

# The QCD phase diagram from the lattice

Philippe de Forcrand  
ETH Zürich & CERN

Corfu, Sept. 3, 2017

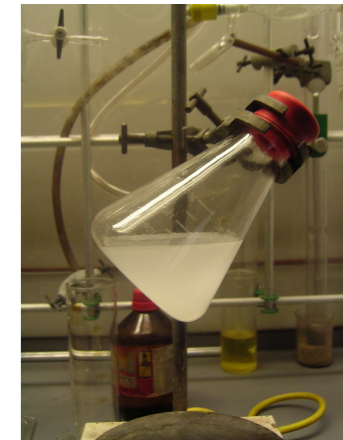
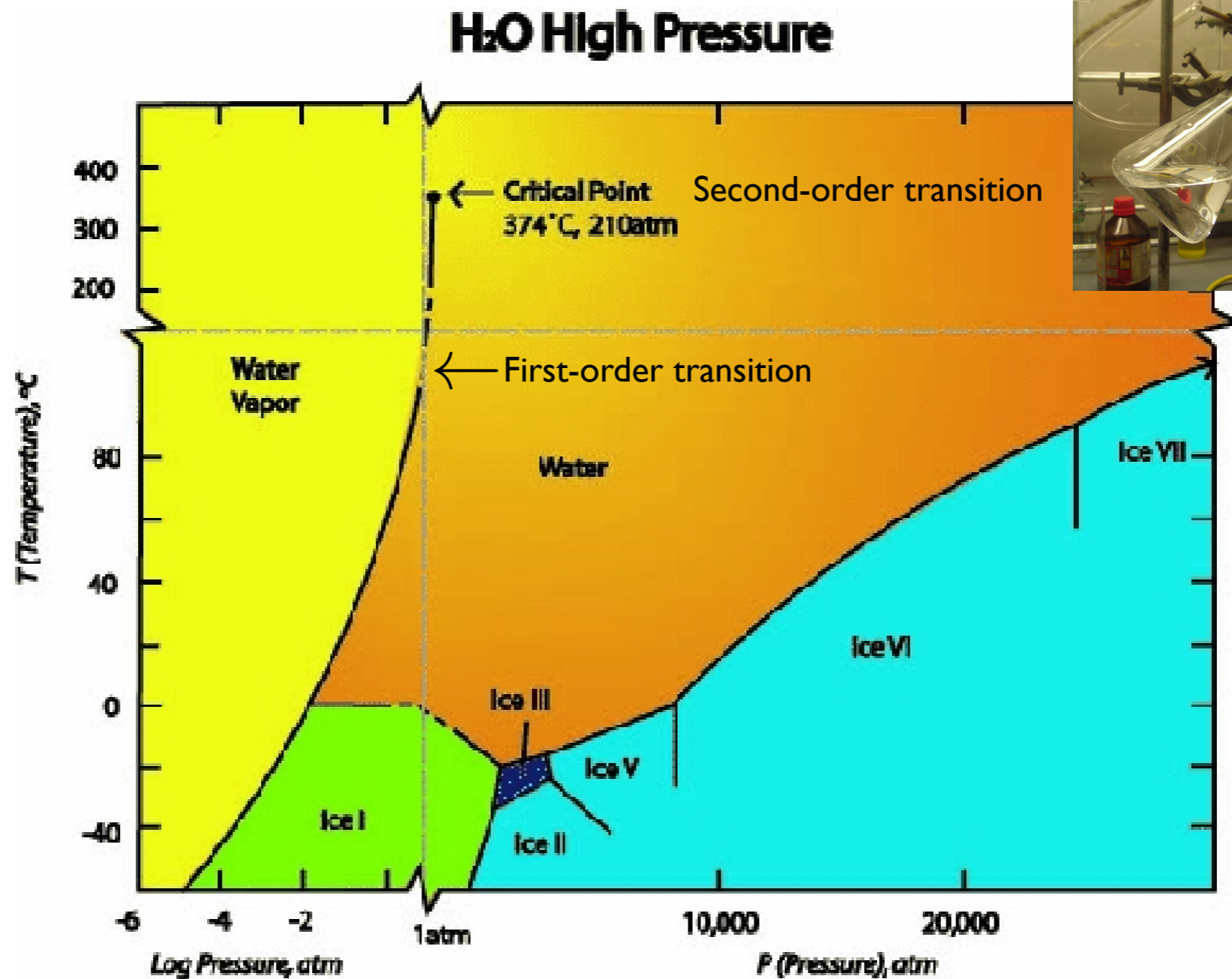
**ETH**

Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Motivation

What happens to matter when it is heated and/or compressed?

Water changes its state when heated or compressed



critical opalescence

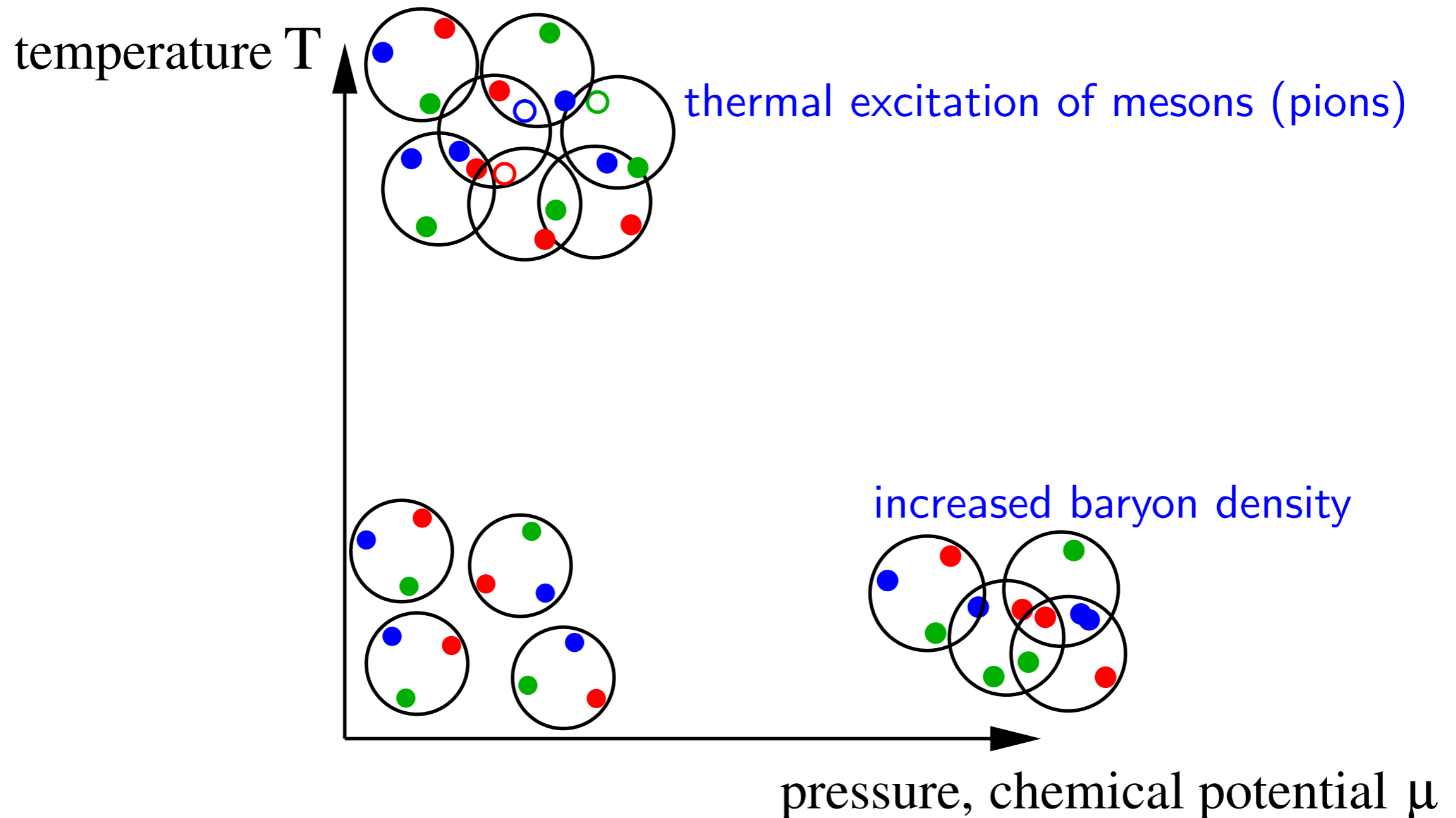
What happens to quarks and gluons when heated or compressed?

# QCD under extreme conditions

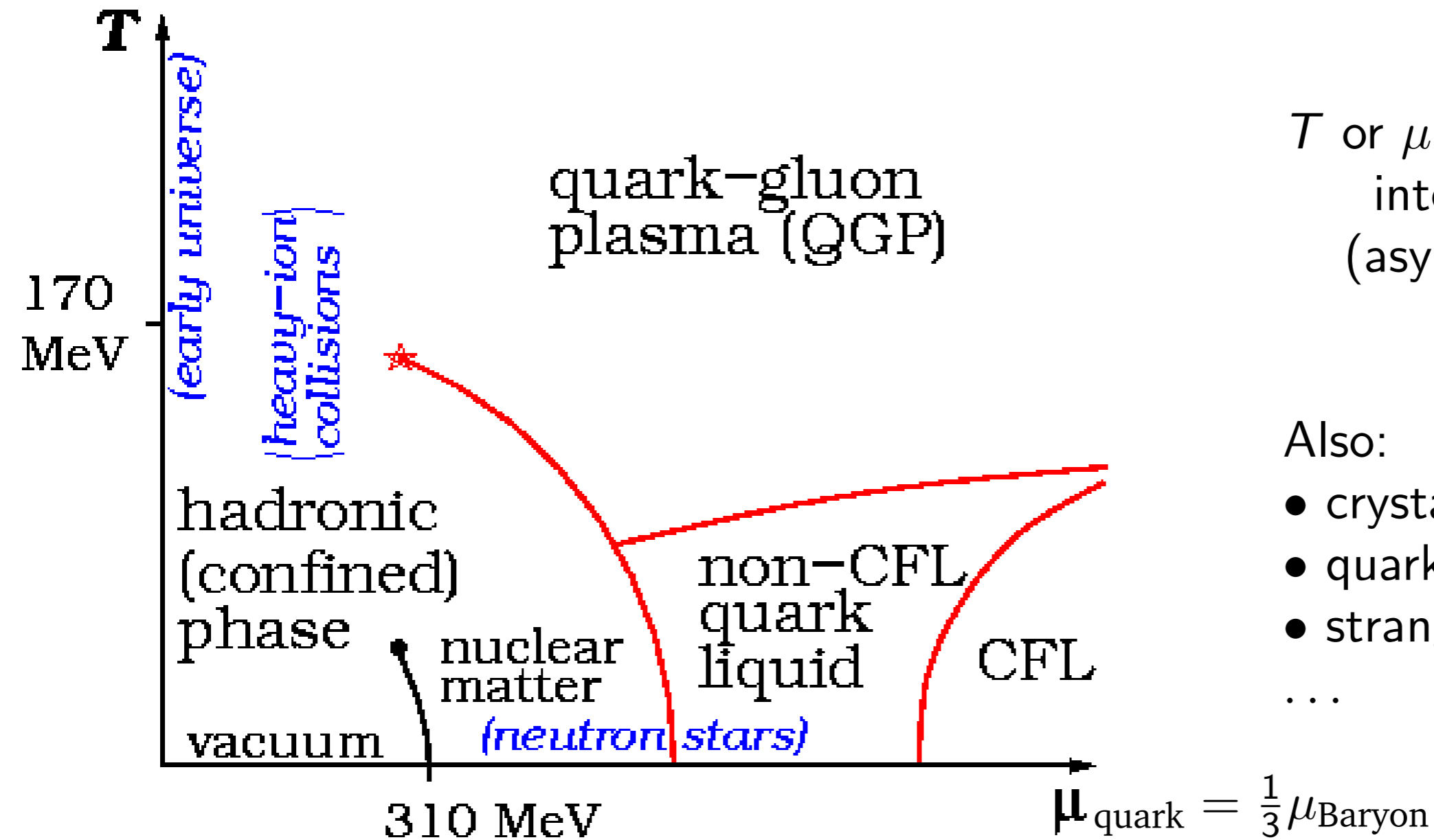
**Confinement:** quarks are bound in color-neutral hadrons:  $qqq$  baryons &  $q\bar{q}$  mesons

Compress or heat baryons: hadrons overlap  $\rightarrow$  confinement “lost”

$\Rightarrow$  expect interesting/unusual behaviour



# The wonderland phase diagram of QCD from Wikipedia



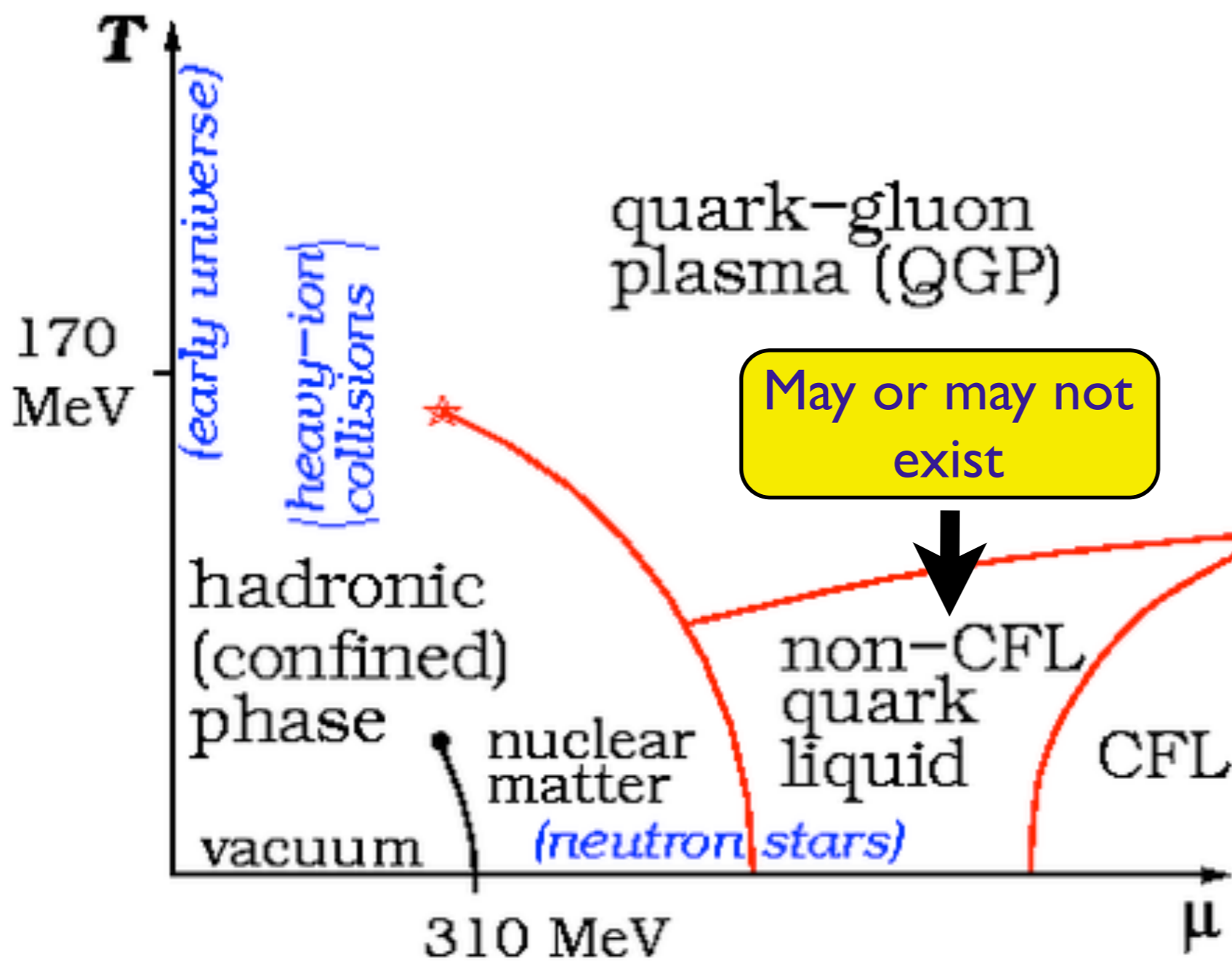
$T$  or  $\mu \rightarrow \infty$ :  
interaction weak  
(asymptotic freedom)

Also:

- crystal phase(s)
- quarkyonic phase
- strangelets
- ...

Caveat: everything in red is a conjecture

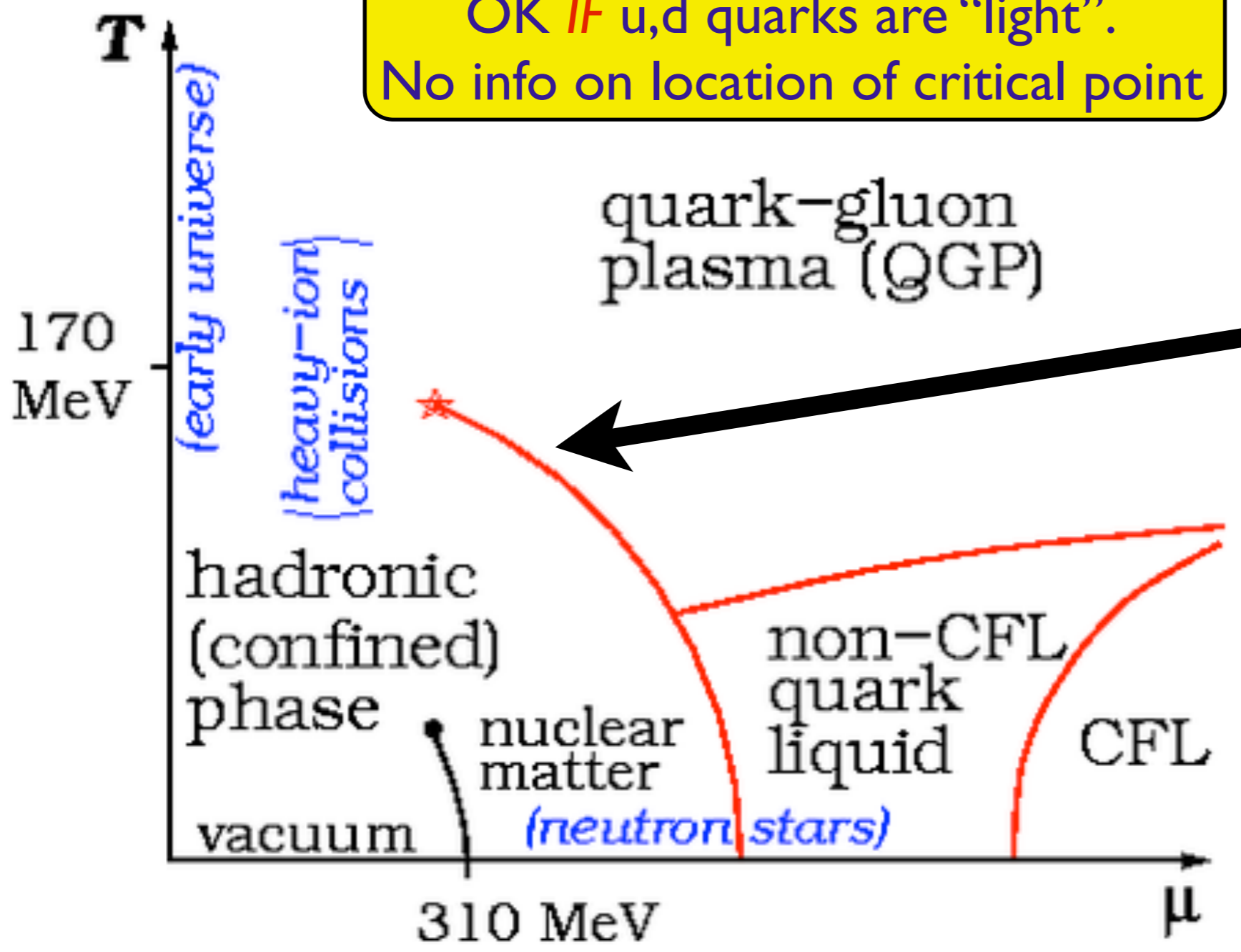




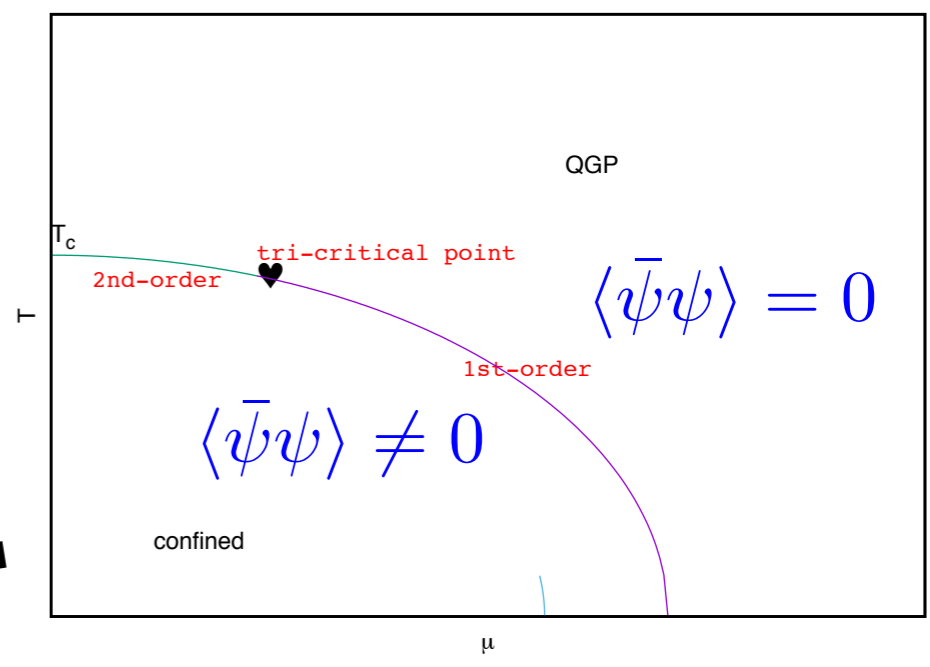
May or may not exist

No gauge-invariant order parameter: no phase transition required

“Small” deformation of two-flavor massless case:  
 OK *IF* u,d quarks are “light”.  
 No info on location of critical point

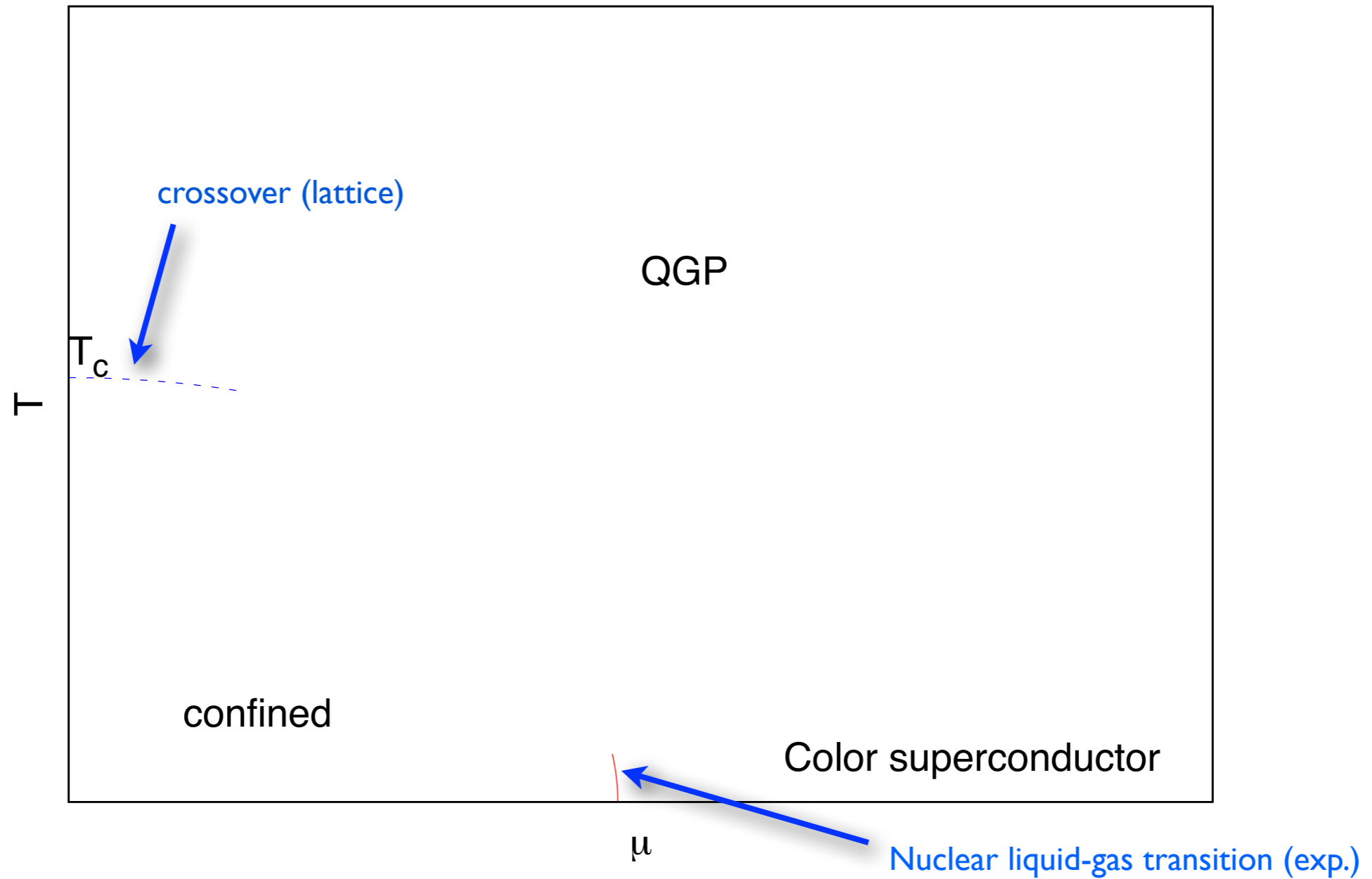


$$N_f = 2, m_u = m_d = 0$$



# Finite $\mu$ : what is known?

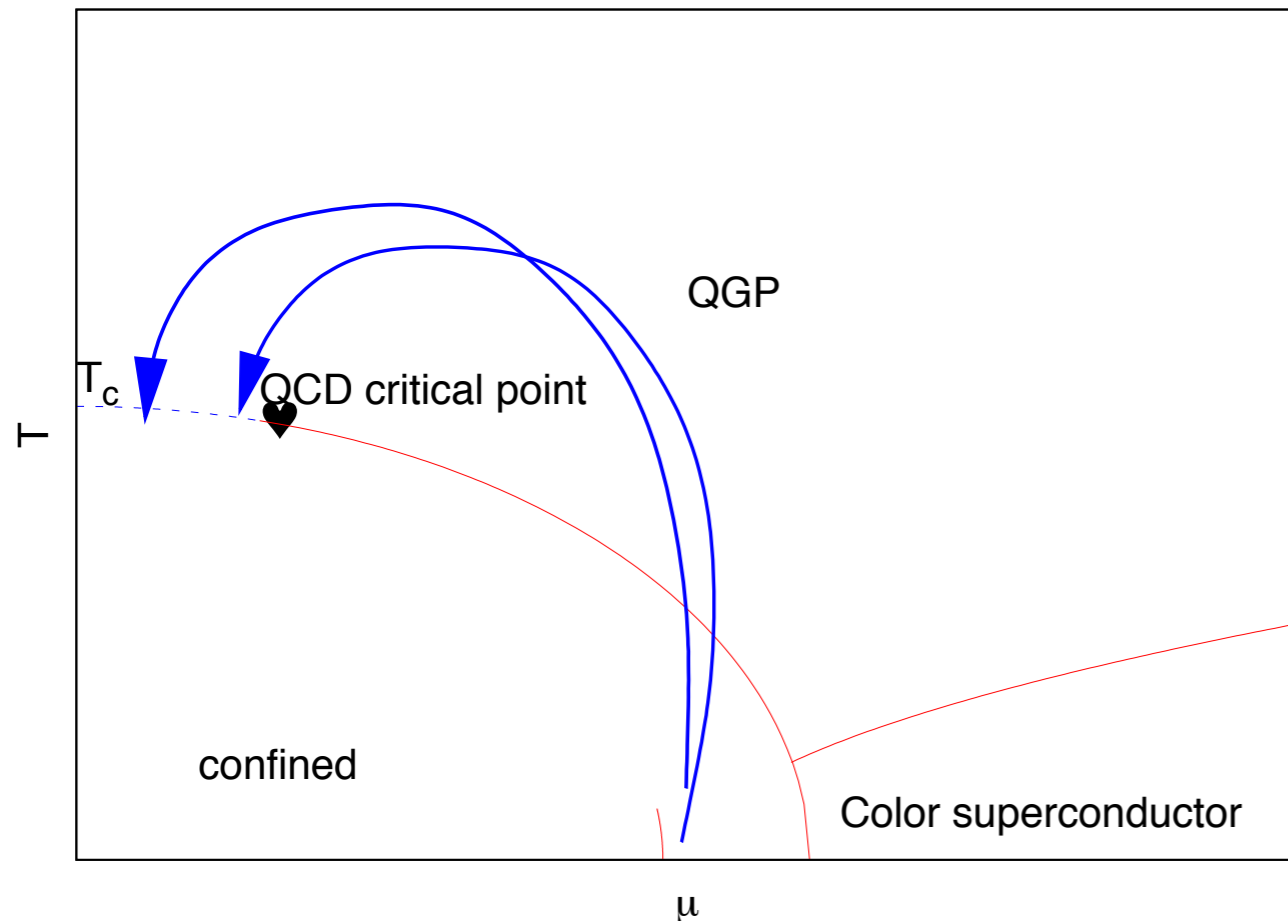
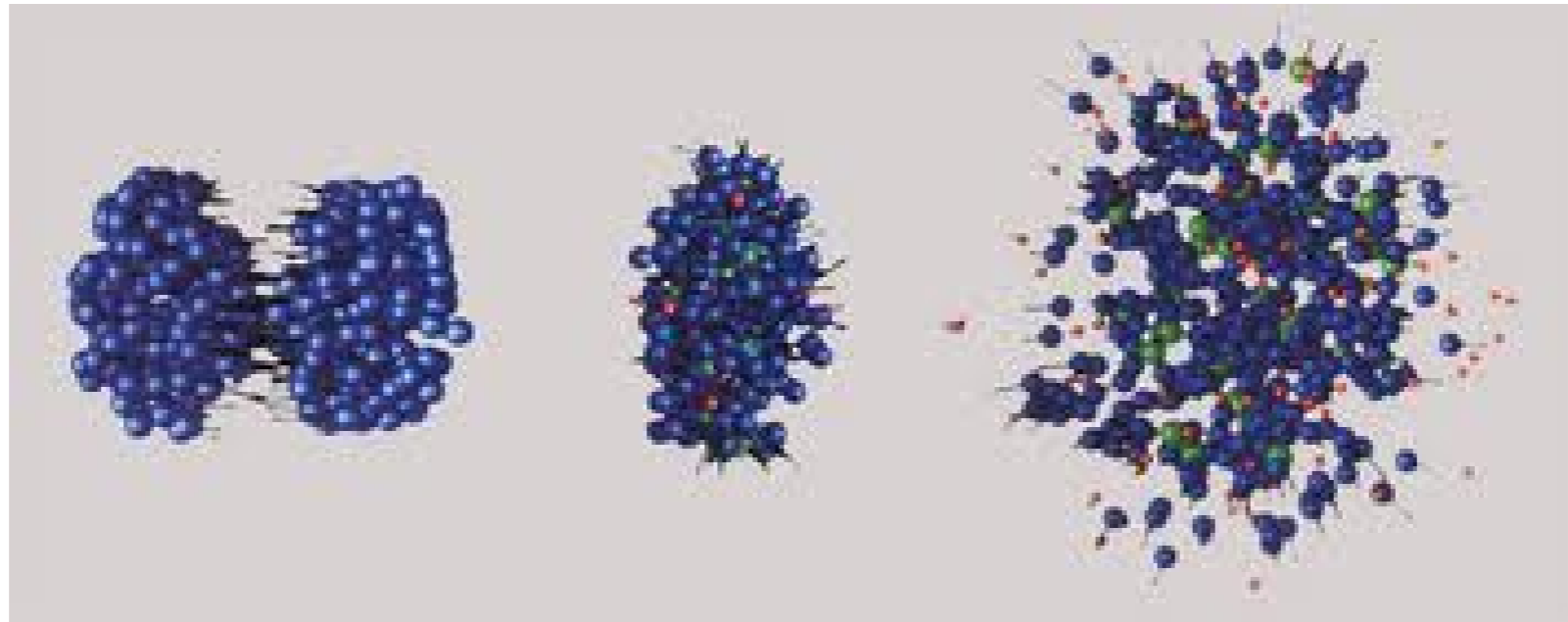
really



Minimal, **possible** phase diagram



# Heavy-ion collisions



Knobs to turn:

- atomic number of ions
- collision energy  $\sqrt{s}$

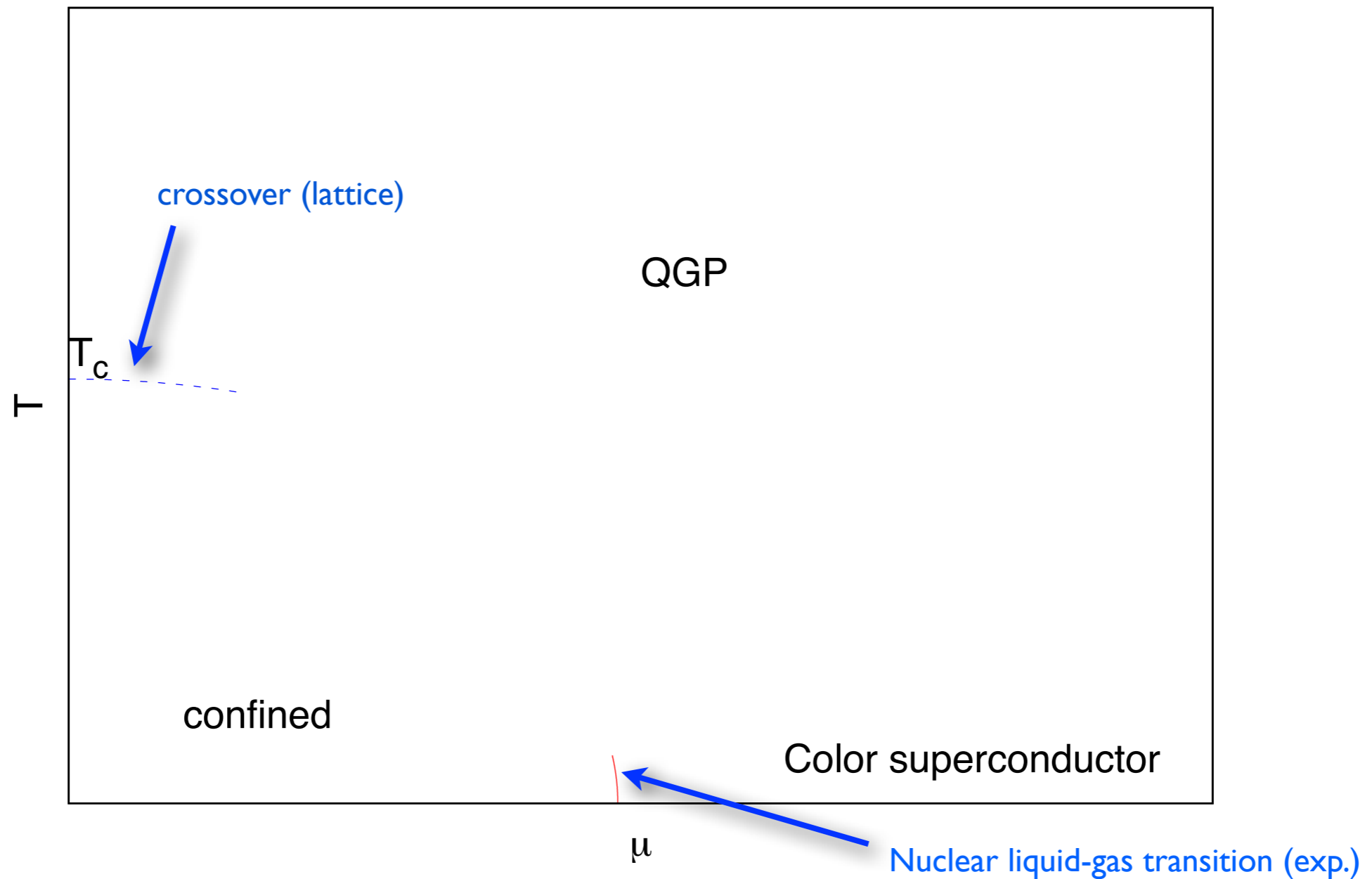
So far, **no sign of QCD critical point**  
(esp. RHIC beam energy scan)

**“critical opalescence” ?**

non-Gaussian fluctuations (Stephanov)

# Finite $\mu$ : what is known?

**Lattice:** Sign problem *as soon as  $\mu \neq 0$*

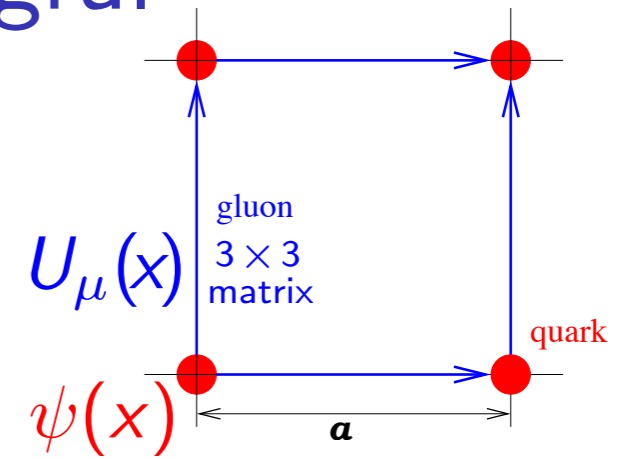


Minimal, **possible** phase diagram

# Lattice QCD: Euclidean path integral

space + imag. time  $\rightarrow$  4d hypercubic grid:

$$Z = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S_E[\{U, \bar{\psi}, \psi\}]}$$



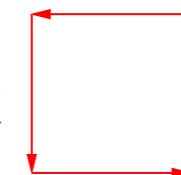
- Discretized action  $S_E$ :

- $\rightarrow \bar{\psi}(x) U_\mu(x) \psi(x + \hat{\mu}) + h.c.,$

Dirac operator  
 $\bar{\psi} \mathcal{D} \psi$

- $\rightarrow \beta \text{ReTr} U_P, U_P$  plaquette matrix

$$a \rightarrow 0 \Leftrightarrow \beta = \frac{6}{g_0^2} \rightarrow \infty$$



Yang-Mills action  
 $\frac{1}{4} F_{\mu\nu} F_{\mu\nu}$

- **Monte Carlo:** with Grassmann variables  $\psi(x)\psi(y) = -\psi(y)\psi(x)$  ??  
Integrate out analytically (Gaussian)  $\rightarrow$  determinant *non-local*

$$\text{Prob}(\text{config}\{U\}) \propto \det^2 \mathcal{D}(\{U\}) e^{+\beta \sum_P \text{ReTr} U_P} \text{ real non-negative when } \mu = 0$$

# Why are we stuck at $\mu = 0$ ? The “sign problem”

- quarks anti-commute  $\rightarrow$  integrate analytically:  $\det(\not{D}(U) + m + \mu\gamma_0)$   
 $\gamma_5(i\not{p} + m + \mu\gamma_0)\gamma_5 = (-i\not{p} + m - \mu\gamma_0) = (i\not{p} + m - \mu^*\gamma_0)^\dagger$

$$\det \not{D}(\mu) = \det^* \not{D}(-\mu^*)$$

det **real** only if  $\mu = 0$  (or  $i\mu_i$ ), otherwise can/will be **complex**

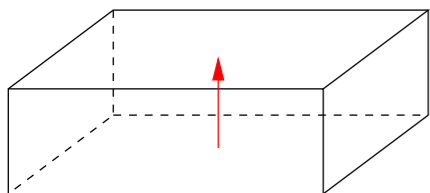
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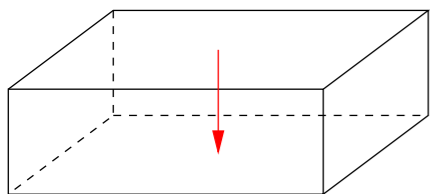
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- Measure  $d\varpi \sim \det \not{D}$  **must be complex** to get correct physics:



$$\langle \text{Tr Polyakov} \rangle = \exp\left(-\frac{1}{T} F_{\mathbf{q}}\right) = \int \text{Re Pol} \times \text{Re } d\varpi - \text{Im Pol} \times \text{Im } d\varpi$$



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$$\mu \neq 0 \Rightarrow F_{\mathbf{q}} \neq F_{\bar{\mathbf{q}}} \Rightarrow \text{Im } d\varpi \neq 0$$

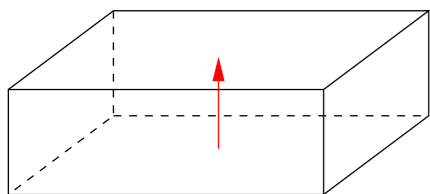
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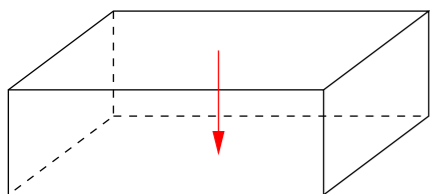
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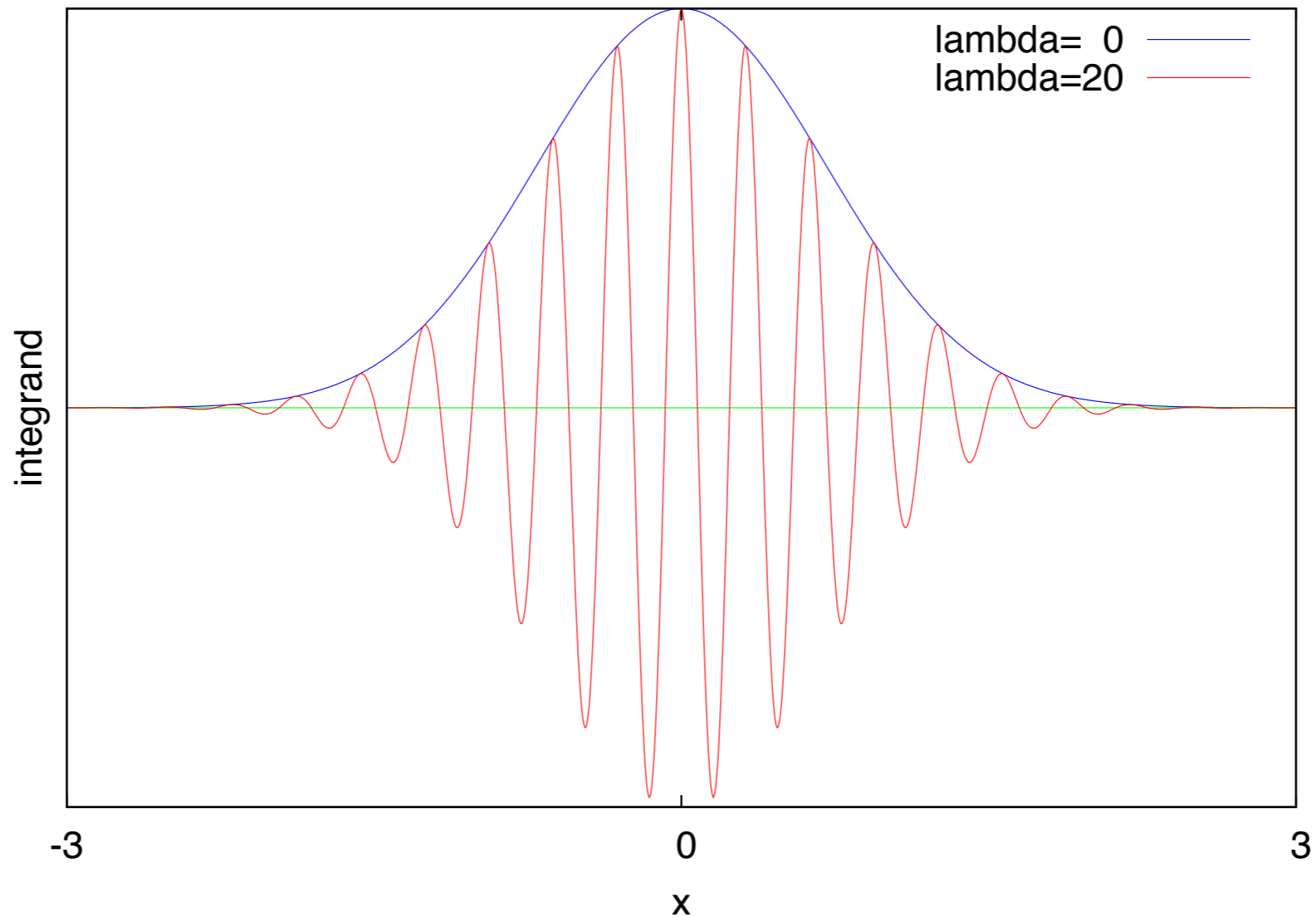
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- Origin:**  $\mu \neq 0$  breaks charge conj. symm., ie. usually **complex conj.**

**Complex determinant**  $\implies$  no probabilistic interpretation  $\longrightarrow$  **Monte Carlo ??**

# Sampling oscillatory integrands

- Example:  $Z(\lambda) = \int dx \exp(-x^2 + i\lambda x) = \int dx \exp(-x^2) \cos(\lambda x)$



- $Z(\lambda)/Z(0) = \exp(-\lambda^2/4)$ : exponential cancellations  
→ truncating deep in the tail **at  $x \sim \lambda$**  gives  $\mathcal{O}(100\%)$  error  
“Every  $x$  is important”  $\leftrightarrow$  **How to sample?**

# Computational complexity of the sign pb

- How to study:  $Z_\rho \equiv \int dx \rho(x)$ ,  $\rho(x) \in \mathbf{R}$ , with  $\rho(x)$  sometimes negative ?

**Reweighting:** sample with  $|\rho(x)|$ , and “*put the sign in the observable*”:

$$\langle W \rangle \equiv \frac{\int dx W(x)\rho(x)}{\int dx \rho(x)} = \frac{\int dx [W(x)\text{sign}(\rho(x))] |\rho(x)|}{\int dx \text{sign}(\rho(x)) |\rho(x)|} = \boxed{\frac{\langle W\text{sign}(\rho) \rangle_{|\rho|}}{\langle \text{sign}(\rho) \rangle_{|\rho|}}}$$



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- $\langle \text{sign}(\rho) \rangle_{|\rho|} = \frac{\int dx \text{sign}(\rho(x)) |\rho(x)|}{\int dx |\rho(x)|} = \boxed{\frac{Z_\rho}{Z_{|\rho|}}} = \exp\left(-\frac{V}{T} \underbrace{\Delta f(\mu^2, T)}_{\text{diff. free energy dens.}}\right)$ , exponentially small

Each meas. of  $\text{sign}(\rho)$  gives value  $\pm 1 \implies$  statistical error  $\approx \frac{1}{\sqrt{\# \text{ meas.}}}$

Constant relative accuracy  $\implies$  **need statistics  $\propto \exp(+2\frac{V}{T} \Delta f)$**

Large  $V$ , low  $T$  **inaccessible**: signal/noise ratio degrades **exponentially**

“Figure of merit”  $\Delta f$ : measures severity of sign pb.

# Frogs and birds



- Frogs: *acknowledge* the sign problem
  - explore region of small  $\frac{\mu}{T}$  where sign pb is mild enough
  - find tricks to enlarge this region

Taylor expansion, imaginary  $\mu$ , strong coupling expansion,...



- Birds: *solve* the sign pb
  - solve QCD ?
  - find “QCD-ersatz” which can be made sign-pb free

Complex Langevin, Lefschetz thimble – fermion bags,  $QC_2D$ , isospin  $\mu$ ,...

- *Think different*: build an analog QCD simulator with cold atoms

→ “Sign problem” conferences...

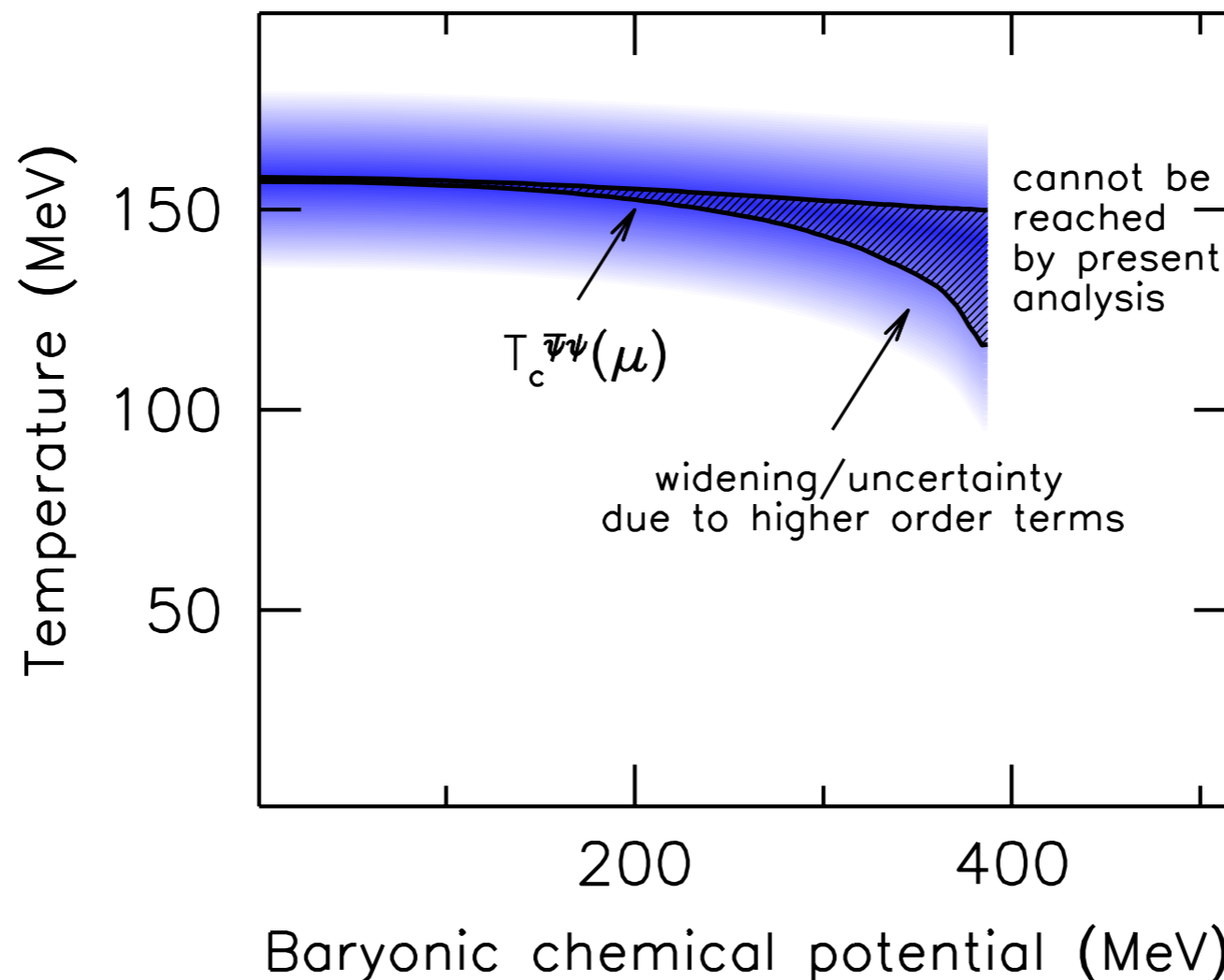
# First frog steps: $\frac{\mu}{T} \lesssim 1$

Approximate  $\langle W \rangle(\frac{\mu}{T})$  by truncated Taylor expansion:  $\sum_{k=0}^n c_k(T) (\frac{\mu}{T})^k$

- Measure  $c_k, k = 0, \dots, n$  in a **sign-pb-free  $\mu = 0$  simulation**
- Cheaper variant: fit  $c_k, k = 0, \dots, n$  to results of *imaginary  $\mu$*  simulations

State of the art: [Fodor et al, 1507.07510](#)

**Crossover** temp.  
versus chem. pot.



# Steve Weinberg's Third Law of Progress in Theoretical Physics

You may use any degrees of freedom you like to describe a physical system,  
but if you use the wrong ones, you'll be sorry

in "Asymptotic realms of physics", 1983

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Optimal choice: Monte Carlo on physical states (*no sign pb*)

★ Integrate out **quarks**, then Monte Carlo on gluons: *Not good (sign pb)*

★ Integrate out **gluons**, then Monte Carlo on color singlets: *Much better*

like physical states

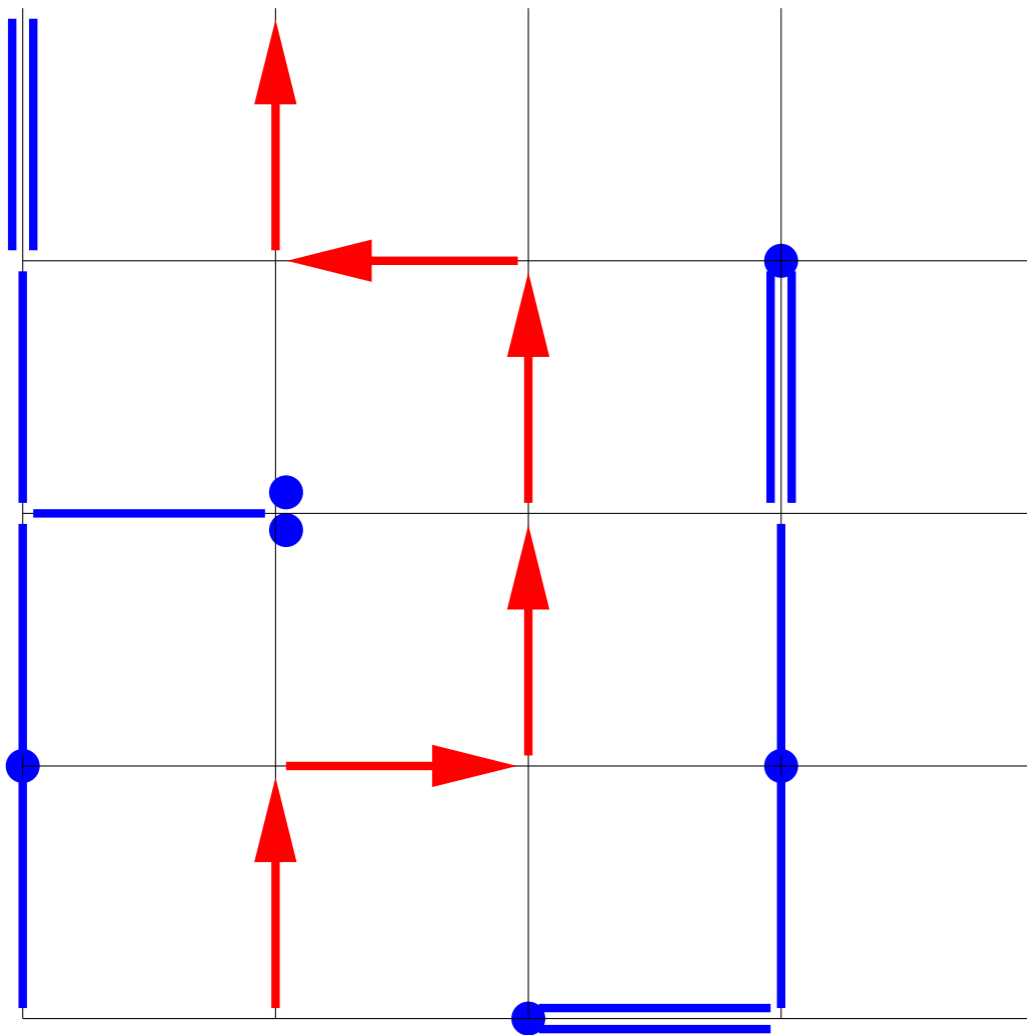
Easy at strong coupling  $\beta = \frac{6}{g_0^2} = 0$ : 4-link interaction  $\beta \text{ReTr}U_P$  drops out

# Strong coupling limit at finite density (staggered quarks)

Chandrasekharan, Wenger, PdF, Unger, Wolff, ...

- Integrate over  $U$ 's, **then** over quarks: *exact* rewriting of  $Z(\beta = 0)$

New, discrete "*dual*" degrees of freedom: meson & baryon *worldlines*



Constraint at every site:

3 blue symbols ( $\bullet \bar{\psi}\psi$ , meson hop)

or a baryon loop

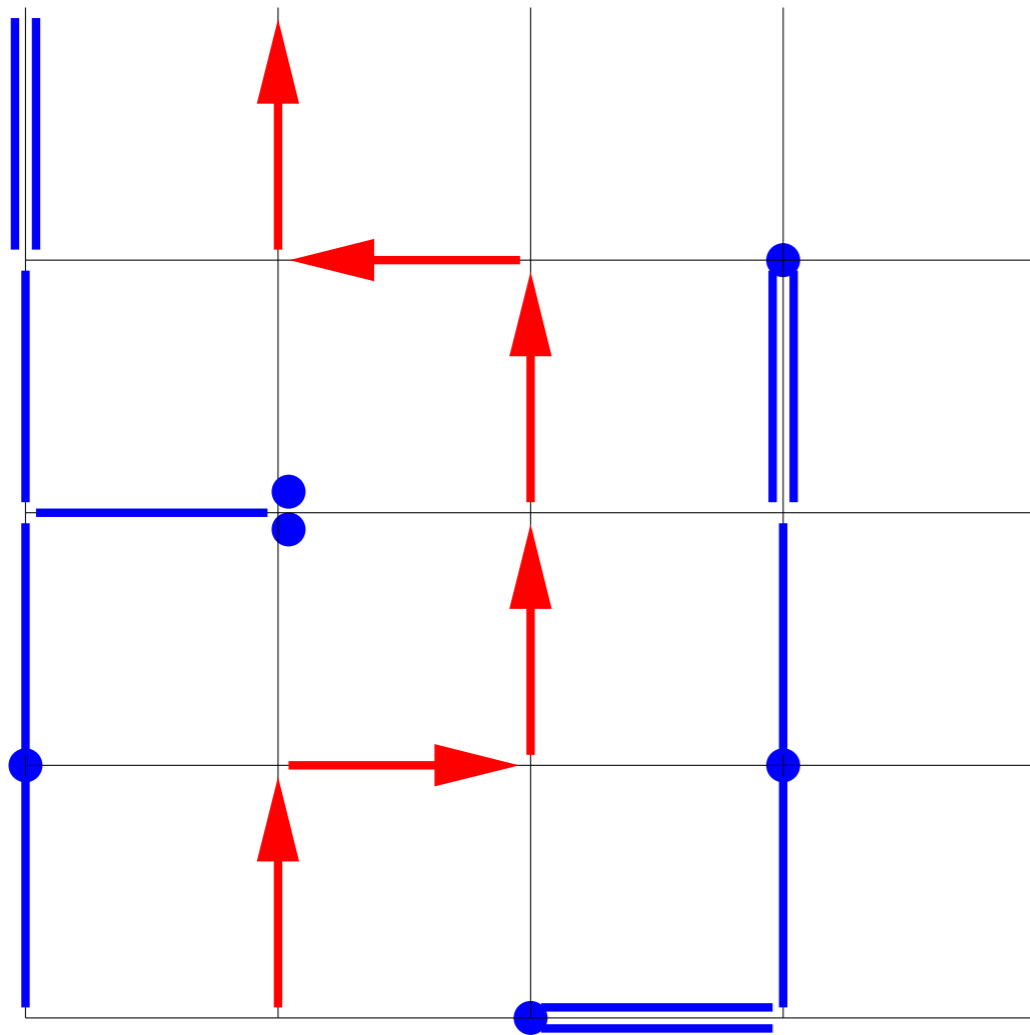
Update with **worm algorithm**: "*diagrammatic*" Monte Carlo

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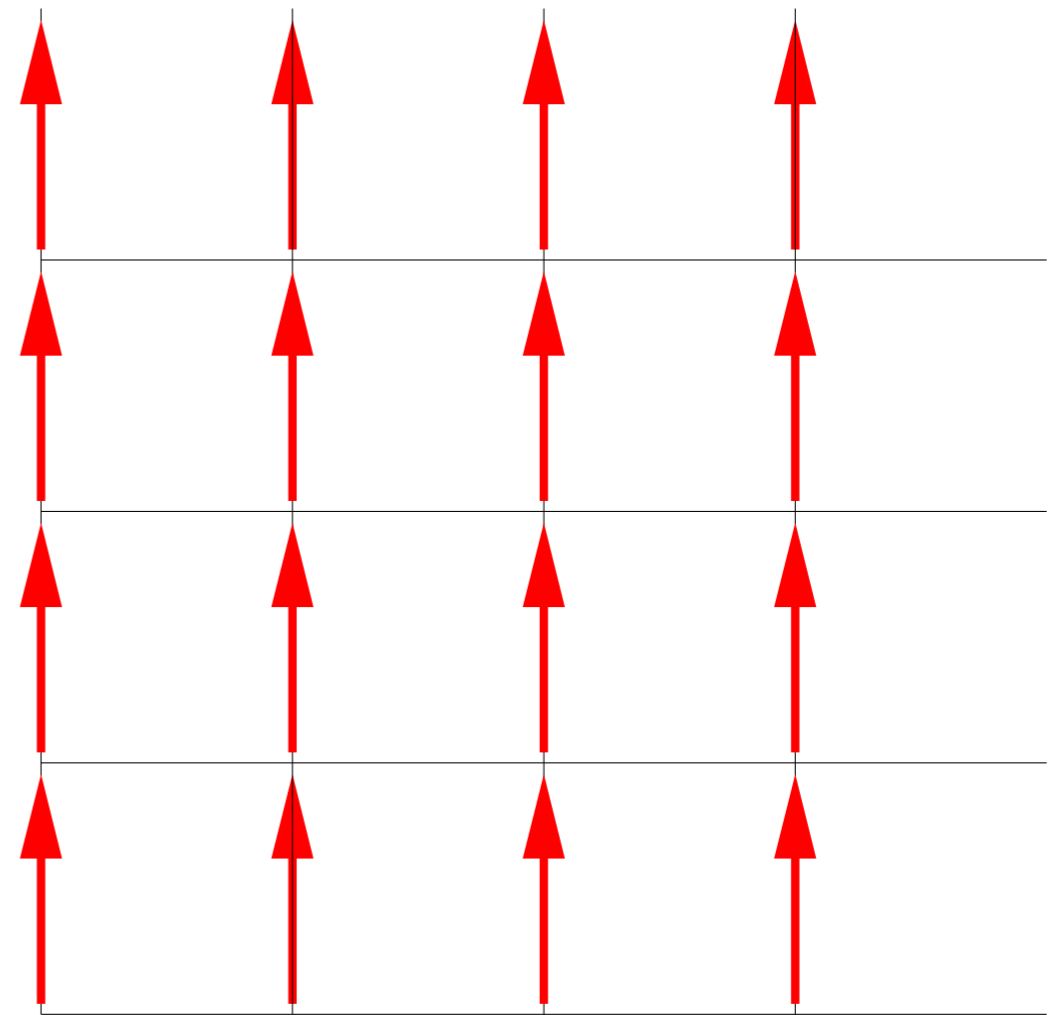
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The **dense** (crystalline) phase:  
1 baryon per site; no space left  
 $\rightarrow \langle \bar{\psi}\psi \rangle = 0$

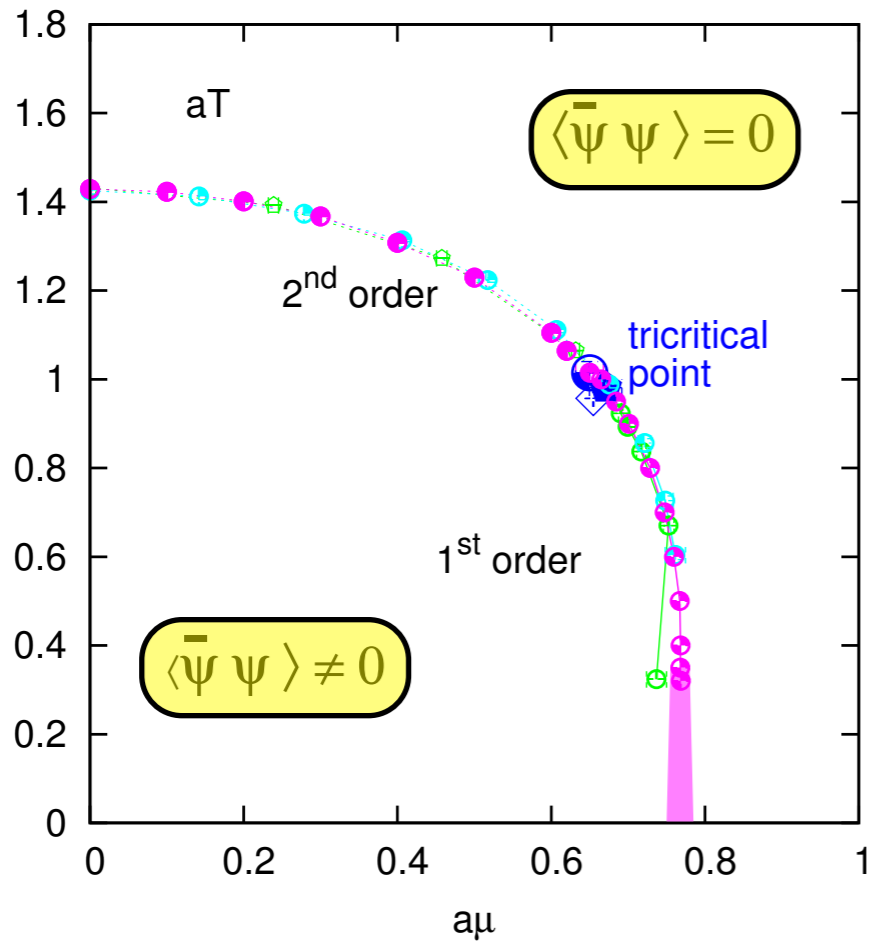
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# Results $\beta \approx 0$

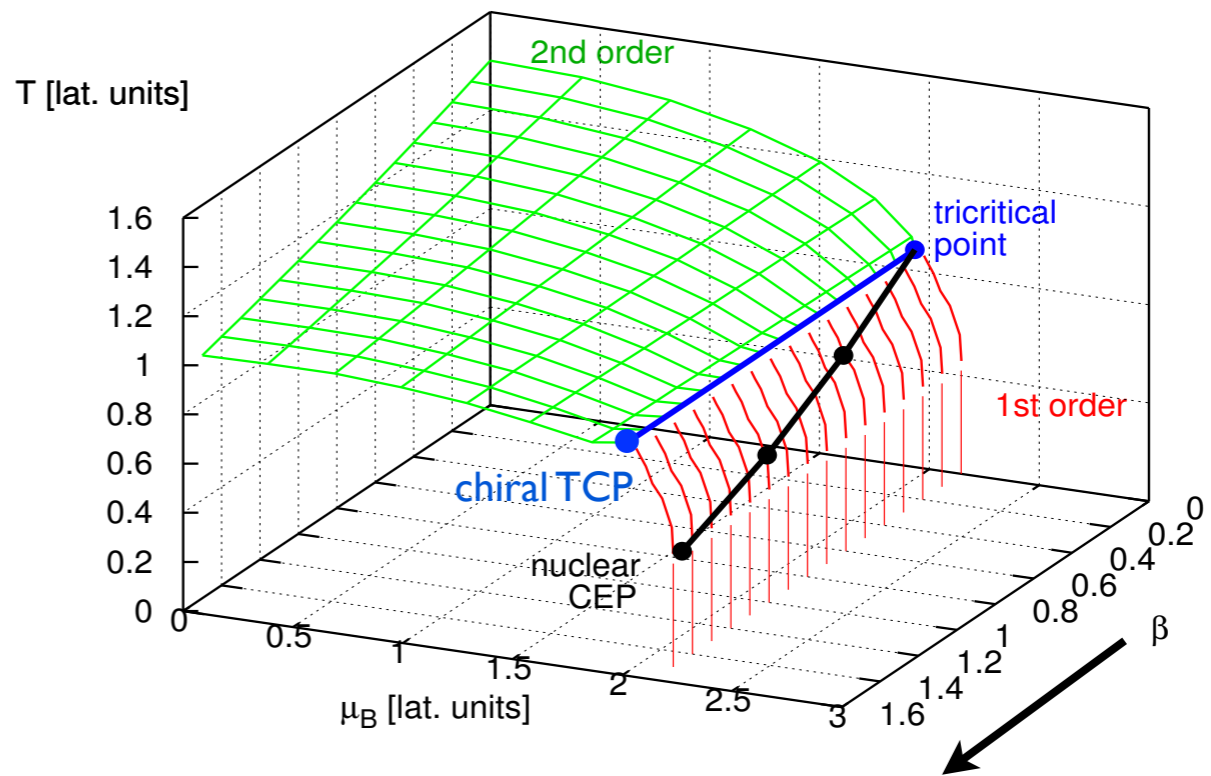
w/Unger, Langelage, Philipsen

- Sign pb almost gone: accessible volumes multiplied by  $10^4$
- Phase diagram ( $m_q = 0$ ): **chiral** phase transition

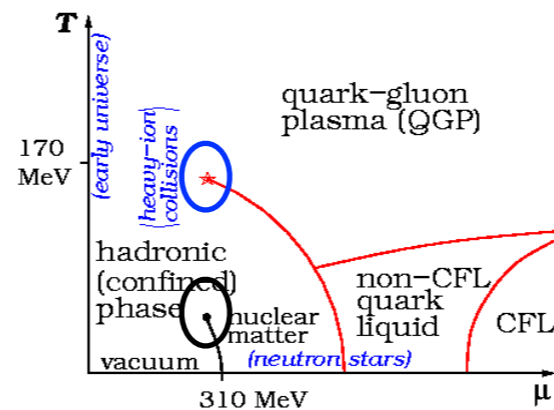
$\beta = 0$



$\mathcal{O}(\beta)$  corrections



cf. Wikipedia:  
( $m_q \neq 0$ )





# Conclusions

- QCD phase diagram: possibly rich -- or not
- QCD critical point: *not at small chem.  $\mu$ .*
- Sign problem: hot, interdisciplinary topic

Remember: Corfu is home of Princess Nausicaa, one of the few women with whom Odysseus did **not** reach a critical point...



# Steve Weinberg's Third Law of Progress in Theoretical Physics

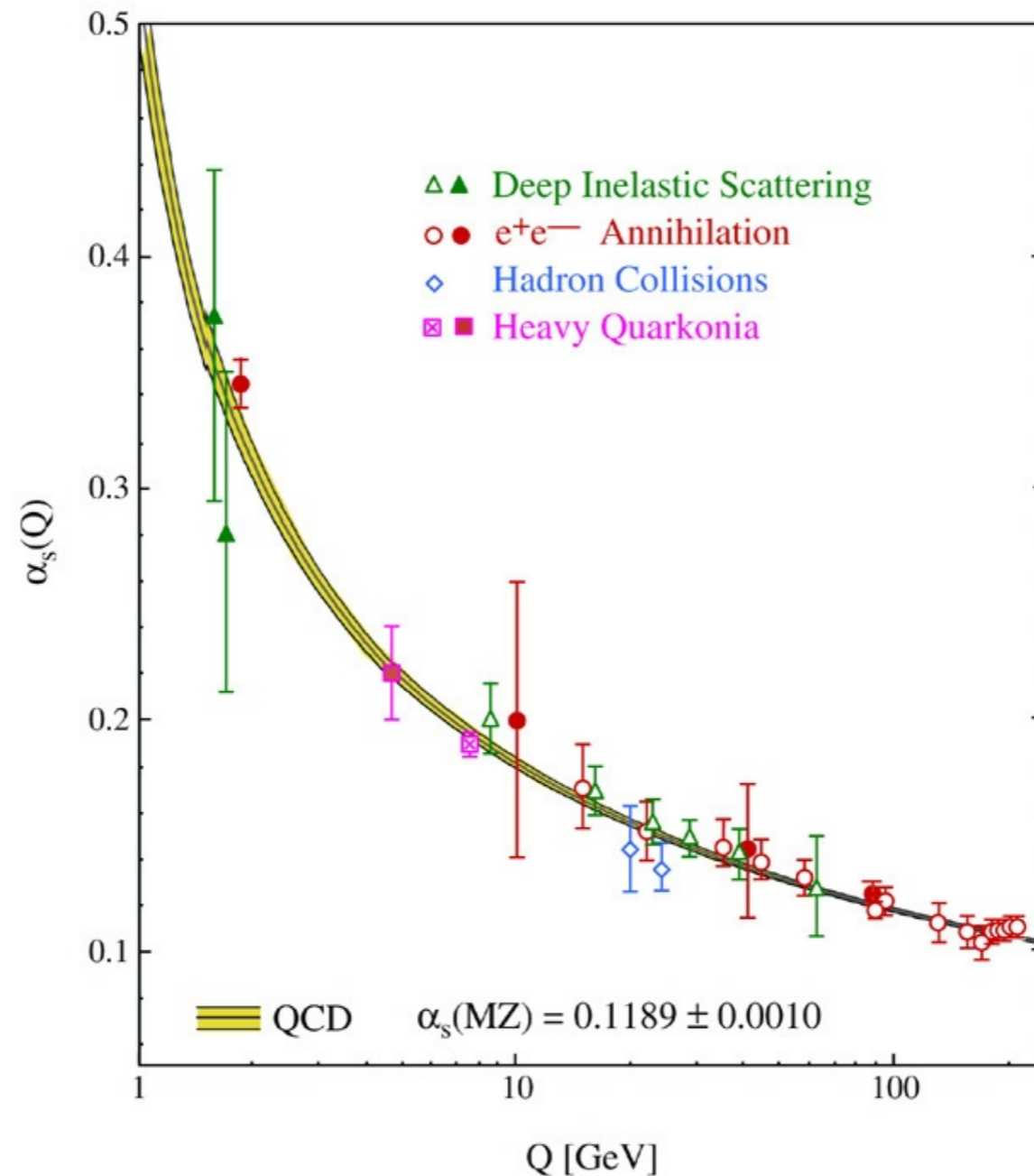
You may use any degrees of freedom you like to describe a physical system,  
but if you use the wrong ones, you'll be sorry

in “Asymptotic realms of physics”, 1983

- Second Law: do not trust arguments based on lowest-order perturbation theory
- First Law: you will get nowhere by just churning equations

# Basic properties of QCD

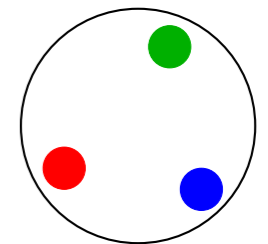
- QCD describes properties of *quarks* (cf. electrons – fermions) interacting by exchanging *gluons* (cf. photons – bosons)
- QCD is *asymptotically free*: weaker interaction at higher energy



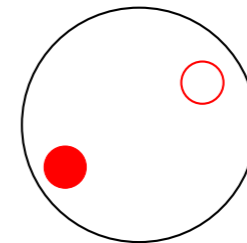
# The flip side of asymptotic freedom: “infrared slavery”

- Strong coupling at low energy  $\rightarrow$  non-perturbative
- Quarks are **confined** into color-neutral (color singlet) **bound-states** (**hadrons**):

$qqq$  **baryons**: proton & neutron (ordinary matter), ...



$q\bar{q}$  **mesons**: pion (lightest), kaon, rho, ...

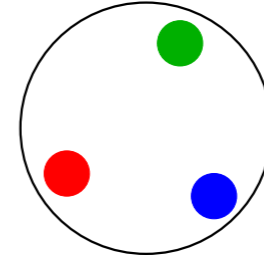


*Exotics*: glueballs, tetraquarks  $qq\bar{q}\bar{q}$ , pentaquarks  $qqqq\bar{q}$ , etc...

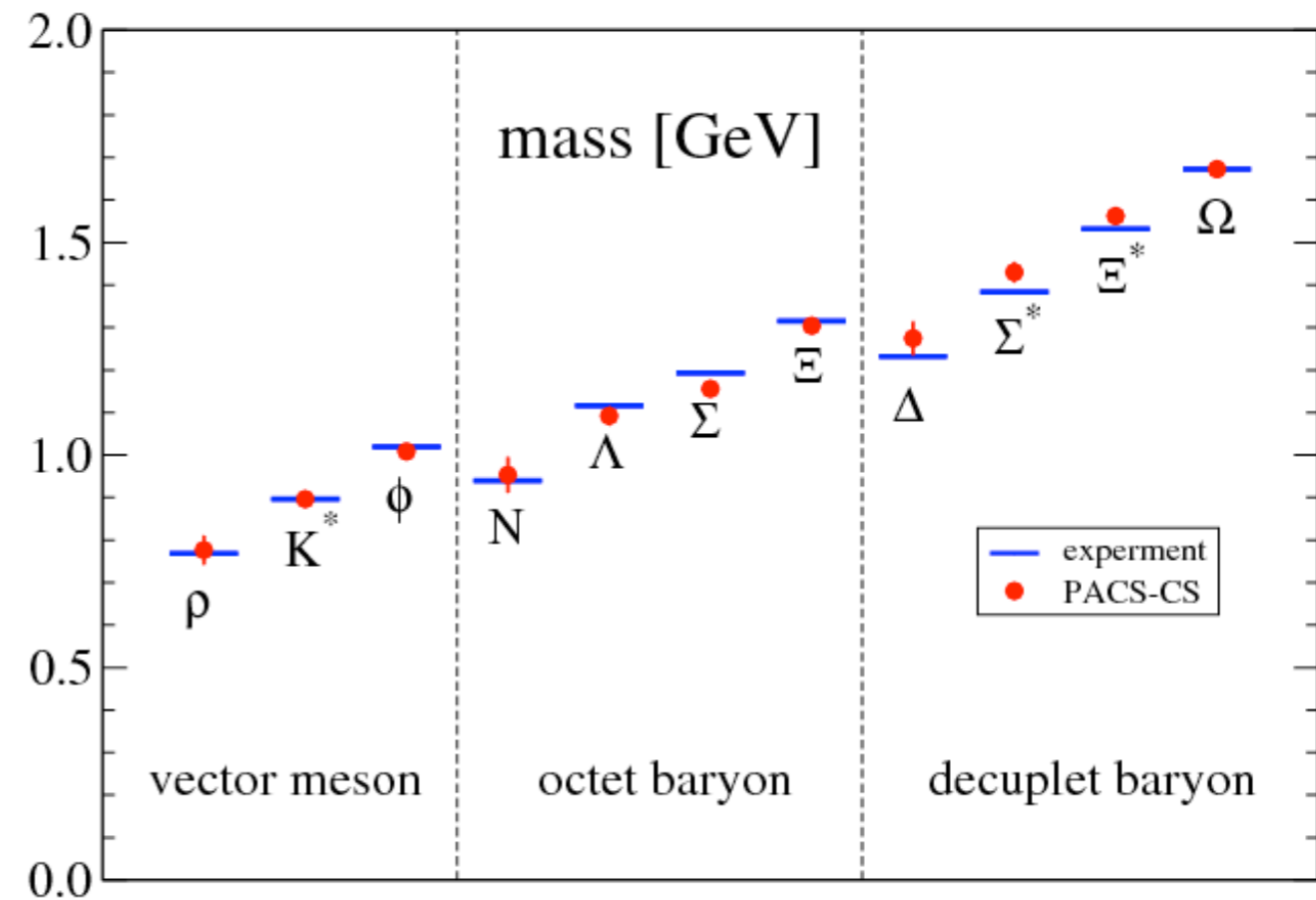
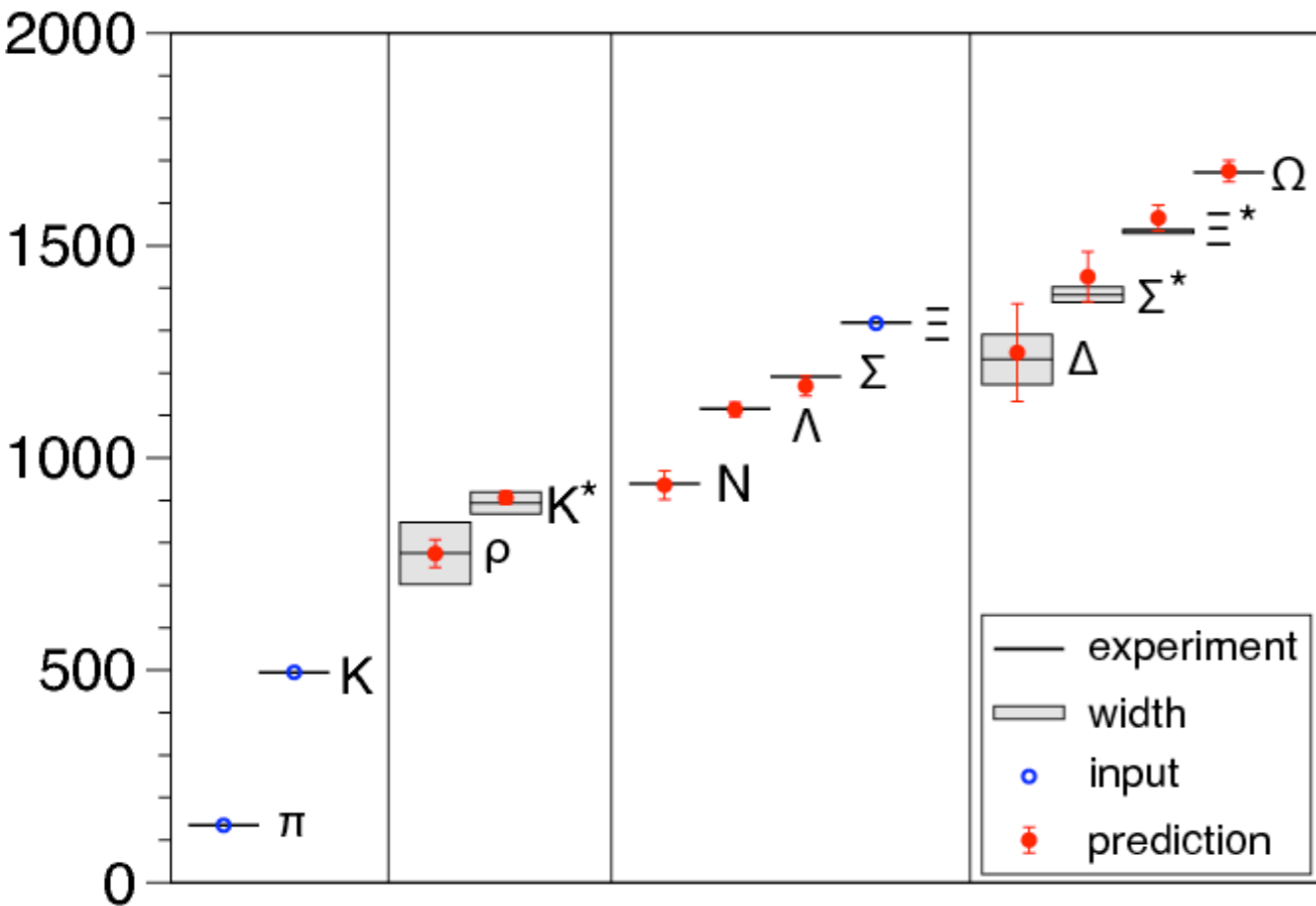
In principle, all calculable by **Lattice QCD simulations**

# Scope of lattice QCD simulations: Physics of color singlets

- \* “One-body” physics: confinement  
hadron masses  
form factors, etc..



# Example: hadron masses



BMW collaboration

[arXiv:0906.3599](https://arxiv.org/abs/0906.3599)  $\rightarrow$  Science

PACS-CS collaboration

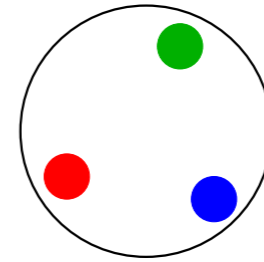
[arXiv:0807.1661](https://arxiv.org/abs/0807.1661)

Follow-up: neutron-proton mass diff.

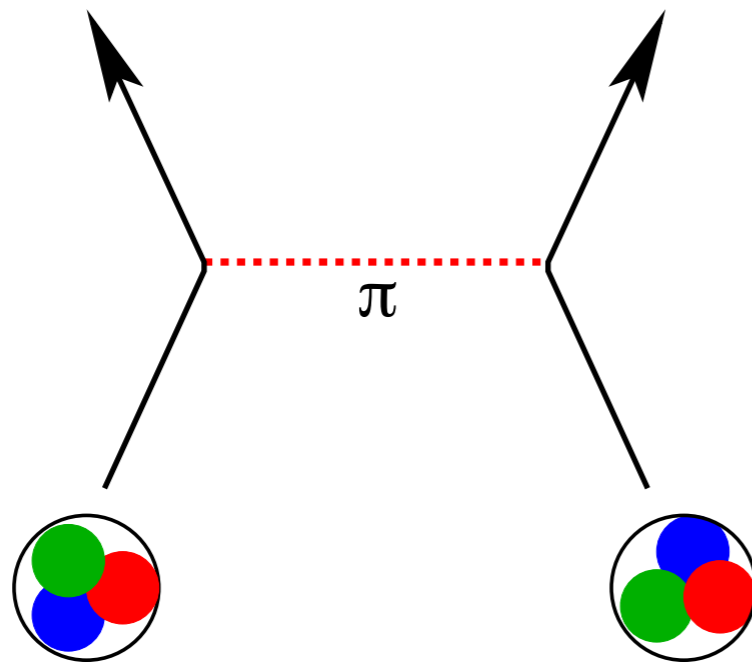
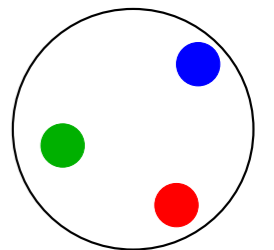
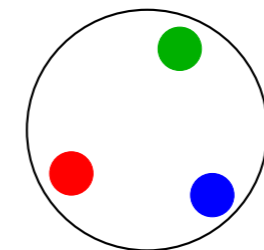
[arXiv:1406.4088](https://arxiv.org/abs/1406.4088)  $\rightarrow$  Science

# Scope of lattice QCD simulations: Physics of color singlets

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hadron masses  
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- \*\* “Two-body” physics: nuclear interactions  
pioneers Hatsuda et al, Savage et al

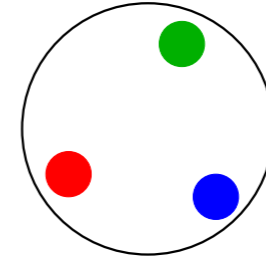


hard-core  
+  
pion exchange?

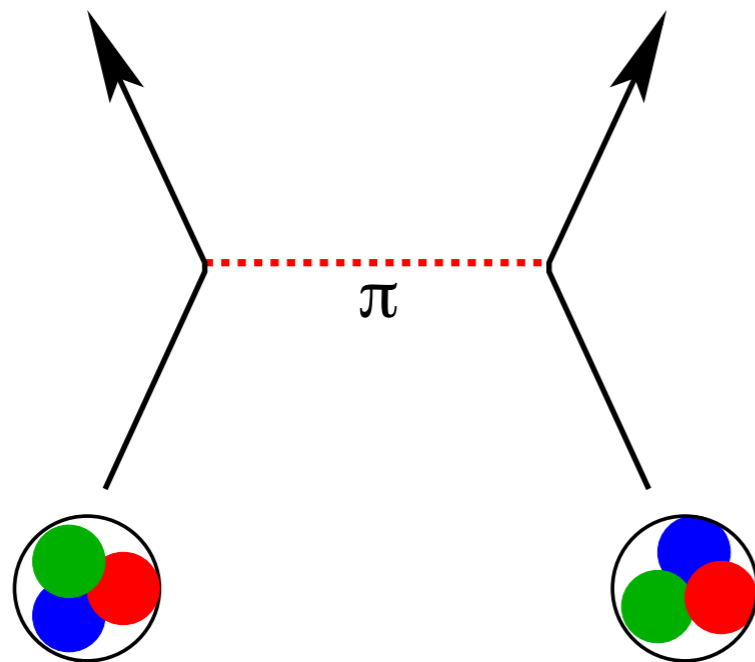
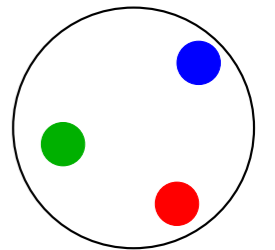
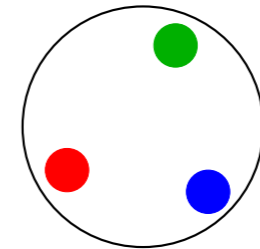


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hard-core  
+  
pion exchange?

- \*\*\* Many-[composite]-body physics: nuclear matter  
phase diagram vs (temperature  $T$ , density  $\leftrightarrow \mu_B$ )

# Motivation: how to make the sign problem milder?

- Severity of sign pb. is **representation dependent:**

Generically: 
$$Z = \text{Tr} e^{-\beta H} = \text{Tr} \left[ e^{-\frac{\beta}{N} H} \left( \sum |\psi\rangle\langle\psi| \right) e^{-\frac{\beta}{N} H} \left( \sum |\psi\rangle\langle\psi| \right) \cdots \right]$$

Any complete set  $\{|\psi\rangle\}$  will do

If  $\{|\psi\rangle\}$  form an **eigenbasis** of  $H$ , then  $\langle\psi_k| e^{-\frac{\beta}{N} H} |\psi_l\rangle = e^{-\frac{\beta}{N} E_k} \delta_{kl} \geq 0 \rightarrow$  **no sign pb**

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- Strategy:

choose  $\{|\psi\rangle\}$  “close” to physical eigenstates of  $H$

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Generically: 
$$Z = \text{Tr} e^{-\beta H} = \text{Tr} \left[ e^{-\frac{\beta}{N} H} \left( \sum |\psi\rangle\langle\psi| \right) e^{-\frac{\beta}{N} H} \left( \sum |\psi\rangle\langle\psi| \right) \cdots \right]$$

Any complete set  $\{|\psi\rangle\}$  will do

If  $\{|\psi\rangle\}$  form an **eigenbasis** of  $H$ , then  $\langle\psi_k|e^{-\frac{\beta}{N}H}|\psi_l\rangle = e^{-\frac{\beta}{N}E_k}\delta_{kl} \geq 0 \rightarrow$  **no sign pb**

- Strategy:

choose  $\{|\psi\rangle\}$  “close” to physical eigenstates of  $H$

QCD physical states are **color singlets**  $\rightarrow$  Monte Carlo on **colored** gluon links is **bad idea**

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**Usual:**

- integrate over quarks analytically  $\rightarrow \det(\{U\})$
- Monte Carlo over gluon fields  $\{U\}$

**Reverse order:**

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- Monte Carlo over quark color singlets (hadrons)

- **Caveat:** must turn off **4-link coupling**  in  $\beta \sum_P \text{ReTr} U_P$  by setting  $\beta = 0$

$\beta = \frac{6}{g_0^2} = 0$ : strong-coupling limit  $\longleftrightarrow$  continuum limit ( $\beta \rightarrow \infty$ )

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$$Z(\beta = 0) = \int \prod_x d\bar{\psi} d\psi \prod_{x,\nu} \left( \int dU_{x,\nu} e^{-\{\bar{\psi}_x U_{x,\nu} \psi_{x+\hat{\nu}} - h.c.\}} \right)$$

Product of 1-link integrals performed analytically

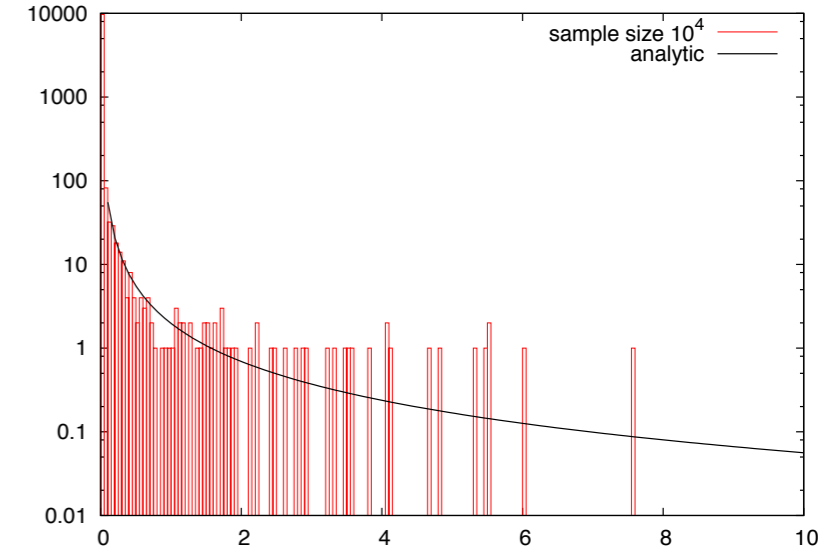
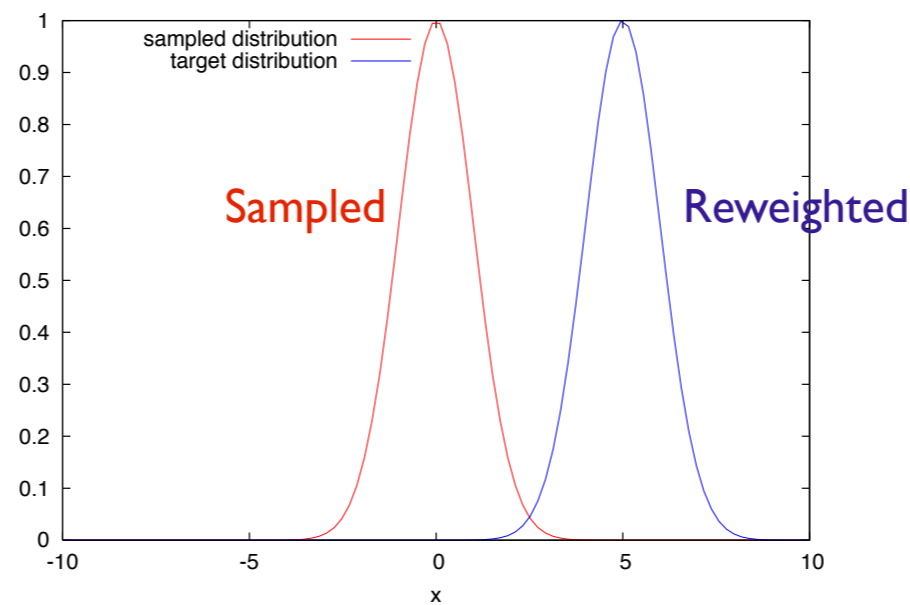
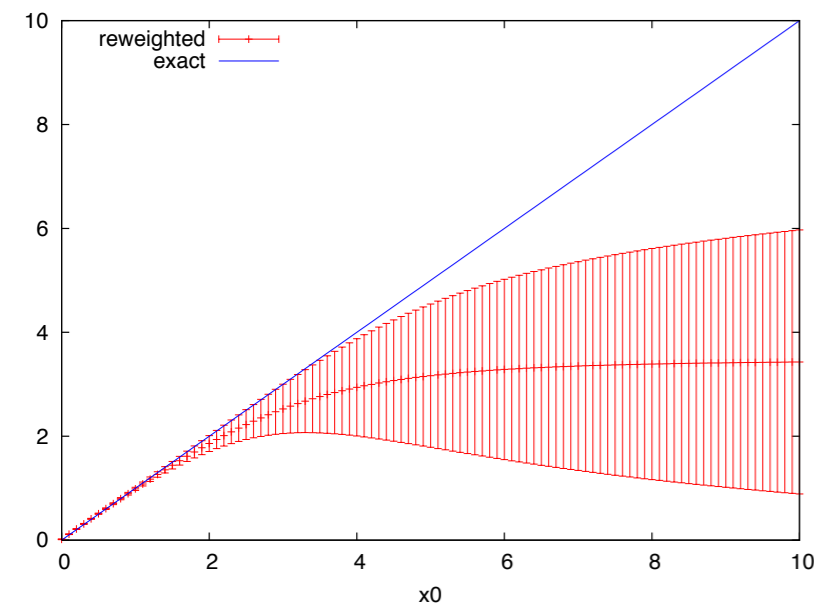
# More difficulties: the overlap problem

- Further danger: **insufficient overlap** between sampled and reweighted ensembles

Very large weight carried by very rarely sampled states

→ **WRONG** estimates in reweighted ensemble for finite statistics

- Example: sample  $\exp(-\frac{x^2}{2})$ , reweight to  $\exp(-\frac{(x-x_0)^2}{2}) \rightarrow \langle x \rangle = x_0$  ?



- Estimated  $\langle x \rangle$  saturates at largest sampled  $x$ -value
- Error estimate too small

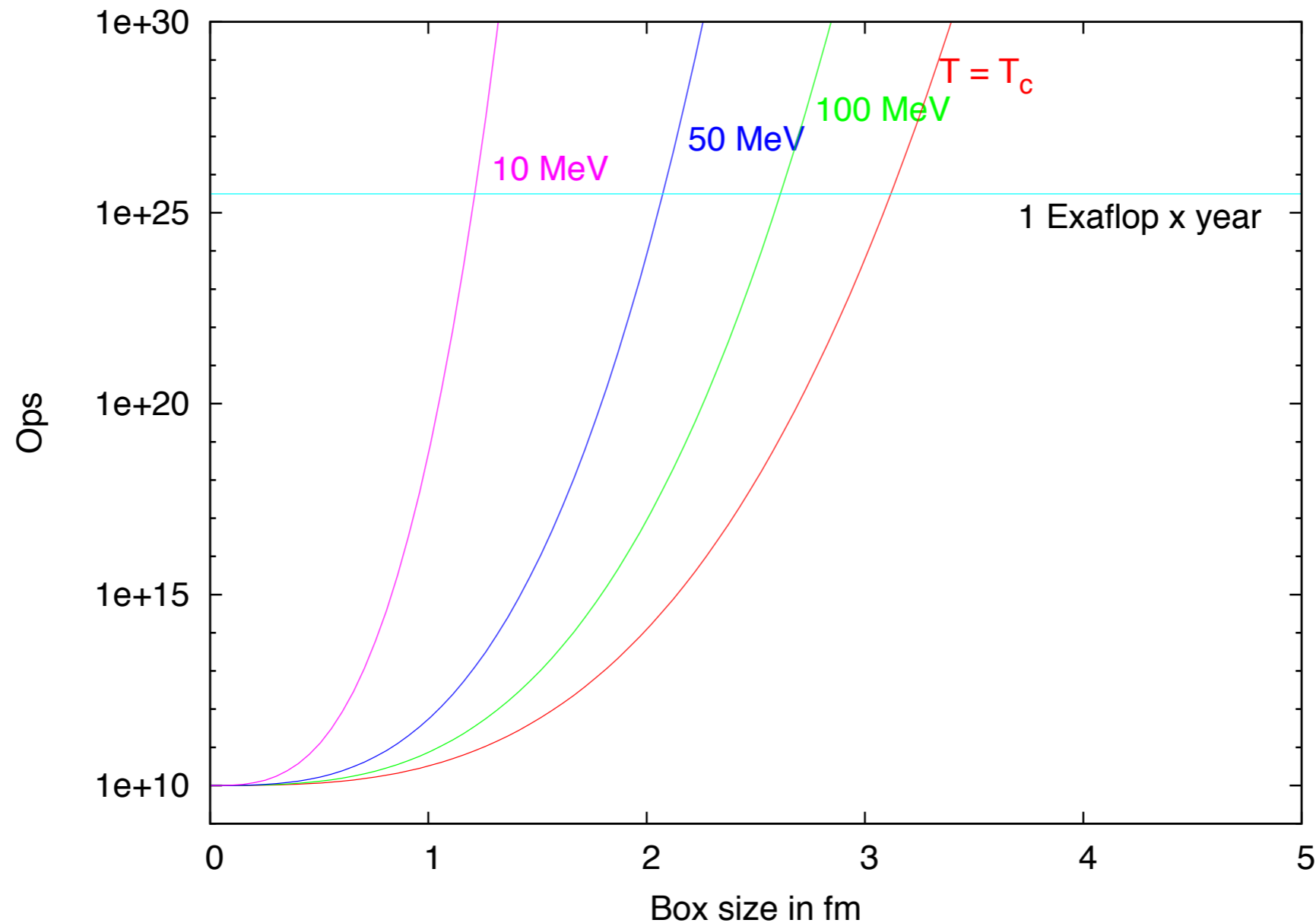
Insufficient overlap ( $x_0 = 5$ )

Very non-Gaussian distribution of reweighting factor  
**Log-normal** Kaplan et al.

**Solution: Need stats  $\propto \exp(\Delta S)$**

# The CPU effort grows *exponentially* with $L^3/T$

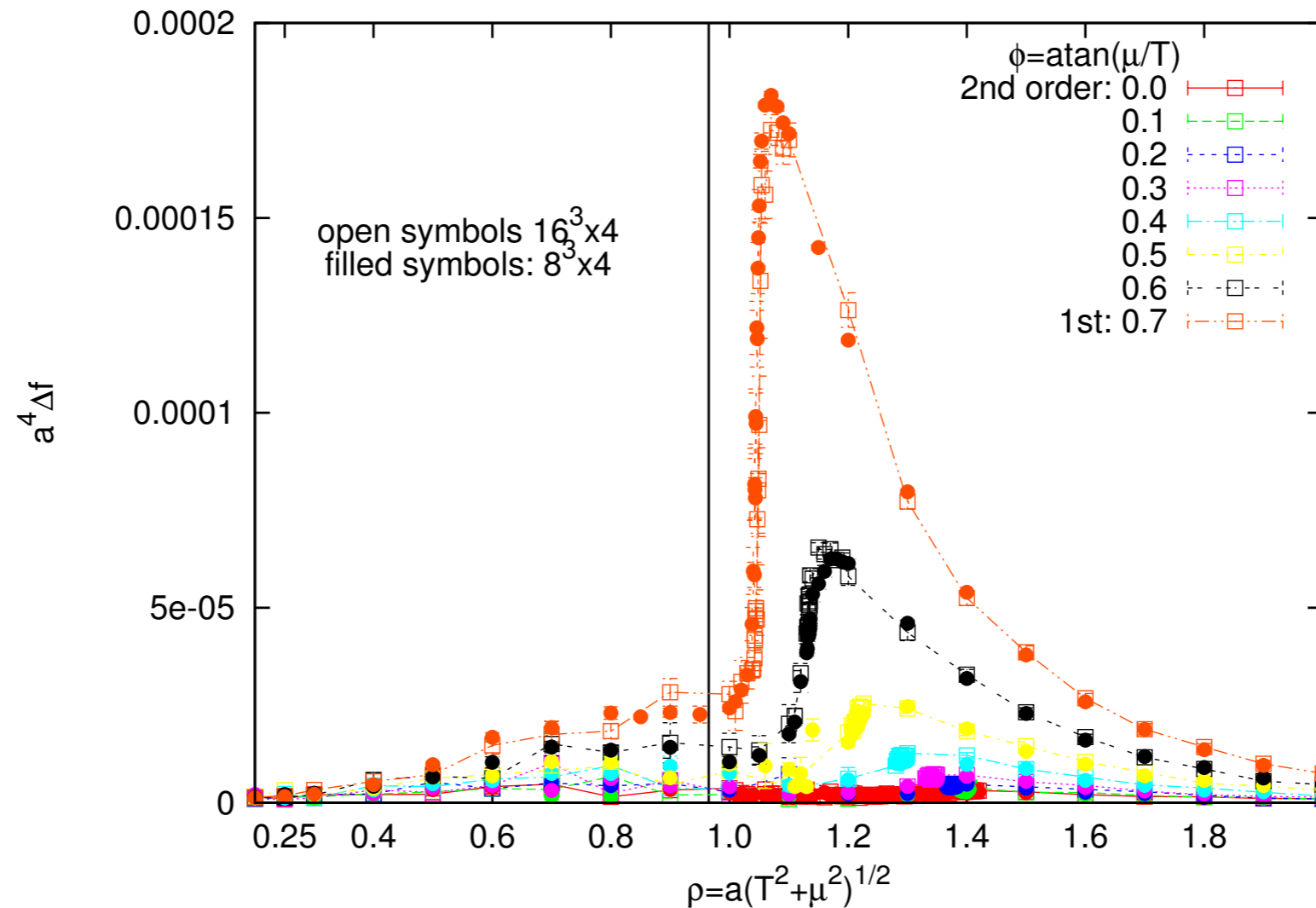
CPU effort to study matter at nuclear density in a box of given size  
Give or take a few powers of 10...



- Crudely** based on:
- 1 sec on 1GF laptop for  $2^4$  lattice,  $a = 0.1$  fm
  - effort  $\propto \exp\left(2 \frac{V}{T} \rho_{\text{nucl.}} \underbrace{(m_B - 3/2 m_\pi)}_{\Delta f}\right)$

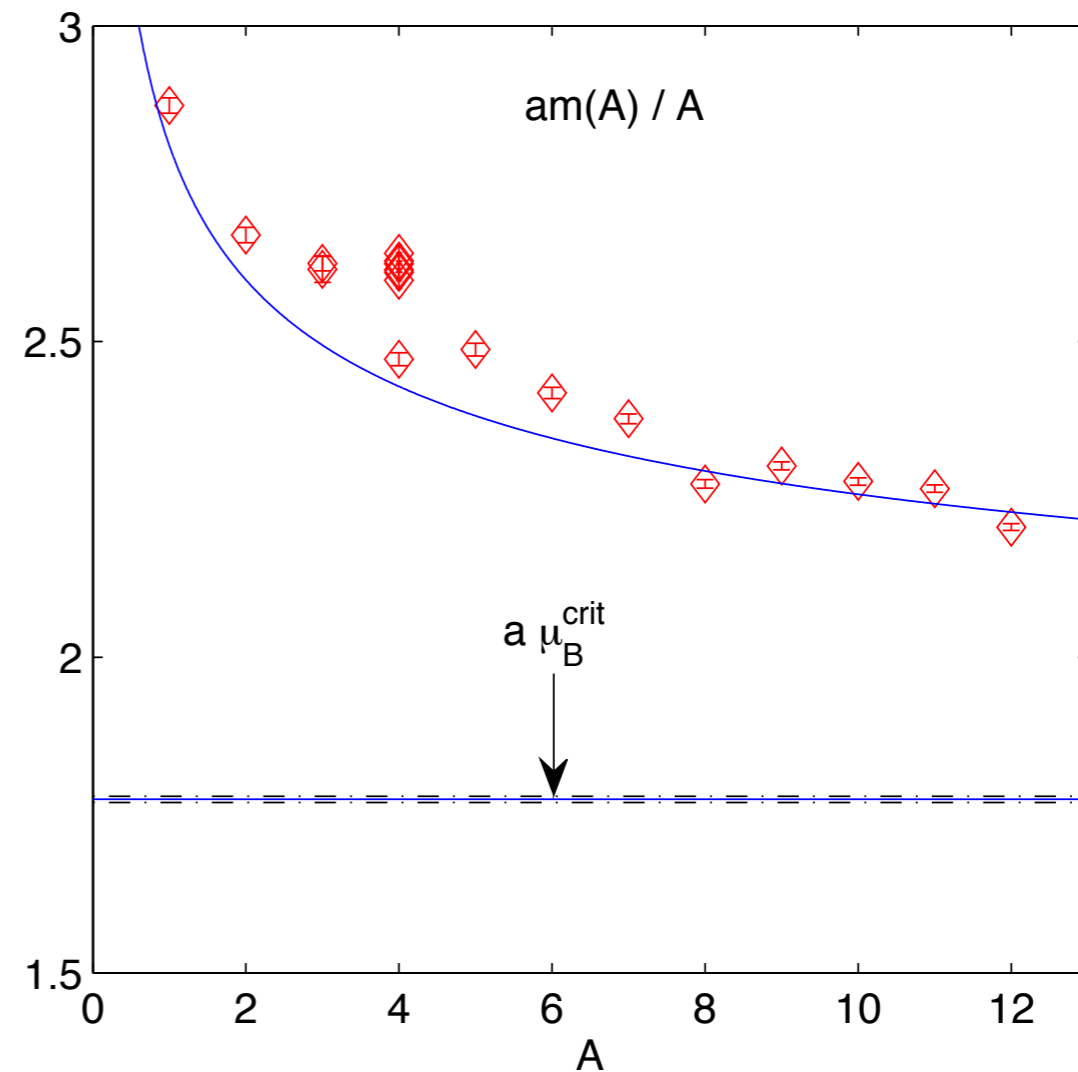
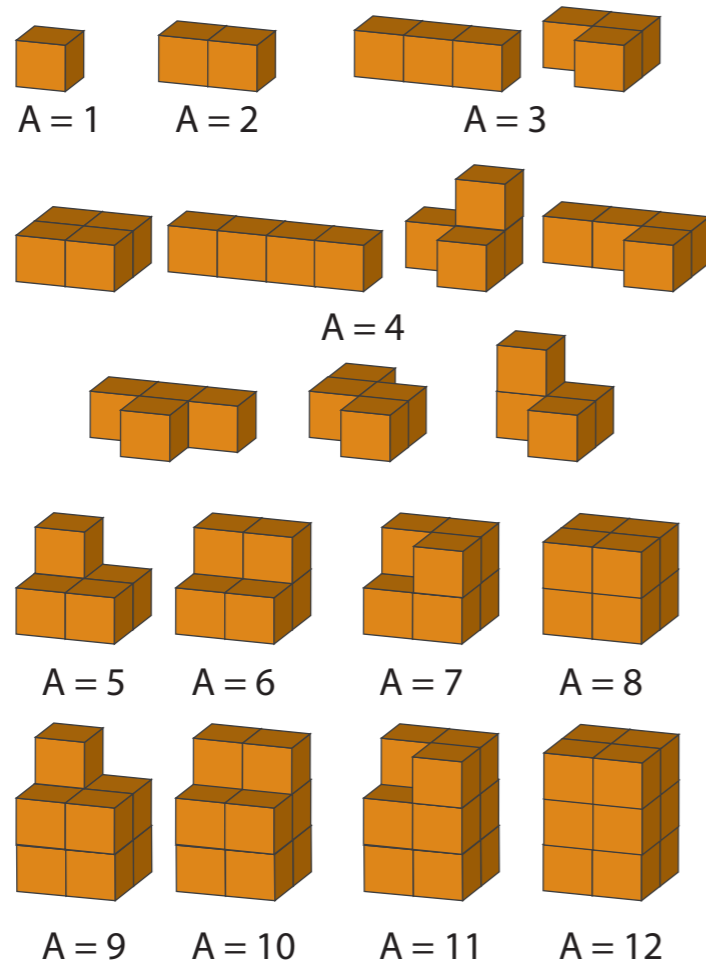


# Severity of sign problem? Monitor $\Delta f = -\frac{1}{V} \log \langle \text{sign} \rangle$



- $\langle \text{sign} \rangle = \frac{Z}{Z_{||}} \sim \exp(-\frac{V}{T} \Delta f(\mu^2))$  as expected
- Determinant method  $\rightarrow \Delta f \sim \mathcal{O}(1)$ . Here, **Gain  $\mathcal{O}(10^4)$  in the exponent!**
  - heuristic argument correct: color singlets closer to eigenbasis
  - negative sign? product of *local* neg. signs caused by spatial baryon hopping:
    - no baryon  $\rightarrow$  no sign pb (no silver blaze pb.)
    - saturated with baryons  $\rightarrow$  no sign pb

# Results – Crude nuclear matter: spectroscopy w/Fromm



- Can compare masses of differently shaped “isotopes”
- $am(A) \sim a\mu_B^{\text{crit}} A + (36\pi)^{1/3} \sigma a^2 A^{2/3}$ , ie. (bulk + surface tension)  
empirical mass formula, parameter-free ( $\mu_B^{\text{crit}}$  and  $\sigma$  measured separately)
- “Magic numbers” with increased stability:  $A = 4, 8, 12$  (reduced area)