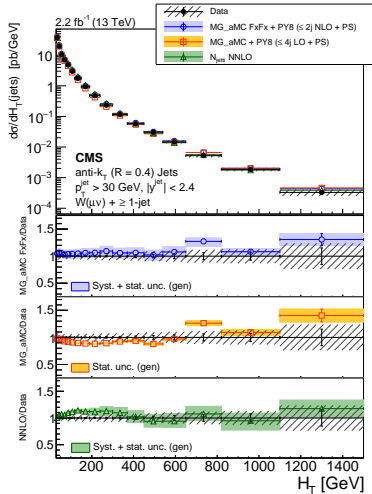
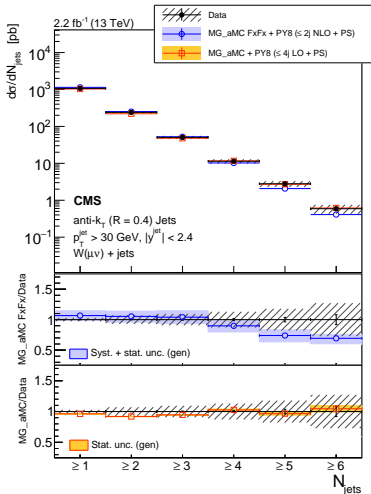
Höche, Krauss, Maierhöfer, Pozzorini, MS, Siegert in PLB748(2015)74-78





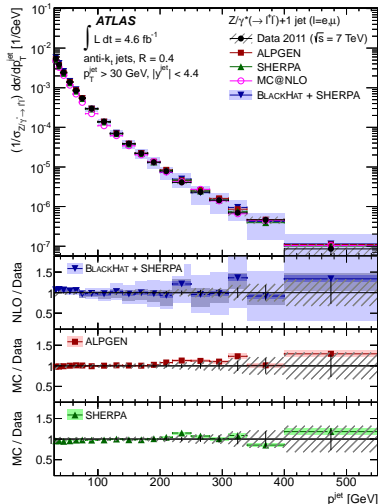
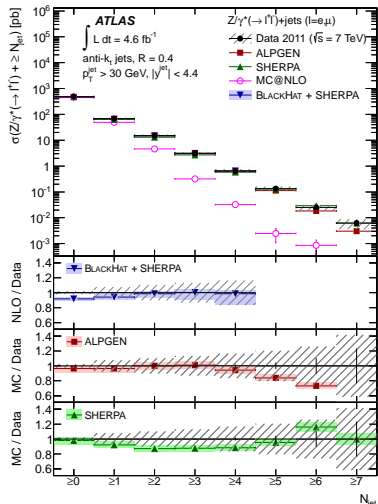
# Multijet merging at NLO

## lepton + MET production



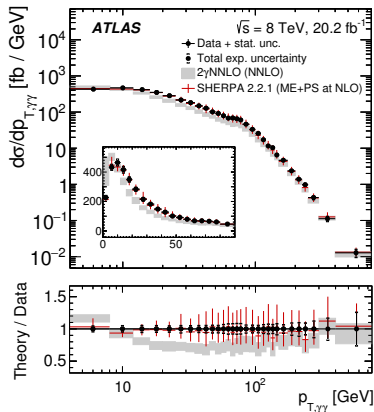
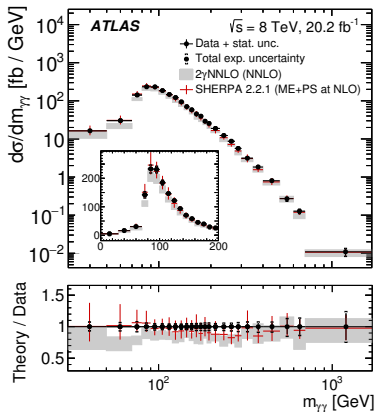
# Multijet merging at NLO

## lepton pair production



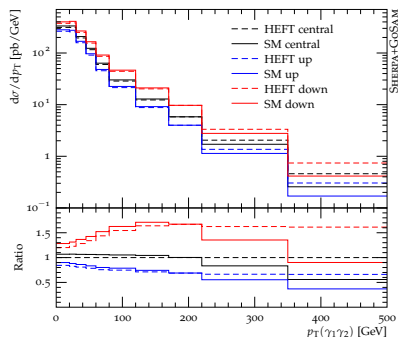
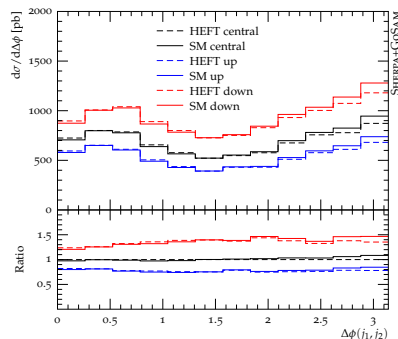
# Multijet merging at NLO

## diphoton production



# Multijet merging at NLO

## Higgs production

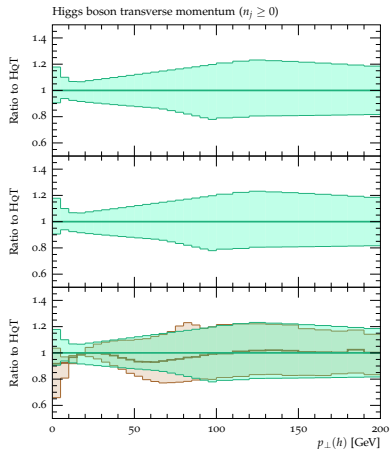
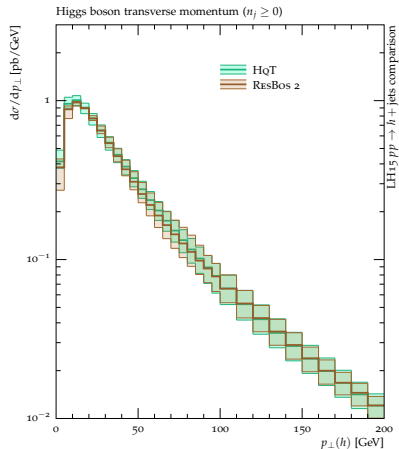


- $pp \rightarrow h + 0, 1, 2, 3j$  @ NLO including top mass effects

à la Buschmann, Goncalves, Kuttimalai, MS, Krauss, Plehn JHEP02(2015)038

# State-of-the-art

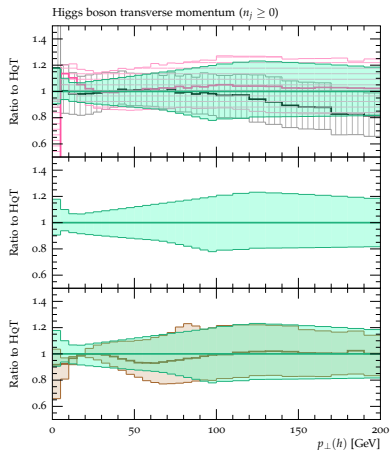
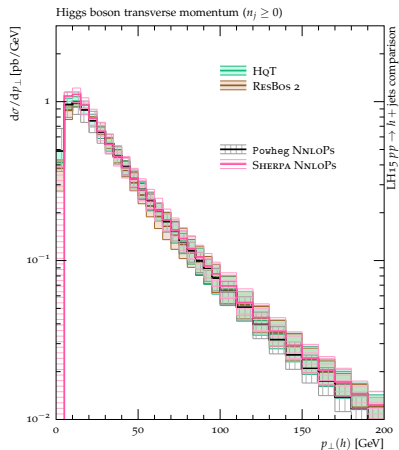
Les Houches 2015



- observable specific calculations, NNLOPS, NLO multijet merging

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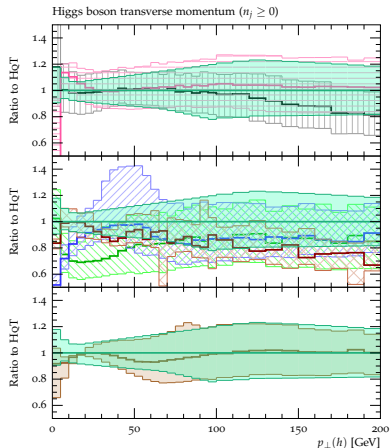
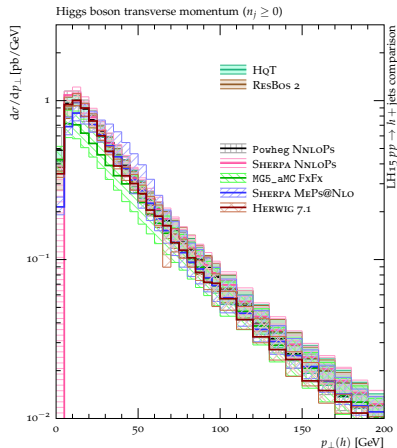
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# State-of-the-art

Les Houches 2015



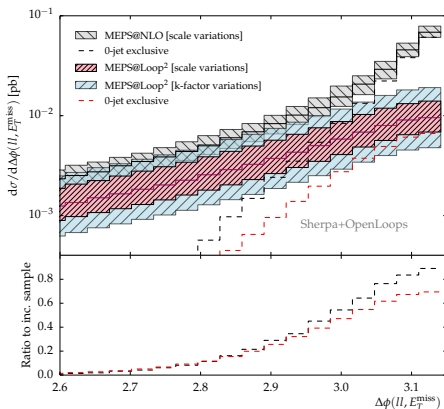
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# Multijet merging of loop induced processes

Goncalves et.al. PRD94(2016)no.5,053014

## Loop induced processes

- $\text{LOOP}^2$  production of  $X + 0, 1, \dots$  jets
- works schematically as merging at LO
- contribution of  $\text{LOOP}^2$  often depends on observable





# Multijet merging at NLO

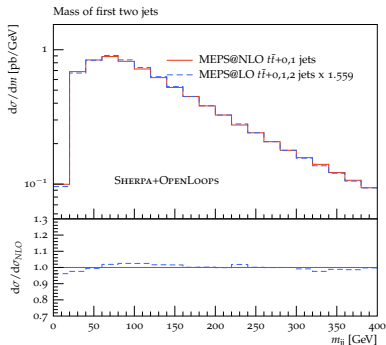
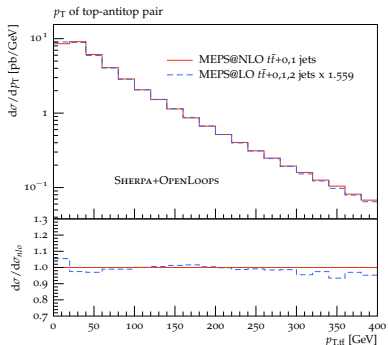
## Available implementations

- ALPGEN+HERWIG/PYTHIA  
MLM (LO)
- MADGRAPH/LOOPPROVIDER+HERWIG7  
UNLOPs (NLO), UMEPs (LO)
- MADGRAPH+PYTHIA  
FxFx (NLO), UNLOPs (NLO), MLM (LO), UMEPs (LO),  
MLM@LOOP<sup>2</sup> (LO)
- SHERPA+LOOPPROVIDER  
MEPs@NLO (NLO), MEPs (LO), MEPs@LOOP<sup>2</sup> (LO)

# Multijet merging at NLO

- complicated processes and small event selection efficiencies can render increased running time of NLO multijet merging prohibitive
- detailed validation studies needed to use LO merging as proxy

Moretti, Petrov, Pozzorini, Spannowsky Phys.Rev. D93 (2016) 014019



# Electroweak corrections in particle-level event generation

Kallweit, Lindert, Maierhöfer, Pozzorini, MS JHEP04(2016)021

- incorporate approximate electroweak corrections in SHERPA's NLO QCD multijet merging (MEPS@NLO)
- modify MC@NLO  $\bar{B}$ -function to include NLO EW virtual corrections and integrated approx. real corrections

$$\bar{B}_{n,\text{QCD}+\text{EW}_{\text{virt}}}(\Phi_n) = \bar{B}_{n,\text{QCD}}(\Phi_n) + V_{n,\text{EW}}(\Phi_n) + I_{n,\text{EW}}(\Phi_n) + B_{n,\text{mix}}(\Phi_n)$$

- real QED radiation can be recovered through standard tools (parton shower, YFS resummation)
- simple stand-in for proper QCD+EW matching and merging

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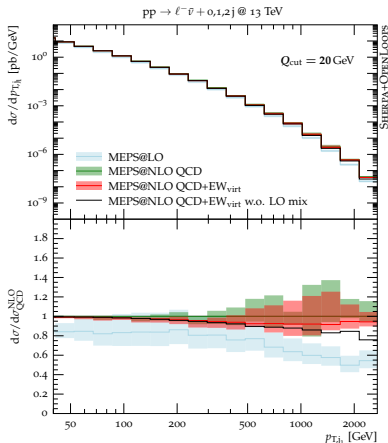
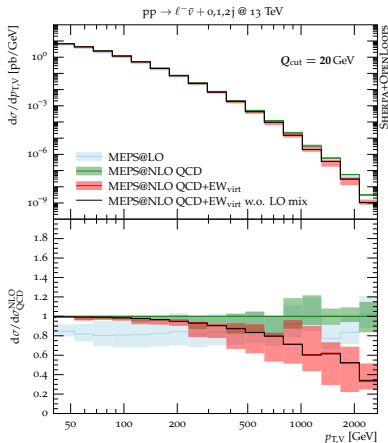
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- real QED radiation can be recovered through standard tools (parton shower, YFS resummation)
- simple stand-in for proper QCD+EW matching and merging

# $pp \rightarrow \ell^- \bar{\nu} + \text{jets}$

Kallweit, Lindert, Maierhöfer, Pozzorini, MS JHEP04(2016)021

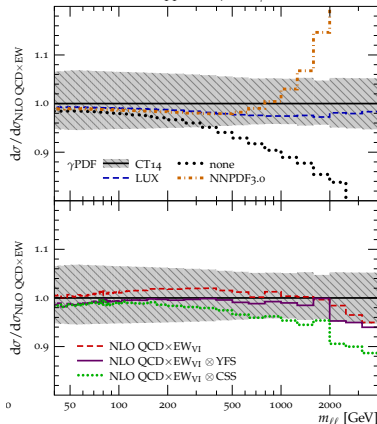
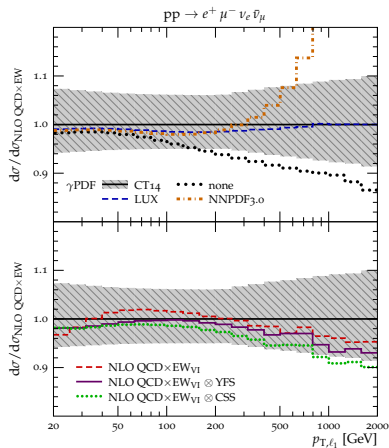


⇒ particle level events including dominant EW corrections



# $pp \rightarrow \ell^- \bar{\nu} + \text{jets}$

Kallweit, Lindert, Pozzorini, MS arXiv:1705.04664



⇒ NLO QCD×EW<sub>V1</sub>⊗YFS very well reproduces full calculation also for processes with very rich EW structure

# NLO corrections in parton showers

- 1 Matching (N)NLO matrix elements to parton showers
- 2 Multijet merging
- 3 NLO corrections in PS**
- 4 Conclusions

## NLO corrections in parton showers

Höche, Krauss, Prestel arXiv:1705.00982

- LO parton showers already include terms  $\propto 1/(1-z) \times \Gamma(2)$   
Catani, Marchesini, Webber Nucl.Phys. B349, 635 (1991)
- include NLO corrections in DGLAP evolution  
use NLO collinear splitting functions

$$P_{ab}(z) = P_{ab}^{(0)}(z) + \frac{\alpha_S}{2\pi} P_{ab}^{(1)}(z)$$

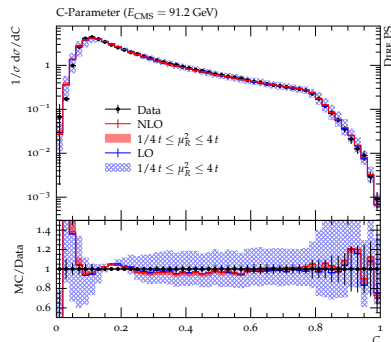
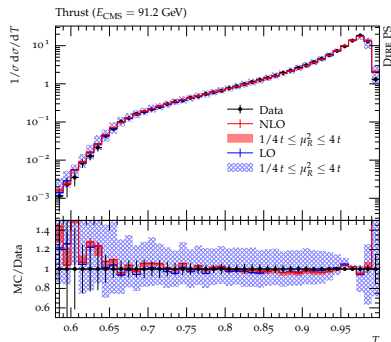
Curci, Furmanski, Petronzio Nucl.Phys. B175, 27 (1980)

Furmanski, Petronzio Phys.Lett. B97, 437 (1980)

- includes triple-collinear splitting functions Höche, Prestel arXiv:1705.00742
- contains flavour changes  $q \rightarrow q'$  and  $q \rightarrow \bar{q}$
- does not include higher order corrections to soft evolution yet
- include also soft terms  $\propto 1/(1-z) \times \Gamma(3)$
- still leading colour, as no exponentiation of off-diagonal colour MEs
- **this is generally not the same as achieving a higher logarithmic accuracy, not even for the PS evolution variable**

# NLO corrections in parton showers

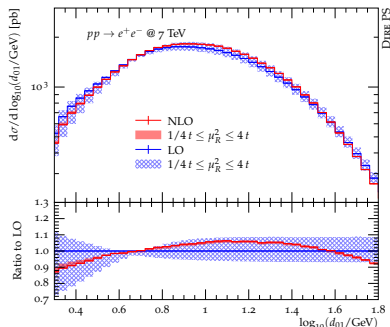
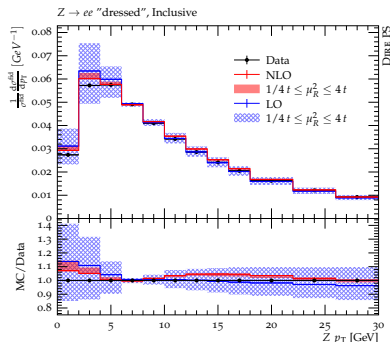
Höche, Krauss, Prestel arXiv:1705.00982



- small effects in event shapes at  $e^+e^-$
- reduced scale uncertainty (commonly not assessed in LO parton showers)

# NLO corrections in parton showers

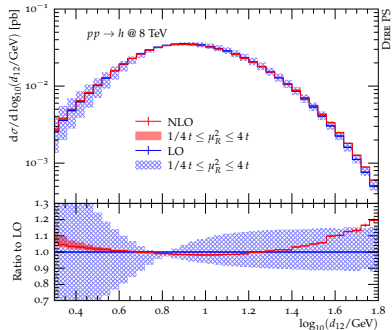
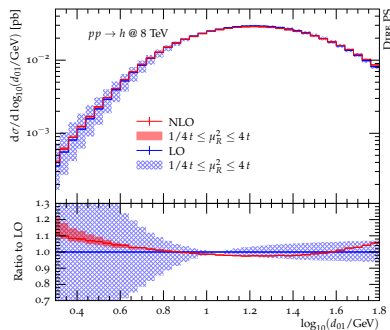
Höche, Krauss, Prestel arXiv:1705.00982



- larger effects in Sudakov shapes in  $pp$
- reduced scale uncertainty (commonly not assessed in LO parton showers)

# NLO corrections in parton showers

Höche, Krauss, Prestel arXiv:1705.00982



- larger effects in Sudakov shapes in  $pp$
- reduced scale uncertainty (commonly not assessed in LO parton showers)

# Conclusions

- NLOPS are the commonly used tools
- NNLOPS available for key processes
  - parton shower improvements necessary for  $V + j$  NNLOPS
- multijet merging improves relative description of multi-emission kinematics and is the highest available precision at the moment
  - feasible for up to  $3j$  at NLO,  $6j$  at LO
  - approximate EW corrections can be incorporated
- first developments to include higher order corrections to the splitting functions in parton showers
  - NLO collinear DGLAP evolution
  - PS does not yet contain full logarithmic structure for matching to NNLO

Thank you for your attention!